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## **On** [m, C]-symmetric Operators

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ABSTRACT. In this paper first we show properties of isosymmetric operators given by M. Stankus [13]. Next we introduce an [m, C]-symmetric operator T on a complex Hilbert space  $\mathcal{H}$ . We investigate properties of the spectrum of an [m, C]-symmetric operator and prove that if T is an [m, C]-symmetric operator and Q is an n-nilpotent operator, respectively, then T + Q is an [m + 2n - 2, C]-symmetric operator. Finally, we show that if T is [m, C]-symmetric and S is [n, D]-symmetric, then  $T \otimes S$  is  $[m + n - 1, C \otimes D]$ -symmetric.

#### 1. Introduction

Let  $\mathcal{H}$  be a complex Hilbert space with the inner product  $\langle , \rangle$  and  $B(\mathcal{H})$  be the set of bounded linear operators on  $\mathcal{H}$ . Let  $\mathbb{N}$  be the set of all natural numbers. For the study of Jordan operators, J.W. Helton ([9] and [10]) introduced an operator

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 $T \in B(\mathcal{H})$  which satisfies

$$\alpha_m(T) := \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} T^j = 0 \quad (m \in \mathbb{N}).$$

In particular, if T is normal, then  $\alpha_m(T) = (T^* - T)^m$ . An operator  $T \in B(\mathcal{H})$  is said to be an *m*-symmetric operator if  $\alpha_m(T) = 0$ . Hence T is 1-symmetric if and only if T is Hermitian. It is well known that if T is *m*-symmetric, then T is *n*-symmetric for all  $n \geq m$ . The concept of *m*-symmetric operators is little strong. For example, if T is *m*-symmetric, then  $\sigma(T) \subset \mathbb{R}$  (cf.[10]). And T is Hermitian even if T is 2-symmetric. Also if T is normal and *m*-symmetric, then T is Hermitian due to the fact that  $T^* - T$  is normal and nilpotent, that is,  $T^* - T = 0$ .

Recently, C. Gu and M. Stankus ([8]) showed interesting properties of *m*-symmetric operators. On the other hand, for  $m \in \mathbb{N}$ , an operator  $T \in B(\mathcal{H})$  is said to be an *m*-isometric operator if

$$\beta_m(T) := \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} T^{m-j} = 0.$$

It is well known that if T is m-isometric, then T is n-isometric for all  $n \ge m$ . In 1995, J. Agler and M. Stankus [1] introduced an m-isometric operator and showed many important results of such an operator. If T is an invertible m-isometric operator and m is even, then T is (m-1)-isometric. But if T is m-symmetric and m is even, then T is always (m-1)-symmetric by Theorem 3.4 of [12]. For every odd number m, there exists an invertible m-isometric operator T which is not (m-1)-isometric (see Theorem 1 in [5]).

Throughout this paper, let I be the identity operator on  $\mathcal{H}$  and m, n be natural numbers. An operator  $Q \in B(\mathcal{H})$  is said to be a nilpotent operator of order n if  $Q^n = 0$  and  $Q^{n-1} \neq 0$ . For a subset  $A \subset \mathbb{C}$ , let  $A^* = \{\overline{z} : z \in A\}$ . Let  $\sigma(T)$  and  $\sigma_p(T)$  be the spectrum and the point spectrum of  $T \in B(\mathcal{H})$ , respectively. The approximate point spectrum of T is defined by  $\sigma_a(T) := \{z \in \mathbb{C} : T - zI \text{ is not surjective}\}$ . It is known that  $\sigma(T) = \sigma_a(T) \cup \sigma_s(T), \sigma_a(T)^* = \sigma_s(T^*)$ , and  $\sigma_s(T)^* = \sigma_a(T^*)$ .

#### 2. Isosymmetric Operators

First we show the following result of *m*-symmetric operators.

**Proposition 2.1.** Let  $T \in B(\mathcal{H})$ . Then the following statements hold;

- (a) T is a 2-symmetric operator if and only if T is Hermitian.
- (b) Let T be an m-symmetric operator. For  $a \neq b$  and non-zero vectors  $x, y \in \mathcal{H}$ , if Tx = ax and Ty = by, then  $\langle x, y \rangle = 0$ .

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(c) Let T be an m-symmetric operator. For  $a \neq b$  and sequences  $\{x_k\}, \{y_k\}$  of unit vectors of  $\mathcal{H}$ , if  $(T-a)x_k \to 0$  and  $(T-b)y_k \to 0$ , then  $\lim_{k \to \infty} \langle x_k, y_k \rangle = 0$ .

*Proof.* (a) If T is Hermitian, then it is obvious that T is 2-symmetric. If T is 2-symmetric, then T is 1-symmetric from [12, Theorem 3.4] and so it is Hermitian. (b) Since  $a, b \in \sigma(T)$ , it follows from [10] that a, b are real numbers. Hence it holds

$$0 = \langle \alpha_m(T)x, y \rangle = (b-a)^m \cdot \langle x, y \rangle.$$

Since  $a \neq b$ , we have  $\langle x, y \rangle = 0$ .

(c) By similar arguments of the proof of (b), a, b are real numbers and it holds

$$0 = \lim_{k \to \infty} \langle \alpha_m(T) x_k, y_k \rangle = (b - a)^m \cdot \lim_{k \to \infty} \langle x_k, y_k \rangle.$$

Since  $a \neq b$ , we have  $\lim_{k \to \infty} \langle x_k, y_k \rangle = 0$ .

**Definition 1.** For an operator  $T \in B(\mathcal{H})$ , we define  $\gamma_{m,n}(T)$  by

$$\gamma_{m,n}(T) = \sum_{j=0}^{m} (-1)^j \binom{m}{j} T^{*m-j} \alpha_n(T) T^{m-j} = \sum_{k=0}^{n} (-1)^k \binom{n}{k} T^{*n-k} \beta_m(T) T^k.$$

Then T is said to be (m, n)-isosymmetric if  $\gamma_{m,n}(T) = 0$ .

It is easy to see that

$$\gamma_{m+1,n}(T) = T^* \gamma_{m,n}(T) T - \gamma_{m,n}(T)$$
 and  $\gamma_{m,n+1}(T) = T^* \gamma_{m,n}(T) - \gamma_{m,n}(T) T$ .

Hence if T is (m, n)-isosymmetric, then T is (m', n')-isosymmetric for all  $n' \ge n$ and  $n' \ge n$ . M. Stankus proved the following properties.

**Proposition 2.2.** ([13, Corollary 30]) Let T be (m, n)-isosymmetric.

- (1) If  $\sigma(T) \subset \{x \in \mathbb{R} : |x| > 1\}$  or  $\sigma(T) \subset \{x \in \mathbb{R} : |x| < 1\}$ , then T is *n*-symmetric.
- (2) If  $\sigma(T) \subset \{e^{i\theta} : 0 < \theta < \pi\}$  or  $\sigma(T) \subset \{e^{i\theta} : \pi < \theta < 2\pi\}$ , then T is *m*-isometric.

For  $a, b \in \mathbb{C}$  and non-zero vectors  $x, y \in \mathcal{H}$ , if Tx = ax, Ty = by, then it holds that

$$\begin{aligned} \langle \gamma_{m,n}(T)x,y \rangle &= \langle (\sum_{j=0}^{m} (-1)^{j} \binom{m}{j} T^{*m-j} \alpha_{n}(T) T^{m-j})x,y \rangle \\ &= (a\overline{b}-1)^{m} (a-\overline{b})^{n} \langle x,y \rangle. \end{aligned}$$

Hence we have the following theorem.

**Theorem 2.3.** Let T be (m, n)-isosymmetric and x, y be unit vectors and  $x_k, y_k$  be sequences of unit vectors of  $\mathcal{H}$ .

- (1) If Tx = ax, Ty = by,  $a \neq b$  and  $a \neq \overline{b}$ , then  $\langle x, y \rangle = 0$ .
- (2) If  $(T-a)x_k \to 0, (T-b)y_k \to 0 \ (k \to \infty), a \neq b \ and \ a \neq \overline{b}, \ then$  $<math display="block">\lim_{k \to \infty} \langle x_k, y_k \rangle = 0.$

**Theorem 2.4.** Let T be (m, n)-isosymmetric.

- (1) Then  $T^k$  is (m, n)-isosymmetric for any  $k \in \mathbb{N}$ .
- (2) If T is invertible, then  $T^{-1}$  is (m, n)-isosymmetric.

*Proof.* (1) Note that for  $k \in \mathbb{N}$ , the following equation holds;

$$(y^{k}x^{k} - 1)^{m}(y^{k} - x^{k})^{n}$$

$$= ((yx - 1)(y^{k-1}x^{k-1} + y^{k-2}x^{k-2} + \dots + 1))^{m} \cdot ((y - x)(y^{k-1} + y^{k-2}x + \dots + x^{k-1}))^{n}$$

$$= \sum_{\ell=0}^{m(k-1)} \sum_{j=0}^{n(k-1)} \lambda_{\ell}\mu_{j}y^{m(k-1)-\ell}y^{n(k-1)-j}(yx - 1)^{m}(y - x)^{n}x^{j}x^{m(k-1)-\ell}$$

where  $\lambda_{\ell}$  and  $\mu_j$  are some constants. From this, we have

$$\gamma_{m,n}(T^k) = \sum_{\ell=0}^{m(k-1)} \sum_{j=0}^{n(k-1)} \lambda_\ell \mu_j T^{*m(k-1)-\ell+n(k-1)-j} \gamma_{m,n}(T) T^{j+m(k-1)-\ell}.$$

Hence  $T^k$  is (m, n)-isosymmetric.

(2) Assume that T is invertible. Since

$$\begin{array}{lcl} 0 &=& T^{*-m-n}\gamma_{m,n}(T)T^{-m-n} \\ &=& \sum_{j=0}^{m}(-1)^{j} \left( \begin{array}{c} m \\ j \end{array} \right) T^{*-m-n}T^{*m-j}\alpha_{n}(T)T^{m-j}T^{-m-n} \\ &=& \sum_{j=0}^{m}(-1)^{j} \left( \begin{array}{c} m \\ j \end{array} \right) T^{*-n-j}\alpha_{n}(T)T^{-n-j} \\ &=& \sum_{j=0}^{m}(-1)^{j} \left( \begin{array}{c} m \\ j \end{array} \right) T^{*-j} \left( T^{*-n}\alpha_{n}(T)T^{-n} \right) T^{-j} \\ &=& \begin{cases} \sum_{j=0}^{m}(-1)^{j} \left( \begin{array}{c} m \\ j \end{array} \right) T^{*-j} \cdot (\alpha_{n}(T^{-1})) \cdot T^{-j} &=& \gamma_{m,n}(T^{-1}) & (m \text{ is even}) \\ &\sum_{j=0}^{m}(-1)^{j} \left( \begin{array}{c} m \\ j \end{array} \right) T^{*-j} \cdot (-\alpha_{n}(T^{-1})) \cdot T^{-j} &=& -\gamma_{m,n}(T^{-1}) & (m \text{ is odd}), \end{cases} \end{array}$$

it follows that  $T^{-1}$  is (m, n)-isosymmetric.

Operators T and S are said to be *doubly commuting* if TS = ST and  $TS^* = S^*T$ . From the equation

$$((y_1 + y_2)(x_1 + x_2) - 1)^m ((y_1 + y_2) - (x_1 + x_2))^n$$
  
=  $\sum_{j=0}^n \sum_{i+l+h=m} \binom{n}{j} \binom{m}{i,l,h} (y_1 + y_2)^i y_2^l (y_1 x_1 - 1)^h (y_1 - x_1)^{n-j} (y_2 - x_2)^j x_1^l x_2^i$ 

if T and S are doubly commuting, then it holds (2.1)

$$\gamma_{m,n}(T+S) = \sum_{j=0}^{n} \sum_{i+l+h=m} \binom{n}{j} \binom{m}{i,l,h} \cdot (T^*+S^*)^i S^{*l} \gamma_{h,n-j}(T) \alpha_j(S) T^l S^i.$$

**Theorem 2.5.** Let T be (m, n)-isosymmetric and let Q be a nilpotent operator of order k. If T and Q are doubly commuting, then T + Q is (m + 2k - 2, n + 2k - 1)-isosymmetric.

*Proof.* From equation (2.1), it holds

$$\gamma_{m+2k-2,n+2k-1}(T+Q) = \sum_{j=0}^{n+2k-1} \sum_{i+l+h=m+2k-2} \binom{n+2k-1}{j} \binom{m+2k-2}{i,l,h}$$
$$\cdot (T^*+Q^*)^i Q^{*l} \gamma_{h,n+2k-1-j}(T) \alpha_j(Q) T^l Q^i.$$

(1) If  $j \ge 2k$  or  $i \ge k$  or  $l \ge k$ , then  $\alpha_j(Q) = 0$  or  $Q^i = 0$  or  $Q^{*l} = 0$ , respectively. (2) If  $j \le 2k - 1$  and  $i \le k - 1$  and  $l \le k - 1$ , then  $h = m + 2k - 2 - i - l \ge m$  and  $n + 2k - 1 - j \ge n + 2k - 1 - (2k - 1) = n$ , i.e.,  $\gamma_{h,n+2k-1-j}(T) = 0$ .

By (1) and (2) we have  $\gamma_{m+2k-2,n+2k-1}(T+Q) = 0$ . Therefore T+Q is (m+2k-2, n+2k-1)-isosymmetric.

Note that the equation

$$(y_1y_2x_1x_2-1)^m \cdot (y_1y_2-x_1x_2)^n$$
  
=  $\sum_{k=0}^m \sum_{j=0}^n \binom{m}{k} \binom{n}{j} y_1^{j+k} (y_1x_1-1)^{m-k} (y_1-x_1)^{n-j} (y_2x_2-1)^k (y_2-x_2)^j x_1^k x_2^{n-j}$ 

From this, if T and S are doubly commuting, then it holds

(2.2) 
$$\gamma_{m,n}(TS) = \sum_{k=0}^{m} \sum_{j=0}^{n} \binom{m}{k} \binom{n}{j} T^{*j+k} \gamma_{m-k,n-j}(T) \cdot \gamma_{k,j}(S) T^{k} S^{n-j}.$$

**Theorem 2.6.** Let T be (m, n)-isosymmetric and let S be m'-isometric and n'symmetric. If T and S are doubly commuting, then TS is (m + m' - 1, n + n' - 1)isosymmetric. *Proof.* From equation (2.2), it holds

$$\gamma_{m+m'-1,n+n'-1}(TS) = \sum_{k=0}^{m+m'-1} \sum_{j=0}^{n+n'-1} \binom{n+n'-1}{j} \binom{m+m'-1}{k} T^{*j+k}$$
$$\cdot \gamma_{m+m'-1-k,n+n'-1-j}(T) \cdot \gamma_{k,j}(S) \cdot T^k S^{n-j}.$$

(1) If  $k \ge m'$  or  $j \ge n'$ , then  $\gamma_{k,j}(S) = 0$ . (2) If  $k \le m' - 1$  and  $i \le n' - 1$  then m

(2) If  $k \le m' - 1$  and  $j \le n' - 1$ , then  $m + m' - 1 - k \ge m$  and  $n + n' - 1 - j \ge n$ , i.e.,  $\gamma_{m+m'-1-k,n+n'-1-j}(T) = 0$ .

By (1) and (2) we have  $\gamma_{m+m'-1,n+n'-1}(TS) = 0$ . Hence it completes the proof.

For a complex Hilbert space  $\mathcal{H}$ , let  $\mathcal{H} \otimes \mathcal{H}$  denote the completion of the algebraic tensor product of  $\mathcal{H}$  and  $\mathcal{H}$  endowed a reasonable uniform cross-norm. For operators  $T \in B(\mathcal{H})$  and  $S \in B(\mathcal{H})$ ,  $T \otimes S \in B(\mathcal{H} \otimes \mathcal{H})$  denote the *tensor product* operator defined by T and S. Note that  $T \otimes S = (T \otimes I)(I \otimes S) = (I \otimes S)(T \otimes I)$ .

**Theorem 2.7.** Let T be (m, n)-isosymmetric and let S be m'-isometric and n'symmetric. Then  $T \otimes S$  is (m + m' - 1, n + n' - 1)-isosymmetric.

*Proof.* It is clear that if T is (m, n)-isosymmetric, then  $T \otimes I$  is (m, n)-isosymmetric and if S is m'-isometric and n'-symmetric, then  $I \otimes S$  is m'-isometric and n'-symmetric. Since  $T \otimes I$  and  $I \otimes S$  are doubly commuting, it follows from Theorem 2.6 that  $T \otimes S$  is (m + m' - 1, n + n' - 1)-isosymmetric. Hence it completes the proof.  $\Box$ 

#### 3. Conjugation and Example

In this section, we introduce [m, C]-symmetric operators and provide several examples. An antilinear operator C on  $\mathcal{H}$  is said to be a *conjugation* if C satisfies  $C^2 = I$  and  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$ . An operator  $T \in B(\mathcal{H})$  is said to be *complex symmetric* if  $CTC = T^*$  for some conjugation C.

**Definition 2.** For an operator  $T \in B(\mathcal{H})$  and a conjugation C, we define the operator  $\alpha_m(T; C)$  by

$$\alpha_m(T;C) = \sum_{j=0}^m (-1)^j \binom{m}{j} C T^{m-j} C \cdot T^j.$$

An operator  $T \in B(\mathcal{H})$  is said to be an [m, C]-symmetric operator if  $\alpha_m(T; C) = 0$ .

Hence if T is complex symmetric and  $[m,C]\mbox{-symmetric},$  then T is  $m\mbox{-symmetric}.$  It holds that

(3.1) 
$$CTC \cdot \alpha_m(T;C) - \alpha_m(T;C) \cdot T = \alpha_{m+1}(T;C).$$

Moreover, if T is [m, C]-symmetric, then T is [n, C]-symmetric for every natural number  $n (\geq m)$  and  $\ker(\alpha_{m-1}(T; C)) \ (m \geq 2)$  is an invariant subspace for T.

**Example 3.1.** Let  $\mathcal{H} = \mathbb{C}^2$  and let C be a conjugation on  $\mathcal{H}$  given by  $C\begin{pmatrix} x\\ y \end{pmatrix} = \begin{pmatrix} \overline{y}\\ \overline{x} \end{pmatrix}$  for  $x, y \in \mathbb{C}$ .

(a) If  $T = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix}$  on  $C^2$ , then T is not Hermitian and  $CTC = \begin{pmatrix} i & 1 \\ 1 & -i \end{pmatrix} = T$ . Hence T is [1, C]-symmetric.

Hence, in this case,  $\sigma(T) = \{0\}$  due to the fact that T is nilpotent.

- (b) Let  $S = \begin{pmatrix} i & \sqrt{2} \\ \sqrt{2} & -i \end{pmatrix}$  on  $C^2$ . Then S is not Hermitian and  $CSC = \begin{pmatrix} i & \sqrt{2} \\ \sqrt{2} & -i \end{pmatrix}$  and  $CSC = S \neq S^*$ . Therefore, S is [1, C]-symmetric. Furthermore,  $\sigma(S) = \{1, -1\}$ .
- (c) If  $R = \begin{pmatrix} 1 & \frac{1}{2}i \\ \frac{1}{2}i & 2 \end{pmatrix}$  on  $C^2$ , then  $CR^2C - 2CRC \cdot R + R^2 = \begin{pmatrix} \frac{15}{4} & -\frac{3}{2}i \\ -\frac{3}{2}i & \frac{3}{4} \end{pmatrix} - 2\begin{pmatrix} \frac{9}{4} & 0 \\ 0 & \frac{9}{4} \end{pmatrix} + \begin{pmatrix} \frac{3}{4} & \frac{3}{2}i \\ \frac{3}{2}i & \frac{15}{4} \end{pmatrix} = 0.$

Hence R is [2, C]-symmetric. It is easy to see that R is not [1, C]-symmetric. Moreover,  $\sigma(R) = \{\frac{3}{2}\}.$ 

(d) Let  $W = \begin{pmatrix} 2i & 1 \\ 1 & -2i \end{pmatrix}$  on  $C^2$ . Then it is easy to see that  $CWC = W \neq W^*$ . Hence W is [1, C]-symmetric and  $\sigma(W) = \{\sqrt{3}i, -\sqrt{3}i\}$ .

**Example 3.2.** Let  $\mathcal{H} = \ell^2$ , let  $\{e_n\}_{n=1}^{\infty}$  be the natural basis of  $\mathcal{H}$  and let  $C : \mathcal{H} \longrightarrow \mathcal{H}$  be a conjugation given by

$$C(\sum_{n=1}^{\infty} x_n e_n) = \sum_{n=1}^{\infty} \overline{x_n} e_n$$

where  $\{x_n\}$  is a sequence in C with  $\sum_{n=1}^{\infty} |x_n|^2 < \infty$  and  $Ce_n = e_n$ .

- (i) If  $U \in B(\mathcal{H})$  is the unilateral shift on  $\ell^2$ , then it is easy to compute U = CUCand so U is a [1, C]-symmetric operator with  $\sigma(U) = \mathbb{D}$  (unit disk).
- (ii) Let W be the weighted shift given by  $We_n = \alpha_n e_{n+1}$ , where  $\alpha_n = \begin{cases} 2i & (n=1) \\ \frac{n+1}{n}i & (n \ge 2). \end{cases}$  Then  $(CW^2C - 2CWCW + W^2)e_n = [(\overline{\alpha_n} - \alpha_n)\overline{\alpha_{n+1}} - \alpha_n(\overline{\alpha_{n+1}} - \alpha_{n+1})]e_n$

for all  $n \geq 1$ . Hence W is [2, C]-symmetric operator.

An operator  $A \in \mathcal{L}(\mathcal{H})$  is *n*-Jordan if A = T + N where T is self-adjoint, N is nilpotent of order  $[\frac{n+1}{2}]$ , and TN = NT where [k] denotes the integer part of k.

**Example 3.3.** Let C be a conjugation C on  $\mathcal{H}$ . Suppose that A = T + N is an *n*-Jordan operator where  $T = T^* = CTC$ , N is nilpotent of order  $[\frac{n+1}{2}]$ , TN = NT, and CN = NC. Then A is [n, C]-symmetric for the conjugation C. Indeed, since  $T = T^* = CTC$ , TN = NT, and CN = NC, it follows that

$$\sum_{j=0}^{n} (-1)^{j} \binom{n}{j} C A^{n-j} C \cdot A^{j} = \sum_{j=0}^{n} (-1)^{j} \binom{n}{j} (T+N)^{n-j} \cdot (T+N)^{j} = 0$$

which means that A is an *n*-symmetric operator from [12, Theorem 3.2]. Hence A is [n, C]-symmetric.

### 4. [m, C]-symmetric Operators

Let C be a conjugation on  $\mathcal{H}$ . Then C satisfies ||Cx|| = ||x|| and  $C(\alpha x) = \overline{\alpha} \cdot Cx$ for all  $x \in \mathcal{H}$  and all  $\alpha \in \mathbb{C}$ . Moreover, since  $C^2 = I$ , it follows that  $(CTC)^* = CT^*C$  and  $(CTC)^n = CT^nC$  for every positive integer n (see [7] for more details).

We now provide properties of [m, C]-symmetric operators.

**Theorem 4.1.** Let  $T \in B(\mathcal{H})$  and let C be a conjugation on  $\mathcal{H}$ . Then the following assertions hold;

- (a) T is an [m, C]-symmetric operator if and only if so is  $T^*$ .
- (b) If T is an [m, C]-symmetric operator, then  $T^k$  is [m, C]-symmetric for any  $k \in \mathbb{N}$ .
- (c) If T is an [m, C]-symmetric operator and invertible, then  $T^{-1}$  is [m, C]-symmetric.
- (d) If T is a [2, C]-symmetric operator, then  $\ker(T) \subset \ker(T^2) \bigcap C(\ker(T^2))$ .

*Proof.* (a) Since T is [m, C]-symmetric, it follows that  $\alpha_m(T; C) = 0$ . Therefore,

$$0 = C(\alpha_m(T;C))^*C = \begin{cases} \alpha_m(T^*;C) & (m \text{ is even}) \\ -\alpha_m(T^*;C) & (m \text{ is odd}). \end{cases}$$

Hence  $T^*$  is [m, C]-symmetric. The converse implication holds in a similar way. (b) Note that

$$(a^{k}-b^{k})^{m} = \left((a-b)(a^{k-1}+a^{k-2}b+\dots+b^{k-1})\right)^{m} = \sum_{j=0}^{m(k-1)} \lambda_{j}a^{m(k-1)-j}(a-b)^{m}b^{j},$$

where  $\lambda_j$  are some coefficients (j = 0, ..., m(k - 1)). This implies that

$$\alpha_m(T^k; C) = \sum_{j=0}^{m(k-1)} \lambda_j C T^{m(k-1)-j} C \cdot \alpha_m(T; C) \cdot T^j = 0.$$

Hence  $T^k$  is [m, C]-symmetric.

(c) Since T is [m, C]-symmetric, it follows that  $\alpha_m(T; C) = 0$  and therefore

$$0 = CT^{-m}C \cdot \alpha_m(T;C) \cdot T^{-m} = \begin{cases} \alpha_m(T^{-1};C) & (m \text{ is even}) \\ -\alpha_m(T^{-1};C) & (m \text{ is odd}). \end{cases}$$

Hence  $T^{-1}$  is [m, C]-symmetric.

(d) It is clear  $\ker(T) \subset \ker(T^2)$ . If T is [2, C]-symmetric and  $x \in \ker(T)$ , then

$$CT^2Cx = 2CTCTx - T^2x = 0$$

and hence  $T^2Cx = 0$ . Thus  $Cx \in \ker(T^2)$  and so  $x \in C(\ker(T^2))$ . Hence we get  $\ker(T) \subset \ker(T^2) \bigcap C(\ker(T^2))$ .

**Lemma 4.2.** For  $T \in B(\mathcal{H})$ , a conjugation C, and two complex numbers  $\lambda, \mu$ , it holds

$$\alpha_m(T;C) = \sum_{n_1+n_2+n_3=m} (-1)^{n_2} \binom{m}{n_1, n_2, n_3} (CTC - \lambda I)^{n_1} (T - \mu I)^{n_2} (\lambda - \mu)^{n_3}.$$

In particular, for  $\lambda \in \mathbb{C}$  we have

(4.1) 
$$\alpha_m(T;C) = \sum_{j=0}^m (-1)^j \binom{m}{j} (CTC - \lambda I)^{m-j} (T - \lambda I)^j.$$

Proof. Using the multinomial formula, it holds

$$\begin{aligned} \alpha_m(T;C) &= (y-x)^m \big|_{y=CTC, x=T} \\ &= \left( \left[ y-\lambda \right] - \left[ x-\mu \right] + \left[ \lambda - \mu \right] \right)^m \big|_{y=CTC, x=T} \\ &= \sum_{n_1+n_2+n_3=m} (-1)^{n_2} \binom{m}{n_1, n_2, n_3} (y-\lambda)^{n_1} (x-\mu)^{n_2} (\lambda-\mu)^{n_3} \big|_{y=CTC, x=T} \\ &= \sum_{n_1+n_2+n_3=m} (-1)^{n_2} \binom{m}{n_1, n_2, n_3} (CTC - \lambda I)^{n_1} (T-\mu I)^{n_2} (\lambda-\mu)^{n_3}. \end{aligned}$$

Equation (4.1) follows from  $\lambda = \mu$  in the first formula.

By Lemma 2.7 of [3], for  $T \in B(\mathcal{H})$  and two complex numbers  $\lambda, \mu$ , it holds

$$\beta_m(T) = \sum_{n_1+n_2+n_3=m} (-1)^{n_2} \binom{m}{n_1, n_2, n_3} (T^* - \overline{\mu}I)^{n_1} T^{n_1} \overline{\mu}^{n_2} (T - \lambda I)^{n_2} (\lambda \overline{\mu} - 1)^{n_3}.$$

We investigate properties of spectra of [m, C]-symmetric operators. In [11], S. Jung, E. Ko and J. E. Lee proved the following result.

**Proposition 4.3.**([11, Lemma 3.21]) If C is a conjugation on  $\mathcal{H}$  and  $T \in B(\mathcal{H})$ , then  $\sigma(CTC) = \sigma(T)^*, \sigma_p(CTC) = \sigma_p(T)^*, \sigma_a(CTC) = \sigma_a(T)^*$  and  $\sigma_s(CTC) = \sigma_s(T)^*$ .

**Theorem 4.4.** Let  $T \in B(\mathcal{H})$  be an [m, C]-symmetric operator where C is a conjugation on  $\mathcal{H}$ . Then  $\sigma_p(T) = \sigma_p(T)^*, \sigma_a(T) = \sigma_a(T)^*, \sigma_s(T) = \sigma_s(T)^*$  and  $\sigma(T) = \sigma(T)^*$ .

*Proof.* Let  $z \in \sigma_a(T)$ . Then there exists a sequence  $\{x_n\}$  of unit vectors such that  $(T-z)x_n \to 0 \ (n \to \infty)$ . By equation (4.1) it holds

$$\alpha_m(T;C) = \sum_{j=0}^m (-1)^j \binom{m}{j} (CTC - zI)^{m-j} (T - zI)^j.$$

Hence we have  $0 = \lim_{n \to \infty} \alpha_m(T; C) x_n = \lim_{n \to \infty} (CTC - z)^m x_n$ . Therefore, it is easy to see  $z \in \sigma_a(CTC)$ . Hence  $\sigma_a(T) \subset \sigma_a(CTC)$ . Since  $\sigma_a(CTC) = \sigma_a(T)^*$ by Proposition 4.3, this means  $\sigma_a(T) \subset \sigma_a(T)^*$ . Hence  $\sigma_a(T)^* \subset \sigma_a(T)^{**} = \sigma_a(T)$ and so  $\sigma_a(T) = \sigma_a(T)^*$ .

Since  $T^*$  is also an [m, C]-symmetric operator by Theorem 4.1, we have  $\sigma_a(T^*) = \sigma_a(T^*)^*$ . Hence  $\sigma_s(T) = \sigma_s(T)^*$  and  $\sigma(T) = \sigma_a(T) \cup \sigma_s(T) = \sigma_a(T)^* \cup \sigma_s(T)^* = \sigma(T)^*$ . From the above proof it is clear that  $\sigma_p(T) = \sigma_p(T)^*$ .  $\Box$ 

For  $T, S \in B(\mathcal{H})$ , a pair (T, S) of operators is said to be a *C*-doubly commuting pair if TS = ST and  $CSC \cdot T = T \cdot CSC$  for a conjugation C.

**Lemma 4.5.** Let (T, S) be a C-doubly commuting pair where C is a conjugation on  $\mathcal{H}$ . Then it holds

(4.2) 
$$\alpha_m(T+S;C) = \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot \alpha_{m-j}(S;C)$$

*Proof.* From the assumption, it holds  $T \cdot CS^j C = CS^j C \cdot T$  and  $S \cdot CT^j C = CT^j C \cdot S$  for every  $j \in \mathbb{N}$ . It is clear that equation (4.2) holds for m = 1. Assume that equation (4.2) holds for m. Then by (3.1) we have

$$\alpha_{m+1}(T+S;C)$$

$$= C(T+S)C \cdot \alpha_m(T+S;C) - \alpha_m(T+S;C) \cdot (T+S)$$
  
$$= \sum_{j=0}^m \binom{m}{j} (CTC + CSC) \cdot \alpha_j(T;C) \cdot \alpha_{m-j}(S;C)$$
  
$$- \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot \alpha_{m-j}(S;C) \cdot (T+S)$$

$$= \sum_{j=0}^{m} {m \choose j} \left( CTC \cdot \alpha_j(T;C) - \alpha_j(T;C) \cdot T \right) \alpha_{m-j}(S;C) \\ + \sum_{j=0}^{m} {m \choose j} \alpha_j(T;C) \left( CSC \cdot \alpha_{m-j}(S;C) - \alpha_{m-j}(S;C) \cdot S \right) \\ = \sum_{j=0}^{m} {m \choose j} \alpha_{j+1}(T;C) \cdot \alpha_{m-j}(S;C) + \sum_{j=0}^{m} {m \choose j} \alpha_j(T;C) \cdot \alpha_{m+1-j}(S;C) \\ = \sum_{j=0}^{m+1} {m+1 \choose j} \alpha_j(T;C) \cdot \alpha_{m+1-j}(S;C).$$

Hence equation (4.2) holds for any  $m \in \mathbb{N}$ .

Therefore we have the following theorem.

**Theorem 4.6.** Let  $T \in B(\mathcal{H})$  be an [m, C]-symmetric operator and let  $S \in B(\mathcal{H})$  be an [n, C]-symmetric operator where C is a conjugation on  $\mathcal{H}$ . If (T, S) is a C-doubly commuting pair, then T + S is an [m + n - 1, C]-symmetric operator.

Proof. By Lemma 4.5, it holds

$$\alpha_{m+n-1}(T+S;C) = \sum_{j=0}^{m+n-1} {m+n-1 \choose j} \alpha_j(T;C) \cdot \alpha_{m+n-1-j}(S;C).$$

(i) If  $0 \le j \le m-1$ , then  $m+n-1-j \ge m+n-1-(m-1)=n$ . Therefore we have

 $\alpha_{m+n-1-j}(S;C) = 0.$ 

(ii) If 
$$j \ge m$$
, then  $\alpha_j(T; C) = 0$ .

Hence we get  $\alpha_{m+n-1}(T+S;C) = 0$  and so T+S is [m+n-1,C]-symmetric.  $\Box$ 

**Theorem 4.7.** Let C be a conjugation on  $\mathcal{H}$ . If Q is a nilpotent operator of order n, then Q is a [2n - 1, C]-symmetric operator.

Proof. It holds

$$\alpha_{2n-1}(Q;C) = \sum_{j=0}^{2n-1} (-1)^j \binom{2n-1}{j} CQ^{2n-1-j}C \cdot Q^j.$$

(i) If  $0 \le j \le n-1$ , then  $2n-1-j \ge 2n-1-(n-1)=n$ . Hence  $Q^{2n-1-j}=0$ . (ii) If  $j \ge n$ , then  $Q^j = 0$ .

Therefore  $\alpha_{2n-1}(Q; C) = 0$  and hence Q is [2n-1, C]-symmetric.

**Corollary 4.8.** Let  $T \in B(\mathcal{H})$  be an [m, C]-symmetric operator and let  $Q \in B(\mathcal{H})$  be a nilpotent operator of order n where C is a conjugation on  $\mathcal{H}$ . If (T, Q) is a C-doubly commuting pair, then T + Q is an [m + 2n - 2, C]-symmetric operator.

**Example 4.9.** Let  $C_n$  be the conjugation on  $C^n$  defined by

$$C_n(z_1, z_2, \cdots, z_n) := (\overline{z_1}, \overline{z_2}, \cdots, \overline{z_n}).$$

Assume that  $R_n$  is an  $n \times n$  matrix as follows;

$$R_n = aI_n + Q_n = \begin{pmatrix} a & 0 & 0 & \cdots & 0 \\ 0 & a & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & \ddots & 0 \\ 0 & 0 & 0 & \cdots & a \end{pmatrix} + \begin{pmatrix} 0 & b & 0 & \cdots & 0 \\ 0 & 0 & b & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & b \\ 0 & 0 & 0 & \cdots & 0 \end{pmatrix}$$

for  $a, b \in C$ . Since  $Q_n$  is nilpotent of order n, it follows that Corollary 4.8 that  $R_n$  is a  $[2n-1, C_n]$ -symmetric operator.

**Lemma 4.10.** If (T, S) is a C-doubly commuting pair where C is a conjugation on  $\mathcal{H}$ , then it holds

(4.3) 
$$\alpha_m(TS;C) = \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot T^{m-j} \cdot CS^j C \cdot \alpha_{m-j}(S;C).$$

*Proof.* It is easy to see that equation (4.3) holds for m = 1. Assume that equation (4.3) holds for m. Then by (3.1) we obtain

$$\begin{aligned} \alpha_{m+1}(TS;C) \\ &= (CTSC) \cdot \alpha_m(TS;C) - \alpha_m(TS;C) \cdot TS \\ &= CTC \cdot CSC \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot T^{m-j} \cdot CS^j C \cdot \alpha_{m-j}(S;C) \\ &- \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot T^{m+1-j} \cdot CS^j C \cdot \alpha_{m-j}(S;C) \cdot S \\ &= \sum_{j=0}^m \binom{m}{j} \left( CTC \cdot \alpha_j(T;C) - \alpha_j(T;C) \cdot T \right) T^{m-j} \cdot CS^{j+1} C \cdot \alpha_{m-j}(S;C) \\ &+ \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot T^{m+1-j} \cdot CS^j C \cdot \left( CSC \cdot \alpha_{m-j}(S;C) - \alpha_{m-j}(S;C) \cdot S \right) \\ &= \sum_{j=0}^m \binom{m}{j} \alpha_{j+1}(T;C) \cdot T^{m-j} \cdot CS^{j+1} C \cdot \alpha_{m-j}(S;C) \\ &+ \sum_{j=0}^m \binom{m}{j} \alpha_j(T;C) \cdot T^{m+1-j} \cdot CS^j C \cdot \alpha_{m+1-j}(S;C) \\ &= \sum_{j=0}^{m+1} \binom{m+1}{j} \alpha_j(T;C) \cdot T^{m+1-j} \cdot CS^j C \cdot \alpha_{m+1-j}(S;C). \end{aligned}$$

Hence equation (4.3) holds for any  $m \in \mathbb{N}$ .

**Theorem 4.11.** Let T be an [m, C]-symmetric operator and let S be an [n, C]-symmetric operator where C is a conjugation on  $\mathcal{H}$ . If (T, S) is a C-doubly commuting pair, then TS is an [m + n - 1, C]-symmetric operator.

*Proof.* Since (T, S) is a C-doubly commuting pair, it follows from equation (4.3) that

$$\alpha_{m+n-1}(TS;C) = \sum_{j=0}^{m+n-1} \binom{m+n-1}{j} \alpha_j(T;C) \cdot T^{m+n-1-j} \cdot CS^j C \cdot \alpha_{m+n-1-j}(S;C).$$

(i) If  $0 \le j \le m-1$ , then  $m+n-1-j \ge m+n-1-(m-1)=n$ . Therefore we get  $\alpha_{m+n-1-j}(S;C)=0$ .

(ii) If  $m \leq j$ , then  $\alpha_j(T; C) = 0$ .

Therefore  $\alpha_{m+n-1}(TS; C) = 0$ . Hence TS is [m+n-1, C]-symmetric.  $\Box$ 

**Corollary 4.12.** Let C be a conjugation on  $\mathcal{H}$ . If  $T = T_1 \oplus I$  and  $S = I \oplus S_1$  where  $T_1$  and  $S_1$  are [m, C]-symmetric, then TS is [2m - 1, C]-symmetric.

*Proof.* Since  $T_1$  and  $S_1$  are [m, C]-symmetric, it follows that  $T = T_1 \oplus I$  and  $S = I \oplus S_1$  are [m, C]-symmetric. In addition, we know that (T, S) is a C-doubly commuting pair. Therefore, TS is [2m - 1, C]-symmetric by Theorem 4.11.  $\Box$ 

In [6], B. Duggal proved the following proposition.

**Proposition 4.13.** Let T and S be an m-isometric operator and an n-isometric operator, respectively. Then  $T \otimes S$  is an (m + n - 1)-isometric operator.

Similarly, we show the following result.

**Theorem 4.14.** Let T be an [m, C]-symmetric operator and let S be an [n, D]-symmetric operator where C and D are conjugations on  $\mathcal{H}$ . Then  $T \otimes S$  is an  $[m + n - 1, C \otimes D]$ -symmetric operator on  $\mathcal{H} \otimes \mathcal{H}$ .

*Proof.* Since C and D are conjugations on  $\mathcal{H}$ , it follows from [4] that  $C \otimes D$  is a conjugation on  $\mathcal{H} \otimes \mathcal{H}$ . If T is [m, C]-symmetric and S is [n, D]-symmetric, it is easy to see that  $T \otimes I$  is  $[m, C \otimes D]$ -symmetric and  $I \otimes S$  is  $[n, C \otimes D]$ -symmetric on  $\mathcal{H} \otimes \mathcal{H}$ , respectively. Also it is clear that  $(T \otimes I, I \otimes S)$  is a  $C \otimes D$ -doubly commuting pair. Since  $T \otimes S = (T \otimes I)(I \otimes S)$ , it follows from Theorem 4.11 that  $(T \otimes I)(I \otimes S) = T \otimes S$  is  $[m + n - 1, C \otimes D]$ -symmetric.

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