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Risk Factor Analysis of Morbidity and 90-Day Mortality of Curative Resection in Patients with Stage IIIA–N2 Non-Small Cell Lung Cancer after Induction Concurrent Chemoradiation Therapy

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Jong Ho Cho Tel 82-2-3410-1696 Fax 82-2-3410-6986 E-mail mic95@naver.com ORCID https://orcid.org/0000-0003-3362-4621 **Background:** Major pulmonary resection after neoadjuvant concurrent chemoradiation therapy (nCCRT) is associated with a substantial risk of postoperative complications. This study investigated postoperative complications and associated risk factors to facilitate the selection of suitable surgical candidates following nCCRT in stage IIIA–N2 non-small cell lung cancer (NSCLC).

Methods: We conducted a retrospective analysis of patients diagnosed with clinical stage IIIA–N2 NSCLC who underwent surgical resection following nCCRT between 1997 and 2013. Perioperative characteristics and clinical factors associated with morbidity and mortality were analyzed using univariable and multivariable logistic regression.

Results: A total of 574 patients underwent major lung resection after induction CCRT. Thirty-day and 90-day postoperative mortality occurred in 8 patients (1.4%) and 41 patients (7.1%), respectively. Acute respiratory distress syndrome (n=6, 4.5%) was the primary cause of in-hospital mortality. Morbidity occurred in 199 patients (34.7%). Multivariable analysis identified significant predictors of morbidity, including patient age exceeding 70 years (odds ratio [OR], 1.8; p=0.04), low body mass index (OR, 2.6; p=0.02), and pneumonectomy (OR, 3.26; p<0.01) were independent predictors of mortality in the multivariable analysis. **Conclusion:** In conclusion, the surgical outcomes following nCCRT are less favorable for individuals aged over 70 years or those undergoing pneumonectomy. Special attention is warranted for these patients due to their heightened risks of respiratory complications. In high-risk patients, such as elderly patients with decreased lung function, alternative treat-

Keywords: Neoadjuvant concurrent chemoradiation therapy, Non-small cell lung carcinoma, Mortality, Risk factor

ment options like definitive CCRT should be considered instead of surgical resection.

Introduction

Lung cancer remains the leading cause of death from malignancies worldwide [1]. Locally advanced non-small cell lung cancer (NSCLC) is a heterogeneous entity, and the optimal intervention for stage IIIA–N2 NSCLC remains a subject of debate. However, neoadjuvant therapy before surgery, including chemotherapy and chemoradiotherapy, has been increasingly used to improve locoregional control and eradicate lymph node metastasis in the mediastinum [2]. Clinical trials have explored effective approaches for combining radiation therapy and chemotherapy to improve treatment results. At Samsung Medical Center, we employ a tri-modality approach, involving preoperative concurrent chemoradiation therapy (CCRT) followed by surgical resection, aimed at curing stage IIIA NSCLC with

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This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/ by-nc/4.0) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. mediastinal lymph node metastases. Tri-modality therapy has been prospectively performed in medically fit patients with stage IIIA–N2 NSCLC at Samsung Medical Center, and it has subsequently shown acceptable oncologic treatment outcomes [3-6]. However, patients who undergo major pulmonary resection after induction therapy confront a considerably heightened risk of postoperative complications, which constitute the predominant source of operative morbidity and mortality. Furthermore, detailed reports on perioperative outcomes following lung resection in the neoadjuvant context are scarce, particularly those involving large-scale analyses.

In the present study, we retrospectively analyzed perioperative outcomes, including surgical morbidity and mortality, after neoadjuvant CCRT in patients with stage IIIA NSCLC. We present the clinical results and an analysis of risk factors associated with morbidity and mortality following tri-modality therapy.

Methods

Patients' demographics

We conducted a comprehensive review of cases that underwent pulmonary resection following induction therapy from August 1997 to December 2013. The inclusion criteria were as follows: (1) a confirmed diagnosis of NSCLC, (2) clinical stage IIIA disease, as determined by the presence of mediastinal lymph node metastasis, (3) an Eastern Cooperative Oncology Group (ECOG) performance status of 0-1, (4) no significant medical conditions that could interfere with intensive treatment, and (5) exclusion of patients with a prior history of other solid malignancies. Additionally, patients with a second primary or recurrent NSCLC were excluded from the study. The study protocol received approval from the Institutional Review Board (IRB) of Samsung Medical Center (IRB approval no., 2023-11-087-001). The requirement for informed consent from individual patients was omitted because of the retrospective design of this study.

Pretreatment staging work-up and treatment regimen

The preoperative work-up included pulmonary function tests, computed tomography (CT) scans of the chest and upper abdomen, 18F-fluorodeoxyglucose positron emission tomography (PET)/CT, and brain magnetic resonance imaging. Nodal staging involved fiberoptic bronchoscopy, mediastinoscopy, or endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA). For cases with nodes in challenging locations, video-assisted thoracoscopic surgery (VATS) or the Chamberlain procedure was employed for staging. Patients who did not have histological confirmation of nodal involvement were diagnosed with N2 disease based on radiological evidence.

Neoadjuvant CCRT consisted of chemotherapy and concurrent thoracic radiotherapy. From May 1997 to 2008, radiation therapy was administered at a total dose of 45 Gy over 5 weeks (1.8 Gy/fraction/day). Starting from 2009 and onwards, the radiation dose was 44 Gy over 4.5 weeks (2.0 Gy/fraction/day). The chemotherapy regimen included weekly paclitaxel or docetaxel plus carboplatin or cisplatin for 5 weeks.

After the completion of chemoradiotherapy, tumor response was assessed using CT or PET scans, following the Response Evaluation Criteria in Solid Tumors guidelines (version 1.0). A multidisciplinary committee determined patient eligibility for surgical resection and evaluated tumor response. Routine restaging with re-mediastinoscopy or EBUS was not conducted.

Surgery

Surgery was scheduled for 4–6 weeks after the completion of neoadjuvant CCRT. The choice between lobectomy, bilobectomy, or pneumonectomy was based on the size and extent of the primary tumor to ensure its complete removal. After excising the main tumor, all patients underwent comprehensive mediastinal lymph node dissection according to the American Joint Committee on Cancer (AJCC) lymph node map, which includes nodal stations 2R, 4R, 7, 8, and 9 for right-sided tumors, and 4L, 5, 6, 7, 8, and 9 for left-sided tumors. R0 resection and R1/R2 resection were defined in line with the AJCC/Union for International Cancer Control definition. Postoperative radiation therapy and/or chemotherapy were considered if histologically positive N2 lymph nodes were present or if a positive resection margin was detected during surgery.

Perioperative management

Patients who underwent major pulmonary resection, except for those receiving a pneumonectomy, were routinely extubated in the operating room. Those who underwent a pneumonectomy were transferred to the intensive care unit (ICU) and extubated thereafter. Postoperatively, all patients spent at least one day in the ICU. Upon discharge from the ICU, patients were transferred to and managed in the thoracic surgical ward. Throughout the postoperative period, all patients participated in active chest physiotherapy, which included deep breathing exercises and incentive spirometry.

Postoperative complications

Postoperative complications were defined as those occurring within 30 days after surgery or before hospital discharge. To analyze any complications, we used the Clavien-Dindo classification: grade I: any deviation from the typical postoperative recovery that does not necessitate medication or medical procedures, including surgery, endoscopy, or radiology; grade II: needing medication for treatment, but not the same drugs used for grade I complications; grade III: requiring surgical, endoscopic, or radiological intervention; grade IIIa: intervention without the need for general anesthesia; grade IIIb: intervention that requires general anesthesia; grade IV: a complication that poses a life-threatening situation necessitating ICU management; and grade V: death. The identification of pneumonia was considered when patients presented with lung infiltration and purulent sputum, along with a significant presence of microorganisms in the sputum culture. Acute respiratory distress syndrome (ARDS) was characterized by the sudden onset of arterial hypoxemia resistant to oxygen therapy (with a partial pressure of oxygen/fraction of inspired oxygen $[PaO_2/FiO_2]$ ratio of ≤ 200), diffuse infiltrates on chest radiographs, and no evidence of heart failure. Acute lung injury was defined similarly to ARDS, except with a PaO_2/FiO_2 ratio >200 and \leq 300.

Data collection and analysis

Descriptive statistics were used to examine patient demographic characteristics and outcomes. Continuous data that followed a normal distribution were expressed as the mean±standard deviation, and categorical data were presented as frequencies and percentages. To compare continuous variables, we used the Student t-test or the Wilcoxon rank-sum test, depending on whether the data were normally distributed. The chi-square test or Fisher exact test was used to compare categorical variables, as deemed appropriate. We analyzed risk factors for morbidity and perioperative mortality using both univariate and multivariate logistic regression. Variables that achieved a p-value of less than 0.1 in the univariate analysis were subsequently included in the multivariate analysis. All statistical tests were 2-tailed, with the significance threshold set at 0.05. The analyses were performed using JMP ver. 10.1 software (SAS Institute Inc., Cary, NC, USA).

Results

Patient demographics

From 1997 to 2013, 574 patients underwent major pulmonary resection at Samsung Medical Center after neoadjuvant CCRT due to stage IIIA–N2 NSCLC. Patients' demographic characteristics are summarized in Table 1. The median age was 60 years (range, 23–76 years), and majority of patients were men (77.4%). The median body mass index (BMI) was 23.5 kg/m² (range, 15.4–46.0 kg/m²). The ECOG performance status was 0 in 566 patients (98.6%) and 1 in 8 patients (1.4%). There were 399 smokers (59.1%) and 235 never-smokers (40.9%).

Pretreatment staging work-up and treatment regimen

All patients enrolled in this study underwent neoadju-

Table 1. Patients' demographics

| Characteristic | Value |
|---------------------------------------|------------------|
| Age (yr) | 60 (23–76) |
| Male | 444 (77.4) |
| Body mass index (kg/m ²) | 23.5 (15.4-46.0) |
| ECOG performance status | |
| 0 | 566 (98.6) |
| 1 | 8 (1.4) |
| 2 | 0 |
| 3 | 0 |
| 4 | 0 |
| Chronic obstructive pulmonary disease | 18 (3.1) |
| Smoking status | |
| Ever smoker | 339 (59.1) |
| Never smoker | 235(40.9) |
| Cerebrovascular accident | 15 (2.6) |
| Coronary artery disease | 3 (0.5) |
| Hypertension | 163 (28.4) |
| Diabetes | 67 (11.7) |
| FEV1 % | 93.0±18.6 |
| FEV1/FVC% | 71.1±9.8 |
| DLCO% (N=282) ^{a)} | 89.9±20.3 |

Values are presented as median (interquartile range), number (%), or mean \pm standard deviation.

ECOG, Eastern Cooperative Oncology Group; FEV1, forced expiratory volume in the first second; FVC, forced vital capacity; DLCO, diffusing capacity of the lungs for carbon monoxide.

^{a)}292 (50.9%) did not undergo a preoperative DLCO test.

vant CCRT prior to surgery. Perioperative characteristics are summarized in Table 2. Of the patients, 322 (56.1%) had adenocarcinoma, followed by 210 with squamous cell carcinoma (36.6%), 12 with large cell carcinoma (2.3%), and 29 with NSCLC not otherwise specified (5.1%). The clinical T stage distribution was T1 in 128 patients (22.3%), T2 in 386 patients (67.2%), and T3 in 60 patients (10.4%). Histologic confirmation of N2 stage was obtained in 505 patients (88%). Among these, 341 underwent mediastinoscopy, 146 had EBUS-TBNA, 19 underwent VATS, and 10 were assessed using the Chamberlain approach. The median radiation therapy dose was 45 Gy (interguartile range, 44-45 Gy). The clinical response to CCRT was categorized as a complete radiographic response in 5 patients (0.8%), a partial response in 559 patients (97.4%), and stable disease in 10 patients (1.7%). The median interval between the completion of CCRT and surgery was 33 days (range, 5-79 days).

Table 2. Perioperative characteristics

| Characteristic | Value |
|--|------------|
| Tumor histologic type | |
| Adenocarcinoma | 322 (56.1) |
| Squamous cell carcinoma | 210 (36.6) |
| Large cell carcinoma | 12 (2.3) |
| NSCLC, NOS | 29 (5.1) |
| Time interval between CCRT and operation (day) | 33 (5–79) |
| Histologic confirmation of N2 | 505 (88.0) |
| Mediastinoscopy | 341 |
| EBUS-TBNA | 146 |
| VATS | 19 |
| Chamberlain | 10 |
| Clinical T staging | |
| cT1 | 128 (22.3) |
| cT2 | 386 (67.2) |
| cT3 | 60 (10.4) |
| Type of resection | |
| Wedge resection | 3 (0.5) |
| Segmentectomy | 1 (0.2) |
| Lobectomy | 440 (76.7) |
| Bilobectomy | 57 (9.9) |
| Pneumonectomy | 73 (12.7) |
| Surgical approach | |
| Thoracotomy | 555 (96.7) |
| VATS | 19 (3.3) |
| Completeness of resection | |
| R0 resection | 543 (95) |
| R1/R2 resection | 31 (5.4) |

Values are presented as number (%) or median (interquartile range). NSCLC, non-small-cell lung cancer; NOS, not otherwise specified; CCRT, concurrent chemoradiotherapy; EBUS-TBNA, endobronchial ultrasound-guided transbronchial needle aspiration; VATS, videoassisted thoracoscopic surgery.

Surgical treatment and pathologic findings

Among these patients, 440 underwent a lobectomy, 57 had a bilobectomy, 73 underwent a pneumonectomy, and 4 received a limited resection (3 wedge resections and 1 segmentectomy). Fig. 1 illustrates the distribution of these procedures. The surgical approach involved thoracotomy in 555 patients (96.7%) and VATS in 19 patients (3.3%). Complete resection was achieved in 543 patients (95%), while the resection was incomplete in 31 patients (5.4%). Within the cohort, 57% of patients (n=327) underwent adjuvant treatment, which included radiation therapy alone in 30.3% (n=174), chemotherapy alone in 13.1% (n=75), and CCRT in 13.6% (n=78).

In terms of resection completeness, complete resection was achieved in 543 patients (95%), while R1 resection was observed in 31 patients. The average number of lymph nodes dissected was 17, with a range from 0 to 52. Notably, a pathologic complete response was exhibited by 72 patients (13%). Compared to the nodal status before treatment, mediastinal nodal downstaging was observed in 304 patients (53%), whereas 268 patients (47%) continued to exhibit persistent N2 disease.

Postoperative outcomes

Perioperative outcomes, including the length of hospital stay, morbidity, and mortality, are summarized in Table 3. The median hospital stay was 8 days. Early postoperative mortality (within 30 days) occurred in 1.4% of patients (n=8), while late postoperative mortality (within 90 days) occurred in 7.1% (n=41). The rates of morbidity and mortality were associated with the extent of the surgical resec-

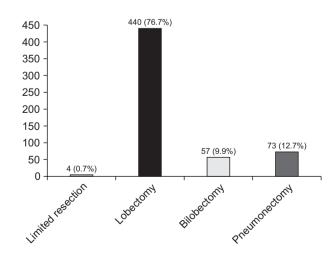


Fig. 1. The extent of surgery after neoadjuvant chemoradiotherapy.

| Outcomes | Total | The extent of surgical resection | | | | |
|----------------------|------------|----------------------------------|------------|-------------|---------------|---------|
| | IOIAI | Limited resection | Lobectomy | Bilobectomy | Pneumonectomy | p-value |
| 30-Day mortality | 8 (1.4) | 0 | 3 (0.7) | 1 (1.8) | 4 (5.5) | 0.014 |
| 60-Day mortality | 25 (4.4) | 0 | 15 (3.4) | 2 (3.5) | 8 (11.0) | 0.031 |
| 90-Day mortality | 41 (7.1) | 0 | 23 (5.2) | 5 (8.8) | 13 (17.8) | 0.006 |
| Length of stay (day) | 8 (7–11) | 7 (4.5–15.5) | 8 (7–11) | 9 (8–15) | 10 (8-12.5) | < 0.001 |
| Morbidity | 199 (34.7) | 0 | 137 (31.1) | 26 (45.6) | 36 (49.3) | 0.002 |

Table 3. Perioperative outcomes

Values are presented as number (%) or median (interquartile range).

Table 4. Postoperative complications

| Morbidity | Total – | The Clavien-Dindo classification of surgical complications ^{a)} | | | | | |
|-------------------------------------|------------|--|-----|------|------|----|----|
| | | I | | Illa | IIIb | IV | V |
| Arrhythmia | 116 (20.2) | | 116 | | | | |
| Pneumonia | 32 (5.6) | | 7 | 1 | 1 | 9 | 14 |
| Acute lung injury | 12 (2.1) | | 5 | 3 | | 4 | |
| Acute respiratory distress syndrome | 18 (3.1) | | | | | | 18 |
| Atelectasis ^{b)} | 10 (1.7) | | | 10 | | | |
| Bronchopleural fistula | 11 (1.9) | | | 1 | 1 | 7 | 2 |
| Empyema | 13 (2.3) | | | 2 | | 11 | |
| Prolonged air leak | 35 (6.1) | 5 | | 27 | 1 | 2 | |
| Chylothorax | 8 (1.4) | | 3 | 4 | 1 | | |
| Vocal cord paralysis | 20 (3.5) | | 8 | 12 | | | |
| Postop bleeding ^{c)} | 2 (0.3) | | | | 2 | | |
| Delirium | 12(2.1) | | 12 | | | | |
| Acute kidney injury | 3 (0.5) | | 3 | | | | |
| Pulmonary thromboembolism | 3 (0.5) | | | | | 1 | 2 |
| Deep vein thrombosis | 1 (0.2) | | 1 | | | | |
| Pleural effusion | 4 (0.7) | 1 | | 3 | | | |
| Hemoptysis | 2 (0.3) | | | | 2 | | |
| Hemorrhagic gastritis | 1 (0.2) | | | 1 | | | |
| lleus | 1 (0.2) | | 1 | | | | |
| Total | 199 (34.7) | | | | | | |

Values are presented as number (%) or number.

^{a)}Clavien-Dindo classification: grade I: any deviation from the typical postoperative recovery that does not necessitate medication or medical procedures, including surgery, endoscopy, or radiology; grade II: needing medication for treatment, but not the same drugs used for grade I complications; grade III: requiring surgical, endoscopic, or radiological intervention; grade III: intervention without the need for general anesthesia; grade IIIb: intervention that requires general anesthesia; grade IV: a complication that poses a life-threatening situation necessitating intensive care unit management; and grade V: death. ^bAtelectasis requiring therapeutic bronchoscopy. ^oPostoperative bleeding requiring re-operation.

tion (Table 3). Postoperative complications according to the Clavien-Dindo classification are detailed in Table 4. The overall morbidity rate was 34.7% (199 patients). The most common complications included arrhythmia (20.2%), prolonged air leak (6.1%), and pneumonia (5.6%). Bronchopleural fistulas occurred in 11 patients (1.9%), and postoperative empyema was seen in 13 patients (2.3%).

In the univariate analysis using the predictors listed in Tables 1 and 2, several factors were identified as independent risk factors for postoperative morbidity. These factors included male sex, patient age over 70 years, non-adenocarcinoma histology, a history of smoking, a BMI below 18.5 kg/m², and undergoing a pneumonectomy (Table 5). The multivariable analysis further confirmed that being over 70 years of age (odds ratio [OR], 1.82; p=0.040), having a BMI below 18.5 kg/m² (OR, 2.62; p=0.022), and undergoing a pneumonectomy (OR, 1.8; p=0.026) were significant predictors of morbidity (Table 5).

Similarly, in the univariate analysis (Table 6), significant risk factors for postoperative mortality included male gender, patient age over 70 years, a history of smoking, an interval of more than 5 weeks between the completion of

| Variable | No. of potients $(0/)$ | Univariate | Multivariate logistic regression analysis | | |
|-------------------------|------------------------|------------|---|---------|--|
| | No. of patients (%) | p-value | Odds ratio (95% CI) | p-value | |
| Sex | | < 0.001 | | 0.056 | |
| Male | 171 (38.5) | | 1.70 (0.98-2.98) | | |
| Female | 28 (21.5) | | 1 | | |
| Age (yr) | | 0.037 | | 0.040 | |
| ≥70 | 27 (47.4) | | 1.82 (1.03-3.20) | | |
| <70 | 172 (33.3) | | 1 | | |
| Cell type | | 0.003 | | 0.140 | |
| Non-ADC | 104 (41.3) | | 1.33 (0.91–1.94) | | |
| ADC | 95 (29.5) | | 1 | | |
| Smoking | | 0.006 | | 0.382 | |
| Ever smoker | 133 (39.2) | | 1.21 (0.79–1.84) | | |
| Never smoker | 66 (28.1) | | 1 | | |
| Body mass index (kg/m²) | | 0.026 | | 0.022 | |
| <18.5 | 14 (56.0) | | 2.63 (1.15-6.15) | | |
| ≥18.5 | 185 (33.7) | | 1 | | |
| Extent of surgery | | 0.002 | | 0.026 | |
| Pneumonectomy | 36 (49.3) | | 1.8 (1.07-3.00) | | |
| Non-pneumonectomy | 163 (32.5) | | 1 | | |

Statistically significant results are marked in bold.

CI, confidence interval; ADC, adenocarcinoma.

| Variable | | Univariate | Multivariate logistic regress | Multivariate logistic regression analysis | | |
|----------------------------------|---------------------|------------|-------------------------------|---|--|--|
| | No. of patients (%) | p-value | Odds ratio (95% CI) | p-value | | |
| Sex | | <0.001 | | | | |
| Male | 41 (9.23) | | - | | | |
| Female | 0 | | - | | | |
| Age (yr) | | 0.037 | | 0.019 | | |
| ≥70 | 9 (15.8) | | 1.82 (1.21-6.43) | | | |
| <70 | 32 (6.2) | | 1 | | | |
| Smoking | | 0.006 | | 0.100 | | |
| Ever smoker | 30 (8.9) | | 1.81 (0.90-3.91) | | | |
| Never smoker | 11 (4.7) | | 1 | | | |
| Time interval (wk) ^{a)} | | 0.006 | | 0.085 | | |
| ≥5 | 23 (10.6) | | 1.80 (0.92-3.56) | | | |
| <5 | 18 (5.2) | | 1 | | | |
| Extent of surgery | | 0.002 | | 0.003 | | |
| Pneumonectomy | 13 (17.8) | | 3.25 (1.50-6.78) | | | |
| Non-pneumonectomy | 28 (5.6) | | 1 | | | |

Statistically significant results are marked in bold.

CI, confidence interval; ADC, adenocarcinoma.

^{a)}Time interval refers to the time period between the end of neoadjuvant chemoradiation therapy and surgery.

CCRT and surgery, and undergoing a pneumonectomy. The subsequent multivariate analysis revealed that being over the age of 70 (OR, 1.82; p=0.022) and undergoing a pneumonectomy (OR, 3.256; p=0.003) were independently associated with an increased risk of mortality within 90 days following surgery (Table 6).

Discussion

The impact of induction therapy on postoperative morbidity and mortality for patients with stage IIIa–N2 NS-CLC undergoing major pulmonary resection has been a subject of debate. Some studies have suggested that induction therapy may increase the risk of morbidity and mortality following surgery [7,8]. It is logical to expect that neoadjuvant chemoradiation therapy could affect the likelihood of postoperative complications and death. Consequently, it is crucial to carefully select patients for surgery who are likely to achieve complete resection with minimal morbidity and mortality following induction chemoradiation therapy. Typically, the most significant risk factors for perioperative morbidity and mortality include age, pulmonary reserve, cardiovascular disease, respiratory infection, arrhythmia, renal failure, and diabetes [9-11]. However, there is scant literature on the risk factors associated with morbidity and mortality in surgical treatment for patients with stage IIIa–N2 NSCLC after induction therapy.

Herein, we have reported the morbidity and mortality rates of patients who underwent major pulmonary resection following induction therapy for stage IIIA-N2 NS-CLC. Our study evaluated various predictors of postoperative morbidity and mortality in a uniform cohort of patients who had significant pulmonary resections after induction therapy. Notably, being over the age of 70 years was also identified as a risk factor for increased morbidity and mortality. Chronological age is widely recognized as a significant risk factor for elderly patients undergoing surgical procedures. The authors of this study believe that individuals aged 70 years or older have reduced cardiopulmonary reserve, which places them in the category of the elderly population undergoing lung resection. According to Birim et al. [12], advancing age was a significant prognostic factor for long-term outcomes, along with other indices such as the Charlson comorbidity index. It is suggested that patients aged 70 years or older be considered elderly in medical evaluations, as this age threshold typically marks the beginning of numerous age-related adverse changes [13]. The adoption of the Comprehensive Geriatric Assessment (CGA) for evaluating elderly cancer patients [14,15] aims to identify those who are robust and more likely to benefit from standard cancer treatment, as opposed to those who are vulnerable and require personalized surgery or chemotherapy regimens, or those who are frail and are suitable for supportive care only [16]. However, there is an ongoing debate about the actual impact of the CGA on treatment decision-making in clinical settings, due to its time-consuming, burdensome, and non-standardized nature [17].

Another risk factor identified for adverse outcomes following trimodal therapy is pneumonectomy. Among pulmonary resections, pneumonectomy is associated with the highest rates of morbidity and mortality of all elective thoracic surgical procedures. Furthermore, the mortality rate after pneumonectomy without neoadjuvant therapy has been reported to range from 1.6% to 13.4% [18,19]. Some authors have suggested that pneumonectomy should be considered a distinct disease entity due to its significant impact on cardiorespiratory physiology and consequent reduction in overall survival [20]. Therefore, pneumonectomy with neoadjuvant therapy is regarded as a major procedure with high mortality rates and should be only performed by experienced surgeons [21]. In the Intergroup Study (INT) 0139, 429 patients with stage IIIA-N2 disease were randomized after receiving 2 cycles of cisplatin/ etoposide and concomitant radiotherapy (45 Gy), followed by either surgical resection or 2 additional cycles of chemotherapy [22]. A 30-day mortality rate of 25.9% was observed in patients who underwent pneumonectomy in the INT 0139 trial. Our data indicate that the 30-day and 90day mortality rates for pneumonectomy after neoadjuvant therapy were 5.5% and 17.8%, respectively. Clearly, the operative mortality is significantly high. Consequently, we advocate for every effort to be made to avoid pneumonectomy, such as opting for sleeve lobectomy whenever feasible.

The role of nutrition in predicting the outcome of operations for lung cancer is also of growing interest. We identified the underweight BMI category as a potential risk factor for postoperative morbidity. The detrimental impact of malnutrition has been recently emphasized, especially for pneumonectomy [23]. Furthermore, research by Thomas et al. [24] has shown that underweight patients are significantly more likely to suffer from pulmonary, surgical, and infectious complications. In this study, prolonged air leaks and bronchial stump dehiscence were significantly more frequent in underweight patients than in patients with a normal BMI, but obesity was not associated with an increased incidence of postoperative complications.

Regarding morbidity, studies have reported postoperative complication rates of approximately 30%, irrespective of whether patients received induction therapy [11,25,26]. Arrhythmia is the most frequently reported complication in these studies. Our morbidity rate was 34.7%, aligning with the rates found in prior research.

The primary focus after surgery is to prevent morbidity and mortality. Careful patient selection, informed by comprehensive preoperative assessments, can reduce the risk of postoperative complications. In thoracic oncology, it is important to create personalized treatment plans based on detailed risk analysis to prevent postoperative issues. However, despite meticulous patient selection, postoperative complications can still occur. Experienced thoracic surgeons and intensive care specialists should manage these complications. Over the past 2 decades, our group has developed a multidisciplinary approach for patients with stage IIIA–N2 NSCLC, addressing preoperative and postoperative management, including complications. This approach is based on our experience with nearly 600 patients who underwent surgery following induction therapy. Consequently, our group continues to endorse trimodal therapy as the most effective treatment for stage IIIA–N2 NS-CLC, except when preoperative radiologic findings indicate that complete tumor resection is unlikely.

This study had several limitations. First, it utilized a retrospective design. Additionally, the research included a diverse patient cohort, with variability in histologic subtypes and the prevalence of bulky N2 disease. This diversity could have affected the outcomes of surgical interventions following induction therapy. Moreover, the study covered a period of nearly 16 years, potentially leading to inconsistencies in outcomes due to changes in chemotherapy and radiotherapy practices over this time. Specifically, the extended duration of the study may have introduced unmeasured bias into our results.

In conclusion, the surgical outcomes following neoadjuvant CCRT are less favorable for individuals over the age of 70 years or for those undergoing pneumonectomy. These patients require special attention due to an increased risk of respiratory complications. For high-risk patients, particularly older individuals with diminished lung function, alternative treatment options such as definitive CCRT may be preferable to surgical resection.

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Conflict of interest

Seong Yong Park is an associate editor, Junghee Lee is an editorial board member, and Hong Kwan Kim was an associate editor of the journal during the submission of this article. They were not involved in the peer reviewer selection, evaluation, or decision process of this article. No other potential conflict of interest relevant to this article was reported.

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