

## THE UNIMODALITY OF THE $r_3$ -CRANK OF 3-REGULAR OVERPARTITIONS

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ABSTRACT. An  $l$ -regular overpartition of  $n$  is an overpartition of  $n$  with no parts divisible by  $l$ . Recently, the authors introduced a partition statistic called  $r_l$ -crank of  $l$ -regular overpartitions. Let  $M_{r_l}(m, n)$  denote the number of  $l$ -regular overpartitions of  $n$  with  $r_l$ -crank  $m$ . In this paper, we investigate the monotonicity property and the unimodality of  $M_{r_3}(m, n)$ . We prove that  $M_{r_3}(m, n) \geq M_{r_3}(m, n-1)$  for any integers  $m$  and  $n \geq 6$  and the sequence  $\{M_{r_3}(m, n)\}_{|m| \leq n}$  is unimodal for all  $n \geq 14$ .

### 1. Introduction

A partition  $\lambda$  of a positive integer  $n$  is a weakly-decreasing sequence of positive integers  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_l$  such that  $|\lambda| = \sum_{i=1}^l \lambda_i = n$ . Let  $p(n)$  denote the number of partitions of  $n$ . The partition statistic crank introduced by Andrews and Garvan [2] can be used to provide combinatorial interpretations for Ramanujan's famous congruences [7] as given by

$$\begin{aligned} p(5n+4) &\equiv 0 \pmod{5}, \\ p(7n+5) &\equiv 0 \pmod{7}, \\ p(11n+6) &\equiv 0 \pmod{11}. \end{aligned}$$

Recall that the crank [2] of  $\lambda$  is defined as

$$\text{crank}(\lambda) = \begin{cases} \lambda_1, & \text{if } n_1(\lambda) = 0, \\ \mu(\lambda) - n_1(\lambda), & \text{if } n_1(\lambda) > 0, \end{cases}$$

where  $n_1(\lambda)$  is the number of ones in  $\lambda$  and  $\mu(\lambda)$  is the number of parts larger than  $n_1(\lambda)$ . Let  $M(m, n)$  denote the number of partitions of  $n$  with crank  $m$ . Andrews and Garvan [2] gave the following generating function of  $M(m, n)$

$$(1.1) \quad \sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} M(m, n) z^m q^n = \frac{(q; q)_{\infty}}{(zq; q)_{\infty} (z^{-1}q; q)_{\infty}}.$$

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Here and throughout the rest of this paper, we adopt the common  $q$ -series notation

$$(a; q)_\infty = \prod_{n=1}^{\infty} (1 - aq^{n-1}),$$

and

$$(a; q)_n = \frac{(a; q)_\infty}{(aq^n; q)_\infty}.$$

Recently, Ji and Zang [5] discovered the following monotonicity property and unimodality of  $M(m, n)$ .

**Theorem 1.1** ([5, Theorem 1.6]). *For  $n \geq 14$  and  $0 \leq m \leq n - 2$ ,*

$$M(m, n) \geq M(m, n - 1).$$

**Theorem 1.2** ([5, Theorem 1.7]). *For  $n \geq 44$  and  $1 \leq m \leq n - 1$ ,*

$$M(m - 1, n) \geq M(m, n).$$

Recall that an overpartition [3] is a partition in which the first occurrence of each number may be overlined. For instance,  $(9, \overline{6}, 6, 1, 1, 1)$  is an overpartition of 24. In 2003, Lovejoy [6] considered a special kind of overpartitions which is enumerated by  $\overline{A}_l(n)$  with the restriction that no parts of the overpartition can be divisible by  $l$ . Later, the second author [8] called the overpartitions counted by  $\overline{A}_l(n)$  as  $l$ -regular overpartitions and gave the generating function of  $\overline{A}_l(n)$  as given by

$$(1.2) \quad \sum_{n=0}^{\infty} \overline{A}_l(n) q^n = \frac{(-q; q)_\infty (q^l; q^l)_\infty}{(q; q)_\infty (-q^l; q^l)_\infty} = \frac{f_2 f_l^2}{f_1^2 f_{2l}},$$

where  $f_k$  is defined by

$$f_k = (q^k; q^k)_\infty$$

with any positive integer  $k$ . Andrews [1] introduced  $(k, i)$ -singular overpartitions and proved that they are counted by the partition function  $\overline{C}_{k,i}(n)$  which denotes the number of  $k$ -regular overpartitions of  $n$  and only parts  $\equiv \pm i \pmod{k}$  may be overlined. Andrews established the generating function of  $\overline{C}_{k,i}(n)$  as

$$(1.3) \quad \sum_{n=0}^{\infty} \overline{C}_{k,i}(n) q^n = \frac{(q^k; q^k)_\infty (-q^i; q^k)_\infty (-q^{k-i}; q^k)_\infty}{(q; q)_\infty}, \quad k \geq 3, \quad 1 \leq i \leq \lfloor \frac{k}{2} \rfloor,$$

and showed that

$$(1.4) \quad \overline{C}_{3,1}(9n + 3) \equiv \overline{C}_{3,1}(9n + 6) \equiv 0 \pmod{3}.$$

By (1.2) and (1.3), we have  $\overline{A}_3(n) = \overline{C}_{3,1}(n)$ . In light of the fact, the authors [4] introduced the  $r_l$ -crank of  $l$ -regular overpartitions based on the following theorem.

**Theorem 1.3** ([4, Theorem 2.1]). *For integers  $k_1 \geq -1$ ,  $k_2 \geq 1$  and  $l \geq 3$ , there is a bijection  $\Delta$  between the set of  $l$ -regular overpartitions of  $n$  and the set of vector partitions  $(\alpha, \beta, \gamma)$  with  $|\alpha| + |\beta| + |\gamma|$  equal to  $n$ . Here  $\alpha$  is an ordinary partition,  $\beta$  is a partition like  $(k_1l + 1, \dots, 2l + 1, l + 1, 1)$  or  $(k_2l - 1, \dots, 3l - 1, 2l - 1, l - 1)$  and  $\gamma$  is a distinct partition with all parts  $\not\equiv 0, \pm 1 \pmod{l}$ .*

The authors gave the definition of the  $r_l$ -crank of an  $l$ -regular overpartition under the bijection  $\Delta$ .

**Definition 1.4** ([4, Definition 2.2]). Let  $\lambda$  be an  $l$ -regular overpartition of  $n$  with  $l \geq 3$  and let  $\Delta(\lambda) = (\alpha, \beta, \gamma)$ . The  $r_l$ -crank of  $\lambda$ , denoted  $c_{r_l}(\lambda)$ , is defined by

$$c_{r_l}(\lambda) = \text{crank}(\alpha),$$

where  $\text{crank}(\alpha)$  is the crank of partition  $\alpha$ .

In [4], the authors gave combinatorial interpretations for some congruences of  $\bar{A}_l(n)$  including (1.4). Let  $M_{r_l}(m, n)$  denote the number of  $l$ -regular overpartitions of  $n$  with  $r_l$ -crank  $m$ . We deduced the generating functions for the  $r_l$ -crank as given by

$$(1.5) \quad \sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} M_{r_l}(m, n) z^m q^n = \frac{(q; q)_{\infty} (-q; q)_{\infty} (q^l; q^l)_{\infty}}{(zq; q)_{\infty} (z^{-1}q; q)_{\infty} (-q^l; q^l)_{\infty}}.$$

In this paper, we investigate the distribution of the  $r_3$ -cranks of 3-regular overpartitions. We study the monotonicity property and the unimodality of  $M_{r_3}(m, n)$ . The main results of this paper are presented in the following theorems.

**Theorem 1.5.** *For any integers  $m$  and  $n \geq 6$ , we have*

$$M_{r_3}(m, n) \geq M_{r_3}(m, n - 1).$$

Figure 1 exhibits the sequence  $\{M_{r_3}(0, n)\}$  with  $0 \leq n \leq 16$ .

**Theorem 1.6.** *The sequence  $\{M_{r_3}(m, n)\}_{|m| \leq n}$  is unimodal for all  $n \geq 14$ .*

Here we present the sequence  $\{M_{r_3}(m, 14)\}_{|m| \leq 14}$  in Figure 2.

The rest of this paper is organized as follows. In Section 2, we provide some results that will be used in our proofs. In Section 3, we give a proof of Theorem 1.5 which is concerned with the monotonicity property of  $M_{r_3}(m, n)$ . We prove the unimodality of  $M_{r_3}(m, n)$  presented in Theorem 1.6 in Section 4.

## 2. Preliminaries

In this section, we present some results that will be employed in our proofs.

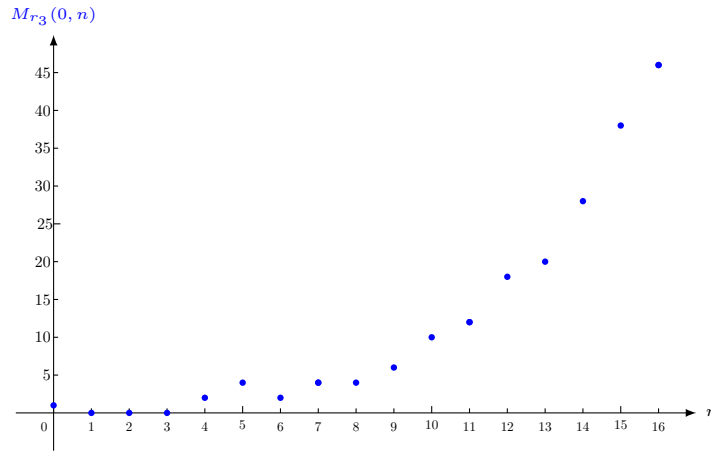


FIGURE 1. The sequence  $\{M_{r_3}(0, n)\}_{n \leq 16}$ .

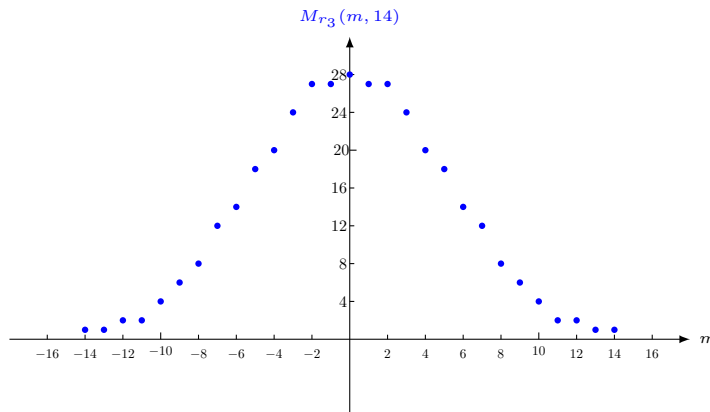


FIGURE 2. The sequence  $\{M_{r_3}(m, 14)\}_{|m| \leq 14}$ .

**Theorem 2.1.** *The coefficient of  $q^n$  in*

$$(2.1) \quad \frac{1-q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

*is nonnegative for  $n \geq 0$ .*

*Proof.* It is obvious that

$$\frac{1-q}{(q^2; q)_2} = \frac{1-q}{(1-q^2)(1-q^3)} = \frac{1}{(1+q)(1-q^3)} = \frac{1-q+q^2}{(1+q^3)(1-q^3)} = \frac{1-q+q^2}{1-q^6}.$$

Let

$$\sum_{n=0}^{\infty} f(n)q^n = \frac{1}{1-q^6} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Combining (2.1), we see that Theorem 2.1 is equivalent to

$$f(n) - f(n-1) + f(n-2) \geq 0$$

for all  $n \geq 0$ .

For any nonnegative integer  $n$ , we have  $f(n) = |S_n|$ , where

$$S_n = \left\{ k \mid \frac{(3k-1)k}{2} \equiv n \pmod{6}, \frac{(3k-1)k}{2} \leq n \right\}.$$

For example, let  $n = 75$ , we have  $S_{75} = \{6, -3, -6\}$ , thus  $f(75) = |S_{75}| = 3$ . Denote  $\{a_t\} = \{0, 1, 2, 5, 7, 12, 15, 22, 26, 35, 40, 51, 57, 70, 77, \dots\}$  the sequence of pentagonal numbers. It is worth noticing that

$$\sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} = \sum_{k=1}^{\infty} q^{\frac{(3k-1)k}{2}} + \sum_{k=0}^{\infty} q^{\frac{(3k+1)k}{2}},$$

and

$$\begin{aligned} \frac{(3k-1)k}{2} &\equiv \frac{(3(k+12j)-1)(k+12j)}{2} \pmod{6}, \\ \frac{(3k+1)k}{2} &\equiv \frac{(3(k+12j)+1)(k+12j)}{2} \pmod{6} \end{aligned}$$

for any nonnegative integers  $k$  and  $j$ . Moreover, we have that

$$\frac{(3k-1)k}{2} \leq \frac{(3k+1)k}{2} < \frac{(3(k+1)-1)(k+1)}{2} < \frac{(3(k+1)+1)(k+1)}{2}.$$

Hence we arrive at

$$(2.2) \quad a_{t+24} \equiv a_t \pmod{6}.$$

TABLE 1. The first 24 pentagonal numbers and their residues modulo 6.

Pentagonal number	0	1	2	5	7	12	15	22	26	35	40	51
Residue modulo 6	0	1	2	5	1	0	3	4	2	5	4	3
Pentagonal number	57	70	77	92	100	117	126	145	155	176	187	210
Residue modulo 6	3	4	5	2	4	3	0	1	5	2	1	0

Here we list the first 24 pentagonal numbers and their residues modulo 6 in Table 1. It is clear that these 24 residues contain four 0's, 1's, 2's, 3's, 4's and 5's, respectively. Based on this fact, we conclude that

$$f(n) \geq 4 \left\lfloor \frac{t}{24} \right\rfloor,$$

$$f(n-1) \leq 4 \left\lfloor \frac{t}{24} \right\rfloor + 4,$$

$$f(n-2) \geq 4 \left\lfloor \frac{t}{24} \right\rfloor - 1,$$

where  $a_t < n \leq a_{t+1}$ . Thus we obtain that

$$f(n) - f(n-1) + f(n-2) \geq 4 \left\lfloor \frac{t}{24} \right\rfloor - \left( 4 \left\lfloor \frac{t}{24} \right\rfloor + 4 \right) + 4 \left\lfloor \frac{t}{24} \right\rfloor - 1$$

$$= 4 \left\lfloor \frac{t}{24} \right\rfloor - 5.$$

When  $t \geq 48$ , we have  $4 \left\lfloor \frac{t}{24} \right\rfloor - 5 \geq 3$ . In view of  $a_{48} = 852$ , we see that

$$f(n) - f(n-1) + f(n-2) \geq 3$$

for all  $n \geq 852$ . It can be verified that  $f(n) - f(n-1) + f(n-2) \geq 0$  for  $0 \leq n \leq 851$ . This completes the proof.  $\square$

More specifically, the following corollary holds.

**Corollary 2.2.** *The coefficient of  $q^n$  in*

$$\frac{1-q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

*is positive when  $n \neq 1, 3, 6, 8, 16$ .*

**Theorem 2.3.** *The absolute value of the coefficient of  $q^n$  in*

$$\frac{1-q}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

*is no more than 1 for  $n \geq 0$ .*

*Proof.* Let

$$\sum_{n=0}^{\infty} g(n)q^n = \frac{1}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Thus we aim to prove that

$$|g(n) - g(n-1)| \leq 1$$

for all  $n \geq 0$ .

For any nonnegative integer  $n$ , we have  $g(n) = |H_n|$ , where

$$(2.3) \quad H_n = \left\{ k \mid \frac{(3k-1)k}{2} \equiv n \pmod{2}, \frac{(3k-1)k}{2} \leq n \right\}.$$

Similar to (2.2), the congruence

$$a_{t+8} \equiv a_t \pmod{2}$$

is true. Here we list the first 8 pentagonal numbers and their residues modulo 2 in Table 2.

TABLE 2. The first 8 pentagonal numbers and their residues modulo 2.

Pentagonal number	0	1	2	5	7	12	15	22
Residue modulo 2	0	1	0	1	1	0	1	0

Let  $\omega = \omega_1\omega_2 \cdots \omega_7 = 0101101$  and  $|\omega_1\omega_2 \cdots \omega_i|_j$  be the number of  $j$  in the first  $i$  elements of  $\omega$  with  $0 \leq i \leq 7$  and  $j = 0, 1$ . Here we set  $|\omega_1\omega_2 \cdots \omega_i|_j = 0$  if  $i = 0$ .

Suppose that  $a_t < n \leq a_{t+1}$ ,  $t \equiv i \pmod{8}$  and  $n \equiv j \pmod{2}$ , we have

$$g(n) = 4 \left\lfloor \frac{t}{8} \right\rfloor + |\omega_1\omega_2 \cdots \omega_i|_j,$$

$$g(n-1) = 4 \left\lfloor \frac{t}{8} \right\rfloor + |\omega_1\omega_2 \cdots \omega_i|_{|j-1|}.$$

Since

$$||\omega_1\omega_2 \cdots \omega_i|_j - |\omega_1\omega_2 \cdots \omega_i|_{|j-1|}| \leq 1$$

for each  $0 \leq i \leq 7$  and  $j = 0, 1$ , we arrive at

$$|g(n) - g(n-1)| \leq 1$$

with  $n \geq 0$ . This completes the proof. □

The following theorem is proved by Ji and Zang in [5].

**Theorem 2.4** ([5, Theorem 6.5]). *For  $m \geq 3$ ,*

$$\begin{aligned}
 & \sum_{n=0}^{\infty} (M(m-1, n) - M(m, n))q^n \\
 &= -q^{2m} + q^{2m+1} + q^{3m+1} + \frac{q^{m-1}}{(q^2; q)_{m-2}} - \frac{q^m}{(q^2; q)_{m-2}} \\
 & \quad + \frac{q^{2m+5}}{(q^2; q)_{m-3}(1-q^m)} + \sum_{k=3}^m \frac{q^{2k+2m+1}}{(q^k; q)_{m-k+1}} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+3k+2m-2}}{(q^3; q)_{k-2}(q^2; q)_{k+m-2}} \\
 (2.4) \quad & \quad + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+4k+2m+2}(1-q^{m-2})}{(q^2; q)_k(q^2; q)_{k+m-2}} + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+5k+3m+1}}{(q^2; q)_k(q^2; q)_{m-3}(q^m; q)_{k+1}}.
 \end{aligned}$$

### 3. A proof of Theorem 1.5

In this section, we give a proof of Theorem 1.5.

*Proof.* Setting  $l = 3$  in (1.5), we have

$$\sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} M_{r_3}(m, n)z^m q^n = \frac{(q; q)_{\infty}}{(zq; q)_{\infty}(z^{-1}q; q)_{\infty}} \frac{(-q; q)_{\infty}(q^3; q^3)_{\infty}}{(-q^3; q^3)_{\infty}}$$

$$\begin{aligned}
 &= \frac{(q; q)_\infty}{(zq; q)_\infty(z^{-1}q; q)_\infty} (-q; q^3)_\infty (-q^2; q^3)_\infty (q^3; q^3)_\infty \\
 (3.1) \quad &= \sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} M(m, n) z^m q^n \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.
 \end{aligned}$$

The last equality follows by (1.1) and the Jacobi triple product identity

$$\sum_{n=-\infty}^{\infty} z^n q^{\binom{n}{2}} = (-z; q)_\infty (-q/z; q)_\infty (q; q)_\infty$$

with  $q$  replaced by  $q^3$  and  $z$  replaced by  $q$ .

Using the equation proved by Ji and Zang [5, Eq. (2.2)] as given by

$$\begin{aligned}
 &\sum_{n=0}^{\infty} (M(m, n) - M(m, n - 1)) q^n \\
 &= \frac{(1 - q)^2 q^m}{(q; q)_m} + \frac{q^{2m+3}}{(q^2; q)_m} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+2k+m}}{(q^2; q)_{k-1} (q^2; q)_{k+m-1}}, \quad m \geq 0,
 \end{aligned}$$

and (3.1), we obtain the generating function of  $M_{r_3}(m, n) - M_{r_3}(m, n - 1)$  as

$$\begin{aligned}
 &\sum_{n=0}^{\infty} (M_{r_3}(m, n) - M_{r_3}(m, n - 1)) q^n \\
 (3.2) \quad &= \left( \frac{(1 - q)^2 q^m}{(q; q)_m} + \frac{q^{2m+3}}{(q^2; q)_m} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+2k+m}}{(q^2; q)_{k-1} (q^2; q)_{k+m-1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.
 \end{aligned}$$

It is clear that

$$(3.3) \quad \left( \frac{q^{2m+3}}{(q^2; q)_m} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+2k+m}}{(q^2; q)_{k-1} (q^2; q)_{k+m-1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

has only nonnegative coefficients when  $m \geq 0$ . For  $m \geq 3$ , we have that

$$(3.4) \quad \frac{(1 - q)^2 q^m}{(q; q)_m} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} = \frac{q^m}{(q^4; q)_{m-3}} \frac{1 - q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Applying Theorem 2.1, we find that the coefficient of  $q^n$  in (3.4) is nonnegative when  $m \geq 3$ . By (3.2)–(3.4), we conclude that the coefficient of  $q^n$  in (3.2) is nonnegative when  $m \geq 3$ . Hence Theorem 1.5 is verified for all  $m \geq 3$ .

Substituting  $m = 2$  into (3.2), we have

$$\begin{aligned}
 &\sum_{n=0}^{\infty} (M_{r_3}(2, n) - M_{r_3}(2, n - 1)) q^n \\
 &= \left( \frac{(1 - q)q^2}{1 - q^2} + \frac{q^7}{(1 - q^2)(1 - q^3)} + \sum_{k=2}^{\infty} \frac{q^{k^2+4k+2}}{(q^2; q)_{k-1} (q^2; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.
 \end{aligned}$$



In view of Theorem 2.3, the coefficient of  $q^n$  in

$$\frac{(1-q)q^2}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than  $-1$ . Since

$$\sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} = 1 + q + \sum_{k \neq 0,1} q^{\frac{(3k-1)k}{2}},$$

it is clear that the coefficient of  $q^n$  in

$$\frac{q^7}{(1-q^2)(1-q^3)} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than 1 with  $n \geq 7$ . Noticing that

$$\sum_{k=2}^{\infty} \frac{q^{k^2+4k+2}}{(q^2; q)_{k-1}(q^2; q)_{k+1}} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

has only nonnegative coefficients, and the coefficient of  $q^6$  in

$$\frac{(1-q)q^2}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is 1, we conclude that

$$M_{r_3}(2, n) \geq M_{r_3}(2, n-1)$$

when  $n \geq 6$ .

Substituting  $m = 1$  into (3.2), we have

$$\begin{aligned} & \sum_{n=0}^{\infty} (M(1, n) - M(1, n-1))q^n \\ &= \left( q - q^2 + \frac{q^5}{1-q^2} + \sum_{k=2}^{\infty} \frac{q^{k^2+3k+1}}{(q^2; q)_{k-1}(q^2; q)_k} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}. \end{aligned}$$

It is easy to see that the coefficient of  $q^n$  in

$$\frac{q^5}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than 1 when  $n \geq 5$ . Combining the fact that the coefficient of  $q^n$  in

$$\begin{aligned} & \left( q - q^2 + \sum_{k=2}^{\infty} \frac{q^{k^2+3k+1}}{(q^2; q)_{k-1}(q^2; q)_k} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ &= -q^2 \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} + \left( q + \sum_{k=2}^{\infty} \frac{q^{k^2+3k+1}}{(q^2; q)_{k-1}(q^2; q)_k} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \end{aligned}$$

$$= - \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k+4}{2}} + \left( q + \sum_{k=2}^{\infty} \frac{q^{k^2+3k+1}}{(q^2; q)_{k-1}(q^2; q)_k} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than  $-1$ , we arrive at

$$M_{r_3}(1, n) \geq M_{r_3}(1, n - 1)$$

for all  $n \geq 5$ .

The proof of  $m = 0$  is similar to that of  $m = 2$ , hence the details are omitted. Ultimately, by the fact  $M_{r_3}(m, n) = M_{r_3}(-m, n)$ , we complete the proof of Theorem 1.5.  $\square$

#### 4. A proof of Theorem 1.6

We are now in a position to prove Theorem 1.6.

*Proof.* In view of (3.1), for any fixed integer  $m$ , we have

$$(4.1) \quad \begin{aligned} & \sum_{n=0}^{\infty} (M_{r_3}(m - 1, n) - M_{r_3}(m, n))q^n \\ &= \sum_{n=0}^{\infty} (M(m - 1, n) - M(m, n))q^n \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}. \end{aligned}$$

Applying (2.4) into (4.1), for  $m \geq 3$ , we obtain that

$$(4.2) \quad \begin{aligned} & \sum_{n=0}^{\infty} (M_{r_3}(m - 1, n) - M_{r_3}(m, n))q^n \\ &= \left( -q^{2m} + q^{2m+1} + q^{3m+1} + \frac{q^{m-1}}{(q^2; q)_{m-2}} - \frac{q^m}{(q^2; q)_{m-2}} + \frac{q^{2m+5}}{(q^2; q)_{m-3}(1 - q^m)} \right. \\ & \quad + \sum_{k=3}^m \frac{q^{2k+2m+1}}{(q^k; q)_{m-k+1}} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+3k+2m-2}}{(q^3; q)_{k-2}(q^2; q)_{k+m-2}} + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+4k+2m+2}(1 - q^{m-2})}{(q^2; q)_k(q^2; q)_{k+m-2}} \\ & \quad \left. + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+5k+3m+1}}{(q^2; q)_k(q^2; q)_{m-3}(q^m; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}. \end{aligned}$$

Since  $m - 2 < k + m - 1$  when  $k \geq 1$ , we find that

$$\frac{1 - q^{m-2}}{(q^2; q)_{k+m-2}}$$

has only nonnegative coefficients with  $m - 2 \geq 2$ .

Hence we get that

$$\begin{aligned} & \left( q^{3m+1} + \frac{q^{2m+5}}{(q^2; q)_{m-3}(1 - q^m)} + \sum_{k=3}^m \frac{q^{2k+2m+1}}{(q^k; q)_{m-k+1}} + \sum_{k=2}^{\infty} \frac{q^{k(k+m)+3k+2m-2}}{(q^3; q)_{k-2}(q^2; q)_{k+m-2}} \right. \\ & \quad \left. + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+4k+2m+2}(1 - q^{m-2})}{(q^2; q)_k(q^2; q)_{k+m-2}} + \sum_{k=1}^{\infty} \frac{q^{k(k+m)+5k+3m+1}}{(q^2; q)_k(q^2; q)_{m-3}(q^m; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \end{aligned}$$

has only nonnegative coefficients with  $m \geq 4$ .

Next, we aim to show that the coefficient of  $q^n$  in

$$(4.3) \quad \left( -q^{2m} + q^{2m+1} + \frac{q^{m-1}}{(q^2; q)_{m-2}} - \frac{q^m}{(q^2; q)_{m-2}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is nonnegative for all  $m \geq 4$ .

When  $m = 4$ , (4.3) becomes

$$(4.4) \quad \left( -q^8 + q^9 + \frac{q^3}{(q^2; q)_2} - \frac{q^4}{(q^2; q)_2} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Since

$$(4.5) \quad \left( \frac{q^3}{(q^2; q)_2} - \frac{q^4}{(q^2; q)_2} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} = q^3 \frac{1-q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}},$$

by Theorem 2.1 and Corollary 2.2, we obtain that the coefficient of  $q^n$  in (4.5) is positive except for  $n = 4, 6, 9, 11, 19$ . Noticing that the coefficient of  $q^n$  in

$$(4.6) \quad -q^8 \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than  $-1$ , we find that the coefficient of  $q^n$  in (4.4) is nonnegative when  $n \neq 4, 6, 9, 11, 19$ . After a simple calculation, we get that (4.4) has only nonnegative coefficients. For  $m \geq 5$ , the proof is similar to that of  $m = 4$  and we omit it. Therefore, we conclude that

$$M_{r_3}(m-1, n) - M_{r_3}(m, n) \geq 0$$

for all  $m \geq 4$ .

Setting  $m = 3$  in (2.4) and applying it to (4.1), we obtain that

$$\begin{aligned} & \sum_{n=0}^{\infty} (M_{r_3}(2, n) - M_{r_3}(3, n))q^n \\ &= \left( -q^6 + q^7 + q^{10} + \frac{q^2}{1-q^2} - \frac{q^3}{1-q^2} + \frac{q^{11}}{1-q^3} + \frac{q^{13}}{1-q^3} \right. \\ & \quad + \sum_{k=2}^{\infty} \frac{q^{k^2+6k+4}}{(q^3; q)_{k-2}(q^2; q)_{k+1}} + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+8}(1-q)}{(q^2; q)_k(q^2; q)_{k+1}} \\ & \quad \left. + \sum_{k=1}^{\infty} \frac{q^{k^2+8k+10}}{(q^2; q)_k(q^3; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ &= \left( -q^6 + q^7 + q^{10} + \frac{q^2}{1-q^2} - \frac{q^3}{1-q^2} + \frac{q^{11}}{1-q^3} + \frac{q^{13}}{1-q^3} + \frac{q^{20}}{(q^2; q)_3} \right. \\ & \quad \left. + \sum_{k=3}^{\infty} \frac{q^{k^2+6k+4}}{(q^3; q)_{k-2}(q^2; q)_{k+1}} + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+8}(1-q)}{(q^2; q)_k(q^2; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \end{aligned}$$

$$(4.7) \quad + \sum_{k=1}^{\infty} \frac{q^{k^2+8k+10}}{(q^2; q)_k (q^3; q)_{k+1}} \Big) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Since

$$\begin{aligned} & \sum_{k=1}^{\infty} \frac{q^{k^2+7k+8}(1-q)}{(q^2; q)_k (q^2; q)_{k+1}} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ &= \sum_{k=1}^{\infty} \frac{q^{k^2+7k+8}}{(q^2; q)_k (q^4; q)_{k-1}} \frac{1-q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}, \end{aligned}$$

by Theorem 2.1, we arrive at the conclusion that the coefficient of  $q^n$  in

$$\begin{aligned} & \left( q^7 + q^{10} + \frac{q^{11}}{1-q^3} + \frac{q^{13}}{1-q^3} + \sum_{k=3}^{\infty} \frac{q^{k^2+6k+4}}{(q^3; q)_{k-2} (q^2; q)_{k+1}} \right. \\ & \left. + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+8}(1-q)}{(q^2; q)_k (q^2; q)_{k+1}} + \sum_{k=1}^{\infty} \frac{q^{k^2+8k+10}}{(q^2; q)_k (q^3; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \end{aligned}$$

is nonnegative.

Next, we consider the coefficients in

$$\left( -q^6 + \frac{q^2}{1-q^2} - \frac{q^3}{1-q^2} + \frac{q^{20}}{(q^2; q)_3} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Since

$$(4.8) \quad \begin{aligned} & \left( -q^6 + \frac{q^2}{1-q^2} - \frac{q^3}{1-q^2} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ &= -q^6 \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} + q^2 \frac{1-q}{1-q^2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}, \end{aligned}$$

by Theorem 2.3, we can conclude that the coefficient of  $q^n$  in (4.8) is no less than  $-2$ . It is clear that the coefficient of  $q^n$  in

$$\frac{q^{20}}{(q^2; q)_3} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than 2 for all  $n \geq 22$ . Hence the coefficient of  $q^n$  in (4.7) is nonnegative when  $n \geq 22$ . It can be checked that  $M_{r_3}(2, n) \geq M_{r_3}(3, n)$  for  $14 \leq n \leq 21$ .

For  $m = 2$ , combining (4.1) and [5, Eq. (7.1), (7.2)], we have

$$\begin{aligned} & \sum_{n=0}^{\infty} (M_{r_3}(1, n) - M_{r_3}(2, n))q^n \\ &= \left( q - q^2 - q^4 - q^{10} - q^{12} - q^{14} + \frac{q^5}{1-q^2} + \frac{q^{19}}{(1-q^2)(1-q^3)} \right) \end{aligned}$$

$$(4.9) \quad + \sum_{k=3}^{\infty} \frac{q^{k^2+5k+2}}{(q^3; q)_{k-2}(q^2; q)_k} + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+7}(1-q)}{(q^2; q)_k(q^2; q)_{k+1}} \Bigg) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}.$$

Using Theorem 2.1, we find that

$$\begin{aligned} & \left( q + \frac{q^5}{1-q^2} + \sum_{k=3}^{\infty} \frac{q^{k^2+5k+2}}{(q^3; q)_{k-2}(q^2; q)_k} + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+7}(1-q)}{(q^2; q)_k(q^2; q)_{k+1}} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ &= \left( q + \frac{q^5}{1-q^2} + \sum_{k=3}^{\infty} \frac{q^{k^2+5k+2}}{(q^3; q)_{k-2}(q^2; q)_k} \right) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \\ & \quad + \sum_{k=1}^{\infty} \frac{q^{k^2+7k+7}}{(q^2; q)_k(q^4; q)_{k-1}} \frac{1-q}{(q^2; q)_2} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}} \end{aligned}$$

has only nonnegative coefficients. Clearly, the coefficient of  $q^n$  in

$$(-q^2 - q^4 - q^{10} - q^{12} - q^{14}) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than  $-5$ .

Notice that the coefficient of  $q^n$  in

$$(4.10) \quad \frac{1}{(1-q^2)(1-q^3)}(1+q+q^2) = \frac{1}{(1-q)(1-q^2)}$$

can be interpreted as the number of partitions of  $n$  formed by 1 and 2. We obtain that the coefficient of  $q^n$  in (4.10) is no less than 5 for  $n \geq 2 \times 4$ . Hence the coefficient of  $q^n$  in

$$(4.11) \quad \frac{q^{19}}{(1-q^2)(1-q^3)}(1+q+q^2)$$

is no less than 5 for  $n \geq 27$ . It is clear that the coefficient of  $q^n$  in

$$(4.12) \quad \frac{q^{19}}{(1-q^2)(1-q^3)} \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}}$$

is no less than the coefficient of  $q^n$  in (4.11). Therefore the coefficient of  $q^n$  in (4.12) is no less than 5 for  $n \geq 27$ .

Thus the coefficients of  $q^n$  in (4.9) is nonnegative when  $n \geq 27$ . It can be checked that  $M_{r_3}(1, n) \geq M_{r_3}(2, n)$  for  $14 \leq n \leq 26$ .

For  $m = 1$ , according to (4.1) and Theorem 1.2, we obtain that

$$\begin{aligned} & \sum_{n=0}^{\infty} (M_{r_3}(0, n) - M_{r_3}(1, n))q^n \\ &= \left( 1 - 2q + q^3 + q^4 - q^7 - q^9 + q^{10} - q^{11} + 2q^{12} - q^{13} + 2q^{14} - q^{15} \right. \\ & \quad + 2q^{16} - 2q^{17} + 3q^{18} - 3q^{19} + 3q^{20} - 2q^{21} + 3q^{22} - 3q^{23} + 6q^{24} \\ & \quad \left. - 4q^{25} + 6q^{26} - 2q^{27} + 7q^{28} - 4q^{29} + 11q^{30} - 5q^{31} + 12q^{32} - 3q^{33} \right) \end{aligned}$$

$$(4.13) \quad + 13q^{34} - 4q^{35} + 20q^{36} - 6q^{37} + 22q^{38} - q^{39} + 27q^{40} - 3q^{41} \\ + 37q^{42} - q^{43} + \sum_{n=44}^{\infty} b_n q^n \Big) \sum_{k=-\infty}^{\infty} q^{\frac{(3k-1)k}{2}},$$

where  $\{b_n\}_{n=0}^{\infty}$  is a sequence of nonnegative integers. Clearly, the coefficient of  $q^n$  in (4.13) is no less than

$$-2 - 1 - 1 - 1 - 1 - 1 - 2 - 3 - 2 - 3 - 4 - 2 - 4 - 5 - 3 - 4 - 6 - 1 - 3 - 1 = -50.$$

Applying the inequalities (9.32) and (9.34) in [5], we have that

$$b_n = M(0, n) - M(1, n) \\ \geq \frac{n^2}{48} - 2n + 48 - \frac{n-21}{2} - 3 - \frac{n-35}{3}$$

for  $n \geq 106$ . When  $n \geq 136$ , we drive that

$$\frac{n^2}{48} - 2n + 48 - \frac{n-21}{2} - 3 - \frac{n-35}{3} = \frac{n(n-136)}{48} + \frac{403}{6} \\ > 50.$$

This yields the positivity of the coefficient of  $q^n$  in (4.13) for all  $n \geq 136$ . For  $14 \leq n \leq 135$ , it can be checked that  $M_{r_3}(0, n) \geq M_{r_3}(1, n)$ . This completes the proof.  $\square$

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