

The Geometry of the Space of Symmetric Bilinear Forms on \mathbb{R}^2 with Octagonal Norm

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ABSTRACT. Let $d_*(1, w)^2 = \mathbb{R}^2$ with the octagonal norm of weight w . It is the two dimensional real predual of Lorentz sequence space. In this paper we classify the smooth points of the unit ball of the space of symmetric bilinear forms on $d_*(1, w)^2$. We also show that the unit sphere of the space of symmetric bilinear forms on $d_*(1, w)^2$ is the disjoint union of the sets of smooth points, extreme points and the set A as follows:

$$S_{\mathcal{L}_s(2d_*(1, w)^2)} = smB_{\mathcal{L}_s(2d_*(1, w)^2)} \cup extB_{\mathcal{L}_s(2d_*(1, w)^2)} \cup A,$$

where the set A consists of $ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1)$ with $(a = b = 0, c = \pm \frac{1}{1+w^2})$, $(a \neq b, ab \geq 0, c = 0)$, $(a = b, 0 < ac, 0 < |c| < |a|)$, $(a \neq |c|, a = -b, 0 < ac, 0 < |c|)$, $(a = \frac{1-w}{1+w}, b = 0, c = \frac{1}{1+w})$, $(a = \frac{1+w+w(w^2-3)c}{1+w^2}, b = \frac{w-1+(1-3w^2)c}{w(1+w^2)}, \frac{1}{2+2w} < c < \frac{1}{(1+w)^2(1-w)}, c \neq \frac{1}{1+2w-w^2})$, $(a = \frac{1+w(1+w)c}{1+w}, b = \frac{-1+(1+w)c}{w(1+w)}, 0 < c < \frac{1}{2+2w})$ or $(a = \frac{1-w(1+w)c}{1+w}, b = \frac{1-(1+w)c}{1+w}, \frac{1}{1+w} < c < \frac{1}{(1+w)^2(1-w)})$.

1. Introduction

We write B_E for the closed unit ball of a real Banach space E and the dual space of E is denoted by E^* . $x \in B_E$ is called an *extreme point* of B_E if $y, z \in B_E$ with $x = \frac{1}{2}(y + z)$ implies $x = y = z$. $x \in B_E$ is called a *smooth point* of B_E if there is a unique $f \in E^*$ so that $f(x) = 1 = \|f\|$. We denote by $extB_E$ and smB_E the sets of extreme and smooth points of B_E , respectively. A mapping $P : E \rightarrow \mathbb{R}$ is a continuous 2-homogeneous polynomial if there exists a continuous symmetric bilinear form L on the product $E \times E$ such that $P(x) = L(x, x)$ for every $x \in E$. We

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denote by $\mathcal{L}_s(^2E)$ the Banach space of all continuous symmetric bilinear forms on E endowed with the norm $\|L\| = \sup_{\|x\|=\|y\|=1} |L(x, y)|$. $\mathcal{P}(^2E)$ denotes the Banach space of all continuous 2-homogeneous polynomials from E into \mathbb{R} endowed with the norm $\|P\| = \sup_{\|x\|=1} |P(x)|$. For more details about the theory of polynomials on a Banach space, we refer to [7].

In 1998, Choi and the author [3] characterized the smooth points of the unit ball of $\mathcal{P}(^2l_2^2)$ and in 1999, Choi and the author [5] characterized the smooth points of the unit ball of $\mathcal{P}(^2l_1^2)$ and studied smooth polynomials of $\mathcal{P}(^2l_1)$. In 2009, the author [10] classified the smooth symmetric bilinear forms of $\mathcal{L}_s(^2l_\infty^2)$. We refer to ([1], [3–6], [8–19] and references therein) for some recent work about extremal properties of multilinear mappings and homogeneous polynomials on some classical Banach spaces. Let $0 < w < 1$ be fixed. We denote the two dimensional real predual of Lorentz sequence space by

$$d_*(1, w)^2 := \{(x, y) \in \mathbb{R}^2 : \|(x, y)\|_{d_*} := \max\{|x|, |y|, \frac{|x| + |y|}{1 + w}\}\}.$$

In fact, $d_*(1, w)^2 = \mathbb{R}^2$ with the octagonal norm of weight w . We will denote by $T((x_1, x_2), (y_1, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1)$ a symmetric bilinear form on $d_*(1, w)^2$. Recently, the author [12] computed the norm of $T \in \mathcal{L}_s(^2d_*(1, w)^2)$ in terms of their real coefficients and determined all the extreme symmetric bilinear forms of the unit ball of $\mathcal{L}_s(^2d_*(1, w)^2)$. In this paper, using results of the previous work [12], we classify the smooth symmetric bilinear forms of the unit ball of the space $\mathcal{L}_s(^2d_*(1, w)^2)$. We also show that the unit sphere $S_{\mathcal{L}_s(^2d_*(1, w)^2)}$ is the disjoint union of the sets of smooth points, extreme points and the set A as follows:

$$S_{\mathcal{L}_s(^2d_*(1, w)^2)} = \text{sm}B_{\mathcal{L}_s(^2d_*(1, w)^2)} \cup \text{ext}B_{\mathcal{L}_s(^2d_*(1, w)^2)} \cup A,$$

where A consists of $ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1)$ with $(a = b = 0, c = \pm \frac{1}{1+w^2})$, $(a \neq b, ab \geq 0, c = 0)$, $(a = b, 0 < ac, 0 < |c| < |a|)$, $(a \neq |c|, a = -b, 0 < ac, 0 < |c|)$, $(a = \frac{1-w}{1+w}, b = 0, c = \frac{1}{1+w})$, $(a = \frac{1+w+w(w^2-3)c}{1+w^2}, b = \frac{w-1+(1-3w^2)c}{w(1+w^2)}, \frac{1}{2+2w} < c < \frac{1}{(1+w)^2(1-w)})$, $c \neq \frac{1}{1+2w-w^2})$, $(a = \frac{1+w(1+w)c}{1+w}, b = \frac{-1+(1+w)c}{w(1+w)}, 0 < c < \frac{1}{2+2w})$ or $(a = \frac{1-w(1+w)c}{1+w}, b = \frac{1-(1+w)c}{1+w}, \frac{1}{1+w} < c < \frac{1}{(1+w)^2(1-w)})$.

2. The Results

Let $T((x_1, y_1), (x_2, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in \mathcal{L}_s(^2d_*(1, w)^2)$ for some reals a, b, c . By substituting $((x_1, y_1), (x_2, y_2))$ in T for $((x_1, y_1), (-x_2, -y_2))$ or $((x_1, -y_1), (x_2, -y_2))$ or $((y_1, x_1), (y_2, x_2))$, we may assume that $|b| \leq a$, $c \geq 0$.

Theorem 2.1. ([12, Theorem 2.1]) *Let $T((x_1, y_1), (x_2, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in \mathcal{L}_s(^2d_*(1, w)^2)$ with $|b| \leq a$, $c \geq 0$. Then*

$$\|T\| = \max\{bw^2 + 2cw + a, a - bw^2, (a + b)w + c(1 + w^2), (a - b)w + c(1 - w^2)\}.$$

In fact, we have the following:

Case 1: $b \geq 0$

Subcase 1: $c > a$

If $w \leq \frac{c-a}{c-b}$, then $\|T\| = (a+b)w + c(1+w^2)$.

If $w > \frac{c-a}{c-b}$, then $\|T\| = bw^2 + 2cw + a$.

Subcase 2: If $c \leq a$, $\|T\| = bw^2 + 2cw + a$.

Case 2: $b < 0$

Subcase 1: $c < |b|$

If $w \leq \frac{c}{|b|}$, then $\|T\| = \max\{bw^2 + 2cw + a, (a-b)w + c(1-w^2)\}$.

If $w > \frac{c}{|b|}$, then $\|T\| = \max\{a - bw^2, (a-b)w + c(1-w^2)\}$.

Subcase 2: $c \geq |b|$

If $w \leq \frac{|b|}{c}$, then $\|T\| = \max\{bw^2 + 2cw + a, (a-b)w + c(1-w^2)\}$.

If $w > \frac{|b|}{c}$, then $\|T\| = \max\{bw^2 + 2cw + a, (a+b)w + c(1+w^2)\}$.

By Theorem 2.1, if $\|T\| = 1$, then $|a| \leq 1$, $|b| \leq 1$, $|c| \leq \frac{1}{1+w^2}$.

Theorem 2.2. Let $T((x_1, y_1), (x_2, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in \mathcal{L}_s(2d_*(1, w)^2)$. Then the following are equivalent:

- (1) $ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1)$ is a smooth point of $\mathcal{L}_s(2d_*(1, w)^2)$;
- (2) $-(ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1))$ is a smooth point of $\mathcal{L}_s(2d_*(1, w)^2)$;
- (3) $ax_1x_2 + by_1y_2 - c(x_1y_2 + x_2y_1)$ is a smooth point of $\mathcal{L}_s(2d_*(1, w)^2)$;
- (4) $bx_1x_2 + ay_1y_2 + c(x_1y_2 + x_2y_1)$ is a smooth point of $\mathcal{L}_s(2d_*(1, w)^2)$.

Proof. Let $S((x_1, y_1), (x_2, y_2)) := T((u_1, v_1), (u_2, v_2))$ for some $((u_1, v_1), (u_2, v_2)) = ((x_1, y_1), (-x_2, -y_2))$ or $((x_1, -y_1), (x_2, -y_2))$ or $((y_1, x_1), (y_2, x_2))$. Then $S \in \mathcal{L}_s(2d_*(1, w)^2)$, and T is smooth if and only if S is smooth. \square

Theorem 2.3. ([12, Theorem 2.3]) Let $T((x_1, y_1), (x_2, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in \mathcal{L}_s(2d_*(1, w)^2)$. Then

(a) Let $w < \sqrt{2} - 1$. Then T is an extreme point of $\mathcal{L}_s(2d_*(1, w)^2)$ if and only if

$$T \in \left\{ \pm x_1x_2, \pm y_1y_2, \pm \frac{1}{1+w^2}(x_1x_2 + y_1y_2), \pm \frac{1}{(1+w)^2}[x_1x_2 + y_1y_2 \pm (x_1y_2 + x_2y_1)], \right. \\ \left. \pm \frac{1}{1+2w-w^2}[x_1x_2 - y_1y_2 \pm (x_1y_2 + x_2y_1)], \right. \\ \left. \pm \frac{1}{1+w^2}[x_1x_2 - y_1y_2 \pm w(x_1y_2 + x_2y_1)], \pm \frac{1}{1+w^2}[wx_1x_2 - wy_1y_2 \pm (x_1y_2 + x_2y_1)], \right. \\ \left. \pm \frac{1}{(1+w)^2(1-w)}[(1-w-w^2)x_1x_2 - wy_1y_2 \pm (x_1y_2 + x_2y_1)], \right. \\ \left. \pm \frac{1}{(1+w)^2(1-w)}[wx_1x_2 - (1-w-w^2)y_1y_2 \pm (x_1y_2 + x_2y_1)] \right\}.$$

(b) Let $w = \sqrt{2} - 1$. Then T is an extreme point of $\mathcal{L}_s(2d_*(1, w)^2)$ if and only if

$$T \in \left\{ \pm x_1x_2, \pm y_1y_2, \pm \frac{2+\sqrt{2}}{4}(x_1x_2 + y_1y_2), \pm \frac{1}{2}[x_1x_2 + y_1y_2 \pm (x_1y_2 + x_2y_1)], \right.$$

$$\begin{aligned} & \pm \frac{\sqrt{2}}{4} [x_1x_2 + y_1y_2 \pm (\sqrt{2} + 1)(x_1y_2 + x_2y_1)], \\ & \pm \frac{\sqrt{2}}{4} [(\sqrt{2} + 1)(x_1y_2 - x_2y_1) \pm (x_1y_2 + x_2y_1)]. \end{aligned}$$

(c) Let $w > \sqrt{2} - 1$. Then T is an extreme point of $\mathcal{L}_s(2d_*(1, w)^2)$ if and only if

$$\begin{aligned} T \in \{ & \pm x_1x_2, \pm y_1y_2, \pm \frac{1}{1+w^2}(x_1x_2 + y_1y_2), \pm \frac{1}{(1+w)^2}[x_1x_2 + y_1y_2 \pm (x_1y_2 + x_2y_1)], \\ & \pm \frac{1}{1+2w-w^2}[x_1x_2 - y_1y_2 \pm (x_1y_2 + x_2y_1)], \\ & \pm \frac{1}{1+w^2}[x_1x_2 - y_1y_2 \pm \frac{1-w}{1+w}(x_1y_2 + x_2y_1)], \\ & \pm \frac{1}{1+w^2}[\frac{1-w}{1+w}(x_1x_2 - y_1y_2) \pm (x_1y_2 + x_2y_1)], \\ & \pm \frac{1}{2+2w}[(2+w)x_1x_2 - \frac{1}{w}y_1y_2 \pm (x_1y_2 + x_2y_1)], \\ & \pm \frac{1}{2+2w}[\frac{1}{w}x_1x_2 - (2+w)y_1y_2 \pm (x_1y_2 + x_2y_1)]\}. \end{aligned}$$

Theorem 2.4. Let $f \in \mathcal{L}_s(2d_*(1, w)^2)^*$ and $\alpha = f(x_1x_2), \beta = f(y_1y_2), \gamma = f(x_1y_2 + x_2y_1)$.

(a) Let $w < \sqrt{2} - 1$. Then

$$\begin{aligned} \|f\| = \max\{ & |\alpha|, |\beta|, \frac{1}{1+w^2}|\alpha + \beta|, \frac{1}{(1+w)^2}(|\alpha + \beta| + |\gamma|), \\ & \frac{1}{1+2w-w^2}(|\alpha - \beta| + |\gamma|), \frac{1}{1+w^2}(|\alpha - \beta| + w|\gamma|), \\ & \frac{1}{1+w^2}(w|\alpha - \beta| + |\gamma|), \frac{1}{(1+w)^2(1-w)}(|(1-w-w^2)\alpha - w\beta| + |\gamma|), \\ & \frac{1}{(1+w)^2(1-w)}(|w\alpha - (1-w-w^2)\beta| + |\gamma|)\}. \end{aligned}$$

(b) Let $w = \sqrt{2} - 1$. Then

$$\begin{aligned} \|f\| = \max\{ & |\alpha|, |\beta|, \frac{2+\sqrt{2}}{4}|\alpha + \beta|, \frac{1}{2}(|\alpha + \beta| + |\gamma|), \frac{\sqrt{2}}{4}(|\alpha - \beta| + (\sqrt{2} + 1)|\gamma|), \\ & \frac{\sqrt{2}}{4}((\sqrt{2} + 1)|\alpha - \beta| + |\gamma|)\}. \end{aligned}$$

(c) Let $\sqrt{2} - 1 < w$. Then

$$\begin{aligned} \|f\| = \max\{ & |\alpha|, |\beta|, \frac{1}{1+w^2}|\alpha + \beta|, \frac{1}{(1+w)^2}(|\alpha + \beta| + |\gamma|), \\ & \frac{1}{1+2w-w^2}(|\alpha - \beta| + |\gamma|), \frac{1}{1+w^2}(|\alpha - \beta| + \frac{1-w}{1+w}|\gamma|), \end{aligned}$$

$$\frac{1}{1+w^2} \left(\frac{1-w}{1+w} |\alpha - \beta| + |\gamma| \right), \frac{1}{2+2w} \left(|(2+w)\alpha - \frac{1}{w}\beta| + |\gamma| \right),$$

$$\frac{1}{2+2w} \left(\left| \frac{1}{w}\alpha - (2+w)\beta \right| + |\gamma| \right) \}.$$

Proof. It follows from Theorem 2.3 since

$$\|f\| = \sup\{|f(T)| : T \in \text{ext}B_{\mathcal{L}_s(2d_*(1,w)^2)}\}. \quad \square$$

Theorem 2.5. Let $T((x_1, y_1), (x_2, y_2)) = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in \mathcal{L}_s(2d_*(1, w)^2)$ with $|b| < a, c > 0$. Let $S = \{bw^2 + 2cw + a, a - bw^2, (a + b)w + c(1 + w^2), (a - b)w + c(1 - w^2)\}$. Then $T \in \text{sm}B_{\mathcal{L}_s(2d_*(1,w)^2)}$ if and only if there exists a unique $l \in S$ such that $l = 1$.

Proof. (\Rightarrow): For $(u_1, v_1), (u_2, v_2) \in S_{d_*(1,w)^2}$, let $\delta_{(u_1, v_1), (u_2, v_2)} \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $\delta_{(u_1, v_1), (u_2, v_2)}(L) = L((u_1, v_1), (u_2, v_2))$ for $L \in \mathcal{L}_s(2d_*(1, w)^2)$. Then $\|\delta_{(u_1, v_1), (u_2, v_2)}\| \leq 1$. Note that, by Theorem 2.4, $1 = \|\delta_{(1,w), (1,w)}\| = \|\delta_{(1,-w), (1,w)}\| = \|\delta_{(1,w), (w,1)}\| = \|\delta_{(1,-w), (w,1)}\|$. Obviously,

$$\delta_{(1,w), (1,w)}(T) = bw^2 + 2cw + a, \delta_{(1,-w), (1,w)}(T) = a - bw^2,$$

$$\delta_{(1,w), (w,1)}(T) = (a + b)w + c(1 + w^2), \delta_{(1,-w), (w,1)}(T) = (a - b)w + c(1 - w^2).$$

Hence, if $T \in \text{sm}B_{\mathcal{L}_s(2d_*(1,w)^2)}$, then, by Theorem 2.1, there exists a unique $l \in S$ such that $l = 1$.

(\Leftarrow): Let $f \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $1 = \|f\| = f(T)$ with $\alpha = f(x_1x_2), \beta = f(y_1y_2), \gamma = f(x_1y_2 + x_2y_1)$.

Case 1: $l = bw^2 + 2cw + a = 1$

Then

$$(*) \quad bw^2 + 2cw + a = 1 = a\alpha + b\beta + c\gamma.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$(**) \quad 1 = \left\| \left(a \pm \frac{1}{n} \right) x_1x_2 + by_1y_2 + \left(c \mp \frac{1}{2wn} \right) (x_1y_2 + x_2y_1) \right\|$$

$$= \left\| ax_1x_2 + \left(b \pm \frac{1}{n} \right) y_1y_2 + \left(c \mp \frac{w}{2n} \right) (x_1y_2 + x_2y_1) \right\|.$$

From (**), $1 \geq f\left(\left(a \pm \frac{1}{n}\right)x_1x_2 + by_1y_2 + \left(c \mp \frac{1}{2wn}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\alpha - \frac{1}{2wn}\gamma|$, hence $\alpha = \frac{1}{2w}\gamma$ and $1 \geq f\left(ax_1x_2 + \left(b \pm \frac{1}{n}\right)y_1y_2 + \left(c \mp \frac{w}{2n}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\beta - \frac{w}{2}\gamma|$, hence $\beta = \frac{w}{2}\gamma$. It follows that, by (*),

$$1 = a\alpha + b\beta + c\gamma = \frac{\gamma}{2w}(bw^2 + 2cw + a) = \frac{\gamma}{2w}.$$

Therefore, $\alpha = 1, \beta = w^2, \gamma = 2w$, hence $f = \delta_{(1,w), (1,w)}$ is uniquely determined.

Case 2: $l = a - bw^2 = 1$

Then

$$(*) \quad a - bw^2 = 1 = a\alpha + b\beta + c\gamma.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$\begin{aligned} (**) \quad 1 &= \left\| \left(a \pm \frac{1}{n}\right)x_1x_2 + \left(b \pm \frac{1}{nw^2}\right)y_1y_2 + c(x_1y_2 + x_2y_1) \right\| \\ &= \left\| ax_1x_2 + by_1y_2 + \left(c \pm \frac{1}{n}\right)(x_1y_2 + x_2y_1) \right\|. \end{aligned}$$

From (**), $1 \geq f\left(\left(a \pm \frac{1}{n}\right)x_1x_2 + \left(b \pm \frac{1}{nw^2}\right)y_1y_2 + c(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\alpha + \frac{1}{w^2}\beta|$, hence $\alpha = -\frac{1}{w^2}\beta$ and $1 \geq f\left(ax_1x_2 + by_1y_2 + \left(c \pm \frac{1}{n}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\gamma|$, hence $\gamma = 0$. It follows that, by (*),

$$w^2 = w^2(a\alpha + b\beta + c\gamma) = \beta(bw^2 - a) = -\beta.$$

Therefore, $\alpha = 1, \beta = -w^2, \gamma = 0$, hence $f = \delta_{(1, -w), (1, w)}$ is uniquely determined.

Case 3: $l = (a + b)w + c(1 + w^2) = 1$

Then

$$(*) \quad (a + b)w + c(1 + w^2) = 1 = a\alpha + b\beta + c\gamma.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$\begin{aligned} (**) \quad 1 &= \left\| \left(a \pm \frac{1}{n}\right)x_1x_2 + by_1y_2 + \left(c \mp \frac{w}{n(1+w^2)}\right)(x_1y_2 + x_2y_1) \right\| \\ &= \left\| ax_1x_2 + \left(b \pm \frac{1}{n}\right)y_1y_2 + \left(c \mp \frac{w}{n(1+w^2)}\right)(x_1y_2 + x_2y_1) \right\|. \end{aligned}$$

From (**), $1 \geq f\left(\left(a \pm \frac{1}{n}\right)x_1x_2 + by_1y_2 + \left(c \mp \frac{w}{n(1+w^2)}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\alpha - \frac{w}{1+w^2}\gamma|$, hence $\alpha = \frac{w}{1+w^2}\gamma$ and $1 \geq f\left(ax_1x_2 + \left(b \pm \frac{1}{n}\right)y_1y_2 + \left(c \mp \frac{w}{n(1+w^2)}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\beta - \frac{w}{1+w^2}\gamma|$, hence $\beta = \frac{w}{1+w^2}\gamma$. It follows that, by (*),

$$1 = a\alpha + b\beta + c\gamma = \frac{\gamma}{1+w^2}((a+b)w + c(1+w^2)) = \frac{\gamma}{1+w^2}.$$

Therefore, $\alpha = \beta = w, \gamma = 1 + w^2$, hence $f = \delta_{(1, w), (w, 1)}$ is uniquely determined.

Case 4: $l = (a - b)w + c(1 - w^2) = 1$

Then

$$(*) \quad (a - b)w + c(1 - w^2) = 1 = a\alpha + b\beta + c\gamma.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$\begin{aligned} (**) \quad 1 &= \left\| \left(a \pm \frac{1}{n}\right)x_1x_2 + by_1y_2 + \left(c \mp \frac{w}{n(1-w^2)}\right)(x_1y_2 + x_2y_1) \right\| \\ &= \left\| ax_1x_2 + \left(b \pm \frac{1}{n}\right)y_1y_2 + \left(c \pm \frac{w}{n(1-w^2)}\right)(x_1y_2 + x_2y_1) \right\|. \end{aligned}$$

From (**), $1 \geq f\left(\left(a \pm \frac{1}{n}\right)x_1x_2 + by_1y_2 + \left(c \mp \frac{w}{n(1-w^2)}\right)(x_1y_2 + x_2y_1)\right) = 1 + \frac{1}{n}|\alpha - \frac{w}{1-w^2}\gamma|$.

Hence $\alpha = \frac{w}{1-w^2}\gamma$ and $1 \geq f(ax_1x_2 + (b \pm \frac{1}{n})y_1y_2 + (c \pm \frac{w}{n(1-w^2)})(x_1y_2 + x_2y_1)) = 1 + \frac{1}{n}|\beta + \frac{w}{1-w^2}\gamma|$, hence $\beta = -\frac{w}{1-w^2}\gamma$. It follows that, by (*),

$$1 = a\alpha + b\beta + c\gamma = \frac{\gamma}{1-w^2}((a-b)w + c(1-w^2)) = \frac{\gamma}{1-w^2}.$$

Therefore, $\alpha = w, \beta = -w, \gamma = 1-w^2$, hence $f = \delta_{(1,-w),(w,1)}$ is uniquely determined. \square

We are in position to classify the smooth symmetric bilinear forms of the unit ball of $\mathcal{L}_s(2d_*(1, w)^2)$.

Theorem 2.6. *Let $T = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in S_{\mathcal{L}_s(2d_*(1, w)^2)}$. Then $T \notin \text{sm}B_{\mathcal{L}_s(2d_*(1, w)^2)}$ if and only if $(|a| = 1, b = 0 = c), (a = b = 0, c = \pm \frac{1}{1+w^2}), (ab \geq 0, c = 0), (a = b, 0 < ac, 0 < |c| \leq |a|), (a = -b, 0 < ac, 0 < |c|), (a = \frac{1-w}{1+w}, b = 0, c = \frac{1}{1+w}), (a = \frac{1+w+w(w^2-3)c}{1+w^2}, b = \frac{w-1+(1-3w^2)c}{w(1+w^2)}, \frac{1}{2+2w} \leq c \leq \frac{1}{(1+w)^2(1-w)}), (a = \frac{1+w(1+w)c}{1+w}, b = \frac{-1+(1+w)c}{w(1+w)}, 0 < c < \frac{1}{2+2w})$ or $(a = \frac{1-w(1+w)c}{1+w}, b = \frac{1-(1+w)c}{1+w}, \frac{1}{1+w} < c < \frac{1}{(1+w)^2(1-w)})$.*

Proof. Without loss of generality, we may assume that $|b| \leq a, c \geq 0$. Let $T = ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1) \in S_{\mathcal{L}_s(2d_*(1, w)^2)}$ and let $f \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $1 = \|f\| = f(T)$ with $\alpha = f(x_1x_2), \beta = f(y_1y_2), \gamma = f(x_1y_2 + x_2y_1)$. If $a = 1$, then $T = x_1x_2$. We claim that T is not smooth. Indeed, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = 0, g(x_1y_2 + x_2y_1) = 0, h(x_1x_2) = 1, h(y_1y_2) = 0, h(x_1y_2 + x_2y_1) = w^2$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth. If $a = 0$, then $T = \frac{1}{1+w^2}(x_1y_2 + x_2y_1)$. We claim that T is not smooth. Indeed, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 0 = g(y_1y_2), g(x_1y_2 + x_2y_1) = 1 + w^2, h(x_1x_2) = w = h(y_1y_2), h(x_1y_2 + x_2y_1) = 1 + w^2$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth. Suppose that $0 < a < 1$. We will consider the three cases ($c = 0$) or ($a = |b|, c > 0$) or ($|b| < a, c > 0$).

Case 1: $c=0$

We claim that $b \neq 0$ since if not, then $a = 1$, which is impossible. If $b > 0$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = w^2, g(x_1y_2 + x_2y_1) = 2w, h(x_1x_2) = 1, h(y_1y_2) = w^2, h(x_1y_2 + x_2y_1) = 0$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth. In particular, extreme $\pm \frac{1}{1+w^2}(x_1x_2 + y_1y_2)$ is not smooth. If $b < 0$, then $T = ax_1x_2 - |b|y_1y_2$ and $1 = \|T\| = a + bw^2$. We will show that T is smooth. By Theorem 2.1,

$$(*) \quad a + bw^2 = 1 = a\alpha + b\beta.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$\begin{aligned} (**) \quad 1 &= \|(a \pm \frac{1}{n})x_1x_2 + (b \pm \frac{1}{nw^2})y_1y_2\| \\ &= \|ax_1x_2 + by_1y_2 \pm \frac{1}{n}(x_1y_2 + x_2y_1)\|. \end{aligned}$$

From (**), $1 \geq f((a \pm \frac{1}{n})x_1x_2 + (b \pm \frac{1}{nw^2})y_1y_2) = 1 + \frac{1}{n}|\alpha + \frac{1}{w^2}\beta|$, hence $\alpha = -\frac{1}{w^2}\beta$ and $1 \geq f(ax_1x_2 + by_1y_2 \pm \frac{1}{n}(x_1y_2 + x_2y_1)) = 1 + \frac{1}{n}|\gamma|$, hence $\gamma = 0$. It follows that, by (*),

$$1 = a\alpha + b\beta = -\frac{\beta}{w^2}(a - bw^2) = -\frac{\beta}{w^2}.$$

Therefore, $\alpha = 1, \beta = -w^2, \gamma = 0$, hence $f = \delta_{(1,w),(1,w)}$ is uniquely determined.

Case 2: $a = |b|, c > 0$

Then $(a = b, c > 0)$ or $(a = -b, c > 0)$. First suppose that $a = b, c > 0$. If $c > a$, then we claim that T is smooth. By Theorem 2.1,

$$(*) \quad 2aw + c(1 + w^2) = 1 = a\alpha + a\beta + c\gamma.$$

By Theorem 2.1, it follows that, for a sufficiently large $n \in \mathbb{N}$,

$$\begin{aligned} (**) \quad 1 &= \|(a \pm \frac{1}{n})x_1x_2 + (a \mp \frac{1}{n})y_1y_2 + c(x_1y_2 + x_2y_1)\| \\ &= \|ax_1x_2 + (a \pm \frac{1}{n})y_1y_2 + (c \mp \frac{w}{n(1+w^2)})(x_1y_2 + x_2y_1)\|. \end{aligned}$$

From (**), $1 \geq f((a \pm \frac{1}{n})x_1x_2 + (a \mp \frac{1}{n})y_1y_2 + c(x_1y_2 + x_2y_1)) = 1 + \frac{1}{n}|\alpha - \beta|$, hence $\alpha = \beta$ and $1 \geq f(ax_1x_2 + (a \pm \frac{1}{n})y_1y_2 + (c \mp \frac{w}{n(1+w^2)})(x_1y_2 + x_2y_1)) = 1 + \frac{1}{n}|\beta - \frac{w}{1+w^2}\gamma|$, hence $\beta = \frac{w}{1+w^2}\gamma$. It follows that, by (*),

$$1 = a\alpha + a\beta + c\gamma = \frac{\gamma}{1+w^2}(2aw + c(1+w^2)) = \frac{\gamma}{1+w^2}.$$

Therefore, $\alpha = w = \beta, \gamma = 1 + w^2$, hence $f = \delta_{(1,w),(1,w)}$ is uniquely determined.

If $c \leq a$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = w^2, g(x_1y_2 + x_2y_1) = 2w, h(x_1x_2) = \frac{1+w^2}{2} = h(y_1y_2), h(x_1y_2 + x_2y_1) = 2w$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth. In particular, extreme $\frac{1}{(1+w)^2}(x_1x_2 + y_1y_2 + (x_1y_2 + x_2y_1))$ is not smooth. Suppose that $a = -b, c > 0$. We claim that T is not smooth.

If $c < |b|, w \leq \frac{c}{|b|}$ and $w \geq \sqrt{2}-1$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = w^2, g(x_1y_2 + x_2y_1) = 2w, h(x_1x_2) = \frac{1-w^2}{2}, h(y_1y_2) = -\frac{(1-w^2)}{2}, h(x_1y_2 + x_2y_1) = 2w$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth.

If $c < |b|, w \leq \frac{c}{|b|}$ and $w < \sqrt{2}-1$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = w, g(y_1y_2) = -w, g(x_1y_2 + x_2y_1) = 1 - w^2, h(x_1x_2) = w - \epsilon, h(y_1y_2) = -(w - \epsilon), h(x_1y_2 + x_2y_1) = 1 - w^2$ for a sufficiently small $\epsilon > 0$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth. In particular, extreme $\frac{1}{1+w^2}(x_1x_2 - y_1y_2 + w(x_1y_2 + x_2y_1))$ is not smooth.

If $c < |b|, w > \frac{c}{|b|}$, then $w > \sqrt{2}-1$. Let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = w, g(y_1y_2) = -w, g(x_1y_2 + x_2y_1) = 1 - w^2, h(x_1x_2) = w - \epsilon, h(y_1y_2) = -(w - \epsilon), h(x_1y_2 + x_2y_1) = 1 - w^2$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) =$

$h(T)$, which implies that T is not smooth. In particular, extreme $\frac{1}{1+w^2}(x_1x_2 - y_1y_2 + \frac{1-w}{1+w}(x_1y_2 + x_2y_1))$ is not smooth.

If $c > |b|, w \leq \frac{|b|}{c}$ and $w < \sqrt{2}-1$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = w, g(y_1y_2) = -w, g(x_1y_2 + x_2y_1) = 1 - w^2, h(x_1x_2) = w - \epsilon, h(y_1y_2) = -(w - \epsilon), h(x_1y_2 + x_2y_1) = 1 - w^2$ for a sufficiently small $\epsilon > 0$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth.

If $c > |b|, w \leq \frac{|b|}{c}$ and $w \geq \sqrt{2}-1$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = w^2, g(x_1y_2 + x_2y_1) = 2w, h(x_1x_2) = \frac{1-w^2}{2}, h(y_1y_2) = -\frac{(1-w^2)}{2}, h(x_1y_2 + x_2y_1) = 2w$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth.

If $c > |b|, w > \frac{|b|}{c}$ and $\frac{|b|}{c} > \frac{1-w}{1+w}$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = 1, g(y_1y_2) = w^2, g(x_1y_2 + x_2y_1) = 2w, h(x_1x_2) = \frac{1-w^2}{2}, h(y_1y_2) = -\frac{(1-w^2)}{2}, h(x_1y_2 + x_2y_1) = 2w$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth.

If $c > |b|, w > \frac{|b|}{c}$ and $\frac{|b|}{c} < \frac{1-w}{1+w}$, let $g, h \in \mathcal{L}_s(2d_*(1, w)^2)^*$ such that $g(x_1x_2) = w, g(y_1y_2) = w, g(x_1y_2 + x_2y_1) = 1 + w^2, h(x_1x_2) = w - \epsilon = h(y_1y_2), h(x_1y_2 + x_2y_1) = 1 + w^2$ for a sufficiently small $\epsilon > 0$. Theorem 2.4 shows that $\|g\| = 1 = \|h\| = g(T) = h(T)$, which implies that T is not smooth.

If $c = |b|$, then $T = \frac{1}{1+2w-w^2}[x_1x_2 - y_1y_2 + (x_1y_2 + x_2y_1)]$ is an extreme point, so it is not smooth. Indeed, let $2w < g_\epsilon(x_1y_2 + x_2y_1) < 1 - w^2$ and $g_\epsilon(x_1x_2) = \frac{1+2w-w^2-\gamma}{2}, g_\epsilon(y_1y_2) = -\alpha$. Theorem 2.4 shows that $\|g_\epsilon\| = 1 = g_\epsilon(T)$, which implies that T is not smooth.

Case 3: $|b| < a$ and $c > 0$

Suppose that T is not smooth. If $b \geq 0$, then, by Theorem 2.5, $w = \frac{c-a}{c-b}$ and $a < c$. Then

$$bw^2 + 2cw + a = 1 = (a + b)w + c(1 + w^2).$$

Simple computation shows that $T = (\frac{1-w}{1+w})x_1x_2 + \frac{1}{1+w}(x_1y_2 + x_2y_1)$. Suppose that $b < 0$. If $w \leq \frac{c}{|b|}$, then, by Theorem 2.5,

$$bw^2 + 2cw + a = 1 = (a - b)w + c(1 - w^2).$$

Simple computation shows that $T = (\frac{1+w+w(w^2-3)c}{1+w^2})x_1x_2 + (\frac{w-1+(1-3w^2)c}{w(1+w^2)})y_1y_2 + c(x_1y_2 + x_2y_1)$ for $\frac{1}{2+2w} \leq c < \frac{1}{1+2w-w^2}$. In particular, if $c = \frac{1}{2+2w}$, then extreme $\frac{1}{2+2w}[(2+w)x_1x_2 - \frac{1}{w}y_1y_2 + (x_1y_2 + x_2y_1)]$ is not smooth.

If $w > \frac{c}{|b|}$, then, by Theorem 2.5,

$$a - bw^2 = 1 = (a - b)w + c(1 - w^2).$$

Simple computation shows that $T = (\frac{1+w(1+w)c}{1+w})x_1x_2 + (\frac{-1+(1+w)c}{w(1+w)})y_1y_2 + c(x_1y_2 + x_2y_1)$ for $0 < c < \frac{1}{2+2w}$.

If $w \leq \frac{|b|}{c}$, then, by Theorem 2.5,

$$bw^2 + 2cw + a = 1 = (a - b)w + c(1 - w^2).$$

Simple computation shows that $T = (\frac{1+w+w(w^2-3)c}{1+w^2})x_1x_2 + (\frac{w-1+(1-3w^2)c}{w(1+w^2)})y_1y_2 + c(x_1y_2 + x_2y_1)$ for $\frac{1}{1+2w-w^2} \leq c \leq \frac{1}{(1+w)^2(1-w)}$. In particular, if $c = \frac{1}{(1+w)^2(1-w)}$, then extreme $\frac{1}{(1+w)^2(1-w)}((1-w-w^2)x_1x_2 - wy_1y_2 + (x_1y_2 + x_2y_1))$ is not smooth.

If $w > \frac{|b|}{c}$, then, by Theorem 2.5,

$$bw^2 + 2cw + a = 1 = (a + b)w + c(1 + w^2).$$

Simple computation shows that $T = (\frac{1-w(1+w)c}{1+w})x_1x_2 + (\frac{1-(1+w)c}{1+w})y_1y_2 + c(x_1y_2 + x_2y_1)$ for $\frac{1}{1+w} < c < \frac{1}{(1+w)^2(1-w)}$. Therefore, it completes the proof. \square

We show that the unit sphere $S_{\mathcal{L}_s(2d_*(1,w)^2)}$ is the disjoint union of three nonempty subsets as follows:

Theorem 2.7.

$$S_{\mathcal{L}_s(2d_*(1,w)^2)} = smB_{\mathcal{L}_s(2d_*(1,w)^2)} \cup extB_{\mathcal{L}_s(2d_*(1,w)^2)} \cup A,$$

where A consists of $ax_1x_2 + by_1y_2 + c(x_1y_2 + x_2y_1)$ with $(a = b = 0, c = \pm \frac{1}{1+w^2})$, $(a \neq b, ab \geq 0, c = 0)$, $(a = b, 0 < ac, 0 < |c| < |a|)$, $(a \neq |c|, a = -b, 0 < ac, 0 < |c|)$, $(a = \frac{1-w}{1+w}, b = 0, c = \frac{1}{1+w})$, $(a = \frac{1+w+w(w^2-3)c}{1+w^2}, b = \frac{w-1+(1-3w^2)c}{w(1+w^2)}, \frac{1}{2+2w} < c < \frac{1}{(1+w)^2(1-w)}, c \neq \frac{1}{1+2w-w^2})$, $(a = \frac{1+w(1+w)c}{1+w}, b = \frac{-1+(1+w)c}{w(1+w)}, 0 < c < \frac{1}{2+2w})$ or $(a = \frac{1-w(1+w)c}{1+w}, b = \frac{1-(1+w)c}{1+w}, \frac{1}{1+w} < c < \frac{1}{(1+w)^2(1-w)})$.

Proof. Note that in the proof of Theorem 2.6 it has shown that every extreme symmetric bilinear form is not smooth. It follows from Theorems 2.3 and 2.6. \square

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