# Association between small for gestational age and risk of autism spectrum disorders: a meta-analysis

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**Background:** The relationship between small for gestational age (SGA) and autism spectrum disorders (ASDs) remains unknown.

**Purpose:** This meta-analysis aimed to investigate the relationship between SGA and the risk of ASD.

**Methods:** We searched PubMed, Web of Science, and Scopus databases from inception to November 2020. The heterogeneity across studies was explored using the  $I^2$  statistic. The possibility of publication bias was assessed using Begg test. The results were reported using the odds ratio (OR) and 95% confidence interval (CI) using a random-effects model.

**Results:** The literature search yielded 824 articles with 8,752,138 participants. We assessed the association between SGA and the risk of ASD in cohort and case-control studies. Based on the random-effects model, compared with SGA, the estimated OR of the risk of ASD was 1.17 (95% CI, 1.09–1.24). Therefore, there was a significant association between SGA and the risk of ASD.

**Conclusion:** Based on OR reports in epidemiological studies, we showed that SGA is a risk factor for and can increase the risk of ASD. The association between SGA and ASD risk has further relevance to the current public health emphasis on appropriate prepregnancy weight and pregnancy weight gain.

**Key words:** Autism spectrum disorder, Meta-analysis, Small for gestational age

## Key message

- The relationship between small for gestational age (SGA) and autism spectrum disorders (ASDs) and remains conflicting.
- We showed based on odds ratio reports in epidemiological studies that SGA can increase the risk of ASD and SGA is a risk factor for ASD.
- The association between SGA and the risk of ASD has further momentum to the current public health emphasis on appropriate prepregnancy weight and weight gain during pregnancy.

## Introduction

Autism spectrum disorder (ASD) is a brain-based neurodevelopmental disorder characterized by deficits in communication skills, social interactions, and interests as well as stereotypical behaviors. Children with ASD also have abnormalities in cognitive functioning, learning, attention, and sensory processing. This condition is typically present in early childhood.<sup>1)</sup> Studies show that the prevalence of autism differs from one in every 132 to one in every 68 individuals.<sup>2)</sup> Despite increased public awareness and research efforts, the etiology of autism remains largely unclear.<sup>1)</sup> Research suggests that autism develops from a combination of genetic and nongenetic or environmental influences. It is believed that the underlying mechanisms of the causes of ASD are most likely polygenic, and that environmental factors, along with genetic factors, may increase the risk of ASD.<sup>3)</sup> A review reported that there are macroscopic, microscopic, and functional abnormalities in the brains of people with autism. These numerous abnormalities indicate that etiologically, the period of causation may theoretically be in utero or early infancy.<sup>4)</sup> Evidence suggests that the risk factors for ASD are preterm birth, preeclampsia-assisted reproductive technology, and neonatal jaundice. 5-8) The relationship between small for gestational age (SGA) and ASD remains conflicting. Studies examining gestational age at birth and ASD reported different findings.<sup>9)</sup> A study in Denmark found a link between low gestational age (<35 weeks) and autism, whereas studies in Canada and Egypt found no relationship between SGA and ASD. 10,11)

SGA status is a distinct risk factor for birth weight or gestational age and reflects fetal growth and well-being. Larsson et al. reported an increased risk for SGA infants when adjusted for perinatal factors, but the risk did not remain statistically significant when adjusted for parental psychiatric history and socioeconomic characteristics. <sup>12,13)</sup> A recent study in Sweden reported that a low birth weight and low gestational age were associated with ASD. <sup>14)</sup> Low gestational age may indicate the vulnerability of the growing brain. Exposure to preterm birth,

such as maternal and fetal inflammation, may be one of several causes of ASD.<sup>15)</sup> This study aimed to conduct a meta-analysis to determine whether SGA infants have an increased risk of developing ASD. A previous meta-analysis study of 10 articles reported a significant relationship.3) This systematic review analyzed 16 studies that have investigated the relationship between SGA and ASD.

## Methods

## 1, Eligibility criteria

The outcome of interest was ASD. The exposure of interest was SGA. Epidemiological studies, including cohort and casecontrol studies, addressing the association between SGA and the risk of ASD were included irrespective of language, date of publication, nationality, race, or age.

#### 2. Information sources and search

The PubMed, Web of Science, and Scopus databases were searched from inception to November 2020 using the following search terms: (ASD or autism spectrum disorders or autism) and (SGA, small for gestational age). The reference lists of the identified studies were manually searched to identify additional studies.

#### 3, Study selection

EndNote reference manager ver. X7.4 (Clarivate, Philadelphia, PA, USA) software merged the search results and removed duplicate records from the same report. Two authors independently extracted all the data, and any disagreements were resolved by discussion. We retrieved the full texts of the potentially relevant reports and examined the full papers for study compliance with the eligibility criteria.

## 4. Data extraction

We extracted data from the included studies using an electronic data collection form prepared in Stata 11 (StataCorp, College Station, TX, USA). The data collection form included the following information: first author's name, year of publication, country, language, study design (cohort, case-control), sample size, control for confounding factors (adjusted, unadjusted), risk estimates, and 95% confidence intervals (CIs).

# 5. Methodological quality

The quality assessment was conducted using the Newcastle Ottawa Scale (NOS).<sup>16)</sup> The NOS was developed to evaluate the quality of nonrandomized studies with its design, content, and ease of use directed to the task of incorporating quality assessments in the interpretation of meta-analytic results. A study could achieve a maximum of 9 NOS points: 4 for quality of selection, 2 for comparability, and 3 for quality of exposure (case-control or cohort study). Studies with a NOS score of 7 or higher were considered high-quality studies, while those with a NOS score of less than 7 were classified as low- to moderatequality studies based on our previous studies.

## 6. Heterogeneity and reporting bias

Heterogeneity was assessed using the chi-square test, 17) while its quantity was measured using the  $I^2$  statistic. <sup>18)</sup> The possibility of publication bias was explored using Egger test and visualized using a funnel plot. 19)

#### 7. Summary measures

We expressed the association between SGA and the risk of ASD using odds ratio (OR) and 95% CI. Wherever reported, we used full adjusted forms of OR controlled for at least 2 or more potential confounding factors such as gestational age, maternal smoking, pregnancy-induced hypertensive disease, maternal age, parity, and mother's country of birth.

The data were analyzed, and the results were reported using a random-effects model.<sup>20)</sup> All statistical analyses were performed at a significance level of 0.05 using Stata 11.

## Results

## 1, Description of studies

The literature search strategy was selected for the metaanalysis of SGA and the risk of ASD (Fig. 1). The literature search included 346 publications in the electronic databases published until November 2020. An additional 478 articles that were identified through the manual search of the bibliography lists. We excluded 203 duplicates identified by EndNote and 596 irrelevant references through title and abstract review. A total of 25 references were included in the full-text review. Of them, we excluded 9 full papers because they did not meet the inclusion criteria for this meta-analysis. Finally, our meta-analysis collected data from 16 articles: 9 cohort studies and 7 case-control studies with 8,752,138 participants. 1,5,9-12,14,15,21-28) All studies were published in English. Three studies reported OR (Table 1) and

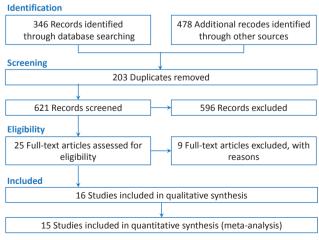


Fig. 1. Flow chart of the literature review and selection process.

Table 1, Summary of the study's results

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Study	Country	Design	Sample	Diagnose method	Child age (yr)	Estimate	Adjustment	Quality
Brumbaugh et al., <sup>5)</sup> 2020	USA	Cohort	7,876	DSM-IV	3 and more	HR	Adjusted	High
Kuzniewicz et al., <sup>22)</sup> 2014	USA	Cohort	7,659,024	ICD-9	2-11	OR	Crude	High
Buchmayer et al., 14) 2009	Sweden	Case-control	7,296	ICD-9	<10	OR	Adjusted	High
Hultman et al., <sup>27)</sup> 2002	Sweden	Case-control	2,448	ICD-9	<10	OR	Adjusted	High
Lampi et al., <sup>12)</sup> 2012	Finland	Case-control	6,700	ICD-10	No data	OR	Adjusted	High
Moore et al., 1) 2012	USA	Cohort	5,979,605	ICD-9	No data	OR	Adjusted	High
Quinlan et al., <sup>23)</sup> 2015	USA	Cohort	927,003	Medical record	<3	OR	Adjusted	High
Maimburg and Væth, 9) 2006	Denmark	Case-control	922	ICD-10	<10	OR	Crude	High
Haglund and Källén, 15) 2011	Sweden	Cohort	68,964	ICD-10/ DSM-IV	<7	OR	Crude	High
Dodds et al., <sup>21)</sup> 2011	Canada	Cohort	129,733	ICD-10	<2	OR	Crude	High
Sujan et al., <sup>25)</sup> 2017	Sweden	Cohort	1,580,629	ICD-9/ICD-10	<2	OR	Adjusted	High
Schieve et al., <sup>24)</sup> 2014	USA	Cohort	2,042	Medical record	8	OR	Adjusted	High
Maia et al., <sup>28)</sup> 2019	Brazil	Case-control	1,139	DSM-5	2-15	OR	Adjusted	High
Davidovitch et al., 26) 2020	USA	Case-control	4,963	DSM-IV	11.6	OR	Adjusted	High
Kaddah et al., <sup>11)</sup> 2013	Egypt	Case-control	100	M-chat	2-2.5	OR	Crude	Low
Vinet et al., 10) 2015	Canada	Cohort	9,212	ICD-9/ICD-10	3.7	OR	Adjusted	High

DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4th edition; ICD-9, International Statistical Classification of Diseases, ninth revision; ICD-10, International Statistical Classification of Diseases, 10th revision; DSM-5, Diagnostic and Statistical Manual of Mental Disorders, 5th edition; OR, odds ratio; HR, hazard ratio.

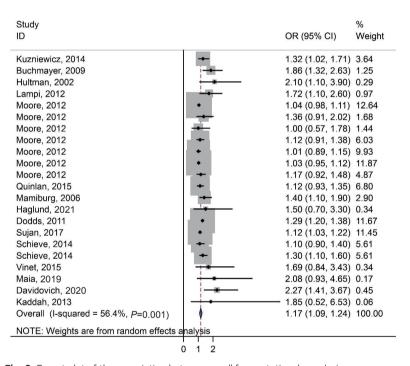


Fig. 2. Forest plot of the association between small for gestational age during pregnancy and the risk of autism spectrum disorders. OR, odds ratio; CI, confidence interval.

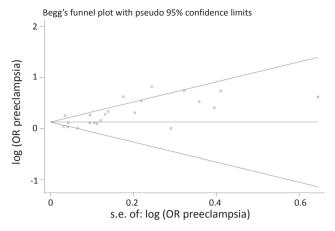
only 1 reported hazard ratios.<sup>5)</sup>

# 2. Effects of exposure

Fig. 2 demonstrates the association between SGA and the risk of ASD in the cohort and case-control studies. Based on the random-effects model, compared with SGA, the estimated OR of the risk of ASD was 1.17 (95% CI, 1.09–1.24; 15 studies; I<sup>2</sup> =56.4%). Therefore, there was a significant association between SGA and the risk of ASD. Brumbaugh et al.<sup>5)</sup> reported that the risk of ASD based on relative risk among SGA was 1.64 (95% CI, 0.75–3.56). Moore et al.<sup>1)</sup> reported an association between SGA and the risk of ASD at gestational ages 23-43, 23-31, 32-33, 34–36, 37–38, 39–41, and  $\geq$ 42 weeks separately. In addition, Schieve et al.<sup>24)</sup> showed this association in birth cohorts of 1994 and 2000.

### 3. Publication bias

Publication bias was assessed using Begg test (Fig. 3). There was no evidence of publication bias (P=0.030) among studies showing an association between SGA and the risk of ASD.



**Fig. 3.** Funnel plot of the association between small for gestational age during pregnasncy and the risk of autism spectrum disorders. OR, odds ratio.

Table 2. Quality of studies based on the Newcastle Ottawa Scale

Study	Selection	Comparability	Exposure	Total
Brumbaugh et al., <sup>5)</sup> 2020	4	2	2	8
Kuzniewicz et al., <sup>22)</sup> 2014	4	1	3	8
Buchmayer et al., 14) 2009	3	2	2	7
Hultman et al., <sup>27)</sup> 2002	3	2	2	7
Lampi et al., 12) 2012	3	2	2	7
Moore et al., 1) 2012	3	2	3	8
Quinlan et al., 23) 2015	3	2	2	7
Maimburg and Væth, 9) 2015	3	1	3	7
Haglund and Källén, 15) 2011	3	1	3	7
Dodds et al., <sup>21)</sup> 2011	4	1	2	7
Sujan et al., <sup>25)</sup> 2017	3	2	2	7
Schieve et al., 24) 2014	3	2	2	7
Maia et al., <sup>28)</sup> 2019	3	2	2	7
Davidovich et al., 26) 2020	3	2	2	7
Kaddah et al., <sup>11)</sup> 2013	2	1	1	4
Vinet et al., <sup>10)</sup> 2015	3	2	3	8

## 4. Study quality

According to the NOS scale, only 1 study was of low quality, while the rest of the studies were of high quality (Table 2).

## 5. Subgroup analysis

We performed a subgroup analysis based on the crude/adjusted form and study design. We found a significant association between SGA and risk of ASD in crude and adjusted studies of 1.30 (95% CI, 1.21–1.38) and 1.11 (95% CI, 1.04–1.18), respectively. In addition, there was a significant association between SGA and risk of ASD in cohort and case-control studies of 1.14 (95% CI, 1.06–1.21) and 1.60 (95% CI, 1.30–1.190), respectively (Table 3).

# Discussion

In this systematic review, we extracted the available data from

Table 3. Results of subgroup analysis of the association small for qestational age and the risk of autism spectrum disorders

Variable	Overweight					
Variable	No. of studies	OR (95% CI)	<i>I</i> <sup>2</sup>			
Study's design						
Case-control	5	1.60 (1.30-1.90)	0.0%			
Cohort	10	1.14 (1.06-1.21)	55.5%			
Adjusted/crude form						
Adjusted form	10	1.11 (1.04-1.18)	37.7%			
Crude form	5	1.30 (1.21-1.38)	0.0%			

OR, odds ratio; CI, confidence interval.

epidemiological studies to determine the association between SGA and the risk of ASD. Our results suggest that SGA is a risk factor for ASD.

Only 1 meta-analysis to date has been conducted on the association between SGA and the risk of ASD. They showed that SGA was a risk factor for ASD risk (OR, 1.35; 95% CI, 1.14–1.61).<sup>3)</sup> However, that meta-analysis included 10 studies published until 2007 and did not include a search of the Web of Science database.

The association between SGA and autism may reflect neurodevelopmental problems that occur in the prenatal period. In the prenatal period, the pathophysiology that limits fetal growth may also disrupt neurologic development. The main reason for intrauterine growth restriction and SGA is due to placental insufficiency, a situation in which the fetus does not reach its growth potential due to a limited transport of nutrients and oxygen.<sup>29)</sup>

Studies have reported that SGA is a marker for several risk factors before birth that may be associated with ASD, such as preeclampsia during pregnancy.<sup>6)</sup> In addition, maternal risk behaviors such as smoking, alcohol, or other substance use were associated with low birth weight, prematurity, and ASD.<sup>30,31)</sup>

This study has several limitations. To control for known risk factors of placental abruption, we used the adjusted form. However, some studies reported only the unadjusted form of OR. This might introduce information bias in our results, although we reported results based on the adjusted and unadjusted forms and found no significant difference between the results of adjusted and unadjusted studies. In addition, not all studies mentioned the participants' gestational ages at birth; therefore, we could not perform a subgroup analysis based on gestational age, which may have been a confounding factor. Despite the above limitations, the present meta-analysis could accurately estimate the association between SGA and the risk of ASD.

In conclusion, based on OR reports in epidemiological studies, we showed that SGA can increase the risk of and is a risk factor for ASD. The association between SGA and the risk of ASD has further relevance for the current public health emphasis on appropriate prepregnancy weight and pregnancy weight gain.

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## **Footnotes**

Conflicts of interest: No potential conflict of interest relevant to this article was reported.

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