

HYPERBOLIC STRUCTURE OF POINTWISE INVERSE PSEUDO-ORBIT TRACING PROPERTY FOR C^1 DIFFEOMORPHISMS

MANSEOB LEE

ABSTRACT. We deal with a type of inverse pseudo-orbit tracing property with respect to the class of continuous methods, as suggested and studied by Pilyugin [54]. In this paper, we consider a continuous method induced through the diffeomorphism of a compact smooth manifold, and using the concept, we proved the following: (i) If a diffeomorphism f of a compact smooth manifold M has the robustly pointwise inverse pseudo-orbit tracing property, f is structurally stable. (ii) For a C^1 generic diffeomorphism f of a compact smooth manifold M , if f has the pointwise inverse pseudo-orbit tracing property, f is structurally stable. (iii) If a diffeomorphism f has the robustly pointwise inverse pseudo-orbit tracing property around a transitive set Λ , then Λ is hyperbolic for f . Finally, (iv) for C^1 generically, if a diffeomorphism f has the pointwise inverse pseudo-orbit tracing property around a locally maximal transitive set Λ , then Λ is hyperbolic for f . In addition, we investigate cases of volume preserving diffeomorphisms.

1. Introduction

Pseudo-orbit tracing theories deal with the structure of an orbit. Such notions are extremely useful for investigating the stability theory or hyperbolic structures, among other factors. If a diffeomorphism f of a compact smooth manifold M has a hyperbolic system, then f has the pseudo-orbit tracing property ([58]), and the inverse pseudo-orbit tracing property ([19]). Moreover, using the C^1 perturbation property (robust), if a diffeomorphism f of a compact smooth manifold M has the robust pseudo-orbit tracing property, it has a hyperbolic system ([59]), and if f has the robustly inverse pseudo-orbit tracing property, it then has a hyperbolic system ([19, 53]). According to the results,

Received January 14, 2022; Revised March 14, 2022; Accepted April 22, 2022.

2020 *Mathematics Subject Classification.* 37C20, 37D05, 37C50, 37D20.

Key words and phrases. Pseudo orbit tracing property, inverse pseudo orbit tracing property, local inverse pseudo orbit tracing property, Axiom A, transitive, hyperbolic, generic.

This work is supported by Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Science, ICT & Future Planning (NRF-2020R1F1A1A01051370).

many different types of tracing properties were studied in [13, 19, 26, 28, 32–34, 36–38, 49, 53–55, 60, 61, 63]. In addition, a closed invariant set is hyperbolic if the robust and generic viewpoints of various types of pseudo-orbit tracing properties (see [3, 5, 15, 18, 20–25, 29–31, 39–43, 45–48, 50–52, 62, 64, 66]). Based on the results of these papers, we also studied cases of robust and generic diffeomorphisms of M .

Throughout this article, we assume that M is a compact smooth manifold with a Riemannian metric d induced from the tangent bundle TM . Denote by $\text{Diff}(M) = \{f : M \rightarrow M : f \text{ is a diffeomorphism on } M\}$. For any $\delta > 0$, a bi-sequence $\{x_i : i \in \mathbb{Z}\}$ is the δ -pseudo-orbit of f if

$$d(f(x_i), x_{i+1}) < \delta, \quad \forall i \in \mathbb{Z}.$$

For a closed f -invariant set $\Lambda \subset M$, a diffeomorphism f of M has the *pseudo orbit tracing property (POTP) around Λ* if for every positive ϵ , there is a positive constant δ such that for any δ -pseudo-orbit $\{x_i : i \in \mathbb{Z}\} \subset \Lambda$, there is a point $z \in M$ (where z is said to be a *pseudo-orbit tracing point*) such that

$$d(f^i(z), x_i) < \epsilon, \quad \forall i \in \mathbb{Z}.$$

Based on this concept, we introduce the inverse pseudo-orbit tracing property, which was studied by Corless and Pilyugin [14], Lee [19], Lee and Lee [43], and Lee [42]. In general, the pseudo-orbit tracing property means that for any pseudo-orbit of f , a real orbit must exist, and thus the distance between the real orbit and a pseudo-orbit remains small at all times. In addition, the inverse pseudo-orbit tracing property means that any real orbit must exist in a pseudo-orbit, and both orbits must remain at a small distance at all times. Note that the inverse pseudo-orbit tracing property is a dual notion of the pseudo-orbit tracing property.

Now, we introduce the inverse pseudo-orbit tracing property with respect to the class of the continuous method.

Let $M^{\mathbb{Z}}$ be the product space of all bi-infinite sequences with the product topology. For any $\delta > 0$, we will denote $\Phi_f(\delta)$ as the set of all δ -pseudo orbits of f . We define a δ -method as a map $\varphi : M \rightarrow \Phi_f(\delta) (\subset M^{\mathbb{Z}})$ in which

$$\varphi(x)_0 = x_0 \quad \text{and} \quad \varphi(x)_k = x_k, \quad \forall k \in \mathbb{Z},$$

where $\varphi(x)_k$ indicates the k -th component of the sequence $\varphi(x)$. Then, φ is a δ -pseudo orbit of f through x .

Let $\mathcal{T}_d(f, \delta)$ be the set of δ -methods φ , which takes a diffeomorphism $g : M \rightarrow M$ such that (i) $d(f(x), g(x)) < \delta (x \in M)$ and (ii) $\varphi(x)_k = g^k(x)$ for all $k \in \mathbb{Z}$, where $d(\cdot, \cdot)$ is the C^1 metric.

Definition 1.1. A diffeomorphism $f : M \rightarrow M$ has the *inverse pseudo-orbit tracing property with respect to $\mathcal{T}_d(f, \delta)$* if given $\epsilon > 0$, there is $\delta > 0$ such that for any $\varphi \in \mathcal{T}_d(f, \delta)$, there is $y \in M$ in which $\varphi(y)$ is ϵ -pseudo traced by $x \in M$, that is,

$$d(f^i(x), \varphi(y)_i) < \epsilon, \quad \forall i \in \mathbb{Z}.$$

Definition 1.2. A diffeomorphism $f : M \rightarrow M$ has the *pointwise inverse pseudo-orbit tracing property (PIPTP)* with respect to $\mathcal{T}_d(f, \delta)$ if f has the inverse pseudo-orbit tracing property with respect to $\mathcal{T}_d(f, \delta)$ at $z \in M$, that is, if given $\epsilon > 0$, there is $\delta > 0$ such that for any $\varphi \in \mathcal{T}_d(f, \delta)$, there is $y \in M$ in which $\varphi(y)$ is ϵ -pseudo traced by $z \in M$.

Remark 1.3. Throughout this paper, a diffeomorphism f has the inverse pseudo-orbit tracing property (or pointwise inverse pseudo-orbit tracing property), which means that f has the inverse pseudo-orbit tracing property (or, pointwise inverse pseudo-orbit tracing property) with respect to $\mathcal{T}_d(f, \delta)$.

Definition 1.4. A diffeomorphism $f : M \rightarrow M$ has the robustly PIPTP if there is a C^1 neighborhood $\mathcal{U}(f)$ of f such that for any $g \in \mathcal{U}(f)$, g has the PIPTP.

A point $p \in M$ is *periodic* if $f^k(p) = p$ for some $k > 0$, and p is *hyperbolic* if $D_p f^{\pi(p)} : T_p M \rightarrow T_p M (f^{\pi(p)}(p) = p)$ has no eigenvalues of the norm. For a closed f -invariant set Λ , Λ is *hyperbolic* if $T_\Lambda M$ has the Df -invariant splitting $T_\Lambda M = E^s \oplus E^u$ and there is an $L \in \mathbb{N}$ such that

$$\|Df^n|_{E^s(x)}\| \leq \frac{1}{2} \text{ and } \|Df^{-n}|_{E^u(x)}\| \leq \frac{1}{2}$$

for all $x \in \Lambda$ and $n \geq L$. If f is *Anosov* if $\Lambda = M$ is hyperbolic.

We state that a diffeomorphism f satisfies *Axiom A* if $\Omega(f) = \overline{Per(f)}$ and is hyperbolic, where $\Omega(f)$ is the set of all non-wandering points of f and $Per(f)$ is the set of all periodic points of f . In addition, f is a *strong transversality condition* if for any hyperbolic periodic points $p, q \in \Omega(f)$, the stable manifold of $W^s(p)$ and the unstable manifold of $W^u(q)$ intersect transversally, where $W^s(p) = \{x \in M : d(f^i(p), f^i(x)) \rightarrow 0 \text{ as } i \rightarrow \infty\}$ and $W^u(q) = \{x \in M : d(f^i(q), f^i(x)) \rightarrow 0 \text{ as } i \rightarrow -\infty\}$.

A diffeomorphism $f : M \rightarrow M$ is *structurally stable* if there is a C^1 neighborhood $\mathcal{U}(f)$ of f such that for any $g \in \mathcal{U}(f)$, there is a homeomorphism $h : M \rightarrow M$ such that $f \circ h = g \circ h$.

According to Robinson [57], we can see that a diffeomorphism f is structurally stable if and only if f satisfies Axiom A and the strong transversality condition, and it is known that a diffeomorphism f is Anosov; thus, f is structurally stable. In addition, f has the pseudo-orbit tracing property, and f has the inverse pseudo-orbit tracing property with respect to the class of continuous methods (see [19, 53]). Pilyugin [53] proved that if a diffeomorphism f has the robust inverse pseudo-orbit tracing property with respect to continuous methods induced through continuous maps or a sequence of continuous maps, it is structurally stable. In addition, Lee [19] proved that if a diffeomorphism f has the robustly inverse pseudo-orbit tracing property with respect to $\mathcal{T}_d(f, \delta)$, and thus is structurally stable.

From these results, the main research topic is a study on the qualitative theory of an orbit structure. In this research, we also study the quality of an

orbit structure for using the pointwise inverse pseudo-orbit tracing property, which is the main theorem.

A subset \mathcal{R} of $\text{Diff}(M)$ is *residual* if it contains the intersection of the countable family of open and dense sets of $\text{Diff}(M)$. It is known that \mathcal{R} is dense in $\text{Diff}(M)$.

According to the concepts, Abdenur and Díaz [2] suggested a problem related to the pseudo-orbit tracing property, that is, if a diffeomorphism f in a residual set \mathcal{R} of $\text{Diff}(M)$ has the pseudo-orbit tracing property, then it has a hyperbolic structure. Many results have been published in [3, 5, 13, 18, 20, 35, 39, 42, 45, 46]. Regarding this problem, we consider the following:

Problem. For a C^1 generic diffeomorphism $f \in \text{Diff}(M)$, if f has the inverse pseudo-orbit tracing property, then does it have a hyperbolic structure (e.g., structural stability, Axiom A)?

Regarding the above problem and C^1 perturbation property, we prove the following main theorem applied in this study:

Theorem A. *Let $f : M \rightarrow M$ be a diffeomorphism. We therefore have the following:*

- (a) *If f has the robustly PIPTP, then f is structurally stable.*
- (b) *For a C^1 generic f , if f has the PIPTP, then f is structurally stable.*

1.1. Proof of Theorem A

For a hyperbolic periodic point p of f , the dimension $W^s(p)$ is said to be the *index* of p and is denoted as $\text{index}(p)$. It should be noted that $T_p W^s(p) = E^s(p)$ and $\dim W^s(p) = \dim E^s(p)$.

Recall that if a periodic point p with a periodic $\pi(p)$ is hyperbolic, then there is a C^1 neighborhood $\mathcal{U}(f)$ of f and a neighborhood U of p such that for any $g \in \mathcal{U}(f)$, there exists a unique $p_g = \bigcap_{n \in \mathbb{Z}} g^n(U)$ such that $g^{\pi(p)}(p) = p$ and p_g is hyperbolic for g .

Lemma 1.5. *If a periodic point p of f is non-hyperbolic, then there is a diffeomorphism g C^1 close to f such that g has two distinct hyperbolic periodic points q, r with $\text{index}(q) \neq \text{index}(r)$.*

Proof. See [39, Lemma 2.6]. □

Lemma 1.6 ([54, Theorem 1]). *The following statements are equivalent:*

- (a) *A diffeomorphism f has the PIPTP;*
- (b) *$W^u(p)$ and $W^s(q)$ are transverse at x for hyperbolic points $p, q \in P(f)$.*

A diffeomorphism $f : M \rightarrow M$ is a *star* if there is a C^1 neighborhood $\mathcal{U}(f)$ of f such that every periodic point of $Per(g)$ is hyperbolic for any diffeomorphism $g \in \mathcal{U}(f)$, where $Per(g)$ is the set of periodic points of g .

Lemma 1.7. *If a diffeomorphism f has the robustly PIPTP, then f is a star.*

Proof. By contradiction, suppose that f is not a star. Then, there is a diffeomorphism g C^1 close to f and a periodic point p of g such that p is not hyperbolic for g . By Lemma 1.5, there is a diffeomorphism g_1 C^1 close to g (in addition, C^1 is close to f such that g_1 has two distinct hyperbolic periodic points q and r with $\text{index}(q) \neq \text{index}(r)$). Because f has the robustly PIPTP, by Lemma 1.6, the manifolds $W^s(q)$ and $W^u(r)$ intersect transversally, and $W^u(q)$ and $W^s(r)$ intersect transversally. This means that $\text{index}(q) = \text{index}(r)$. This is a contradiction. Thus, if a diffeomorphism f has the robustly PIPTP, then f is a star. \square

Proof of Theorem A(a). Based on Aoki [4] and Hyashi [16], if a diffeomorphism f is a star, then f is Axiom A and has no cycles. Thus, according to Lemma 1.7, if a diffeomorphism f has the robustly PIPTP, then f is Axiom A and has no cycles. To prove Theorem A, it is sufficient to show a strong transversality condition. Because f has the PIPTP, based on Lemma 1.6, we see that for any two hyperbolic periodic points p and q in the non-wandering set $\Omega(f)$, the manifolds $W^s(p)$ and $W^u(q)$ intersect transversally. Thus, if a diffeomorphism f has the robustly PIPTP, then it is structurally stable. \square

To prove Theorem A(b), we need the following lemma.

Lemma 1.8. *There is a residual set \mathcal{R} of $\text{Diff}(M)$ such that for any $f \in \mathcal{R}$, we have the following:*

- (a) *f is Kupka-Smale, that is, every periodic point of f is hyperbolic and the manifolds $W^s(p)$ and $W^u(q)$ are a transverse intersection for any two distinct $p, q \in \text{Per}(f)$ (see [17]).*
- (b) *if there is a diffeomorphism g C^1 close to f such that g has two hyperbolic periodic points p and q with $\text{index}(p) \neq \text{index}(q)$, then f has two hyperbolic periodic points p_f and q_f with $\text{index}(p_f) \neq \text{index}(q_f)$ (see [45]).*

Proof of Theorem A(b). Let $f \in \mathcal{R}$ be the PIPTP. By Lemma 1.6, to prove the structural stability, it is sufficient to show that f satisfies Axiom A. If a diffeomorphism f is a star, then f satisfies Axiom A by Aoki [4] and Hayashi [16]. Thus, we show that f is a star. By contradiction, suppose that f is not a star. Then, there is a diffeomorphism g C^1 close to f and a periodic point p of g such that p is not hyperbolic for g . By Lemma 1.5, there is a diffeomorphism h C^1 close to g (in addition, C^1 is close to f) such that h has two distinct hyperbolic periodic points p and q with $\text{index}(p) \neq \text{index}(q)$. Because $f \in \mathcal{R}$, f has two distinct hyperbolic periodic points p_f and q_f with $\text{index}(p_f) \neq \text{index}(q_f)$. Because f has the PIPTP, by Lemma 1.6, the manifolds $W^s(p_f)$ and $W^u(q_f)$ intersect transversally. This is a contradiction. Thus, if $f \in \mathcal{R}$ has the PIPTP, then f is structurally stable. \square

2. Pointwise inverse pseudo-orbit tracing property around a closed invariant set Λ

For a closed f -invariant set Λ of subset M , Λ is *locally maximal* if there is a neighborhood U of Λ such that $\Lambda = U_f = \bigcap_{n \in \mathbb{Z}} f^n(U)$.

Definition 2.1. We state that a diffeomorphism $f : M \rightarrow M$ has the *robustly PIPTP* around Λ if (i) Λ is locally maximal, and (ii) there is a C^1 neighborhood $\mathcal{U}(f)$ of f such that for any $g \in \mathcal{U}(f)$, g has the PIPTP around U_g , where $U_g = \bigcap_{n \in \mathbb{Z}} g^n(U)$ is the continuation of Λ .

For a closed f -invariant set Λ , we introduce closed f invariant sets, which are a chain class $C(p)$, a homoclinic class $H(p)$, and a transitive set Λ .

For any $\delta > 0$ and any points $x, y \in M$, we state that x has a *chain relation with y* (which is written as $x >^C y$) if $x_0 = x$, $x_n = y$, and $d(f(x_i), x_{i+1}) < \delta$ for all $0 \leq i \leq n$.

For a hyperbolic periodic point p of f , we denote $C(p) = \{x \in M : x >^C p \text{ and } p >^C x\}$, which is said to be a *chain class*.

We state that the Λ subset of M is *transitive* if the closure of the orbit of x is Λ , for some $x \in \Lambda$. Equivalently, the omega limit set of x (written as $\omega(x)$) is Λ . For this notion, if $\Lambda = M$, then we state that f is transitive.

For a hyperbolic periodic point q , of f , we write $q \sim p$ if $W^s(p)$ and $W^u(q)$, and $W^u(p)$ and $W^s(q)$, intersect transversally, that is, $W^s(p) \pitchfork W^u(q) \neq \emptyset$ and $W^u(p) \pitchfork W^s(q) \neq \emptyset$. Denote the homoclinic class $H(p)$ as the closure of $\{q \in Per(f) : q \sim p\}$, which is a closed, f -invariant, and transitive set. Moreover, it is known that $H(p) \subset C(p)$.

For a robust property of a diffeomorphism, Lee et al. [48] proved that if a diffeomorphism f has the robust pseudo-orbit tracing property around $C(p)$, then $C(p)$ is hyperbolic. A closed f -invariant set $\Lambda \subset M$, Λ , has a *dominated splitting* if the tangent bundle over Λ splits $T_\Lambda M = E \oplus F$, which is Df -invariant such that $C > 0$ and $\lambda \in (0, 1)$ satisfying

$$\|Df^n_{E(x)}\| \|Df^{-n}|_{F(f^n(x))}\| \leq C\lambda^n$$

for all $x \in \Lambda$ and $n \geq 0$. It is clear that if Λ is hyperbolic, then Λ has a dominated splitting.

Lee and Lee [43] proved that if a diffeomorphism f has the robust inverse pseudo-orbit tracing property around a transitive set Λ , then Λ has dominated splitting. Recently, Lee [42] proved that if a diffeomorphism f has the robustly inverse pseudo-orbit tracing property around Λ , then it is hyperbolic. This result is a generalization of the results in [43].

For a C^1 generic diffeomorphism $f : M \rightarrow M$, Lee and Lee [46] proved that if f has the pseudo-orbit tracing property around $C(p)$, then $C(p)$ is hyperbolic, Abdenur and Díaz [2] proved that if f has the pseudo-orbit tracing property around a locally maximal transitive set Λ , then Λ is hyperbolic for f . In addition, Lee [20] proved that if a C^1 generic diffeomorphism f has the robustly inverse pseudo-orbit tracing property around $C(p)$, then $C(p)$ is

hyperbolic. However, we do not know whether a C^1 generic diffeomorphism f having the inverse pseudo-orbit tracing property around a closed f -invariant set is hyperbolic?

The following is the result of a C^1 generic diffeomorphism f , which has the pointwise inverse pseudo-orbit tracing property around a closed f -invariant set.

Theorem B. *Let $\Lambda \subset M$ be a transitive set of f . We thus have the following:*

- (a) *If a diffeomorphism f has the robustly PIPTP around Λ , then Λ is hyperbolic for f .*
- (b) *For a C^1 generic diffeomorphism f , if f has the PIPTP around a locally maximal Λ , then Λ is hyperbolic for f .*

2.1. Proof of Theorem B

Lemma 2.2. *Let $\Lambda \subset M$ be a closed f -invariant set and $\mathcal{U}(f)$ and U be as defined in 2.1. If a periodic point p of f in Λ is non-hyperbolic, then there is a diffeomorphism $g \in \mathcal{U}(f)$ such that g has two distinct hyperbolic periodic points $q, r \in U_g$ with $\text{index}(q) \neq \text{index}(r)$.*

Proof. See [63, Lemma 2.4]. □

From the results of [41, 42], for a transitive set Λ , if every periodic point in Λ is hyperbolic and Λ is locally maximal, then Λ is hyperbolic.

To prove Theorem B(a), it is sufficient to show that every periodic point in Λ is hyperbolic.

Proof of Theorem B(a). Let $\mathcal{U}(f)$ and U be as defined in Definition 2.1. By contrast, suppose that there is a periodic point p of f in Λ such that p is not hyperbolic. From Lemma 2.2, we have a diffeomorphism $g \in \mathcal{U}(f)$ such that g has two distinct hyperbolic periodic points $q, r \in U_g$ with $\text{index}(q) \neq \text{index}(r)$. Because g has the PIPTP around U_g , by Lemma 1.6, we see that $\text{index}(q) = \text{index}(r)$. This is a contradiction. Thus, if f has the robustly PIPTP around Λ , then every periodic point in Λ is hyperbolic. Because Λ is locally maximal in U , by [50, Lemma 2.3], we see that $\Lambda = \overline{\Lambda \cap \text{Per}(f)}$. Then, as in the previous arguments, according to the results of Lee [41, 42], Λ is hyperbolic. □

Note that if a transitive set Λ is locally maximal, it guarantees the existence of a periodic point in Λ by Pugh's closing lemma.

Lemma 2.3. *There is a residual subset \mathcal{G}_1 of $\text{Diff}(M)$ such that for any $f \in \mathcal{G}_1$, if a closed f -invariant set Λ is transitive and it is locally maximal, then $\Lambda = \overline{\Lambda \cap \text{Per}(f)}$.*

Proof. Let \mathcal{G}_1 be as in [1], and let $f \in \mathcal{G}_1$. Because Λ is locally maximal, Λ is a homoclinic class $H(p)$. Because $\overline{H(p) \cap \text{Per}(f)} = H(p)$, we see that $\Lambda = \overline{\Lambda \cap \text{Per}(f)}$. □

The following is a version of the diffeomorphisms of [7, Lemma 5.1].

Lemma 2.4. *There is a residual subset \mathcal{G}_2 of $\text{Diff}(M)$ such that, for any $f \in \mathcal{G}_2$, if for any $\epsilon > 0$, there exists a diffeomorphism g such that g has two distinct hyperbolic periodic points p and q in U with $\text{index}(p) \neq \text{index}(q)$, then f has two distinct hyperbolic periodic points p_f and q_f in U with $\text{index}(p_f) \neq \text{index}(q_f)$.*

Lemma 2.5. *There is a residual subset \mathcal{G}_3 of $\text{Diff}(M)$ such that for any $f \in \mathcal{G}_3$, if f has the PIPTP around Λ , then every periodic point in Λ is hyperbolic.*

Proof. Let \mathcal{G}_0 be the same as in [35, Lemma 2.4], and let $f \in \mathcal{G}_3 = \mathcal{R} \cap \mathcal{G}_0 \cap \mathcal{G}_1 \cap \mathcal{G}_2$ have the PIPTP. By contrast, suppose that there is a periodic point p in Λ such that p is non-hyperbolic. By Lemmas 2.2 and 2.4, f has two distinct hyperbolic periodic points q and r in Λ with $\text{index}(q) \neq \text{index}(r)$. Because f has the PIPTP around Λ , by Lemma 1.6, we can see that $\text{index}(q) = \text{index}(r)$. This is a contradiction. Thus, if $f \in \mathcal{G}_4$ has the PIPTP, then every periodic point in Λ is hyperbolic. \square

Note that every periodic point in Λ is hyperbolic, and if Λ is locally maximal, there is a C^1 neighborhood $\mathcal{U}(f)$ of f such that for any $g \in \mathcal{U}(f)$, every periodic point is in $U_g = \bigcap_{n \in \mathbb{Z}} g^n(U) (\subset U)$ and is hyperbolic. Thus, we can see that f satisfies the local star condition on Λ , that is, there is a C^1 neighborhood $\mathcal{U}(f)$ of f and a neighborhood U of Λ such that for any $g \in \mathcal{U}(f)$, every periodic point in $U_g = \bigcap_{n \in \mathbb{Z}} g^n(U)$ is hyperbolic.

Proof of Theorem B(b). Let \mathcal{G}_4 be as in Lemma [35, Lemma 2.8] and let $f \in \mathcal{G} = \mathcal{G}_3 \cap \mathcal{G}_4$ have the PIPTP around Λ . By Lemma 2.5, every periodic point in Λ is hyperbolic; thus, f satisfies the local star condition on Λ . Then, as in the proof of [35, Theorem 1.1], Λ is hyperbolic. \square

From now, we consider the closed f -invariant set, which is the chain class $C(p)$. To prove this, we need the results of a C^1 generic diffeomorphism $f : M \rightarrow M$.

Lemma 2.6. *There is a residual subset \mathcal{S} of $\text{Diff}(M)$ such that given $f \in \mathcal{S}$, the following hold:*

- (a) *For any $\rho > 0$, if for a C^1 neighborhood $\mathcal{U}(f)$ of f , there is a diffeomorphism $g \in \mathcal{U}(f)$ such that g has a ρ -simple periodic curve \mathcal{I}_{p_g} with the two endpoints of \mathcal{I}_{p_g} being homo clinically related with p_g , then f has a 2ρ -simple periodic curve \mathcal{J}_p such that the two endpoints of \mathcal{J}_p are homo clinically related to p (see [67]),*
- (b) *A homoclinic class $H(p)$ having the hyperbolic periodic point p is a chain class $C(p)$ having the hyperbolic periodic point p (see [11]).*
- (c) *A homoclinic class $H(p)$ is not hyperbolic if and only if $H(p)$ has a weak hyperbolic periodic point (see [65]).*

Theorem 2.7. *For a C^1 generic diffeomorphism $f : M \rightarrow M$, if f has a PIPTP around a chain class $C(p)$, then $C(p)$ is hyperbolic.*

Proof. Let $\mathcal{C} = \mathcal{S} \cap \mathcal{G}$, and let $f \in \mathcal{C}$ have the PIPTP around $C(p)$. By contrast, suppose that $C(p)$ is not hyperbolic. Because $f \in \mathcal{S}$, $C(p) = H(p)$, and thus $H(p)$ has a weak hyperbolic periodic point q with $q \sim p$. Then, as in the proof of [67, Proposition A], f has a ρ -simple periodic curve \mathcal{J}_p in $C(p)$ with the two endpoints x and y in \mathcal{J}_p being homoclinically related to p . Then, we can see that the manifolds $W^s(x)$ and $W^u(y)$, or $W^u(x)$ and $W^s(y)$, do not intersect transversally. Because f has the PIPTP around $C(p)$, this is a contradiction. Thus, if $f \in \mathcal{C}$ has the PIPTP around $C(p)$, then $H(p)$ is hyperbolic. \square

For a closed f -invariant Λ subset M , we state that Λ is *Lyapunov stable* if for any neighborhood U of Λ , there is a neighborhood V of Λ such that $f^n(\overline{V}) \subset U$ for all $n \geq 0$, and is *bi-Lyapunov stable* if it is Lyapunov stable for f and f^{-1} .

Potrie [56] proved that for a C^1 generic diffeomorphism $f : M \rightarrow M$, if homoclinic class $H(p)$ is bi-Lyapunov stable, then $H(p)$ has a dominated splitting.

Lemma 2.8. *There is a residual subset \mathcal{B} of $\text{Diff}(M)$ such that given $f \in \mathcal{B}$, if $H(p)$ is Lyapunov stable for f then there exists $\mathcal{U}(f)$ a C^1 neighborhood of f such that $H(p_g)$ is Lyapunov stable for every $g \in \mathcal{U}(f)$ (see [56]).*

For a C^1 generic diffeomorphism $f : M \rightarrow M$, if every hyperbolic periodic point in $H(p)$ has the same index, then $H(p)$ is hyperbolic, and thus, for a C^1 generic diffeomorphism $f : M \rightarrow M$, if a homoclinic class $H(p)$ is bi-Lyapunov stable and f has the pseudo-orbit tracing property around $H(p)$, then $H(p)$ is hyperbolic. From these results, we have the following.

Corollary 2.9. *For a C^1 generic diffeomorphism $f : M \rightarrow M$, if f has the PIPTP around a bi-Lyapunov stable homoclinic class $H(p)$, then $H(p)$ is hyperbolic.*

Proof. Let $f \in \mathcal{C} \cap \mathcal{B}$ have a PIPTP around $H(p)$, and let $H(p)$ be a bi-Lyapunov stable. Then, as in the proof of [5, Theorem 1.2], a bi-Lyapunov stable $H(p)$ is hyperbolic if $H(p)$ is homogeneous. Thus, we show that if a homoclinic class $H(p)$ is bi-Lyapunov stable, then $H(p)$ is homogeneous. Because f has the PIPTP around $H(p)$ by Lemma 1.6, every periodic point in $H(p)$ has the same index, that is, $H(p)$ is homogeneous, and thus, $H(p)$ is hyperbolic. \square

3. Volume preserving diffeomorphisms with pointwise inverse pseudo-orbit tracing property

We assume that M is a compact smooth Riemannian manifold with a volume form μ . Let $\mathcal{V}(M)$ denote the space of volume-preserving diffeomorphisms of M . Because f is a volume-preserving diffeomorphism, it is known that the non-wandering set $\Omega(f)$ is the whole space M .

For a robust property of the volume-preserving diffeomorphism of M , Bessa [8] and Lee [27] proved that if $f \in \mathcal{V}(M)$ has the robustly pseudo-orbit tracing property or the inverse pseudo-orbit tracing property, then f is Anosov. For

a general type of the pseudo-orbit tracing property, if $f \in \mathcal{V}(M)$ robustly has various types of pseudo-tracing properties, then f is Anosov (see [8, 9, 27, 30, 36, 37, 39, 44]).

For a C^1 generic volume-preserving diffeomorphism of M , Bonatti and Crovisier [11] showed that a C^1 generic volume-preserving diffeomorphism f of M is transitive. Moreover, it is a homoclinic class $H(p)$. In Bessa, Lee, and Wen [10], if a C^1 generic $f \in \mathcal{V}(M)$ has a pseudo-orbit tracing property, then f is Anosov. In addition, if a C^1 generic $f \in \mathcal{V}(M)$ has various types of pseudo-orbit tracing properties, then f is Anosov (see [10, 27, 29, 37]). From the previous results, we prove the following:

Theorem C. *Let $f \in \mathcal{V}(M)$. We therefore have the following:*

- (a) *If f has the robustly PIPTP, then f is Anosov.*
- (b) *For a C^1 generic f , if f has the PIPTP, then f is Anosov.*

3.1. Proof of Theorem C

By [6, Theorem 1.1], if a volume-preserving diffeomorphism $f : M \rightarrow M$ is a star, then f is Anosov. To prove that a volume-preserving diffeomorphism $f : M \rightarrow M$ is a star, we use the C^1 perturbation lemma, which is called the Franks' lemma of the version of volume-preserving diffeomorphisms (see [12]).

Lemma 3.1. *If a periodic point p of f is non-hyperbolic, then there is a volume preserving diffeomorphism g C^1 close to f such that g has two hyperbolic periodic points q and r with $\text{index}(q) \neq \text{index}(r)$.*

Proof. See [10, Lemma 2.5]. □

Proof of Theorem C(a). Since f has the robustly PIPTP, as [6, Theorem 1.1], to prove, it is sufficient to show that f is a star. By contrast, suppose that f is not a star. Then, there is a volume-preserving diffeomorphism g C^1 close to f and a periodic point p of g , such that p is non-hyperbolic for g . By Lemma 3.1, there is a volume-preserving diffeomorphism g_1 C^1 close to g (in addition, C^1 close to f) such that g_1 has two distinct hyperbolic periodic points q and r with $\text{index}(q) \neq \text{index}(r)$. Because f has the PIPTP, based on Lemma 1.6, we can see that $\text{index}(q) = \text{index}(r)$. This is a contradiction. □

Lemma 3.2. *There is a residual subset \mathcal{N} of $\mathcal{V}(M)$ such that for any $f \in \mathcal{N}$, if a periodic point p of f is non-hyperbolic, then f has two distinct hyperbolic periodic points q and r with $\text{index}(q) \neq \text{index}(r)$.*

Proof. See [10, Proposition 2.4]. □

Proof of Theorem C(b). Let $f \in \mathcal{N}$ have the PIPTP. Suppose that there is a periodic point p of f such that p is not hyperbolic. By Lemma 3.2, f has two distinct hyperbolic periodic points q and r with $\text{index}(q) \neq \text{index}(r)$. Because f has the PIPTP, this is a contradiction by Lemma 1.6. Thus, every periodic point f is hyperbolic if $f \in \mathcal{N}$ has the PIPTP. According to the hyperbolicity, we can see that f is a star, and thus f is Anosov. □

Acknowledgement. The author would like to thank the reviewers for their useful comments and suggestions.

References

- [1] F. Abdenur, C. Bonatti, and S. Crovisier, *Nonuniform hyperbolicity for C^1 -generic diffeomorphisms*, Israel J. Math. **183** (2011), 1–60. <https://doi.org/10.1007/s11856-011-0041-5>
- [2] F. Abdenur and L. J. Díaz, *Pseudo-orbit shadowing in the C^1 topology*, Discrete Contin. Dyn. Syst. **17** (2007), no. 2, 223–245. <https://doi.org/10.3934/dcds.2007.17.223>
- [3] J. Ahn, K. Lee, and M. Lee, *Homoclinic classes with shadowing*, J. Inequal. Appl. **2012** (2012), 97, 6 pp. <https://doi.org/10.1186/1029-242X-2012-97>
- [4] N. Aoki, *The set of Axiom A diffeomorphisms with no cycles*, Bol. Soc. Brasil. Mat. (N.S.) **23** (1992), no. 1-2, 21–65. <https://doi.org/10.1007/BF02584810>
- [5] A. Arbieto, B. Carvalho, W. Cordeiro, and D. J. Obata, *On bi-Lyapunov stable homoclinic classes*, Bull. Braz. Math. Soc. (N.S.) **44** (2013), no. 1, 105–127. <https://doi.org/10.1007/s00574-013-0005-y>
- [6] A. Arbieto and T. Catalan, *Hyperbolicity in the volume-preserving scenario*, Ergodic Theory Dynam. Systems **33** (2013), no. 6, 1644–1666. <https://doi.org/10.1017/etds.2012.111>
- [7] A. Arbieto, L. Senos, and T. Sodero, *The specification property for flows from the robust and generic viewpoint*, J. Differential Equations **253** (2012), no. 6, 1893–1909. <https://doi.org/10.1016/j.jde.2012.05.022>
- [8] M. Bessa, *C^1 -stably shadowable conservative diffeomorphisms are Anosov*, Bull. Korean Math. Soc. **50** (2013), no. 5, 1495–1499. <https://doi.org/10.4134/BKMS.2013.50.5.1495>
- [9] M. Bessa, M. Lee, and S. Vaz, *Stable weakly shadowable volume-preserving systems are volume-hyperbolic*, Acta Math. Sin. (Engl. Ser.) **30** (2014), no. 6, 1007–1020. <https://doi.org/10.1007/s10114-014-3093-8>
- [10] M. Bessa, M. Lee, and X. Wen, *Shadowing, expansiveness and specification for C^1 -conservative systems*, Acta Math. Sci. Ser. B (Engl. Ed.) **35** (2015), no. 3, 583–600. [https://doi.org/10.1016/S0252-9602\(15\)30005-9](https://doi.org/10.1016/S0252-9602(15)30005-9)
- [11] C. Bonatti and S. Crovisier, *Réurrence et genericité*, Invent. Math. **158** (2004), no. 1, 33–104. <https://doi.org/10.1007/s00222-004-0368-1>
- [12] C. Bonatti, L. J. Díaz, and E. R. Pujals, *A C^1 -generic dichotomy for diffeomorphisms: weak forms of hyperbolicity or infinitely many sinks or sources*, Ann. of Math. (2) **158** (2003), no. 2, 355–418. <https://doi.org/10.4007/annals.2003.158.355>
- [13] B. Carvalho, *Hyperbolicity, transitivity and the two-sided limit shadowing property*, Proc. Amer. Math. Soc. **143** (2015), no. 2, 657–666. <https://doi.org/10.1090/S0002-9939-2014-12250-7>
- [14] R. M. Corless and S. Y. Pilyugin, *Approximate and real trajectories for generic dynamical systems*, J. Math. Anal. Appl. **189** (1995), no. 2, 409–423. <https://doi.org/10.1006/jmaa.1995.1027>
- [15] S. Gan, K. Sakai, and L. Wen, *C^1 -stably weakly shadowing homoclinic classes admit dominated splittings*, Discrete Contin. Dyn. Syst. **27** (2010), no. 1, 205–216. <https://doi.org/10.3934/dcds.2010.27.205>
- [16] S. Hayashi, *Diffeomorphisms in $F^1(M)$ satisfy Axiom A*, Ergodic Theory Dynam. Systems **12** (1992), no. 2, 233–253. <https://doi.org/10.1017/S0143385700006726>
- [17] I. Kupka, *Contribution à la théorie des champs génériques*, Contributions to Differential Equations **2** (1963), 457–484.

- [18] M. Lee and G. Lu, *Limit weak shadowable transitive sets of C^1 -generic diffeomorphisms*, Commun. Korean Math. Soc. **27** (2012), no. 3, 613–619. <https://doi.org/10.4134/CKMS.2012.27.3.613>
- [19] K. Lee, *Continuous inverse shadowing and hyperbolicity*, Bull. Austral. Math. Soc. **67** (2003), no. 1, 15–26. <https://doi.org/10.1017/S0004972700033487>
- [20] M. Lee, *C^1 -stable inverse shadowing chain components for generic diffeomorphisms*, Commun. Korean Math. Soc. **24** (2009), no. 1, 127–144. <https://doi.org/10.4134/CKMS.2009.24.1.127>
- [21] M. Lee, *Stably average shadowable homoclinic classes*, Nonlinear Anal. **74** (2011), no. 2, 689–694. <https://doi.org/10.1016/j.na.2010.09.027>
- [22] M. Lee, *Average shadowing property for volume preserving diffeomorphisms*, Far East J. Math. Sci. (FJMS) **64** (2012), no. 2, 261–267.
- [23] M. Lee, *Stably asymptotic average shadowing property and dominated splitting*, Adv. Difference Equ. **2012** (2012), 25, 6 pp. <https://doi.org/10.1186/1687-1847-2012-25>
- [24] M. Lee, *Robustly chain transitive sets with orbital shadowing diffeomorphisms*, Dyn. Syst. **27** (2012), no. 4, 507–514. <https://doi.org/10.1080/14689367.2012.725032>
- [25] M. Lee, *Usual limit shadowable homoclinic classes of generic diffeomorphisms*, Adv. Difference Equ. **2012** (2012), 91, 8 pp. <https://doi.org/10.1186/1687-1847-2012-91>
- [26] M. Lee, *Volume-preserving diffeomorphisms with inverse shadowing*, J. Inequal. Appl. **2012** (2012), 275, 9 pp. <https://doi.org/10.1186/1029-242X-2012-275>
- [27] M. Lee, *Orbital shadowing for C^1 -generic volume-preserving diffeomorphisms*, Abstr. Appl. Anal. **2013** (2013), Art. ID 693032, 4 pp. <https://doi.org/10.1155/2013/693032>
- [28] M. Lee, *Volume preserving diffeomorphisms with weak and limit weak shadowing*, Dyn. Contin. Discrete Impuls. Syst. Ser. A Math. Anal. **20** (2013), no. 3, 319–325.
- [29] M. Lee, *Diffeomorphisms with robustly ergodic shadowing*, Dyn. Contin. Discrete Impuls. Syst. Ser. A Math. Anal. **20** (2013), no. 6, 747–753.
- [30] M. Lee, *Chain components with C^1 -stably orbital shadowing*, Adv. Difference Equ. **2013** (2013), 67, 12 pp. <https://doi.org/10.1186/1687-1847-2013-67>
- [31] M. Lee, *The ergodic shadowing property and homoclinic classes*, J. Inequal. Appl. **2014** (2014), 90, 10 pp. <https://doi.org/10.1186/1029-242X-2014-90>
- [32] M. Lee, *The ergodic shadowing property from the robust and generic view point*, Adv. Difference Equ. **2014** (2014), 170, 7 pp. <https://doi.org/10.1186/1687-1847-2014-170>
- [33] M. Lee, *Volume-preserving diffeomorphisms with various limit shadowing*, Internat. J. Bifur. Chaos Appl. Sci. Engrg. **25** (2015), no. 2, 1550018, 8 pp. <https://doi.org/10.1142/S0218127415500182>
- [34] M. Lee, *The ergodic shadowing property for robust and generic volume-preserving diffeomorphisms*, Balkan J. Geom. Appl. **20** (2015), no. 2, 49–56.
- [35] M. Lee, *The local star condition for generic transitive diffeomorphisms*, Commun. Korean Math. Soc. **31** (2016), no. 2, 389–394. <https://doi.org/10.4134/CKMS.2016.31.2.389>
- [36] M. Lee, *The barycenter property for robust and generic diffeomorphisms*, Acta Math. Sin. (Engl. Ser.) **32** (2016), no. 8, 975–981. <https://doi.org/10.1007/s10114-016-5123-1>
- [37] M. Lee, *A type of the shadowing properties generic view points*, Axiom **7**(2018), 1–7.
- [38] M. Lee, *Decomposition property for C^1 generic diffeomorphisms*, J. Chungcheong Math. Soc. **32** (2019), no. 2, 165–170. <https://doi.org/10.14403/jcms.2019.32.2.165>
- [39] M. Lee, *Asymptotic orbital shadowing property for diffeomorphisms*, Open Math. **17** (2019), no. 1, 191–201. <https://doi.org/10.1515/math-2019-0002>
- [40] M. Lee, *Orbital shadowing property on chain transitive sets for generic diffeomorphisms*, Acta Univ. Sapientiae Math. **12** (2020), no. 1, 146–154. <https://doi.org/10.2478/ausm-2020-0009>

- [41] M. Lee, *Eventual shadowing for chain transitive sets of C^1 generic dynamical systems*, J. Korean Math. Soc. **58** (2021), no. 5, 1059–1079. <https://doi.org/10.4134/JKMS.j190083>
- [42] M. Lee, *Inverse pseudo orbit tracing property for robust diffeomorphisms*, Chaos Solitons Fractals **160** (2022), Paper No. 112150. <https://doi.org/10.1016/j.chaos.2022.112150>
- [43] K. Lee and M. Lee, *Stably inverse shadowable transitive sets and dominated splitting*, Proc. Amer. Math. Soc. **140** (2012), no. 1, 217–226. <https://doi.org/10.1090/S0002-9939-2011-10882-7>
- [44] K. Lee and M. Lee, *Volume preserving diffeomorphisms with orbital shadowing*, J. Inequal. Appl. **2013**, (2013), 18, 5 pp. <https://doi.org/10.1186/1029-242X-2013-18>
- [45] M. Lee and S. Lee, *Generic diffeomorphisms with robustly transitive sets*, Commun. Korean Math. Soc. **28** (2013), no. 3, 581–587. <https://doi.org/10.4134/CKMS.2013.28.3.581>
- [46] K. Lee and M. Lee, *Shadowable chain recurrence classes for generic diffeomorphisms*, Taiwanese J. Math. **20** (2016), no. 2, 399–409. <https://doi.org/10.11650/tjm.20.2016.5815>
- [47] K. Lee, M. Lee, and S. Lee, *Transitive sets with C^1 stably limit shadowing*, J. Chungcheong Math. Soc. **26**(2013), 45–52.
- [48] K. Lee, K. Moriyasu, and K. Sakai, *C^1 -stable shadowing diffeomorphisms*, Discrete Contin. Dyn. Syst. **22** (2008), no. 3, 683–697. <https://doi.org/10.3934/dcds.2008.22.683>
- [49] M. Lee and J. Park, *Diffeomorphisms with average and asymptotic average shadowing*, Dyn. Contin. Discrete Impuls. Syst. Ser. A Math. Anal. **23** (2016), no. 4, 285–294.
- [50] M. Lee and J. Park, *Expansive transitive sets for robust and generic diffeomorphisms*, Dyn. Syst. **33** (2018), no. 2, 228–238. <https://doi.org/10.1080/14689367.2017.1335287>
- [51] M. Lee and X. Wen, *Diffeomorphisms with C^1 -stably average shadowing*, Acta Math. Sin. (Engl. Ser.) **29** (2013), no. 1, 85–92. <https://doi.org/10.1007/s10114-012-1162-4>
- [52] G. Lu, K. Lee, and M. Lee, *Generic diffeomorphisms with weak limit shadowing*, Adv. Difference Equ. **2013** (2013), 27, 5 pp. <https://doi.org/10.1186/1687-1847-2013-27>
- [53] S. Yu. Pilyugin, *Inverse shadowing by continuous methods*, Discrete Contin. Dyn. Syst. **8** (2002), no. 1, 29–38. <https://doi.org/10.3934/dcds.2002.8.29>
- [54] S. Yu. Pilyugin, *Transversality and local inverse shadowing*, Regul. Chaotic Dyn. **11** (2006), no. 2, 311–318. <https://doi.org/10.1070/RD2006v011n02ABEH000354>
- [55] S. Yu. Pilyugin, A. A. Rodionova, and K. Sakai, *Orbital and weak shadowing properties*, Discrete Contin. Dyn. Syst. **9** (2003), no. 2, 287–308. <https://doi.org/10.3934/dcds.2003.9.287>
- [56] R. Potrie, *Generic bi-Lyapunov stable homoclinic classes*, Nonlinearity **23** (2010), no. 7, 1631–1649. <https://doi.org/10.1088/0951-7715/23/7/006>
- [57] C. Robinson, *Structural stability of C^1 diffeomorphisms*, J. Differential Equations **22** (1976), no. 1, 28–73. [https://doi.org/10.1016/0022-0396\(76\)90004-8](https://doi.org/10.1016/0022-0396(76)90004-8)
- [58] C. Robinson, *Stability theorems and hyperbolicity in dynamical systems*, Rocky Mountain J. Math. **7** (1977), no. 3, 425–437. <https://doi.org/10.1216/RMJ-1977-7-3-425>
- [59] K. Sakai, *Pseudo-orbit tracing property and strong transversality of diffeomorphisms on closed manifolds*, Osaka J. Math. **31** (1994), no. 2, 373–386. <http://projecteuclid.org/euclid.ojm/1200785292>
- [60] K. Sakai, *Diffeomorphisms with the average-shadowing property on two-dimensional closed manifolds*, Rocky Mountain J. Math. **30** (2000), no. 3, 1129–1137. <https://doi.org/10.1216/rmj/1021477263>
- [61] K. Sakai, *Diffeomorphisms with weak shadowing*, Fund. Math. **168** (2001), no. 1, 57–75. <https://doi.org/10.4064/fm168-1-2>

- [62] K. Sakai, *C^1 -stably shadowable chain components*, Ergodic Theory Dynam. Systems **28** (2008), no. 3, 987–1029. <https://doi.org/10.1017/S0143385707000570>
- [63] K. Sakai, N. Sumi, and K. Yamamoto, *Diffeomorphisms satisfying the specification property*, Proc. Amer. Math. Soc. **138** (2010), no. 1, 315–321. <https://doi.org/10.1090/S0002-9939-09-10085-0>
- [64] X. Tian and W. Sun, *Diffeomorphisms with various C^1 stable properties*, Acta Math. Sci. Ser. B (Engl. Ed.) **32** (2012), no. 2, 552–558. [https://doi.org/10.1016/S0252-9602\(12\)60037-X](https://doi.org/10.1016/S0252-9602(12)60037-X)
- [65] X. Wang, *Hyperbolicity versus weak periodic orbits inside homoclinic classes*, Ergodic Theory Dynam. Systems **38** (2018), no. 6, 2345–2400. <https://doi.org/10.1017/etds.2016.122>
- [66] X. Wen, S. Gan, and L. Wen, *C^1 -stably shadowable chain components are hyperbolic*, J. Differential Equations **246** (2009), no. 1, 340–357. <https://doi.org/10.1016/j.jde.2008.03.032>
- [67] D. Yang and S. Gan, *Expansive homoclinic classes*, Nonlinearity **22** (2009), no. 4, 729–733. <https://doi.org/10.1088/0951-7715/22/4/002>

MANSEOB LEE
DEPARTMENT OF MARKETING BOG DATA AND MATHEMATICS
MOKWON UNIVERSITY
DAEJEON 302-729, KOREA
Email address: lmsds@mokwon.ac.kr