

GORENSTEIN PROJECTIVE DIMENSIONS OF COMPLEXES UNDER BASE CHANGE WITH RESPECT TO A SEMIDUALIZING MODULE

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ABSTRACT. Let $R \rightarrow S$ be a ring homomorphism. The relations of Gorenstein projective dimension with respect to a semidualizing module of homologically bounded complexes between $U \otimes_R^L X$ and X are considered, where X is an R -complex and U is an S -complex. Some sufficient conditions are given under which the equality $\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(S \otimes_R^L X) = \mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_R(X)$ holds. As an application it is shown that the Auslander-Buchsbaum formula holds for G_C -projective dimension.

1. Introduction

The classical theory of homological dimensions is very important to commutative algebra. In particular, it is useful that there are a number of finiteness conditions on these dimensions which characterize regular rings. For example, if the projective dimension of each finitely generated R -module is finite, then R is a regular ring.

Semidualizing modules (cf. Definition 6) have been considered by many authors (see, for example, [4, 8, 9, 12–15]). For any commutative noetherian ring R , any semidualizing R -module C and any complex Z with bounded and finitely generated homology, Christensen introduced the dimension $G\text{-dim}_C Z$ in [4], and developed a satisfactory theory for this new invariant, which characterized Cohen-Macaulay rings in a way one could hope for. However, Christensen's $G\text{-dim}_C(-)$ only works when the argument has bounded and finitely generated homology. To circumvent this shortcoming, Holm and Jørgensen proposed to study a homological dimension based on a larger class of complexes: $\mathcal{G}\mathcal{P}_C$ -projective dimension of X , $\mathcal{G}\mathcal{P}_C\text{-pd}_R X$, for every homologically right-bounded complex X (see [8]). It was already known from [8] that for complexes with

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bounded and finitely generated homology, the $\mathcal{GP}_C\text{-pd}_R(-)$ agrees with Christensen's $G\text{-dim}_C(-)$.

Transfer of homological properties along ring homomorphisms is a classical field of study (see, for instance, [1, 2, 5, 6, 10, 16]). The main goal of this paper is to study the properties of \mathcal{GP}_C -projective dimensions for complexes over ring homomorphisms.

In this paper, all rings are commutative, unital, and noetherian.

2. Ring homomorphisms and G_C -projective dimensions

In this section, the Gorenstein projective dimension of complexes with respect to a semidualizing module is considered. First, we recall the following definitions for later use.

Definition 1. Let $\varphi : R \rightarrow S$ be a ring homomorphism. φ is said to be of *finite flat dimension* if flat dimension of S is finite as an R -module. We say φ is *faithfully flat* if S is a faithfully flat R -module (that is, S_R satisfies the condition that $0 \rightarrow A \rightarrow B$ is an exact sequence of R -modules if and only if $0 \rightarrow S \otimes_R A \rightarrow S \otimes_R B$ is exact). We call φ *finite* if it makes S a finite R -module, and we say that φ is *local* if R and S are local rings and $\varphi(\mathfrak{m}) \subseteq \mathfrak{n}$, where \mathfrak{m} and \mathfrak{n} are the maximal ideals of R and S .

Definition 2. An R -complex X is a sequence of R -modules X_i and R -linear maps $\partial_i^X : X_i \rightarrow X_{i-1}$, $i \in \mathbb{Z}$. If $X_i = 0$ for $i \neq 0$ we identify X with the module in degree 0, and an R -module M is thought of as a complex $0 \rightarrow M \rightarrow 0$, with M in degree 0. The homological position of a complex is captured by the numbers *supremum* and *infimum* defined by $\sup X = \sup\{i \in \mathbb{Z} \mid H_i(X) \neq 0\}$ and $\inf X = \inf\{i \in \mathbb{Z} \mid H_i(X) \neq 0\}$. By convention $\sup X = -\infty$ and $\inf X = \infty$ if $X \simeq 0$.

The category of R -complexes is denoted by $\mathcal{C}(R)$, and we use subscripts \square , \square and \square to denote boundedness conditions. For example, $\mathcal{C}_{\square}(R)$ is the full subcategory of $\mathcal{C}(R)$ of bounded complexes.

Definition 3. The *derived category* of the category of R -modules is the category of R -complexes localized at the class of all quasi-isomorphisms, it is denoted by $\mathcal{D}(R)$. The symbol " \simeq " is used to designate isomorphisms in $\mathcal{D}(R)$ and quasi-isomorphisms in $\mathcal{C}(R)$, and we use subscripts \square , \square and \square to denote homological boundedness conditions. Superscript " f " signifies that the homology is degreewise finitely generated. Thus, $\mathcal{D}_{\square}^f(R)$ denotes the full subcategory of $\mathcal{D}(R)$ of homologically right-bounded complexes with finitely generated homology modules.

Definition 4. The *left derived functor* of the tensor product functor of R -complexes is denoted by $- \otimes_R^{\mathbf{L}} -$, and $\mathbf{R}\text{Hom}_R(-, -)$ denotes the *right derived functor* of the homomorphism functor of complexes. For $X, Y \in \mathcal{D}(R)$ and $i \in \mathbb{Z}$, we set $\text{Tor}_i^R(X, Y) = H_i(X \otimes_R^{\mathbf{L}} Y)$ and $\text{Ext}_R^i(X, Y) = H_{-i}(\mathbf{R}\text{Hom}_R(X, Y))$.

For modules X and Y this agrees with the notation of classical homological algebra.

Definition 5. A complex $X \in \mathcal{D}_\square(R)$ is said to be of *finite projective (or flat) dimension* if $X \simeq U$, where U is a complex of projective (or flat) modules and $U_i = 0$ for $|i| \gg 0$. By $\mathbf{P}(R)$ and $\mathbf{F}(R)$ we denote the full subcategories of $\mathcal{D}_\square(R)$ whose objects are complexes of finite projective and flat dimension, respectively. Note that $\mathbf{P}_0(R)$ and $\mathbf{F}_0(R)$ are equivalent, respectively, to the full subcategories of modules of finite projective or flat dimension. We use two-letter abbreviations pd, fd for the homological dimensions.

Definition 6. A finitely generated R -module C is *semidualizing* if

- (a) The natural homothety morphism $R \rightarrow \text{Hom}_R(C, C)$ is an isomorphism,
- (b) $\text{Ext}_R^{\geq 1}(C, C) = 0$.

Let C be a semidualizing R -module. Set

$\mathcal{P}_C(R)$ = the subcategory of modules $C \otimes_R P$ where P is R -projective,

$\mathcal{F}_C(R)$ = the subcategory of modules $C \otimes_R F$ where F is R -flat.

Modules in $\mathcal{P}_C(R)$ and $\mathcal{F}_C(R)$ are called C -projective and C -flat, respectively.

A free R -module of rank one is semidualizing. If R admits a dualizing module D , then D is semidualizing.

Setting $C = R$ in the definition above we see that $\mathcal{P}_R(R)$ and $\mathcal{F}_R(R)$ are the classes of ordinary projective and flat R -modules, which we usually denote $\mathcal{P}(R)$ and $\mathcal{F}(R)$, respectively.

Definition 7. Let \mathcal{X} be a class of R -modules and M an R -module. An \mathcal{X} -resolution of M is a complex of R -modules in \mathcal{X} of the form

$$X = \cdots \rightarrow X_n \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_1 \rightarrow X_0 \rightarrow 0$$

such that $H_0(X) \cong M$ and $H_n(X) = 0$ for $n \geq 1$. The \mathcal{X} -projective dimension of M is the quantity

$$\mathcal{X}\text{-pd}_R(M) = \inf\{\sup\{n \geq 0 \mid X_n \neq 0\} \mid X \text{ is an } \mathcal{X}\text{-resolution of } M\}.$$

In particular, one has $\mathcal{X}\text{-pd}_R(0) = -\infty$. The modules of \mathcal{X} -projective dimension 0 are the nonzero modules of \mathcal{X} .

The \mathcal{P}_C -projective dimension and \mathcal{F}_C -projective dimension of M are defined as above in [13], which are called C -projective and C -flat dimension of M , respectively.

Lemma 2.1 ([7, Lem. 3.2]). *Let $\varphi : R \rightarrow S$ be a ring homomorphism of finite flat dimension and C a semidualizing R -module. Then $\tilde{C} = C \otimes_R S$ is a semidualizing S -module.*

Definition 8 ([15]). Let C be a semidualizing R -module.

A complete \mathcal{PP}_C -resolution is a complex X of R -modules satisfying the following:

- (1) X is exact and $\text{Hom}_R(-, \mathcal{P}_C(R))$ -exact, and
- (2) X_i is projective if $i \geq 0$ and X_i is C -projective if $i < 0$.

An R -module M is G_C -projective if there exists a complete $\mathcal{P}\mathcal{P}_C$ -resolution X such that $M \cong \text{Coker} \partial_1^X$, in which case X is a complete $\mathcal{P}\mathcal{P}_C$ -resolution of M .

We set

$$\mathcal{G}\mathcal{P}_C(R) = \text{the subcategory of } G_C\text{-projective } R\text{-modules.}$$

In the special case $C = R$, we set $\mathcal{G}\mathcal{P}_R(R) = \mathcal{G}\mathcal{P}(R)$, and $\mathcal{G}\mathcal{P}_R(R)\text{-pd}_R(-) = \text{Gpd}_R(-)$.

Example 2.2 ([8, Exam.2.8]). Projective and C -projective R -modules are G_C -projective.

Remark 2.3 ([8]). An R -module M is G_C -projective if and only if

(P1) $\text{Ext}_R^{\geq 1}(M, C \otimes_R P) = 0$ for any projective R -module P , and

(P2) there exist projective R -modules P_{-1}, P_{-2}, \dots together with an exact sequence:

$$X = 0 \rightarrow M \rightarrow C \otimes_R P_{-1} \rightarrow C \otimes_R P_{-2} \rightarrow \dots$$

such that this sequence stays exact when we apply the functor $\text{Hom}_R(-, C \otimes_R P)$ to it for any projective R -module P (i.e., M admits a proper $\mathcal{P}_C(R)$ -coresolution).

By Example 2.2, there exists for every homologically bounded below complex X a bounded below complex A of G_C -projective R -modules with $A \simeq X$ in $\mathcal{D}(R)$ (as one could take A to be a projective resolution of X). Every such A is called a G_C -projective resolution of X .

We proceed by recalling the definition of G_C -projective dimensions from [17].

Definition 9. The G_C -projective dimension, $\mathcal{G}\mathcal{P}_C\text{-pd}_R(X)$, of $X \in \mathcal{D}_{\square}(R)$ is defined as

$$\mathcal{G}\mathcal{P}_C\text{-pd}_R(X) = \inf\{\sup\{l \in \mathbb{Z} \mid A_l \neq 0\} \mid X \simeq A \in \mathcal{C}_{\square}^{\mathcal{G}\mathcal{P}_C}(R)\}.$$

For modules, this dimension above agree with Definition 7, see [17].

The following result is one of the main results in this paper.

Theorem 2.4. Let $\varphi : R \rightarrow S$ be a ring homomorphism of finite flat dimension. Assume that $X \in \mathcal{D}_{\square}(R)$. If U is a complex of finite projective dimension, i.e., $U \in \mathbf{P}(S)$, then

$$\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(U \otimes_R^{\mathbf{L}} X) \leq \mathcal{G}\mathcal{P}_C\text{-pd}_R(X) + \text{pd}_S U$$

provided $\mathbf{F}_0(S) \subseteq \mathbf{P}_0(R)$.

Proof. If $U \simeq 0$ or $X \simeq 0$, the $\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(U \otimes_R^{\mathbf{L}} X) = -\infty$ and so the result is clear. If $\mathcal{G}\mathcal{P}_C\text{-pd}_R(X) = \infty$, then there is nothing to do. So we assume that $U \not\simeq 0$ and $X \not\simeq 0$ and $\mathcal{G}\mathcal{P}_C\text{-pd}_R(X) < \infty$. Denote $\mathcal{G}\mathcal{P}_C\text{-pd}_R(X) = g \in \mathbb{Z}$. Then there exists a complex $A \in \mathcal{C}_{\square}^{\mathcal{G}\mathcal{P}_C}(R)$ which is equivalent to X in $\mathcal{D}(R)$

and has $A_l = 0$ for $l > g$ by [17, Thm. 3.5]. Since $U \in \mathbf{P}(S)$, there exists a bounded complex P of projective S -modules such that $U \simeq P$ and $P_l = 0$ when $l < v = \inf U$ or $l > u = \text{pd}_S U$. It is easy to see that U and P are quasi-isomorphisms as complexes of R -modules.

Note that $U \otimes_R^{\mathbf{L}} X$ is represented by the complex $P \otimes_R A$ by [17, Cor. 2.14] and for any $l \in \mathbb{Z}$,

$$(2.1) \quad (P \otimes_R A)_l = \bigoplus_{t \in \mathbb{Z}} P_t \otimes_R A_{l-t} = \bigoplus_{v \leq t \leq u, l-t \leq g} P_t \otimes_R A_{l-t}$$

is a $G_{\tilde{C}}$ -projective S -module by [7, Prop. 4.12], and direct sums of $G_{\tilde{C}}$ -projective S -modules are $G_{\tilde{C}}$ -projective by [15, Prop. 2.4]. So $P \otimes_R A \in \mathcal{C}^{\mathcal{G}\mathcal{P}\tilde{c}}(S)$. Furthermore, it is easy to see that $P \otimes_R A$ is bounded: by (2.1), we have $(P \otimes_R A)_l = 0$ for $g + u < l < g + v$. That is, $P \otimes_R A \in \mathcal{C}_{\square}^{\mathcal{G}\mathcal{P}\tilde{c}}(S)$, and therefore, $\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(U \otimes_R^{\mathbf{L}} X) \leq g + u = \mathcal{G}\mathcal{P}_C\text{-pd}_R(X) + \text{pd}_S U$ as desired. \square

Corollary 2.5. *Let $\varphi : R \rightarrow S$ be a ring homomorphism of finite flat dimension, and assume that $\dim R$ is finite. For every $X \in \mathcal{D}_{\square}(R)$, there is an inequality*

$$\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(S \otimes_R^{\mathbf{L}} X) \leq \mathcal{G}\mathcal{P}_C\text{-pd}_R(X).$$

Proof. Note that under the condition that $\varphi : R \rightarrow S$ is a ring homomorphism of finite flat dimension and $\dim R$ is finite, one has every S -module of finite flat dimension is of finite projective dimension over R via φ . Now the result follows from Theorem 2.4. \square

Next, we consider when the equality in Corollary 2.5 holds. To this end we need the next two lemmas.

Lemma 2.6 ([16, Lem. 3.2]). *Let $\varphi : R \rightarrow S$ be a faithfully flat finite ring homomorphism. If P is a projective R -module, then it is a direct summand (as an R -module) of the projective S -module $S \otimes_R P$.*

Lemma 2.7. *Let $\varphi : R \rightarrow S$ be a faithfully flat ring homomorphism. Assume that $\dim R$ is finite. Then an R -module M is G_C -projective if and only if $S \otimes_R M$ is a $G_{\tilde{C}}$ -projective S -module and $\text{Ext}_R^i(M, C \otimes_R P) = 0$ for all $i > 0$ and all projective R -modules P .*

Proof. The necessity follows from Remark 2.3 and [7, Prop. 4.12(3)]. The sufficiency follows from [18, Thm. 3.10, Cor. 3.11]. \square

Note that if $\varphi : R \rightarrow S$ is a faithfully flat ring homomorphism and $\dim S$ is finite, one has $\dim R$ is finite. Then we have:

Theorem 2.8. *Let $\varphi : R \rightarrow S$ be a faithfully flat finite ring homomorphism. If $\dim S$ is finite, then for every $X \in \mathcal{D}_{\square}(R)$, there is an equality*

$$\mathcal{G}\mathcal{P}_{\tilde{C}}\text{-pd}_S(S \otimes_R^{\mathbf{L}} X) = \mathcal{G}\mathcal{P}_C\text{-pd}_R(X).$$

Proof. By Corollary 2.5, it is enough to show that

$$\mathcal{GP}_C\text{-pd}_R(X) \leq \mathcal{GP}_{\tilde{C}}\text{-pd}_S(S \otimes_R^{\mathbf{L}} X).$$

Assume that $\mathcal{GP}_{\tilde{C}}\text{-pd}_S(S \otimes_R^{\mathbf{L}} X) = g < \infty$. Then by [17, Thm. 3.5], $\text{sup}(S \otimes_R^{\mathbf{L}} X) \leq g$ and for every bounded complex $A \simeq S \otimes_R^{\mathbf{L}} X$ of $G_{\tilde{C}}$ -projective S -modules, the module C_g^A is $G_{\tilde{C}}$ -projective.

Consider a G_C -projective resolution $G \xrightarrow{\sim} X$ over R . Then by [17, Cor. 2.14], $S \otimes_R^{\mathbf{L}} X \simeq S \otimes_R G$. Clearly, $S \otimes_R G$ is a complex of $G_{\tilde{C}}$ -projective S -modules by [7, Cor. 4.17]. Then $S \otimes_R G$ is a $G_{\tilde{C}}$ -projective resolution of $S \otimes_R X$, and so $\text{sup}(S \otimes_R G) \leq g$. Hence the sequence

$$\cdots \rightarrow S \otimes_R G_{g+2} \rightarrow S \otimes_R G_{g+1} \rightarrow S \otimes_R G_g$$

is exact. Clearly, it is exact as a sequence of R -modules. Since S is a faithfully flat R -module, the sequence

$$\cdots \rightarrow G_{g+2} \rightarrow G_{g+1} \rightarrow G_g$$

is exact. Consequently, one has $\text{sup} G \leq g$ and so $\text{sup} X \leq g$.

Next, we prove that C_g^G is G_C -projective. For $i > g$, one has $H_i(S \otimes_R G) = 0$. Right-exactness of the functor $S \otimes_R -$ yields an isomorphism $\text{Coker} \partial_n^{S \otimes_R G} \cong S \otimes_R \text{Coker} \partial_n^G$ for each n . Set $K = C_g^G$. By [17, Thm. 3.5], one has the S -module $C_g^{S \otimes_R G} \cong S \otimes_R K$ is $G_{\tilde{C}}$ -projective. For every projective R -module P , one has P is a direct summand of a projective S -module \tilde{Q} by Lemma 2.6. Let \mathbb{P} be a projective resolution of K . For all $i \geq 1$, one has $\tilde{C} \otimes_S Q \cong (C \otimes_R S) \otimes_S Q \cong C \otimes_R Q$, then we have

$$\begin{aligned} \text{Ext}_R^i(K, C \otimes_R Q) &= H_{-i}(\text{Hom}_R(\mathbb{P}, C \otimes_R Q)) \\ &= H_{-i}(\text{Hom}_R(\mathbb{P}, \text{Hom}_S(S, \tilde{C} \otimes_S Q))) \\ &= H_{-i}(\text{Hom}_S(S \otimes_R \mathbb{P}, \tilde{C} \otimes_S Q)) \\ &= \text{Ext}_S^i(S \otimes_R K, \tilde{C} \otimes_S Q) \\ &= 0. \end{aligned}$$

Therefor, one has $\text{Ext}_R^i(K, C \otimes_R P) = 0$ and so K is a G_C -projective R -module by Lemma 2.7. It follows from [17, Thm. 3.5] that $\mathcal{GP}_C\text{-pd}_R(X) < \infty$.

To prove the equality, using [17, Thm. 3.5], choose a projective R -module Q such that $\mathcal{GP}_C\text{-pd}_R(X) = -\inf \mathbf{RHom}_R(X, C \otimes_R Q)$. Since Q is a direct summand of a projective S -module \tilde{Q} by Lemma 2.6, hence one has

$$\begin{aligned} \mathcal{GP}_{\tilde{C}}\text{-pd}_S(S \otimes_R^{\mathbf{L}} X) &\geq -\inf \mathbf{RHom}_S(S \otimes_R^{\mathbf{L}} X, \tilde{C} \otimes_S \overline{Q}) \\ &= -\inf \mathbf{RHom}_R(X, \mathbf{RHom}_S(S, \tilde{C} \otimes_S \overline{Q})) \\ &= -\inf \mathbf{RHom}_R(X, \tilde{C} \otimes_S \overline{Q}) \\ &\geq -\inf \mathbf{RHom}_R(X, \tilde{C} \otimes_S Q) \\ &= -\inf \mathbf{RHom}_R(X, C \otimes_R Q) \end{aligned}$$

$$= \mathcal{GP}_C\text{-pd}_R(X).$$

The first step is by [17, Thm. 3.5], the second one is from Hom-tensor adjointness, the fourth one follows from Q is a direct summand of a projective S -module \bar{Q} and the last one comes from the choice of Q . This completes the proof. \square

3. An application

Let (R, \mathfrak{m}, k) be a local ring. Recall that the depth of an R -complex X is defined as

$$\text{depth}_R X = -\sup \mathbf{R}\text{Hom}_R(k, X).$$

The following equality is well-known as the Auslander-Buchsbaum formula: for any $X \in \mathbf{P}^f(R)$, there is an equality

$$(3.1) \quad \text{pd}_R X = \text{depth} R - \text{depth}_R X.$$

For homologically bounded complex with finite homology, for finite modules in particular, the G_C -projective dimension coincides with Christensen’s notion of G_C -dimension; see [8, Prop. 3.1]. Then we have the next equality, which is the Auslander-Buchsbaum formula of G_C -projective dimension.

Theorem 3.1. *Let R be local and $X \in \mathcal{D}_{\square}^f(R)$. If $G_C\text{-dim}_R X$ is finite, then there is an equality*

$$(3.2) \quad G_C\text{-dim}_R X = \text{depth} R - \text{depth}_R X.$$

Proof. By [8, Thm. 2.6], $G_C\text{-dim}_R X = \text{Gpd}_{R \times C} X$, where $R \times C$ is the trivial extension of R by C . On the other hand $\text{Gpd}_{R \times C} X = \text{depth} R \times C - \text{depth}_{R \times C} X$ by [4, Thm. 3.14] since $\text{Gpd}_{R \times C} X < \infty$. Note that

$$\text{depth} R \times C = \min\{\text{depth} R, \text{depth}_R C\} = \text{depth} R$$

since $\text{depth}_R C = \text{depth} R$ by [12, Thm. 2.2.6] and $\text{depth}_{R \times C} X = \text{depth}_R X$ by [3, Exercise 1.2.26]. \square

Then we have the following result for modules, and which recovers [11, Thm. 3.12] and [14, Thm. 2.5].

Corollary 3.2. *Let R be a local ring. Then for every finitely generated R -module $M \neq 0$ of finite G_C -dimension, there is an equality*

$$G_C\text{-dim}_R M = \text{depth} R - \text{depth}_R M.$$

Corollary 3.3. *Let $\varphi : R \rightarrow S$ be a local ring homomorphism of finite flat dimension. Assume that $X \in \mathcal{D}_{\square}^f(R)$ with $G_C\text{-dim}_R X$ finite and $U \in \mathbf{P}^f(S)$, then the following equality holds*

$$G_C\text{-dim}_S(U \otimes_R^{\mathbf{L}} X) = G_C\text{-dim}_R X + \text{pd}_S U.$$

Proof. By Theorem 2.4, one has $G_C\text{-dim}_S(U \otimes_R^{\mathbf{L}} X)$ is finite. By hypothesis, it is not hard to see that $U \otimes_R^{\mathbf{L}} X \in \mathcal{D}_{\square}^f(R)$ and $U \in \mathbf{P}(R)$. Since $G_C\text{-dim}_R X$ is finite, the complex X is homologically bounded above. Now the first equality in the computation below follows from (3.2), the second one follows by [5, Thm. 6.2(i)] and the last one follows from (3.2) and the Auslander-Buchsbaum formula (3.1).

$$\begin{aligned} G_C\text{-dim}_S(U \otimes_R^{\mathbf{L}} X) &= \text{depth} S - \text{depth}_S(U \otimes_R^{\mathbf{L}} X) \\ &= \text{depth} S - \text{depth}_S U - \text{depth}_R X + \text{depth} R \\ &= G_C\text{-dim}_R X + \text{pd}_S U. \end{aligned} \quad \square$$

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