



## 비판막성 심방세동 환자의 뇌졸중 예방에서 dabigatran과 rivaroxaban의 임상적용의 현황

박유경<sup>1,2#</sup> · 강지은<sup>3#</sup> · 김승준<sup>4</sup> · 라현오<sup>5</sup> · 이정연<sup>2,3\*</sup>

<sup>1</sup>서울성모병원 약제부, <sup>2</sup>이화여자대학교 임상보건과학대학원, <sup>3</sup>이화여자대학교 약학대학 생명약학부, <sup>4</sup>알바니 약학대학, <sup>5</sup>가톨릭대학교 의과대학 (2016년 4월 28일 접수 · 2016년 8월 14일 수정 · 2016년 8월 16일 승인)

### Practice Preferences on Dabigatran and Rivaroxaban for Stroke Prevention in Patients with Non-valvular Atrial Fibrillation

You Kyung Park<sup>1,2#</sup>, Ji Eun Kang<sup>3#</sup>, Seong Joon Kim<sup>4</sup>, Hyen O La<sup>5</sup>, and Sandy Jeong Rhie<sup>2,3\*</sup>

<sup>1</sup>Department of Pharmacy, Seoul St. Mary's Hospital, Seoul 06591, Republic of Korea

<sup>2</sup>Graduate School of Clinical Health Sciences, Ewha Womans University, Seoul 03760, Republic of Korea

<sup>3</sup>College of Pharmacy & Division of Life and Pharmaceutical Sciences, Ewha Womans University, Seoul 03760, Republic of Korea

<sup>4</sup>Albany College of Pharmacy and Health Sciences, Albany, NY 12208, USA,

<sup>5</sup>Department of Pharmacology, School of Medicine, The Catholic University of Korea, Seoul 06591, Republic of Korea

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#### ABSTRACT

**Objective:** Prescription rate of dabigatran and rivaroxaban, which are the direct oral anticoagulants (DOAC), has increased. We have analyzed the prescription trend and medication use of dabigatran and rivaroxaban in patients with non-valvular atrial fibrillation (NVAf). **Methods:** It was retrospectively studied from September 2012 to April 2014 using the electronic medical records and the progress notes. Patients with NVAf (n=424) were evaluated on the medication use, prescribing preferences, adverse drug reactions (ADRs) and the availability of prescription reimbursement of dabigatran (n=210) and rivaroxaban (n=214). **Results:** Dabigatran was prescribed higher than rivaroxaban (23.3% versus 7.5%, p<0.001) in the neurology department, but rivaroxaban was prescribed higher compared to dabigatran in the cardiology department (87.4% versus 74.3%, p<0.001). Dabigatran was prescribed more than rivaroxaban in high risk patients with CHADS2 score  $\geq 3$  (44.3% versus 31.3%, p=0.006). Dabigatran patients seemed to have more ADRs than patients with rivaroxaban (25.2% versus 11.2%, p<0.001), but no serious thrombotic events and bleeding were found. Only 35.6% (n=151) were eligible for prescription reimbursement by the National Health Insurance (NHI). Bridging therapy (86, 31.5%) and direct-current cardioversion (57, 20.2%) were main reasons of ineligibility for reimbursement. **Conclusion:** Prescription preferences were present in choosing either dabigatran or rivaroxaban for patients with NVAf. Inpatient protocols and procedures considering patient-factors in NVAf need to be developed.

**KEY WORDS:** Dabigatran, rivaroxaban, non-valvular atrial fibrillation, stroke prevention

#### INTRODUCTION

Atrial fibrillation (AF) is associated with a high risk of thromboembolism and stroke. Stroke imposes a severe and growing burden on individuals and society, with the incidence

of stroke continuing to rise as the population ages.<sup>1)</sup> As a leading risk factor for stroke, AF can be effectively prevented by anticoagulants, which would shorten patient's recovery time.<sup>2,3)</sup> Nonetheless, researches on management of AF reported that risk-control initiatives are weakly implemented

\*Correspondence to: Sandy Jeong Rhie, College of Pharmacy & Division of Life and Pharmaceutical Sciences and Graduate School of Clinical Health Sciences, Ewha Womans University, 52 ewhayeodae-gil, Seodaemun-gu, Seoul 03760, Republic of Korea

Tel: +82-2-3372-3023, Fax: +82-2-3372-2850

E-mail: sandy.rhie@ewha.ac.kr

#The first two authors contributed equally to this work.

with only 30% of patients.<sup>1,4)</sup>

Dabigatran, which is the direct thrombin inhibitor, was first released into the market as a direct oral anticoagulant (DOAC) to prevent stroke for patients with non-valvular atrial fibrillation (NVAF) with an expectation to remedy defects of warfarin. Dabigatran was studied in 18,113 non-valvular atrial fibrillation (NVAF) patients (average CHADS<sub>2</sub> score: 2.1), who have at least one risk factor of stroke, and it was evaluated compared to warfarin group in the large scale of RE-LY trial.<sup>5-7)</sup> Another DOAC, rivaroxaban, was approved with the same indication later by ROCKET AF study performed with 14,264 NVAF patients (average CHADS<sub>2</sub> score: 3.48).<sup>8,9)</sup> Each medication's precautions and safety related to bleeding were evaluated by comparing them with warfarin.<sup>5-12)</sup> DOACs have benefits of being dosed without requiring regular monitoring, fast onset, less drug/food interactions, and non-inferior or better safety profiles for prevention and treatment of stroke or systemic embolism. The usage of DOACs had increased even more in Korea since 2013 with the reimbursement by National Health Insurance (NHI). However, there have been problems to make the transition of these medications into a clinical practice due to several factors. They include the inability to check medication compliance by plasma concentration, the uncertainty of dose adjustment in certain populations (eg, renal impairments, obesity, and age greater than 75), the absence of specific antidotes, and the higher costs compared to warfarin.

In this study, the appropriateness of DOAC usages, prescribing preferences, and safety of dabigatran and rivaroxaban were investigated for patient with NVAF in a real clinical setting.

## METHODS

### Patients and setting

Patients 18 years and older who were admitted and diagnosed with NVAF confirmed by electrocardiography were analyzed in this study. All the patients in this study received either dabigatran or rivaroxaban for stroke prevention between September 2012 and April 2014 at a university hospital. Patients' baseline characteristics were collected through electronic medical record (EMR) with age of starting DOAC, gender, height, weight, CHADS<sub>2</sub> score, serum creatinine (SCr), and blood pressure. Medical history, concomitant medications, previous use of antithrombotics, any direct current cardioversion (DCCV), or radiofrequency catheter ablations were also assessed.

### Drug use and prescribing preferences

Patients were stratified into low (CHADS<sub>2</sub> scores 0-1), moderate (CHADS<sub>2</sub> scores 2), and high (CHADS<sub>2</sub> scores  $\geq$  3) risk. Indications, dosage, duration of treatment, and prescribing departments were evaluated in this study. Dosage was evaluated based on patient's age, renal function using Cockcroft and Gault formulation, and Ideal body weight (IBW) unless total body weight (TBW) was less than 20% of IBW. Adjusted body weight (ABW) was applied if actual weight was more than 120% of IBW.

### Compliance

Medication possession ratio (MPR) was used to measure the compliance of dabigatran and rivaroxaban. The number of patients and reasons for non-compliance, late refill, switching to alternatives, and temporary holdings were also analyzed. However, the short term use of bridging therapy was not included in the compliance analysis.

### Adverse drug reactions

The events of stroke, transient ischemic attack, systemic thrombosis, and any bleeding were reviewed by EMR and daily progress note. Major bleeding was defined as at least 2 g/dL reduction in hemoglobin, transfusion with minimum 2 units of blood or frozen fresh plasma, or finding of major organ or microvascular bleeding (intraocular compartment syndrome, intracranial, intra-spinal, intraocular, intramuscular, retroperitoneal, intraarticular or pericardial bleeding), the bleeding with hypotension requiring intravenous vasopressor, and the bleeding requiring surgical intervention, emergency visits, or hospitalizations.<sup>13,14)</sup> The study also reviewed chemistry panel, complete blood count (CBC), and CT scan.

### Prescription reimbursement

Reimbursement of dabigatran and rivaroxaban in patients with NVAF was allowed if there were 40% or more failure of INR adjustment, hypersensitivity, or contraindication to warfarin by Health Insurance Review & Assessment Service (HIRA) in Korea. The reasons and the number of prescriptions not eligible for reimbursements were also evaluated.

### Statistical analysis

Patients' baseline characteristics were analyzed by independent-sample t-test and chi-square test. Comparison between the two groups was done with chi-square test and fisher's exact test.

IBM SPSS version 22.0 (SPSS Inc., Chicago, IL, USA) program was utilized for data analysis, and it was considered to be statistically significant if p value was lower than 0.05.

## RESULTS

### Patients' demographics and characteristics

Among the total of 424 patients, 210 patients were administered with dabigatran and 214 were on rivaroxaban. There were no statistically significant differences between the two groups in age, gender, renal function, or concomitant drugs. The average CHADS<sub>2</sub> score was significantly higher in dabigatran group (2.3 versus 1.9, p<0.001). History of stroke and transient ischemic attack was higher in dabigatran group compared to rivaroxaban group (p<0.001). The number of patients, who had been on warfarin, was significantly higher in dabigatran group compared to rivaroxaban group (162; 77.1% versus 116; 54.2%, p<0.001) (Table 1).

### Drug use evaluation and prescription preferences

Cardiology and neurology were the major departments prescribing both medications (343; 81.0%, 65; 15.3%, respectively). Other prescribing departments were general

surgery, emergency room, neurosurgery, and rehabilitation. While rivaroxaban was prescribed more than dabigatran in cardiology (187; 87.4% versus 156; 74.3%, p<0.001), dabigatran was prescribed more in neurology (49; 23.3% versus 16; 7.5%, p<0.001) for the secondary prevention of stroke in patients with non-valvular atrial fibrillation.

In low risk patients, who had CHADS<sub>2</sub> score 0 to 1, prescription rate of rivaroxaban was higher compared to that of dabigatran (44.4% versus 28.1%, p<0.001). However, dabigatran showed higher prescription rate than that of rivaroxaban in high risk patients with CHADS<sub>2</sub> score ≥ 3 (44.3% versus 31.3%, p=0.006).

Rivaroxaban was used significantly for primary prevention of stroke when compared to dabigatran (38.9% vs. 30.2%, p<0.001) (Table 2). The dosage of dabigatran was appropriate in 83% (165/199) of patients excluding 11 patients whose creatinine clearances (CrCL) were unable to be defined. The average treatment duration was significantly longer with dabigatran than rivaroxaban (180.4 days versus 87.4 days, p<0.001). This is because dabigatran was used for the secondary prevention of stroke rather than short term indications, such as bridging therapy. In bridging therapy, rivaroxaban was prescribed more often than dabigatran (69;

**Table 1.** Baseline characteristics of patients.

	dabigatran (n=210)	rivaroxaban (n=214)	p-value
demographics and risk scores			
age, y, mean(SD)	70.1(11.8)	68.8(11.7)	
male gender, n(%)	128(61.0)	121(56.5)	
SBP, mean(SD)	126.1(15.6)	125.7(14.9)	
DBP, mean(SD)	78.6(10.0)	77.4(10.1)	
CrCl (ml/min), mean(SD)	58.4(22.5)	61.1(23.0)	
drinker, n(%)	54(25.7)	44(20.6)	
smoker, n(%)	16(7.6)	23(10.7)	
CHADS <sub>2</sub> score, mean(SD)	2.3(1.4)	1.9(1.4)	<0.001
comorbidities, n(%)			
congestive heart failure	24(11.4)	29(13.6)	
hypertension	157(74.8)	152(71.0)	
diabetes mellitus	55(26.2)	52(24.3)	
prior transient ischemic attack /stroke	82(39)	49(22.9)	<0.001
prior VKA history, n(%)			
VKA-experienced	162(77.1)	116(54.2)	<0.001
VKA-naïve	48(22.9)	98(45.8)	

abbreviations: SBP, systolic blood pressure; DBP, diastolic blood pressure; CrCl, creatinine clearance; VKA, vitamin K antagonist(warfarin)

**Table 2.** Prescription preferences of dabigatran and rivaroxaban (n, %).

	total (n=424)	dabigatran (n=210)	rivaroxaban (n=214)	p-value
CHADS <sub>2</sub> score				
0-1	154(36.3)	59(28.1)	95(44.4)	<0.001
2	110(25.9)	58(27.6)	52(24.3)	
3	160(37.7)	93(44.3)	67(31.3)	0.006
prescribing classification prior TIA/stroke or none				
primary prevention	293(69.1)	128(30.2)	165(38.9)	<0.001
secondary prevention	131(30.9)	81(19.1)	50(11.8)	
average prescription days				
total days, mean(SD)	133.47(146.9)	180.44(162.3)	87.37(112.6)	<0.001
bridging therapy only				
n	86	17	69	
days, mean(SD)	8.58(14.2)	19.06(23.6)	6.00(9.2)	<0.001
bridging therapy excluded				
n	338	193	145	
days, mean(SD)	165.24(148.5)	194.65(161.7)	126.10(118.4)	<0.001

abbreviations: TIA, transient ischemic attack.

42.6% versus 17; 15.3%,  $p < 0.001$ ) (Table 2).

Rivaroxaban group showed significantly higher compliance rate than dabigatran group. Among the patients, 12 patients (5.7%) in dabigatran group and 3 patients (1.4%) in rivaroxaban group ( $p = 0.016$ ) did not request a prescription because they still had some medications left from missed doses.

### Adverse drug reactions

None of the patients have experienced stroke, transient ischemic attack, or systemic embolism during the study period. The incidence of adverse drug reactions (ADRs) was significantly higher with dabigatran than rivaroxaban (52; 24.8% versus 24; 11.2%,  $p < 0.001$ ). Gastrointestinal (GI) upset (23; 11.0%) and GI bleeding (11; 5.2%) were the most common ADRs of dabigatran. Discontinuation of treatment due

**Table 3.** Adverse drug reactions reported during the study period. n (%).

	total (n=424)	dabigatran (n=210)	rivaroxaban (n=214)	p-value
ADRs	77(18.2)	52(25.2)	24(11.2)	<0.001
GI trouble	25(5.9)	23(11.0)	2(0.9)	<0.001
GI bleeding	14(3.3)	11(5.2)	3(1.4)	0.027
epistaxis	6(1.4)	2(1.0)	4(1.9)	
weakness	4(0.9)	3(1.4)	1(0.5)	
bruise	4(0.9)	2(1.0)	2(0.9)	
gum bleeding	3(0.7)	1(1.0)	2(0.9)	
edema	3(0.7)	1(0.5)	2(0.9)	
skin rash	2(0.5)	1(0.5)	1(0.5)	
hematuria	2(0.5)	-	2(0.9)	
dizziness	2(0.5)	1(0.5)	1(0.5)	
diarrhea	2(0.5)	1(0.5)	1(0.5)	
coughing	1(0.2)	1(0.5)	-	
hemoptysis	1(0.2)	1(0.5)	-	
GI ulcer	1(0.2)	1(0.5)	-	
tingling sensation	1(0.2)	-	1(0.5)	
headache	1(0.2)	-	1(0.5)	
etc. <sup>a</sup>	5(1.2)	4(1.9)	1(0.5)	
discontinuation due to ADR	48(11.3)	37 <sup>b</sup> (17.6)	11(5.1)	<0.001
ER visits or hospital admissions due to ADR	12(2.8)	10 <sup>c</sup> (4.8)	2 <sup>d</sup> (0.9)	0.017

abbreviations: ADR, adverse drug reactions; GI, gastrointestinal; ER, emergency room

<sup>a</sup>red eyes, weight loss, palpitation, temporal behavioral changes. <sup>b</sup>17 dyspepsia, 9 GI bleeding, 9 epistaxis and bruise. <sup>c</sup>7 GI bleeding, 1 dizziness, 1 weakness, 1 intrapulmonary hemorrhage. <sup>d</sup>1 epistaxis, 1 gum bleed.

**Table 4.** Prescriptions based on NHI coverage (n, %).

	total (n=424)	dabigatran (n=210)	rivaroxaban (n=214)	p-value
covered by the NHI	151(35.6)	99(47.1)	52(24.3)	<0.001
INR control failure	134(88.7)	89(89.9)	45(45.5)	
contraindicated for warfarin	11(7.3)	10(10.1)	1(1.0)	
diagnosed VTE	6(4.0)	0(0.0)	6(6.1)	0.001
uncovered by the NHI	273(64.4)	111(52.9)	162(75.7)	
bridging therapy	86(31.5)	17(15.3)	69(42.6)	<0.001
perioperative period	38(44.2)	15(88.2)	23(33.3)	
start warfarin	48(55.8)	2(11.8)	46(66.7)	
DCCV, RFCA	57(20.9)	22(19.8)	35(21.6)	
difficulty in INR control	52(19.0)	27(24.3)	25(15.4)	
unable to periodic INR monitoring	31(11.4)	16(14.4)	15(9.3)	
unsuitable for warfarin	15(5.5)	9(8.1)	6(3.7)	
patient request	12(4.4)	9(8.1)	3(1.9)	0.017
others	20(7.3)	11(9.9)	9(5.6)	

abbreviations: NHI, National Health Insurance; VTE, venous thromboembolism; DCCV, direct current cardioversion; RFCA, radiofrequency catheter ablation.

to ADRs was significantly higher in dabigatran group compared to rivaroxaban group (37; 17.6% versus 11; 5.1%,  $p < 0.001$ ). Ten cases of emergency room (ER) visits, hospital admission and the extension of hospitalization (4.8%) due to ADR were reported in dabigatran group, while rivaroxaban had two ER visits or hospital admissions events (0.9%) ( $p = 0.017$ ).

### Prescription reimbursement

In both dabigatran and rivaroxaban groups, 151 (35.6%) out of 424 prescriptions were eligible for the reimbursement from NHI. Ninety-nine cases (47.1%) of dabigatran prescription were covered by NHI, but only 52 cases (24.3%) of rivaroxaban prescription were eligible for reimbursement ( $p < 0.001$ ). Most of the approved cases included the failure of INR adjustment (134; 88.7%) and contraindication to warfarin (11; 7.3%). Unapproved cases included bridging therapies (86; 31.5%), directly current cardioversion or radiofrequency catheter ablation (57; 20.9%), INR fluctuation without meeting the NHI criteria (52; 19.0%), noncompliance with regular INR monitoring (31; 11.4%), and recent bleeding (15; 5.5%) (Table 4).

## DISCUSSION

This study shows the trends of prescribing pattern and use

of both dabigatran and rivaroxaban for NVAF patients in a real-world setting. Dabigatran and rivaroxaban are the first two medications approved to be used in NVAF patients for the prevention of systemic thromboembolism and stroke. They are leading the paradigm shift of anticoagulation therapy in modern days. The use of dabigatran and rivaroxaban is expected to increase, but the cost and adverse drug reactions are still putting the limit on their use.<sup>11)</sup> Compliance seemed to be another issue for clinical outcomes since the assay method is not well developed yet.

In this study, dabigatran or rivaroxaban seemed to be selected based on the clinical experience of healthcare providers and their preferences. In addition, dabigatran was found to be prescribed in patients who had higher CHADS<sub>2</sub> scores. These findings have several assumptions. First, there had not been a head-to-head comparative clinical trial between dabigatran and rivaroxaban. Also, since dabigatran had been introduced clinically longer than rivaroxaban, doctors would have been comfortable with prescribing dabigatran over rivaroxaban. Dabigatran and rivaroxaban were eligible to be reimbursed for the secondary prevention of stroke and for the substitution of warfarin in patients who were unable to use it. However, this study has found that about 64.4% of patients were on either dabigatran or rivaroxaban for perioperative use, DCCV or RFCA cardioversion, as an alternative to warfarin due to uncontrolled INR. It is postulated due to the major merit of DOACs with a simple dosing not requiring a regular monitoring because of the wider therapeutic index, faster onset of action, shorter half-life, and better safety issues compared to warfarin.<sup>14)</sup>

Dabigatran had significantly lower compliance than rivaroxaban. It may be due to the fact that dabigatran was dosed twice daily but rivaroxaban was dosed once a day. DOACs are proved to be effective in reducing the risk of stroke in AF with the assumption of good compliance. However, in the clinical settings where no regular laboratory monitoring is needed, a poor medication compliance is common, which may impact the clinical outcomes for the patient. Thus, DOAC could impose the same risk as with warfarin or other injectable anticoagulants. To improve compliance, healthcare professionals should consider patient's preference on the choice of medications and direction to use as well as the patient's knowledge of medications. Any personal barriers to medication adherence have to be assessed to provide information and advice on taking medication safely

if patients need it.

GI upset and bleeding were higher in patients with dabigatran 150 mg bid than patients with rivaroxaban. In the pivotal phase 3 trials with dabigatran, the overall safety profile was favorable to dabigatran compared to warfarin, except for a relative increase in dyspepsia-like symptoms and (at the higher dabigatran dose) GI bleeding events.<sup>15)</sup> In 2014, U.S. Food and Drug Administration has announced the safety caution through MedWatch regarding the GI bleeding from dabigatran.<sup>16)</sup> According to the American Academy of Neurology (AAN) 2014 guideline, DOACs are recommended in patients, who have high risk of bleeding in cranium due to anticoagulation therapies or who are not able to regularly receive checkup on INR due to mobility impairment.<sup>17)</sup> As far as we understand, head-to-head trials to compare dabigatran and rivaroxaban or with other DOACs are not available, yet. Therefore, large scales of post-marketing surveillance are also needed.

This study had some limitations. It was a retrospective study at a single medical center for patients with NVAF, and rivaroxaban was introduced in a short period of time than dabigatran. However, this study provided the early stage of DOAC usage and the areas that needed improvement in medication use. Each newly introduced oral anticoagulants needs further investigation for their risk factors, effects of short and long term use, safety profile, and patient's experience or follow-up after usage.<sup>18)</sup>

## CONCLUSION

Dabigatran showed higher prescription rate in high CHADS<sub>2</sub> score group and it is used more for secondary prevention of stroke compared to rivaroxaban. There were more adverse effects of dyspepsia and GI bleeding in dabigatran compared to rivaroxaban. Rivaroxaban was found to be used for bridging therapy in NVAF patients and its compliance rate has shown to be higher compared to dabigatran.

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## CONFLICT OF INTEREST

No conflicts of interest have been declared.

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