

**HORADAM POLYNOMIALS FOR A NEW SUBCLASS OF  
SAKAGUCHI-TYPE BI-UNIVALENT FUNCTIONS DEFINED  
BY  $(p, q)$ -DERIVATIVE OPERATOR**

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ABSTRACT. In this paper, a new subclass,  $SC_{\sigma}^{\mu, p, q}(r, s; x)$ , of Sakaguchi-type analytic bi-univalent functions defined by  $(p, q)$ -derivative operator using Horadam polynomials is constructed and investigated. The initial coefficient bounds for  $|a_2|$  and  $|a_3|$  are obtained. Fekete-Szegő inequalities for the class are found. Finally, we give some corollaries.

### 1. Introduction

We denote the complex plane by  $C$ , the open unit disk by  $U$  and the real line by  $R$ . Let  $f(z)$  be a *normalized analytic function* of the form

$$(1) \quad f(z) = z + \sum_{k=2}^{\infty} a_k z^k,$$

in  $U$ . Let  $\mathcal{A}$  be the class of all normalized analytic functions. Let  $\mathcal{S}$  be the subclass of  $\mathcal{A}$  consisting of *univalent functions*.

Let  $f$  be a member of  $\mathcal{S}$ . The function  $f(z)$  is said to be *bi-univalent* if, in the  $w$ -plane, the inverse function,  $f^{-1}(w)$ , of  $f(z)$  has an analytic continuation to  $|w| < 1$ . Let  $\sigma$  be the class of all bi-univalent functions in  $U$  [13]. In 1967, Lewin [10] introduced the class of bi-univalent functions and gave an estimate for the second coefficient for functions belonging to this class as  $|a_2| < 1.51$ . His result was improved by Brannan and Clunie [3] to  $|a_2| \leq \sqrt{2}$ . There is an extensive literature on the estimates of the initial coefficients of bi-univalent functions (see [4, 14, 17–21]).

For any compact family of functions, finding sharp bounds for  $|a_3 - \kappa a_2^2|$  is called the *Fekete-Szegő problem*. In particular, when  $\kappa = 1$ , the functional

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Received October 24, 2023; Accepted February 1, 2024.

2020 *Mathematics Subject Classification*. Primary 30C45, 30C15.

*Key words and phrases*. Analytic functions, univalent functions, bi-univalent functions, Fekete-Szegő problem, Sakaguchi-type functions,  $(p, q)$ -derivative operator, Horadam polynomials.

represents Schwarzian derivative. In the theory of Geometric functions the role of Schwarzian derivative is remarkable.

Let  $f_1$  and  $f_2$  be members of  $\mathcal{A}$ . The function  $f_1$  is said to be *subordinate* to  $f_2$ , if there exists an analytic function  $c(z)$  in  $U$  with  $c(0) = 0$  and  $|c(z)| < 1$ , and such that  $f_1(z) = f_2(c(z))$ . It is written as  $f_1(z) \prec f_2(z)$ . Sakaguchi [12] introduced a subclass consisting of functions satisfying

$$\Re \left( \frac{zf'(z)}{f(z) - f(-z)} \right) > \alpha.$$

These functions were named after him as *Sakaguchi type functions* (see [1, 2]). These functions are starlike with respect to symmetric points. Frasin [5] generalized this class which had functions of the form  $\Re \left( \frac{(r-s)zf'(z)}{f(rz) - f(sz)} \right) > \alpha$ ,  $0 \leq \alpha < 1$ ,  $r, s \in C$  with  $r \neq s$ ,  $|s| \leq 1$ ,  $z \in U$ .

*Horadam polynomials* are generalized Horadam numbers and second order polynomial sequence. Recently, Horzum and Kocer [8], studied the Horadam polynomials  $h_k(x)$ , which is defined by the recurrence relation [7]

$$h_k(x) = \varrho x h_{k-1}(x) + \rho h_{k-2}(x), \quad (x \in R, k = 3, 4, \dots)$$

with initial conditions

$$(2) \quad h_1(x) = b, \quad h_2(x) = ax,$$

where  $b, a, \varrho, \rho \in R$ .

For  $k = 3$  we obtain

$$h_3(x) = a\varrho x^2 + b\rho.$$

For more details one can refer to (see [6, 7, 9, 11, 15, 16]). These polynomials and their generalizations play a vital role in Mathematics, Statistics and Physics. Table 1 gives us some of the special cases of Horadam polynomials.

TABLE 1. Special cases of the Horadam polynomials.

| S. No. | Parameters                          | Special Cases                                     |
|--------|-------------------------------------|---|
| 1      | $b = a = \varrho = \rho = 1$        | Fibonacci polynomials $F_k(x)$                    |
| 2      | $b = 2, a = \varrho = \rho = 1$     | Lucas polynomials $L_k(x)$                        |
| 3      | $b = \rho = 1, a = \varrho = 2$     | Pell polynomials $P_k(x)$                         |
| 4      | $b = a = \varrho = 2, \rho = 1$     | Pell-Lucas polynomials $Q_k(x)$                   |
| 5      | $b = a = 1, \varrho = 2, \rho = -1$ | Chebyshev polynomials of the first kind $T_k(x)$  |
| 6      | $b = 1, a = \varrho = 2, \rho = -1$ | Chebyshev polynomials of the second kind $U_k(x)$ |

**Lemma 1.1.** *The generating function  $G(x, z)$  of the Horadam polynomials  $h_k(x)$  is given by*

$$G(x, z) = \sum_{k=1}^{\infty} h_k(x)z^{k-1} = \frac{b + (a - b\varrho)xz}{1 - \varrho xz - \rho z^2}.$$

**Definition.** For  $0 < q < p \leq 1$ , the  $(p, q)$ -derivative operator,  $D_{p,q}(f(z))$ , is defined as

$$(3) \quad D_{p,q}(f(z)) \begin{cases} \frac{f(pz) - f(qz)}{(p-q)z}, & z \neq 0, \\ f'(0), & z = 0, \end{cases}$$

provided  $f'(0)$  exists.

It can be written as

$$D_{p,q}(f(z)) = 1 + \sum_{k=2}^{\infty} [k]_{p,q} a_k z^{k-1},$$

where  $[k]_{p,q} = \frac{p^k - q^k}{p - q}$ , the  $(p, q)$ -bracket of  $k$  and is also called a twin-basic number. For instance,  $D_{p,q}(z^k) = [k]_{p,q} z^{k-1}$ . When  $p = 1$ , the  $(p, q)$ -derivative operator  $D_{p,q}$  reduces to the  $q$ -derivative operator  $D_q$ . The inverse Taylor series of (3) is given by

$$\begin{aligned} D_{p,q}(g(w)) &= \frac{g(pw) - g(qw)}{(p-q)w} \\ &= 1 - [2]_{p,q} a_2 w + [3]_{p,q} (2a_2^2 - a_3) w^2 - [4]_{p,q} (5a_2^3 - 5a_2 a_3 + a_4) w^3 + \dots, \end{aligned}$$

where  $g = f^{-1}$ .

### 2. Coefficient bounds for the function class $\mathcal{SC}_{\sigma}^{\mu,p,q}(r, s; x)$

In this section, we define our new class  $\mathcal{SC}_{\sigma}^{\mu,p,q}(r, s; x)$  and evaluate the bound for the initial coefficients  $|a_2|$  and  $|a_3|$  for the functions in  $\mathcal{SC}_{\sigma}^{\mu,p,q}(r, s; x)$ .

**Definition.** A function  $f \in \sigma$ , given by (1), is said to be in the class  $\mathcal{SC}_{\sigma}^{\mu,p,q}(r, s; x)$  if

$$(4) \quad (D_{p,q}f)^{\mu}(z) \left( \frac{(r-s)z}{f(rz) - f(sz)} \right) \prec 1 - b + G(x, z)$$

and

$$(5) \quad (D_{p,q}g)^{\mu}(w) \left( \frac{(r-s)w}{g(rw) - g(sw)} \right) \prec 1 - b + G(x, w),$$

where  $g = f^{-1}$ ,  $\mu \geq 1$  and  $r, s \in C$  with  $r \neq s$ ,  $|s| \leq 1$ .

**Theorem 2.1.** If  $f(z)$ , given by (1), is in  $\mathcal{SC}_{\sigma}^{\mu,p,q}(r, s; x)$ , then

$$(6) \quad |a_2| \leq \frac{|ax| \sqrt{|ax|}}{\sqrt{|La^2x^2 - M^2(ax^2 + bp)|}}$$

and

$$(7) \quad |a_3| \leq \left| \frac{ax}{N} \right| + \frac{a^2x^2}{|M|^2},$$

where

$$L = \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 - \mu [2]_{p,q}(r+s) + rs + \mu [3]_{p,q},$$

$$M = \mu [2]_{p,q} - r - s,$$

and

$$N = \mu [3]_{p,q} - r^2 - rs - s^2.$$

*Proof.* Since  $f \in \mathcal{SC}_\sigma^{\mu,p,q}(r, s; x)$ , there exist two analytic functions  $u, v : U \rightarrow U$  given by

$$(8) \quad u(z) = \sum_{k=1}^{\infty} u_k z^k$$

and

$$(9) \quad v(w) = \sum_{k=1}^{\infty} v_k w^k$$

with  $u(0) = 0 = v(0)$ ,  $|u(z)| < 1$ ,  $|v(w)| < 1$  for all  $z, w \in U$  such that

$$(D_{p,q}f)^\mu(z) \left( \frac{(r-s)z}{f(rz) - f(sz)} \right) = 1 - b + G(x, u(z))$$

and

$$(D_{p,q}g)^\mu(w) \left( \frac{(r-s)w}{g(rw) - g(sw)} \right) = 1 - b + G(x, v(w)).$$

Or equivalently

$$(10) \quad (D_{p,q}f)^\mu(z) \left( \frac{(r-s)z}{f(rz) - f(sz)} \right) \\ = 1 + h_2(x)u_1z + [h_2(x)u_2 + h_3(x)u_1^2]z^2 + \dots$$

and

$$(11) \quad (D_{p,q}g)^\mu(w) \left( \frac{(r-s)w}{g(rw) - g(sw)} \right) \\ = 1 + h_2(x)v_1w + [h_2(x)v_2 + h_3(x)v_1^2]w^2 + \dots$$

Since  $|u(z)| < 1$  and  $|v(w)| < 1$ , it is clear that

$$(12) \quad |u_k| \leq 1,$$

$$(13) \quad |v_k| \leq 1$$

for  $k = 1, 2, \dots$ . From (10) and (11), we have

$$(14) \quad (\mu[2]_{p,q} - r - s)a_2 = h_2(x)u_1,$$

$$\begin{aligned}
 (15) \quad & \left( \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 + (r+s)^2 - \mu [2]_{p,q}(r+s) \right) a_2^2 \\
 & + (\mu [3]_{p,q} - r^2 - rs - s^2) a_3 \\
 & = h_2(x)u_2 + h_3(x)u_1^2,
 \end{aligned}$$

$$(16) \quad -(\mu [2]_{p,q} - r - s) a_2 = h_2(x)v_1$$

and

$$\begin{aligned}
 (17) \quad & \left( \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 + (r+s)^2 - \mu [2]_{p,q}(r+s) \right) a_2^2 \\
 & + (\mu [3]_{p,q} - r^2 - rs - s^2) (2a_2^2 - a_3) \\
 & = h_2(x)v_2 + h_3(x)v_1^2.
 \end{aligned}$$

From (14) and (16), we get

$$(18) \quad u_1 = -v_1$$

and

$$(19) \quad 2(\mu [2]_{p,q} - r - s)^2 a_2^2 = h_2^2(x)(u_1^2 + v_1^2).$$

Upon adding (15) and (17), we get

$$\begin{aligned}
 (20) \quad & 2 \left( \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 - \mu [2]_{p,q}(r+s) + rs + \mu [3]_{p,q} \right) a_2^2 \\
 & = h_2(x)(u_2 + v_2) + h_3(x)(u_1^2 + v_1^2).
 \end{aligned}$$

By using (19) in (20), we have

$$\begin{aligned}
 (21) \quad & 2 \left[ \left( \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 - \mu [2]_{p,q}(r+s) + rs + \mu [3]_{p,q} \right) h_2^2(x) \right. \\
 & \left. - (\mu [2]_{p,q} - r - s)^2 h_3(x) \right] a_2^2 \\
 & = h_2^3(x)(u_2 + v_2)
 \end{aligned}$$

which implies

$$|a_2| \leq \frac{|ax|\sqrt{|ax|}}{\sqrt{|La^2x^2 - M^2(ax^2 + b\rho)|}}.$$

Now subtracting (17) from (15) and using (18), we get

$$(22) \quad a_3 - a_2^2 = \frac{h_2(x)(u_2 - v_2)}{2(\mu [3]_{p,q} - r^2 - rs - s^2)}.$$

Then, in aid of (19), we get

$$(23) \quad a_3 = \frac{h_2(x)(u_2 - v_2)}{2N} + \frac{h_2^2(x)(u_1^2 + v_1^2)}{2M^2}.$$

Thus

$$|a_3| \leq \left| \frac{ax}{N} \right| + \frac{a^2x^2}{|M|^2}.$$

□

**Corollary 2.2.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{1,p,q}(r, s; x)$ , then*

$$(24) \quad |a_2| \leq \frac{|ax|\sqrt{|ax|}}{\sqrt{\left|([3]_{p,q} + rs - [2]_{p,q}(r+s))a^2x^2 - ([2]_{p,q} - r - s)^2(aqx^2 + b\rho)\right|}}$$

and

$$(25) \quad |a_3| \leq \left| \frac{ax}{[3]_{p,q} - r^2 - rs - s^2} \right| + \frac{a^2x^2}{|[2]_{p,q} - r - s|^2}.$$

**Corollary 2.3.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,p,q}(1, 0; x)$ , then*

$$(26) \quad |a_2| \leq \frac{|ax|\sqrt{|ax|}}{\sqrt{\left|\left(\frac{\mu(\mu-1)}{2}[2]_{p,q}^2 + \mu[3]_{p,q} - \mu[2]_{p,q}\right)a^2x^2 - (\mu[2]_{p,q} - 1)^2(aqx^2 + b\rho)\right|}}$$

and

$$(27) \quad |a_3| \leq \left| \frac{ax}{\mu[3]_{p,q} - 1} \right| + \frac{a^2x^2}{(\mu[2]_{p,q} - 1)^2}.$$

**Corollary 2.4.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,p,q}(1, -1; x)$ , then*

$$(28) \quad |a_2| \leq \frac{|ax|\sqrt{|ax|}}{\sqrt{\left|\left(\frac{\mu(\mu-1)}{2}[2]_{p,q}^2 + \mu[3]_{p,q} - 1\right)a^2x^2 - \mu^2[2]_{p,q}^2(aqx^2 + b\rho)\right|}}$$

and

$$(29) \quad |a_3| \leq \left| \frac{ax}{\mu[3]_{p,q} - 1} \right| + \frac{a^2x^2}{\mu^2[2]_{p,q}^2}.$$

**Corollary 2.5.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,1,q}(r, s; x)$  and  $q \rightarrow 1^-$ , then*

$$(30) \quad |a_2| \leq \frac{|ax|\sqrt{|ax|}}{\sqrt{\left|(2\mu^2 + (1 - 2r - 2s)\mu + rs)a^2x^2 - (2\mu - r - s)^2(aqx^2 + b\rho)\right|}}$$

and

$$(31) \quad |a_3| \leq \left| \frac{ax}{3\mu - r^2 - rs - s^2} \right| + \frac{a^2x^2}{|2\mu - r - s|^2}.$$

### 3. Fekete-Szegő inequalities for the function class $\mathcal{SC}_\sigma^{\mu,p,q}(r, s; x)$

In this section, we estimate Fekete-Szegő inequalities  $|a_3 - \kappa a_2^2|$  for the functions belonging to the class  $\mathcal{SC}_\sigma^{\mu,p,q}(r, s; x)$ .

**Theorem 3.1.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,p,q}(r, s; x)$  and  $\kappa \in R$ , then*

$$|a_3 - \kappa a_2^2| \leq \left( \frac{1}{|N|} + 2|\Psi(\mu, p, q, r, s; x)| \right) |h_2(x)|,$$

where

$$\Psi(\mu, p, q, r, s; x) = \frac{(1 - \kappa)h_2^2(x)}{2(Lh_2^2(x) - M^2h_3(x))},$$

$L, M$  and  $N$  are as in Theorem 2.1.

*Proof.* For  $\kappa \in R$  and from (22), we get

$$(32) \quad a_3 - \kappa a_2^2 = \frac{h_2(x)(u_2 - v_2)}{2N} + (1 - \kappa)a_2^2.$$

By using (21), we have

$$\begin{aligned} & a_3 - \kappa a_2^2 \\ &= \frac{h_2(x)(u_2 - v_2)}{2N} + (1 - \kappa) \left( \frac{h_2^3(x)(u_2 + v_2)}{2(Lh_2^2(x) - M^2h_3(x))} \right) \\ &= h_2(x) \left[ \left( \frac{1}{2N} + \Psi(\mu, p, q, r, s; x) \right) u_2 + \left( \frac{-1}{2N} + \Psi(\mu, p, q, r, s; x) \right) v_2 \right], \end{aligned}$$

where

$$\Psi(\mu, p, q, r, s; x) = \frac{(1 - \kappa)h_2^2(x)}{2(Lh_2^2(x) - M^2h_3(x))}.$$

Thus

$$|a_3 - \kappa a_2^2| \leq \left( \frac{1}{|N|} + 2|\Psi(\mu, p, q, r, s; x)| \right) |h_2(x)|. \quad \square$$

**Corollary 3.2.** If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{1,p,q}(r, s; x)$  and  $\kappa \in R$ , then

$$|a_3 - \kappa a_2^2| \leq \left( \frac{1}{|N_1|} + 2|\Psi(1, p, q, r, s; x)| \right) |h_2(x)|,$$

where

$$\Psi(1, p, q, r, s; x) = \frac{(1 - \kappa)h_2^2(x)}{2(L_1h_2^2(x) - M_1^2h_3(x))},$$

$$L_1 = -[2]_{p,q}(r + s) + rs + [3]_{p,q},$$

$$M_1 = [2]_{p,q} - r - s,$$

and

$$N_1 = [3]_{p,q} - r^2 - rs - s^2.$$

**Corollary 3.3.** If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,p,q}(1, 0; x)$  and  $\kappa \in R$ , then

$$|a_3 - \kappa a_2^2| \leq \begin{cases} \left| \frac{ax}{N_2} \right|, & |\kappa - 1| \leq \left| \frac{L_2}{N_2} - \frac{M_2^2(aqx^2 + b\rho)}{N_2a^2x^2} \right|, \\ \frac{|\kappa - 1||a^3x^3|}{|L_2a^2x^2 - M_2^2(aqx^2 + b\rho)|}, & |\kappa - 1| \geq \left| \frac{L_2}{N_2} - \frac{M_2^2(aqx^2 + b\rho)}{N_2a^2x^2} \right|, \end{cases}$$

where

$$L_2 = \frac{\mu(\mu - 1)}{2} [2]_{p,q}^2 + \mu [3]_{p,q} - \mu [2]_{p,q},$$

$$M_2 = \mu [2]_{p,q} - 1,$$

and

$$N_2 = \mu[3]_{p,q} - 1.$$

**Corollary 3.4.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,p,q}(1, -1; x)$  and  $\kappa \in R$ , then*

$$|a_3 - \kappa a_2^2| \leq \begin{cases} \left| \frac{ax}{N_2} \right|, & |\kappa - 1| \leq \left| \frac{L_3}{N_2} - \frac{\mu^2[2]_{p,q}^2(aqx^2 + b\rho)}{N_2 a^2 x^2} \right|, \\ \frac{|\kappa - 1| |a^3 x^3|}{|L_3 a^2 x^2 - \mu^2 [2]_{p,q}^2 (aqx^2 + b\rho)|}, & |\kappa - 1| \geq \left| \frac{L_3}{N_2} - \frac{\mu^2 [2]_{p,q}^2 (aqx^2 + b\rho)}{N_2 a^2 x^2} \right|, \end{cases}$$

where  $L_3 = \frac{\mu(\mu-1)}{2} [2]_{p,q}^2 + \mu[3]_{p,q} - 1$  and  $N_2$  is as in Corollary 3.3.

**Corollary 3.5.** *If  $f(z)$ , given by (1), is in  $\mathcal{SC}_\sigma^{\mu,1,q}(r, s; x)$ ,  $q \rightarrow 1^-$  and  $\kappa \in R$ , then*

$$|a_3 - \kappa a_2^2| \leq \left( \frac{1}{|N_3|} + 2 |\Psi(\mu, r, s; x)| \right) |h_2(x)|,$$

where

$$\Psi(\mu, r, s; x) = \frac{(1 - \kappa)h_2^2(x)}{2(L_4 h_2^2(x) - M_3^2 h_3(x))},$$

$$L_4 = 2\mu^2 + (1 - 2r - 2s)\mu + rs,$$

$$M_3 = 2\mu - r - s,$$

and

$$N_3 = 3\mu - r^2 - rs - s^2.$$

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