

## LOCAL REGULARITY CRITERIA OF THE NAVIER-STOKES EQUATIONS WITH SLIP BOUNDARY CONDITIONS

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ABSTRACT. We present regularity conditions for suitable weak solutions of the Navier-Stokes equations with slip boundary data near the curved boundary. To be more precise, we prove that suitable weak solutions become regular in a neighborhood boundary points, provided the scaled mixed norm  $L_{x,t}^{p,q}$  with  $3/p + 2/q = 2$ ,  $1 \leq q < \infty$  is sufficiently small in the neighborhood.

### 1. Introduction

We study the regularity problem for suitable weak solutions  $(u, p) : \Omega \times I \rightarrow \mathbb{R}^3 \times \mathbb{R}$  to the Navier-Stokes equations in three dimensions,

$$u_t - \Delta u + (u \cdot \nabla)u + \nabla p = f, \quad \operatorname{div} u = 0 \quad \text{in } Q_T = \Omega \times I,$$

where  $u$  is the velocity field and  $p$  is the pressure. Here  $f$  is an external force and  $\Omega$  is a bounded domain with  $C^2$  boundary. After the existence of weak solutions was proved by Leray [18] and Hopf [11], regularity problem has remained open. It has been known that weak solutions become unique and regular in  $\Omega \times [0, T)$  if the following additional conditions are imposed on weak solutions:

$$\|v\|_{L_{x,t}^{p,q}(\Omega \times [0, T))} := \left\| \|v(\cdot, t)\|_{L_x^p(\Omega)} \right\|_{L_t^q[0, T)} < \infty, \quad \frac{3}{p} + \frac{2}{q} = 1, \quad 3 \leq p \leq \infty.$$

In this direction, lots of significant contributions have been made so far (refer to e.g. [6, 7, 8, 9, 13, 15, 21, 22, 30, 32, 33, 35, 36]).

For the partial regularity theory, after Scheffer's works in a series of papers [23, 24, 25, 26], Caffarelli, Kohn and Nirenberg [4] proved that the one-dimensional parabolic Hausdorff measure of possible singular set is zero for suitable weak solutions of the Navier-Stokes equations. The extension up to boundary was shown in [28] (see also [29]). In [5], the estimate of size of a

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Received March 22, 2015.

2010 *Mathematics Subject Classification*. Primary 35D30, 35Q30.

*Key words and phrases*. Navier-Stokes equations, slip boundary data, suitable weak solution.

K. Kang was supported by NRF-2012R1A1A2001373. M. Kim was supported by KRF-2008-331-C00024.

possible singular set was improved by a logarithmic factor. The following local regularity criterion was proved in [4] and crucially used for partial regularity: there exists  $\epsilon > 0$  such that if suitable weak solution  $u$  satisfies

$$\limsup_{r \rightarrow 0} \frac{1}{r} \int_{Q_{z,r}} |\nabla u(y, s)|^2 dy ds \leq \epsilon,$$

then  $u$  is regular in a neighborhood of  $z$  (refer to [27] for flat boundary and [29] for curved boundary). This regularity criterion was improved in terms of scaled mixed norm regarding velocity field in [10, Theorem 1.1]. On the other hand, in [9], the following regularity criteria was proved near the flat boundary:

$$(1) \quad \limsup_{r \rightarrow 0} \frac{1}{r} \left\| \|u\|_{L^p(B_{x,r}^+)} \right\|_{L^q(t-r^2, t)} \leq \epsilon, \quad \frac{3}{p} + \frac{2}{q} = 2, \quad 2 < q < \infty.$$

In [14], the following local regularity criteria was proved near the curved boundary in case of homogeneous boundary conditions:

$$\limsup_{r \rightarrow 0} r^{-\left(\frac{3}{p} + \frac{2}{q} - 1\right)} \left\| \|u\|_{L^p(\Omega_{x,r})} \right\|_{L^q(t-r^2, t)} \leq \epsilon,$$

$$1 \leq \frac{3}{p} + \frac{2}{q} \leq 2, \quad 2 < q \leq \infty, \quad (p, q) \neq \left(\frac{3}{2}, \infty\right).$$

For the case of slip boundary conditions, the existence of the weak or strong solutions was studied by Solonnikov, Ščadilov [34], Maremonti [20] and Itoh, Tani [12]. Some regularity results for weak solutions were showed in [3] for the stationary case. Bae, Choe and Jin [2] proved the following: Suppose  $(u, p)$  is a suitable weak solution. There exists a positive constant  $\sigma$  such that if  $u \in L^{p,q}(Q_r^+)$  for some  $(p, q)$  satisfying  $\frac{3}{p} + \frac{2}{q} \leq 1$  with  $q > 3$ , or if  $u \in L^{3,\infty}(Q_r^+)$  with  $\|u\|_{L^{3,\infty}(Q_r^+)} \leq \epsilon_0$  for some small  $\epsilon_0$ , then

$$\sup_{Q_{\frac{r}{2}}^+} |u| \leq N \left( \int_{Q_r^+} |u|^3 dx dt \right)^{\frac{5+\sigma}{3\sigma}} + N$$

for some positive constant  $N$  depending on  $\epsilon_0$ .

The main objective of this paper is to establish the regularity criteria (1) for the Navier-Stokes equations with ship boundary conditions near the curved boundary.

To be more precise, we study suitable weak solutions of the following Navier-Stokes equations in three dimensions

$$(2) \quad \begin{cases} u_t - \Delta u + (u \cdot \nabla)u + \nabla p = f, & \text{div } u = 0 & \text{in } Q_T = \Omega \times I, \\ u \cdot n = 0, \quad n \cdot T(u, p) \cdot \tau = 0 & & \text{on } \partial\Omega \times I, \end{cases}$$

where  $u$  is the velocity field,  $p$  is the pressure,  $n$  is the outer unit normal vector,  $\tau$  is the unit tangent vector and  $T(u, p)$  is a stress tensor, which is given as

$$T(u, p) = \frac{1}{2} (\nabla u + (\nabla u)^T) - p\delta_{ij} = \frac{1}{2} (\partial_i u_j + \partial_j u_i)_{i,j=1,2,3} - p\delta_{ij}.$$

Here  $f$  is an external force and  $\Omega$  is a bounded domain with  $C^2$  boundary. Suitable weak solution will be defined in Definition 2.1 in next section. The existence of suitable weak solutions with slip boundary conditions was proved in [2] for the case of half space. In Appendix, we provide the existence of suitable weak solutions for the bounded domains as in [4].

We prove that suitable weak solution  $u$  becomes Hölder continuous near regular curved boundary, provided that the scaled mixed  $L^{p,q}$ -norm of the velocity field  $u$  is sufficiently small (the proof will be given in Section 3). More precisely, our main result reads as follows:

**Theorem 1.1.** *Let  $u$  be a suitable weak solution of the Navier-Stokes equations in  $\Omega$  with extra force  $f \in M_{2,\gamma}$  for some  $\gamma > 0$ ,  $\Omega_{x,r} = \Omega \cap B_{x,r}$  for some  $r > 0$  and  $B_{x,r} = \{y \in \mathbb{R}^3 : |y - x| < r\}$ . Assume further that  $\Omega$  is any domain with  $C^2$  boundary satisfying Assumption 2.1. Suppose that  $(x, t) \in \partial\Omega \times I$ . For every pair  $p, q$  satisfying*

$$\frac{3}{p} + \frac{2}{q} = 2, \quad 1 \leq q < \infty,$$

*there exists a constant  $\epsilon > 0$  depending on  $p, q, \gamma$  and  $\|f\|_{M_{2,\gamma}}$  such that, if the pair  $u, p$  is a suitable weak solution of the Navier-Stokes equations (2) satisfying Definition 2.1 and*

$$\limsup_{r \rightarrow 0} r^{-1} \left\| \|u\|_{L^p(\Omega_{x,r})} \right\|_{L^q(t-r^2,t)} < \epsilon,$$

*then  $u$  is regular at  $z = (x, t)$ .*

## 2. Preliminaries

In this section, we introduce notations, define suitable weak solutions, and derive equations (5) changed by flattening the boundary. For notational convenience, we denote for a point  $x = (x', x_3) \in \mathbb{R}^3$  with  $x' \in \mathbb{R}^2$

$$B_{x,r} = \{y \in \mathbb{R}^3 : |y - x| < r\}, \quad D_{x',r} = \{y' \in \mathbb{R}^2 : |y' - x'| < r\}.$$

For  $x \in \bar{\Omega}$ , we use the notation  $\Omega_{x,r} = \Omega \cap B_{x,r}$  for some  $r > 0$ . If  $x = 0$ , we drop  $x$  in the above notations, for instance  $\Omega_{x,r}$  is abbreviated to  $\Omega_r$ . A solution  $u$  to (2) is said to be regular at  $z = (x, t) \in \bar{\Omega} \times I$  if  $u \in L^\infty(\Omega_{x,r} \times (t - r^2, t))$  for some  $r > 0$ . In such case,  $z$  is called a regular point. Otherwise we say that  $u$  is singular at  $z$  and  $z$  is a singular point. We begin with some notations. Let  $\Omega$  be a bounded domain in  $\mathbb{R}^3$ . We denote by  $N = N(\alpha, \beta, \dots)$  a constant depending on the prescribed quantities  $\alpha, \beta, \dots$ , which may change from line to line. For  $1 \leq p \leq \infty$ ,  $W^{k,p}(\Omega)$  denotes the usual Sobolev space, i.e.,  $W^{k,p}(\Omega) = \{u \in L^p(\Omega) : D^\alpha u \in L^p(\Omega), 0 \leq |\alpha| \leq k\}$ . We write the average of  $f$  on  $E$  as  $f_E$ , that is  $f_E = \frac{1}{|E|} \int_E f$ . We suppose that  $f$  belongs to a parabolic Morrey space  $M_{2,\gamma}(Q_T)$  for some  $0 < \gamma \leq 2$  equipped with the

norm

$$\|f\|_{M_{2,\gamma}(Q_T)} = \sup \left\{ \left( \frac{1}{r^{1+2\gamma}} \int_{Q_{z,r}} |f|^2 dx \right)^{\frac{1}{2}} : z = (x, t) \in \overline{Q_T}, r > 0 \right\},$$

where  $Q_{z,r} = (\Omega_{x,r} \times (t - r^2, t)) \cap Q_T$ . We note that  $M_{2,\gamma}(Q_T)$  contains  $L^{\frac{5}{2-\gamma}}(Q_T)$ . We make some assumptions on the boundary of  $\Omega$ .

**Assumption 2.1.** Suppose that  $\Omega$  be a domain with  $C^2$  boundary such that the following is satisfied: For each point  $x = (x', x_3) \in \partial\Omega$ , there exist absolute constant  $N$  and  $r_0$  independent of  $x$  such that we can find a Cartesian coordinate system  $\{y_i\}_{i=1}^3$  with the origin at  $x$  and a  $C^2$  function  $\varphi : D_{r_0} \rightarrow \mathbb{R}$  satisfying

$$\Omega_{r_0} = \Omega \cap B_{x,r_0} = \{y = (y', y_3) \in B_{x,r_1} : y_3 > \varphi(y')\}$$

and

$$\varphi(0) = 0, \quad \nabla_y \varphi(0) = 0, \quad \sup_{D_{r_0}} |\nabla_y^2 \varphi| \leq N.$$

*Remark 2.1.* The main condition on Assumption 2.1 is the uniform estimate of the  $C^2$ -norms of the function  $\varphi$  for each  $x \in \partial\Omega$ . More precisely, there exists a sufficiently small  $r_1$  with  $r_1 < r_0$ , where  $r_0$  is the number in Assumption 2.1 such that for any  $r < r_1$

$$(3) \quad \sup_{x \in \partial\Omega} \|\varphi\|_{C^2(D_r)} \leq N(1 + r + r^2).$$

Next lemma is related with Gagliardo-Nirenberg in [1, 17] :

**Lemma 2.2.** Let  $\Omega$  be a domain of  $\mathbb{R}^3$  satisfying Assumption 2.1 and  $\int_{\Omega} u = 0$ . For every fixed number  $r \geq 1$  there exists a constant  $N$  such that

$$\|u\|_{L_{\Omega}^q} \leq N \|\nabla u\|_{L_{\Omega}^p}^{\theta} \|u\|_{L_{\Omega}^r}^{1-\theta},$$

where  $\theta \in [0, 1], p, q \geq 1$ , are linked by  $\theta = (\frac{1}{r} - \frac{1}{q})(\frac{1}{3} - \frac{1}{p} + \frac{1}{r})^{-1}$ .

Next we recall suitable weak solutions for the Navier-Stokes equations (2) in three dimensions.

**Definition 2.1.** Let  $\Omega \subset \mathbb{R}^3$  be a bounded domain satisfying Assumption 2.1 and  $I = [0, T]$ . We denote  $Q_T = \Omega \times I$ . Suppose that  $f$  belongs to the Morrey space  $M_{2,\gamma}(Q_T)$  for some  $\gamma > 0$ . A pair of  $(u, p)$  is a suitable weak solution to (2) if the following conditions are satisfied:

(a) The functions  $u : Q_T \rightarrow \mathbb{R}^3$  and  $p : Q_T \rightarrow \mathbb{R}$  satisfy

$$u \in L^{\infty}(I; L^2(\Omega)) \cap L^2(I; W^{1,2}(\Omega)), \quad p \in L^{\frac{3}{2}}(\Omega \times I),$$

$$\nabla^2 u, \nabla p \in L^{\frac{9}{8}, \frac{3}{2}}_{x,t}(\Omega \times I).$$

(b)  $u$  and  $p$  solve the Navier-Stokes equations in  $Q_T$  in the sense of distributions and  $u$  satisfies slip boundary conditions on  $\partial\Omega \times I$ .

(c)  $u$  and  $p$  satisfy the local energy inequality

$$\begin{aligned} & \int_{\Omega} |u(x, t)|^2 \phi(x, t) dx + 2 \int_{t_0}^t \int_{\Omega} |\nabla u(x, t')|^2 \phi(x, t') dx dt' \\ & \leq \int_{t_0}^t \int_{\Omega} \left( |u|^2 (\partial_t \phi + \Delta \phi) + (|u|^2 + 2p) u \cdot \nabla \phi + 2f \cdot u \phi \right) dx dt' \end{aligned}$$

for all  $t \in I = (0, T)$  and for all non-negative functions  $\phi \in C_0^\infty(\mathbb{R}^3 \times \mathbb{R})$ , vanishing in a neighborhood of the set  $\Omega \times \{t = 0\}$ .

Let  $x_0 \in \partial\Omega$ . Under Assumption 2.1, we can represent  $\Omega_{x_0, r_0} = \Omega \cap B_{x_0, r_0} = \{y = (y', y_3) \in B_{x_0, r_0} : y_3 > \varphi(y')\}$  where  $\varphi$  is the graph of  $\mathcal{C}^2$  in Assumption 2.1. Flattening the boundary near  $x_0$ , we introduce new coordinates  $x = \psi(y)$  by formulas

$$(4) \quad x = \psi(y) \equiv (y_1, y_2, y_3 - \varphi(y_1, y_2)),$$

where  $\varphi$  is a bijection whose Jacobian is equal to 1. We note that the mapping  $y \mapsto x = \psi(y)$  straightens out  $\partial\Omega$  near  $x_0$  such that  $\Omega_{x_0, \rho}$  is transformed onto a subdomain  $\psi(\Omega_{x_0, \rho})$  of  $\mathbb{R}_+^3 \equiv \{x \in \mathbb{R}^3 : x_3 > 0\}$ . We define  $v = u \circ \psi^{-1}$ ,  $\pi = p \circ \psi^{-1}$  and  $g = f \circ \psi^{-1}$  in  $\psi(\Omega_{x_0, \rho})$ . Then using the change of variables (4), in this case, the outer unit normal vector is  $(0, 0, -1)$  and unit tangent vectors are  $(1, 0, 0)$ ,  $(0, 1, 0)$ . The equations (2) result in the following equations for  $v$  and  $\pi$ :

$$(5) \quad \begin{cases} v_t - \widehat{\Delta} v + (v \cdot \widehat{\nabla}) v + \widehat{\nabla} \pi = g, \\ \widehat{\nabla} \cdot v = 0 & \text{in } \psi(\Omega_{x_0, \rho}), \\ v_3 = 0, \quad \partial_3 v_1 = \varphi_{x_1} \partial_3 v_3, \\ \partial_3 v_2 = \varphi_{x_2} \partial_3 v_3 & \text{on } \partial\psi(\Omega_{x_0, \rho}) \cap \{x_3 = 0\}, \end{cases}$$

where  $\widehat{\nabla}$  and  $\widehat{\Delta}$  are differential operators with variable coefficients defined by

$$(6) \quad \begin{aligned} \widehat{\nabla} &= (\partial_{x_1} - \varphi_{x_1} \partial_{x_3}, \partial_{x_2} - \varphi_{x_2} \partial_{x_3}, \partial_{x_3}), \\ \widehat{\Delta} &= a_{ij}(x) \partial_{x_i, x_j}^2 + b_i(x) \partial_{x_i}, \end{aligned}$$

where  $a_{ij}$  and  $b_i$  are given as

$$a_{ij}(x) = \delta_{ij}, \quad a_{i3}(x) = a_{3i}(x) = -\varphi_{x_i}, \quad b_i(x) = 0, \quad i = 1, 2,$$

and

$$a_{33}(x) = 1 + \sum_{i=1}^2 (\varphi_{x_i})^2, \quad b_3(x) = -\sum_{i=1}^2 \varphi_{x_i x_i}.$$

As mentioned in Remark 2.1, if we take a sufficiently small  $r_1$  with  $r_1 < r_0$ , then (3) holds for any  $r < r_1$ . In addition, the followings are satisfied:

$$(7) \quad \frac{1}{2} |\nabla v(x, t)| \leq |\widehat{\nabla} v(x, t)| \leq 2 |\nabla v(x, t)| \quad \text{for all } x \in \psi(\Omega_{(x_0), 2r}),$$

$$(8) \quad \begin{aligned} B_{\psi(x_0), \frac{r}{2}}^+ &\subset \psi(\Omega_{x_0, r}) \subset B_{\psi(x_0), 2r}^+, \\ \psi^{-1}(B_{\psi(x_0), \frac{r}{2}}^+) &\subset \Omega_{x_0, r} \subset \psi^{-1}(B_{\psi(x_0), 2r}^+). \end{aligned}$$

From now on, we fix  $x_0 = 0$  without loss of generality. We suppose that, as above,  $\psi$  is a coordinate transformation so that  $v, \pi$  satisfies (5) in  $\psi(\Omega_{r_0})$ .

*Remark 2.3.* Due to the suitability of  $u, p$  (see Definition 2.1),  $(v, \pi)$  solve (5) in a weak sense and satisfies the following local energy inequality: There exists  $r_2$  with  $r_2 < r_0$  where  $r_0$  is the number in Assumption 2.1 such that

$$(9) \quad \int_{\psi(\Omega_{r_0})} |v(x, t)|^2 \xi(x, t) dx + 2 \int_{t_0}^t \int_{\psi(\Omega_{r_0})} \left| \widehat{\nabla} v(x, t') \right|^2 \xi(x, t') dx dt' \\ \leq \int_{t_0}^t \int_{\psi(\Omega_{r_0})} \left( |v|^2 (\partial_t \xi + \widehat{\Delta} \xi) + (|v|^2 + 2\pi) v \cdot \widehat{\nabla} \xi + 2g \cdot v \xi \right) dx dt',$$

where  $\xi \in C_0^\infty(B_r)$  with  $r < r_2$  and  $\xi \geq 0$ , and  $\widehat{\nabla}$  and  $\widehat{\Delta}$  are differential operators in (6).

Next we define some scaling invariant functionals, which are useful for our purpose. Let  $B_r^+ = B_r \cap \{x \in \mathbb{R}^3 : x_3 > 0\}$  and  $Q_r^+ = B_r^+ \times (-r^2, 0)$ . As defined earlier, we also denote  $\Omega_r = \Omega \cap B_r$  and  $Q_r = \Omega_r \times (-r^2, 0)$ . Let  $r_0$  and  $r_1$  be the numbers in Assumption 2.1 and Remark 2.1, respectively. For any  $r < r_1$  and a suitable weak solution  $(u, p)$  of (2) we introduce

$$A(r) := \frac{1}{r^2} \int_{\Omega_r} |u(y, s)|^3 dy ds, \\ D(r) := \sup_{-r^2 \leq t \leq 0} \frac{1}{r} \int_{\Omega_r} |u(y, s)|^2 dy, \quad E(r) := \frac{1}{r} \int_{Q_r} |\nabla u(y, s)|^2 dy ds, \\ K(r) := \frac{1}{r} \left( \int_{t-r^2}^t \left( \int_{\Omega_r} |u(y, s)|^p dy \right)^{\frac{q}{p}} ds \right)^{\frac{1}{q}}, \quad \frac{3}{p} + \frac{2}{q} = 2, \quad 1 \leq q < \infty, \\ C(r) := \frac{1}{r^2} \int_{\Omega_r} |p(y, s)|^{\frac{3}{2}} dy ds.$$

For a suitable weak solution  $(v, \pi)$  and  $B_r^+ \subset \psi(\Omega_{r_1})$ , we introduce

$$\widehat{A}(r) := \frac{1}{r^2} \int_{Q_r^+} |v(y, s)|^3 dy ds, \quad \widehat{A}_a(r) := \frac{1}{r^2} \int_{Q_r^+} |v - (v)_r|^3 dy ds, \\ \widehat{D}(r) := \sup_{-r^2 \leq t \leq 0} \frac{1}{r} \int_{B_r^+} |v(y, s)|^2 dy, \quad \widehat{E}(r) := \frac{1}{r} \int_{Q_r^+} |\widehat{\nabla} v(y, s)|^2 dy ds, \\ \widehat{K}(r) := \frac{1}{r} \left( \int_{t-r^2}^t \left( \int_{B_r^+} |v(y, s)|^p dy \right)^{\frac{q}{p}} ds \right)^{\frac{1}{q}}, \\ \widehat{C}(r) := \frac{1}{r^2} \int_{\Omega_r} |\pi(y, s)|^{\frac{3}{2}} dy ds, \quad \widehat{C}_a(r) := \frac{1}{r^2} \int_{\Omega_r} |\pi - (\pi)_r|^{\frac{3}{2}} dy ds,$$

where  $(v)_r = \int_{B_r^+} v(y, s) dy$ . Next lemma shows relations between scaling invariant quantities above.

**Lemma 2.4.** *Let  $\Omega$  be a bounded domain satisfying Assumption 2.1 and  $x_0 \in \partial\Omega$ . Suppose that  $(u, p)$  and  $(v, \pi)$  are suitable weak solutions of (2) in  $\Omega \times I$  and (5) in  $\psi(\Omega_{x_0}) \times I$ , respectively, where  $\psi$  is the mapping flattening the boundary in Assumption 2.1. Let  $x = \psi(x_0)$ . Then there exist sufficiently small  $r_1$  and an absolute constant  $N$  such that for any  $4r < r_1$  the followings are satisfied:*

$$\begin{aligned} \frac{1}{N}E(r) &\leq \widehat{E}(2r) \leq NE(4r), & \frac{1}{N}A(r) &\leq \widehat{A}(2r) \leq NA(4r), \\ \frac{1}{N}K(r) &\leq \widehat{K}(2r) \leq NK(4r), & \frac{1}{N}C(r) &\leq \widehat{C}(2r) \leq NS(4r), \\ \frac{1}{N}D(r) &\leq \widehat{D}(2r) \leq ND(4r). \end{aligned}$$

*Proof.* We just show one of above estimates, since others follows similar arguments. For convenience, we denote  $\Pi_r = \psi(\Omega_r) \times (-r^2, 0)$  and  $\Pi_r^{-1} = \psi^{-1}(\Omega_r) \times (-r^2, 0)$ . As indicated earlier, we take a sufficiently small  $r_1$  such that (3), (7) and (8) hold. Then

$$E(r) \leq \frac{N}{r} \int_{\Pi_r} |\nabla v|^2 \leq \frac{N}{r} \int_{\Pi_r} |\widehat{\nabla} v|^2 \leq \frac{N}{2r} \int_{Q_{2r}^+} |\widehat{\nabla} v|^2 = N\widehat{E}(2r).$$

On the other hand,

$$\widehat{E}(2r) \leq \frac{1}{2r} \int_{Q_{2r}^+} |\nabla v|^2 \leq \frac{N}{2r} \int_{\Pi_{2r}^{-1}} |\nabla u|^2 \leq \frac{N}{4r} \int_{Q_{4r}} |\nabla u|^2 = NE(4r).$$

This completes the proof. □

*Remark 2.5.* We note that  $f$  and  $g$  have relations as in Lemma 2.4. To be more precise,

$$\int_{Q_r} |f|^2 \leq N \int_{\Pi_r} |g|^2 \leq N \int_{Q_{2r}^+} |g|^2 \leq N \int_{\Pi_{2r}^{-1}} |f|^2 \leq N \int_{Q_{4r}} |f|^2.$$

Therefore, it is direct that  $\|g\|_{M_{2,\gamma}(\Pi_r)} \leq N \|f\|_{M_{2,\gamma}(Q_r)}$ .

In the sequel, for simplicity, we denote  $\|f\|_{M_{2,\gamma}} = m_\gamma$ .

### 3. Local regularity near boundary

In this section, we present the proof of Theorem 1.1. We first show a local regularity criterion for  $v$  near the boundary.

**Lemma 3.1.** *Let  $\Omega$  be a bounded domain satisfying Assumption 2.1 and  $x_0 \in \partial\Omega$ . Suppose that  $(v, \pi)$  is a suitable weak solution of (5) in  $\psi(\Omega_{x_0}) \subset \mathbb{R}_+^3$ , where  $\psi$  is the mapping flattening the boundary in Assumption 2.1. Let  $w = (y, t)$  with  $y = \psi(x_0)$ . Assume further that  $g \in M_{2,\gamma}$  for some  $\gamma \in (0, 2]$ . Then there exist  $\epsilon > 0$  and  $r_1$  depending on  $\gamma, \|g\|_{M_{2,\gamma}}$  such that if  $\widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r) < \epsilon$  for some  $r < r_1$ , then  $w$  is a regular point.*

The proof of Lemma 3.1 is based on the following, which shows a decay property of  $v$  in a Lebesgue spaces. From now on, we denote  $\|g\|_{M_{2,\gamma}} = m_\gamma$ , unless any confusion is expected.

**Lemma 3.2.** *Let  $0 < \theta < \frac{1}{2}$  and  $\beta \in (0, \gamma)$ . Under the same assumption as in Lemma 3.1, there exist  $\varepsilon_1 > 0$  and  $r_1$  depending on  $\theta, \gamma, \beta$  and  $m_\gamma$  such that if  $\widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r) + m_\gamma r^\beta < \varepsilon_1$  for some  $r \in (0, r_1)$ , then*

$$\widehat{A}^{\frac{1}{3}}(\theta r) + \widehat{C}^{\frac{2}{3}}(\theta r) < N\theta^\alpha \left( \widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r) + m_\gamma r^\beta \right),$$

where  $0 < \alpha < 1$  and  $N$  is a constant.

*Proof.* For convenience we denote  $\tau(r) := \widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r) + m_\gamma r^\beta$ . Suppose the statement is not true. Then for any  $\alpha \in (0, 1)$  and  $N > 0$ , there exist  $z_n = (x_n, t_n)$ ,  $r_n \searrow 0$  and  $\varepsilon_n \searrow 0$  such that

$$\tau(r_n) = \varepsilon_n, \quad \widehat{A}^{\frac{1}{3}}(\theta r_n) + \widehat{C}^{\frac{2}{3}}(\theta r_n) > N\theta^\alpha \varepsilon_n.$$

Let  $w = (y, s)$  where  $y = \frac{1}{r_n}(x - x_n)$ ,  $s = \frac{1}{r_n^2}(t - t_n)$  and we define  $\widehat{v}_n, \widehat{\pi}_n$  and  $\widehat{g}_n$  by  $\widehat{v}_n(w) = \frac{1}{\varepsilon_n}(v(z) - (v(z))_{r_n})$ ,  $\widehat{\pi}_n(w) = \frac{1}{\varepsilon_n}r_n(\pi(z) - (\pi(z))_{r_n})$  and  $\widehat{g}_n(w) = g(z)$ , respectively. We also introduce scaling invariant functionals  $\widehat{A}_a(\widehat{v}_n, \theta)$  and  $\widehat{C}_a(\widehat{\pi}_n, \theta)$  as follows:

$$\widehat{A}_a(\widehat{v}_n, \theta) := \frac{1}{\theta^2} \int_{Q_\theta^+} |\widehat{v}_n - (\widehat{v}_n)_\theta|^3 dw, \quad \widehat{C}_a(\widehat{\pi}_n, \theta) := \frac{1}{\theta^2} \int_{Q_\theta^+} |\widehat{\pi}_n - (\widehat{\pi}_n)_\theta|^{\frac{3}{2}} dw.$$

The change of variables lead to

$$\begin{aligned} \varepsilon_n \widehat{\nabla}_y \widehat{v}_n(w) &= r_n \widehat{\nabla}_x v(z), & \varepsilon_n \widehat{\nabla}_y^2 \widehat{v}_n(w) &= r_n^2 \widehat{\nabla}_x^2 v(z), \\ \varepsilon_n \partial_s \widehat{v}_n(w) &= r_n^2 \partial_t v(z), & \varepsilon_n \widehat{\nabla}_y \widehat{\pi}_n(w) &= r_n \widehat{\nabla}_x \pi(z). \\ (\widehat{v}_n)_{B_1^+}(s) &= 0, & (\widehat{\pi}_n)_{B_1^+}(s) &= 0, \quad s \in (-1, 0), \\ \tau_n(1) &= \|\widehat{v}_n\|_{L^3(Q_1^+)} + \|\widehat{\pi}_n\|_{L^{\frac{3}{2}}(Q_1^+)} + m_\gamma^n \frac{r_n^\beta}{\varepsilon_n} = 1, \\ \tau_n(\theta) &:= \widehat{A}^{\frac{1}{3}}(\widehat{v}_n, \theta) + \widehat{C}^{\frac{2}{3}}(\widehat{\pi}_n, \theta) \geq C\theta^\alpha, \end{aligned} \tag{10}$$

where  $m_\gamma^n = \|g_n\|_{M_{2,\gamma}}$ . On the other hand,  $\widehat{v}_n, \widehat{\pi}_n$  solve the following system in a weak sense

$$\partial_s \widehat{v}_n - \widehat{\Delta} \widehat{v}_n + \varepsilon_n r_n (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n + (\widehat{v}_n \cdot \widehat{\nabla}) r_n a_n + \widehat{\nabla} \widehat{\pi}_n = \frac{r_n^2}{\varepsilon_n} \widehat{g}_n, \quad \widehat{\nabla} \cdot \widehat{v}_n = 0 \quad \text{in } Q_1^+ \tag{11}$$

$$\widehat{v}_{3,n} = 0, \quad \begin{aligned} \partial_3 \widehat{v}_{1,n} &= \varphi_{x_1} \partial_3 \widehat{v}_{3,n} \\ \partial_3 \widehat{v}_{2,n} &= \varphi_{x_2} \partial_3 \widehat{v}_{3,n} \end{aligned} \quad \text{on } B_1 \cap \{x_3 = 0\} \times (-1, 0),$$

where  $a_n = (v(z))_{r_n} = \int_{B_{r_n}^+} v(y, t) dy$ .

Since  $\tau_n(1) = 1$ , we have following weak convergence:

$$\begin{aligned} \widehat{v}_n &\rightharpoonup \widehat{v} \quad \text{in } L^3(Q_1^+), & \widehat{\pi}_n &\rightharpoonup \widehat{\pi} \quad \text{in } L^{\frac{3}{2}}(Q_1^+), \\ (\widehat{v})_{B_1^+}(s) &= 0, & (\widehat{\pi})_{B_1^+}(s) &= 0. \end{aligned} \tag{12}$$



Then, from (10) and (12),

$$\tau(1) = \widehat{A}^{\frac{1}{3}}(1) + \widehat{C}^{\frac{2}{3}}(1) \leq 1.$$

According to the definition of  $m_\gamma$ , we have

$$\begin{aligned} (13) \quad \frac{r_n^2}{\varepsilon_n} \|\widehat{g}_n\|_{L^2(Q_1^+)} &\leq \frac{r_n^2}{\varepsilon_n} m_\gamma r_n^{\gamma-2} \\ &= \frac{m_\gamma r_n^\beta}{\varepsilon_n} r_n^{\gamma-\beta} \leq r_n^{\gamma-\beta} \rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Since  $|r_n a_n|$  be a bound, without loss of generality it may be assumed that:

$$(14) \quad r_n a_n \rightarrow b \quad \text{in } \mathbb{R}^3 \quad \text{and} \quad |b| \leq M.$$

Using (10) and (13), we take

$$\begin{aligned} \int_{Q_1^+} (-\widehat{v}_n \cdot \partial_s X) dw &= \int_{Q_1^+} \{ \widehat{v}_n \cdot \widehat{\Delta} X + \widehat{v}_n \cdot (\varepsilon_n r_n \widehat{v}_n) \widehat{\nabla} X \\ &\quad + \widehat{v}_n \cdot (r_n a_n) \widehat{\nabla} X + \widehat{\pi}_n (\widehat{\nabla} \cdot X) + \frac{r_n^2}{\varepsilon_n} \widehat{g}_n \cdot X \} dw \\ &\leq N(M) \|X\|_{L^3(-1,0;W^{2,2}(B_1^+))} \end{aligned}$$

for all  $X \in C_0^1(-1, 0; W^{2,2}(B_1^+))$ .

Therefore,  $\partial_s \widehat{v}_n$  is uniformly bounded in  $L^{\frac{3}{2}}((-1, 0); (W^{2,2}(B_1^+))')$  and we also have

$$(15) \quad \partial_s \widehat{v}_n \rightharpoonup \partial_s \widehat{v} \quad \text{in } L^{\frac{3}{2}}((-1, 0); (W^{2,2}(B_1^+))').$$

From the local energy inequality (9), we obtain for every  $\sigma \in (-1, 0)$

$$\begin{aligned} (16) \quad &\int_{B_1^+} |\widehat{v}_n(y, \sigma)|^2 \xi(y, \sigma) dy + 2 \int_{-1}^\sigma \int_{B_1^+} |\widehat{\nabla} \widehat{v}_n|^2 \xi dy ds \\ &\leq \int_{-1}^\sigma \int_{B_1^+} \left\{ |\widehat{v}_n|^2 (\partial_s \xi + \widehat{\Delta} \xi) + r_n |\widehat{v}_n|^2 (\varepsilon_n \widehat{v}_n + a_n) \cdot \widehat{\nabla} \xi \right. \\ &\quad \left. + \widehat{\pi}_n \widehat{v}_n \cdot \widehat{\nabla} \xi + \frac{r_n^2}{\varepsilon_n} \widehat{g}_n \cdot \widehat{v}_n \xi \right\} dy ds \end{aligned}$$

for all  $\xi \in C_0^\infty(B_r)$ . Recalling (10), (13) and (14), we deduce from (16) the bound

$$(17) \quad \operatorname{ess\,sup}_{s \in (-3/4)^2, 0)} \|\widehat{v}_n(s)\|_{L^2(B_{3/4}^+)}^2 + \|\widehat{\nabla} \widehat{v}_n\|_{L^2(Q_{3/4}^+)}^2 \leq N(M).$$

The Gagliardo-Nirenberg inequality and (17) yield estimate

$$(18) \quad \|\widehat{v}_n\|_{L^{\frac{10}{3}}(Q_{3/4}^+)} \leq N(M).$$

Using the standard compactness arguments and (15), (17) and (18), we conclude following convergence:

$$(19) \quad \widehat{v}_n \rightharpoonup \widehat{v} \quad \text{in } L^3(Q_{3/4}^+).$$

Next we observe that  $\widehat{v}$  and  $\widehat{\pi}$  solve the following perturbed Stokes system

$$\partial_s \widehat{v} - \widehat{\Delta} \widehat{v} + \widehat{\nabla} \widehat{\pi} = 0, \quad \operatorname{div} \widehat{v} = 0 \quad \text{in } Q_1^+$$

with

$$\widehat{v}_3 = 0, \quad \begin{aligned} \partial_3 \widehat{v}_1 &= \varphi_{x_1} \partial_3 \widehat{v}_3 \\ \partial_3 \widehat{v}_2 &= \varphi_{x_2} \partial_3 \widehat{v}_3 \end{aligned} \quad \text{on } (B_1 \cap \{x_3 = 0\}) \times (-1, 0).$$

Indeed, by the Hölder's inequality, we have

$$\begin{aligned} \left\| (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n \right\|_{L^{\frac{9}{8}}(B_{7/8}^+)} &\leq N \left\| \widehat{\nabla} \widehat{v}_n \right\|_{L^2(B_{7/8}^+)} \left\| \widehat{v}_n \right\|_{L^{\frac{18}{7}}(B_{7/8}^+)} \\ &\leq N \left\| \widehat{\nabla} \widehat{v}_n \right\|_{L^2(B_{7/8}^+)} \left\| \widehat{\nabla} \widehat{v}_n \right\|_{L^2(B_{7/8}^+)}^{\frac{1}{3}} \left\| \widehat{v}_n \right\|_{L^2(B_{7/8}^+)}^{\frac{2}{3}} \\ &\leq N \left\| \widehat{\nabla} \widehat{v}_n \right\|_{L^2(B_{7/8}^+)}^{\frac{2}{3}}. \end{aligned}$$

Therefore,

$$(20) \quad \left\| (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{7/8}^+)} \leq N.$$

Moreover,  $\widehat{v}_n$  and  $\widehat{\pi}_n$  solves the following problem:

$$\begin{aligned} \partial_s \widehat{v}_n - \widehat{\Delta} \widehat{v}_n + \widehat{\nabla} \widehat{\pi}_n &= -\epsilon_n r_n (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n - (\widehat{v}_n \cdot \widehat{\nabla}) r_n a_n + \frac{r_n^2}{\epsilon_n} \widehat{g}_n \quad \text{in } Q_{5/6}^+ \\ \widehat{\nabla} \cdot \widehat{v}_n &= 0 \end{aligned}$$

with

$$\widehat{v}_{3,n} = 0, \quad \begin{aligned} \partial_3 \widehat{v}_{1,n} &= \varphi_{x_1} \partial_3 \widehat{v}_{3,n} \\ \partial_3 \widehat{v}_{2,n} &= \varphi_{x_2} \partial_3 \widehat{v}_{3,n} \end{aligned} \quad \text{on } (B_{5/6} \cap \{x_3 = 0\}) \times \left( -\left(\frac{5}{6}\right)^2, 0 \right).$$

Due to the local boundary estimate for the Stokes system in Lemma 4.2, we have the following estimate for  $\widehat{v}_n$  and  $\widehat{\pi}_n$ ;

$$\begin{aligned} &\left\| \partial_s \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+)} + \left\| \widehat{\nabla}^2 \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+)} + \left\| \widehat{\nabla} \widehat{\pi}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+)} \\ &\leq N \left( \epsilon_n r_n \left\| (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{5/6}^+)} + \frac{r_n^2}{\epsilon_n} \left\| \widehat{g}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{5/6}^+)} \right. \\ &\quad \left. + \left\| \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{5/6}^+)} + \left\| \widehat{\nabla} \widehat{v}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{5/6}^+)} + \left\| \widehat{\pi}_n \right\|_{L^{\frac{9}{8}, \frac{3}{2}}(Q_{5/6}^+)} \right) \\ &\leq N(1 + \epsilon_n r_n), \end{aligned}$$

where we used (10), (13), (17) and (20). Thus, we get

$$\widehat{\Delta} \widehat{v}_n, \widehat{\nabla} \widehat{\pi}_n \in L^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+).$$

According to estimates of the perturbed stokes system near boundary in [29],  $\widehat{v}$  is Hölder continuous in  $Q_{1/2}^+$  with the exponent  $\alpha$ . Then, by Hölder continuity

of  $\widehat{v}$  and strong convergence of the  $L^3$ -norm of  $\widehat{v}_n$ , we obtain

$$(21) \quad \widehat{A}(\widehat{v}_n, \theta) \rightarrow \widehat{A}(\widehat{v}, \theta), \quad \widehat{A}^{\frac{1}{3}}(\widehat{v}, \theta) \leq N_1 \theta^\alpha,$$

where  $N_1$  is an arbitrary constant.

Let  $\overline{B}^+$  be a domain with smooth boundary such that  $B_{4/5}^+ \subset \overline{B}^+ \subset B_{5/6}^+$ , and  $\overline{Q}^+ := \overline{B}^+ \times (-(5/6)^2, 0)$ . Now we consider the following initial and boundary problem of  $\overline{v}_n, \overline{\pi}_n$

$$\begin{aligned} \partial_s \overline{v}_n - \widehat{\Delta} \overline{v}_n + \widehat{\nabla} \overline{\pi}_n &= -\varepsilon_n r_n (\widehat{v}_n \cdot \widehat{\nabla}) \widehat{v}_n - (\widehat{v}_n \cdot \widehat{\nabla}) r_n a_n + \widehat{g}_n \quad \text{in } \overline{Q}^+, \\ \widehat{\nabla} \cdot \overline{v}_n &= 0 \end{aligned}$$

$$(\overline{v}_n)_{\overline{B}^+}(s) = 0, \quad (\overline{\pi}_n)_{\overline{B}^+}(s) = 0, \quad s \in \left( -\left(\frac{5}{6}\right)^2, 0 \right),$$

$$\overline{v}_{3,n} = 0, \quad \begin{aligned} \partial_3 \overline{v}_{1,n} &= \varphi_{x_1} \partial_3 \overline{v}_{3,n} \\ \partial_3 \overline{v}_{2,n} &= \varphi_{x_2} \partial_3 \overline{v}_{3,n} \end{aligned} \quad \text{on } \partial \overline{B}^+ \times \left[ -\left(\frac{5}{6}\right)^2, 0 \right],$$

$$\overline{v}_n = 0 \quad \text{on } \overline{B}^+ \times \left\{ s = -\left(\frac{5}{6}\right)^2 \right\}.$$

Using the global estimate of perturbed Stokes system (see [29, Lemma 3.1]), we get

$$(22) \quad \begin{aligned} & \|\partial_s \overline{v}_n\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(\overline{Q}^+)} + \|\overline{v}_n\|_{L^{\frac{3}{2}}((-(5/6)^2, 0); W_0^{2, \frac{9}{8}}(\overline{B}^+))} \\ & + \|\overline{\pi}_n\|_{L^{\frac{3}{2}}((-(5/6)^2, 0); W^{1, \frac{9}{8}}(\overline{B}^+))} \\ & \leq N \varepsilon_n r_n \left\| (v_n \cdot \widehat{\nabla}) v_n \right\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(\overline{Q}^+)} + N \left\| (v_n \cdot \widehat{\nabla}) r_n a_n \right\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(\overline{Q}^+)} \\ & + N \frac{r_n^2}{\varepsilon_n} \|\widehat{g}_n\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(\overline{Q}_{3/4}^+)} \\ & \leq N(1 + \varepsilon_n r_n + r_n^{\gamma-\beta}). \end{aligned}$$

Next, we define  $\widetilde{v}_n = \widehat{v}_n - \overline{v}_n$ ,  $\widetilde{\pi}_n = \widehat{\pi}_n - \overline{\pi}_n$ . Then it is straightforward that  $\widetilde{v}_n$  and  $\widetilde{\pi}_n$  solve

$$\partial_s \widetilde{v}_n - \widehat{\Delta} \widetilde{v}_n + \widehat{\nabla} \widetilde{\pi}_n = 0, \quad \operatorname{div} \widetilde{v}_n = 0 \quad \text{in } Q_{\frac{4}{5}}^+,$$

$$\widetilde{v}_{3,n} = 0, \quad \begin{aligned} \partial_3 \widetilde{v}_{1,n} &= \varphi_{x_1} \partial_3 \widetilde{v}_{3,n} \\ \partial_3 \widetilde{v}_{2,n} &= \varphi_{x_2} \partial_3 \widetilde{v}_{3,n} \end{aligned} \quad \text{on } (B^+ \cap \{x_3 = 0\}) \times \left[ -\left(\frac{4}{5}\right)^2, 0 \right],$$

$$\left\| \widehat{\nabla} \widetilde{v}_n \right\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+)} + \left\| \widehat{\nabla} \widetilde{\pi}_n \right\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(Q_{4/5}^+)} \leq N(1 + \varepsilon_n r_n + r_n^{\gamma-\beta}),$$

and we obtain

$$\left\| \widehat{\nabla} \widetilde{\pi}_n \right\|_{L_{y,s}^{\frac{9}{8}, \frac{3}{2}}(Q_{3/4}^+)} \leq N(1 + \varepsilon_n r_n + r_n^{\gamma-\beta}).$$

Next, let  $\widehat{C}_1(\widetilde{\pi}_n, \theta) = \frac{1}{\theta} \left( \int_{-\theta^2}^0 \left( \int_{B_\theta^+} |\widehat{\nabla} \widetilde{\pi}|^{\frac{9}{8}} dy \right)^{\frac{4}{3}} ds \right)^{\frac{2}{3}}$ . By the Poincaré inequality, we have

$$\widehat{C}_a^{\frac{2}{3}}(\widehat{\pi}_n, \theta) \leq N_2 \left( \widehat{C}_1(\overline{\pi}_n, \theta) + \widehat{C}_1(\widetilde{\pi}_n, \theta) \right).$$

We note that  $\widehat{C}_1(\overline{\pi}_n, \theta)$  goes to zero as  $n \rightarrow \infty$  because of (22). On the other hand, using the Hölder inequality, we have

$$\widehat{C}_1 \leq \theta^2 \left( \int_{-\theta^2}^0 \left( \int_{B_\theta^+} |\widehat{\nabla} \widetilde{\pi}|^9 dy \right)^{\frac{1}{6}} ds \right)^{\frac{2}{3}} \leq N\theta^\alpha (1 + \varepsilon_n r_n + r_n^{\gamma-\beta}).$$

Summing up, we obtain

$$(23) \quad \liminf_{n \rightarrow \infty} \widehat{C}_a^{\frac{2}{3}}(\widehat{\pi}_n, \theta) \leq \lim_{n \rightarrow \infty} N_2 \theta^\alpha (1 + \varepsilon_n r_n + r_n^{\gamma-\beta}) \leq N_2 \theta^\alpha.$$

Thus, we obtain from (10) that

$$N\theta^\alpha \leq N_1 \theta^\alpha + \liminf_{n \rightarrow \infty} \widehat{C}_a^{\frac{2}{3}}(\theta).$$

Consequently, if we take a constant  $N$  in (10) bigger than  $2(N_1 + N_2)$  in (21) and (23), this leads to a contradiction, since

$$2(N_1 + N_2)\theta^\alpha \leq N\theta^\alpha \leq \liminf_{n \rightarrow \infty} \tau_n(\theta) \leq (N_1 + N_2)\theta^\alpha.$$

This deduces the lemma. □

Since Lemma 3.2 is the crucial part of the proof of Lemma 3.1, we present only a brief sketch of the streamline of Lemma 3.1.

*Proof of Lemma 3.1.* We note that due to Lemma 3.2 there exists a positive constant  $\alpha < 1$  such that

$$\widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r) < N\theta^\alpha \left( \widehat{A}^{\frac{1}{3}}(\rho) + \widehat{C}^{\frac{2}{3}}(\rho) + m_\gamma r^\beta \right), \quad r < \rho < r_1,$$

where  $r_1$  is the number in Lemma 3.1. For any  $x \in B_{r_1/2}^+$  and for any  $r < r_1/4$ , let  $\widehat{B}(r) := \widehat{A}^{\frac{1}{3}}(r) + \widehat{C}^{\frac{2}{3}}(r)$ . By Lemma 3.2, we obtain

$$\widehat{B}(\theta r) \leq N\theta^\alpha \widehat{B}(r) \leq N\theta^{1+\alpha} \widehat{B}(r).$$

Thus, we have

$$\widehat{B}(\theta^k r) \leq N(\theta^{1+\alpha})^k \widehat{B}(r).$$

In case of  $\rho = \theta^k r$ , we get  $\widehat{A}_a^{\frac{1}{3}}(\rho) \leq \widehat{B}(\rho) \leq N\rho^{1+\alpha}$ . Next we consider the case that  $\theta^k r < \rho < \theta^{k-1} r$ . For the scaled  $L^3$ - norm of  $v$ ,

$$\widehat{A}^{\frac{1}{3}}(\theta^k r) = \left( \frac{1}{(\theta^k r)^2} \int_{Q_{\theta^k r}^+} |v|^3 \right)^{\frac{1}{3}} \leq \theta^{-\frac{2}{3}} \left( \frac{1}{\rho^2} \int_{Q_\rho^+} |v|^3 \right)^{\frac{1}{3}} = \theta^{-\frac{2}{3}} \widehat{A}^{\frac{1}{3}}(\rho).$$

In the same way, we get  $\widehat{C}^{\frac{2}{3}}(\theta^k r) \leq \theta^{-\frac{4}{3}} \widehat{C}^{\frac{2}{3}}(\rho)$  and therefore

$$\widehat{B}(\rho) \leq \theta^{\frac{2}{3}} \widehat{B}(\theta^k r) \leq N \theta^{\frac{2}{3}} (\theta^k)^{1+\alpha} \widehat{B}(r) \leq N \theta^{\frac{2}{3}} \widehat{B}(r) \left(\frac{\rho}{r}\right)^{1+\alpha} \leq N \rho^{1+\alpha}.$$

Thus, we can show that  $\widehat{A}_a^{\frac{1}{3}}(r) \leq N r^{1+\alpha}$ , where  $N$  is an absolute constant independent of  $v$ . Hölder continuity of  $v$  is a direct consequence of this estimate, which immediately implies that  $v$  is also Hölder continuous locally near boundary by the Morrey & Campanato lemma. This completes the proof.  $\square$

Next lemma is an estimate of the pressure.

**Lemma 3.3.** *Suppose  $0 < 2r \leq \rho$ . Then*

$$(24) \quad \widehat{C}(r) \leq N \left(\frac{\rho}{r}\right) \left(\widehat{A}_a(\rho) + \rho^{\frac{3}{2}(\gamma+1)} m^{\frac{3}{\gamma}}\right) + N \left(\frac{r}{\rho}\right) \widehat{C}(\rho).$$

*Proof.* Define  $v^* = (v_1^*, v_2^*, v_3^*)$  by

$$\begin{aligned} v_1^*(x, t) &= \begin{cases} v_1(x, t) & \text{if } x_3 \geq 0, \\ v_1(x^*, t) & \text{if } x_3 < 0, \end{cases} \\ v_2^*(x, t) &= \begin{cases} v_2(x, t) & \text{if } x_3 \geq 0, \\ v_2(x^*, t) & \text{if } x_3 < 0, \end{cases} \\ v_3^*(x, t) &= \begin{cases} v_3(x, t) & \text{if } x_3 \geq 0, \\ -v_3(x^*, t) & \text{if } x_3 < 0, \end{cases} \end{aligned}$$

where  $x^* = (x_1, x_2, -x_3) = (y_1, y_2, -y_3 + \varphi(y_1, y_2))$ . We consider  $\pi^*$ ,  $-(v^* \cdot \widehat{\nabla})v^*$ ,  $g^*$  as the even-even-odd extension. Then, we construct  $(v^*, \pi^*)$  as the solution of the Stokes system in  $\mathbb{R}^3 \times (0, T)$ :

$$(25) \quad v_t^* - \widehat{\Delta}v^* + \widehat{\nabla}\pi^* = -(v \cdot \widehat{\nabla})v^* + g^*$$

with initial data  $v^*(x, 0) = v_0^*(x)$ .

Let  $\phi(x) \geq 0$  be standard cut-off function such that  $0 \leq \phi \leq 1$ ,  $\phi \equiv 1$  in  $B_\rho$ ,  $\phi = 0$  outside on  $B_{\frac{\rho}{2}}$ . The divergence ( $:= \widehat{\nabla}$ ) of (25) gives in  $\mathbb{R}^3 \times (0, T)$

$$-\widehat{\Delta}\pi^* = \widehat{\nabla} \cdot \widehat{\nabla}(v^* \otimes v^*) - \widehat{\nabla} \cdot g^*$$

in the sense of distribution. Let

$$\begin{aligned} &\pi_1(x, t) \\ &= \int_{\mathbb{R}^3} \frac{1}{4\pi|x-y|} \left\{ \widehat{\nabla} \cdot \widehat{\nabla} [(v^* - (v^*)_\rho) \otimes (v^* - (v^*)_\rho)] \phi - \widehat{\nabla} \cdot (g^* \phi) \right\} (y, t) dy. \end{aligned}$$

Then, by Calderon-Zygmund and potential estimates,

$$\frac{r}{\rho^3} \int_{B_\rho} |\pi_1|^{\frac{3}{2}} dx \leq \frac{1}{r^2} \int_{B_\rho} |\pi_1|^{\frac{3}{2}} dx$$

$$\leq \frac{N}{r^2} \int_{B_\rho} |v^* - (v^*)_\rho|^3 dx + \frac{N}{r^2} \rho^{\frac{3}{4}} \left( \int_{B_\rho} |g^*|^2 dx \right)^{\frac{3}{4}}.$$

We set  $\pi_2(x, t) := \pi^*(x, t) - \pi_1(x, t)$ . It is direct that  $\widehat{\Delta}\pi_2 = 0, \widehat{\nabla} \cdot v^* = 0$  in  $B_{\frac{\rho}{2}}$  and thus we get

$$(26) \quad \begin{aligned} \frac{r}{r^2} \int_{B_r} |\pi_2|^{\frac{3}{2}} dx &\leq N \frac{r}{\rho^3} \int_{B_{\frac{\rho}{2}}} |\pi_2|^{\frac{3}{2}} dx \\ &\leq N \frac{r}{\rho^3} \int_{B_\rho} |\pi^*|^{\frac{3}{2}} dx + N \frac{r}{\rho^3} \int_{B_\rho} |\pi_1|^{\frac{3}{2}} dx. \end{aligned}$$

Integrating the first term of the right side in (26) in time, and using

$$\int_{-r^2}^0 \frac{\rho^{\frac{3}{4}}}{r^2} \left( \int_{B_\rho} |g^*|^2 dx \right)^{\frac{3}{4}} dt \leq N r^{-\frac{3}{2}} \rho^{3+\frac{3\gamma}{2}} m_{\frac{3}{\gamma}}^{\frac{3}{2}},$$

we obtain

$$\begin{aligned} \frac{1}{r^2} \int_{Q_r} |\pi^*|^{\frac{3}{2}} dx dt &\leq \frac{1}{r^2} \int_{Q_r} |\pi_1|^{\frac{3}{2}} + |\pi_2|^{\frac{3}{2}} dx dt \\ &\leq N \left( \frac{\rho}{r} \right)^2 \left( \int_{B_\rho} |v^* - (v^*)_\rho|^3 dx dt + \rho^{\frac{3}{2}(\gamma+1)} m_{\frac{3}{\gamma}}^{\frac{3}{2}} \right) \\ &\quad + N \left( \frac{r}{\rho} \right) \int_{B_\rho} |\pi^*|^{\frac{3}{2}} dx dt. \end{aligned}$$

This completes the proof. □

We estimate the scaled  $L^3$ -norm of suitable weak solutions.

**Lemma 3.4.** *Under the same assumption as in Lemma 3.1. Let  $p, q$  be satisfied  $\frac{3}{p} + \frac{2}{q} = 2$  and  $1 \leq q < \infty$ , there exists  $r_1$  such that for any  $r < r_1$*

$$(27) \quad \widehat{A}_a(r) \leq N \left( \widehat{D}(r) + \widehat{E}(r) \right) \widehat{K}(r).$$

*Proof.* Using the Hölder inequality, we obtain

$$\begin{aligned} &\int_{B_r^+} |v - (v)_r|^3 dy \\ &\leq N \left( \int_{B_r^+} |v|^2 dy \right)^{\frac{1}{q}} \left( \int_{B_r^+} |v - (v)_r|^6 dy \right)^{\frac{1}{3}(1-\frac{1}{q})} \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}} \\ &\leq N \left( \int_{B_r^+} |v|^2 dy \right)^{\frac{1}{q}} \left[ \left( \int_{B_r^+} |\widehat{\nabla} v|^2 dy \right)^{1-\frac{1}{q}} \left( \int_{B_r^+} |v|^2 dy \right)^{1-\frac{1}{q}} \right] \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}} \\ &= N \left( \int_{B_r^+} |v|^2 dy \right)^{\frac{1}{q}} \left( \int_{B_r^+} |\widehat{\nabla} v|^2 dy \right)^{1-\frac{1}{q}} \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}} \end{aligned}$$

$$+ N \left( \int_{B_r^+} |v|^2 dy \right) \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}},$$

where general Sobolev imbedding is used. Integrating in time, we get

$$\begin{aligned} & \int_{S_r^+} |v - (v)_r|^3 dy dt \\ & \leq N \left( \sup_{-r^2 \leq t \leq 0} \int_{B_r^+} |v|^2 dy \right)^{\frac{1}{q}} \int_{-r^2}^0 \left( \int_{B_r^+} |\hat{\nabla} v|^2 dy \right)^{1-\frac{1}{q}} \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}} dt \\ & \quad + N \left( \sup_{-r^2 \leq t \leq 0} \int_{B_r^+} |v|^2 dy \right) \int_{-r^2}^0 \left( \int_{B_r^+} |v|^p dy \right)^{\frac{1}{p}} dt \\ & \leq N \left( \sup_{-r^2 \leq t \leq 0} \int_{B_r^+} |v|^2 dy \right)^{\frac{1}{q}} \left( \int_{Q_r^+} |\hat{\nabla} v|^2 dy dt \right)^{1-\frac{1}{q}} \left( \int_{-r^2}^0 \left( \int_{B_r^+} |v|^p dy \right)^{\frac{q}{p}} dt \right)^{\frac{1}{q}} \\ & \quad + N \left( \sup_{-r^2 \leq t \leq 0} \int_{B_r^+} |v|^2 dy \right) \left( \int_{-r^2}^0 \left( \int_{B_r^+} |v|^p dy \right)^{\frac{q}{p}} dt \right)^{\frac{1}{q}}, \end{aligned}$$

where Hölder inequality is used. Dividing both sides by  $r^2$ , we have

$$\hat{A}_a(r) \leq N \left( \hat{D}^{\frac{1}{q}}(r) \hat{E}^{1-\frac{1}{q}}(r) \hat{K}(r) + \hat{D}(r) \hat{K}(r) \right).$$

For the first term, applying Young's inequality, we deduce the lemma.  $\square$

Next we observe that for  $0 < 2r \leq \rho$

$$(28) \quad \hat{A}(r) \leq N \left( \frac{\rho}{r} \right)^2 \hat{A}_a(\rho) + N \left( \frac{r}{\rho} \right) \hat{A}(\rho).$$

Indeed, it is straightforward via the Hölder inequality that obtain

$$\hat{A}(r) \leq N \frac{1}{r^2} \int_{Q_r^+} |v - (v)_r|^3 + |(v)_r|^3 dy ds \leq N \left( \frac{\rho}{r} \right)^2 \hat{A}_a(\rho) + N \left( \frac{r}{\rho} \right) \hat{A}(\rho).$$

*Remark 3.5.* From local energy inequality (9), we obtain

$$\begin{aligned} (29) \quad \hat{D} \left( \frac{r}{2} \right) + \hat{E} \left( \frac{r}{2} \right) & \leq N \left( \hat{A}^{\frac{2}{3}}(r) + \hat{A}(r) + \hat{A}^{\frac{1}{3}}(r) \hat{C}(r) + r \int_{S_r^+} |g|^2 dw \right), \\ & \leq N \left( \hat{A}^{\frac{2}{3}}(r) + \hat{A}(r) + \hat{A}(r)^{\frac{1}{3}} \hat{C}(r) + r^{2\gamma+2} m_\gamma^2 \right), \\ & \leq N \left( 1 + \hat{A}(r) + \hat{C}(r) + r^{2\gamma+2} m_\gamma^2 \right). \end{aligned}$$

Now we are ready to present the proof of Theorem 1.1.

*Proof of Theorem 1.1.* Let  $4r < \rho$ . We consider  $\widehat{A}(r) + \widehat{C}(r)$ . Due to (28), (24), (27) and (29), we obtain

$$\begin{aligned} \widehat{A}(r) + \widehat{C}(r) &\leq N \left( \left( \frac{r}{\rho} \right) + \left( \frac{r}{\rho} \right)^2 \widehat{K}(\rho) \right) (\widehat{A}(\rho) + \widehat{C}(\rho)) \\ &\quad + N \left( \frac{r}{\rho} \right)^2 (1 + \rho^{2\gamma+2} m_\gamma^2) \widehat{K}(\rho) + N \left( \frac{r}{\rho} \right)^2 \rho^{\frac{3}{2}(\gamma+1)} m_\gamma^{\frac{3}{2}}. \end{aligned}$$

We choose  $\theta \in (0, 1/4)$  such that  $C\theta < 1/4$  where  $N$  is an absolute constant in the above inequality. Now we fix  $r_0 < \min \left\{ 1, \frac{1}{m_\gamma}, \frac{1}{m_\gamma} \left( \frac{\varepsilon \theta^2}{8C} \right)^{2/3} \right\}^{-(\gamma+1)}$  such that  $\widehat{K}(r) < \frac{\theta^2}{1+8C} \min\{1, \varepsilon\}$  for all  $r \leq r_0$ . By replacing  $r, \rho$  by  $\theta r$  and  $r$ , respectively, we obtain

$$\widehat{A}(\theta r) + \widehat{C}(\theta r) \leq \frac{1}{2} \left( \widehat{A}(r) + \widehat{C}(r) \right) + \frac{\varepsilon}{4}, \quad \forall r \leq r_0.$$

By iterating, we have

$$\widehat{A}(\theta^k r) + \widehat{C}(\theta^k r) \leq \left( \frac{1}{2} \right)^k \left( \widehat{A}(r) + \widehat{C}(r) \right) + \frac{\varepsilon}{2}, \quad \forall r \leq r_0.$$

Thus, for  $k$  sufficiently large,  $\widehat{A}(\theta^k r) + \widehat{C}(\theta^k r) \leq \varepsilon$ . By Lemma 3.1, this completes the proof.  $\square$

#### 4. Appendix

In this section, we provide the existence of suitable weak solutions and Stokes estimates of the Stokes system with slip boundary conditions.

##### 4.1. Existence of suitable weak solutions

Let  $\Omega \subset \mathbb{R}^3$  be a bounded domain and  $I = (0, T)$ . We consider the Stokes system with Slip boundary conditions:

$$(30) \quad \begin{cases} u_t - \Delta u + \nabla p = f - (w \cdot \nabla)v, & \operatorname{div} u = 0 & \text{in } Q_T = \Omega \times I, \\ u \cdot n = 0, \quad n \cdot T(u, p) \cdot \tau = 0 & & \text{on } \partial\Omega \times I, \\ u = u_0 & & \text{at } t = 0, \end{cases}$$

where  $w \in C^\infty(Q_T)$ ,  $f \in L^2(Q_T)$  and  $u_0 \in H^2(\Omega)$ ,  $v \in W_{2,2}^{2,1}(Q_T) = L^2(I : H^2(\Omega)) \cap H^1(I : L^2(\Omega))$ . The Banach space  $L^2(\Omega)^3$  admits the Helmholtz decomposition:

$$L^2(\Omega)^3 = J^2(\Omega) \oplus G^2(\Omega),$$

where

$$\begin{aligned} J^2(\Omega) &= \overline{C_{0,\sigma}^\infty(\Omega)}^{L^2(\Omega)}, \quad G^2(\Omega) = \{\nabla p \mid p \in \widehat{W}^{1,2}(\Omega)\}, \\ C_{0,\sigma}^\infty(\Omega) &= \{u \in C_0^\infty(\Omega)^3 \mid \nabla \cdot u = 0 \text{ in } \Omega\}, \\ \widehat{W}^{1,2}(\Omega) &= \{p \in L_{loc}^2(\bar{\Omega}) \mid \nabla p \in L^2(\Omega)^3\}. \end{aligned}$$



It should be noted that since boundary is  $C^{2,1}$ -hypersurface,  $J^2(\Omega)$  is characterized as

$$J^2(\Omega) = \{u \in L^2(\Omega)^3 \mid \nabla \cdot u = 0 \text{ in } \Omega, u \cdot n = 0 \text{ on } \partial\Omega\}.$$

Let  $P$  be a continuous projection from  $L^2(\Omega)^3$  onto  $J^2(\Omega)$  along  $G^2(\Omega)$ . By using  $P$  we shall define the Stokes operator with slip boundary conditions  $A$  by

$$\begin{aligned} Au &= -P\Delta u \quad \text{for } u \in D(A), \\ D(A) &= J^2(\Omega) \cap \{u \in W^{2,2}(\Omega)^3 \mid n \cdot T(u, p) \cdot \tau = 0\}. \end{aligned}$$

Now, we consider operator form of system:

$$(31) \quad u_t + Au = P(f - (w \cdot \nabla)v), \quad u(0) = u_0.$$

Since  $A$  is the generator of an analytic semigroup in  $L^2_\sigma(\Omega)$ , solving (31) is equivalent to show that mapping

$$F(v) = e^{-tA}u_0 + \int_0^t e^{-(t-s)A}P(f - (w \cdot \nabla)v)ds$$

has a unique fixed point.

**Lemma 4.1.** *Let  $T \in (0, \infty)$ . There exists a unique solution*

$$u \in L^2((0, \infty) : H^2(\Omega)) \cap H^1((0, \infty) : L^2(\Omega))$$

*satisfies*

$$u_t + Au = P(f - (w \cdot \nabla)u), \quad u(0) = u_0.$$

*Proof.* Let  $F$  is mapping such that  $F(v) = u$ . Then

$$\begin{aligned} \|u\|_{W^{2,1}_{2,2}(Q_T)} &= \|F(v)\|_{W^{2,1}_{2,2}(Q_T)} \\ &\leq N \left\{ \|u_0\|_{W^{2,1}_{2,2}(Q_T)} + \|f - (w \cdot \nabla)v\|_{L^2(Q_T)} \right\} \\ &\leq N \left\{ \|u_0\|_{W^{2,1}_{2,2}(Q_T)} + \|f\|_{L^2(Q_T)} + \|w\|_{L^\infty(Q_T)} \|\nabla v\|_{L^2(Q_T)} \right\}. \end{aligned}$$

Thus,  $F$  is well-defined on  $W^{2,1}_{2,2}(Q_T)$ . For  $v_1, v_2 \in W^{2,1}_{2,2}(Q_T)$ ,

$$\begin{aligned} \|F(v_1) - F(v_2)\|_{H^2(\Omega)} &\leq \int_0^t \left\| \nabla e^{-(t-s)A} \nabla P((w \cdot \nabla)(v_2 - v_1)) \right\|_{L^2(\Omega)} ds \\ &\leq \int_0^t N(t-s)^{-\frac{1}{2}} \|\nabla P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(\Omega)} ds \\ &= Nt^{-\frac{1}{2}} * \|\nabla P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(\Omega)}. \end{aligned}$$

Taking integral on  $[0, t]$  for small  $t$ ,

$$\begin{aligned} &\|F(v_1) - F(v_2)\|_{L^2(0,t;H^2(\Omega))} \\ &\leq N \left\| t^{-\frac{1}{2}} * \|\nabla P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(\Omega)} \right\|_{L^2(0,t)} \\ &\leq N \left\| t^{-\frac{1}{2}} \right\|_{L^1(0,t)} \|\nabla P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(0,t;L^2(\Omega))} \end{aligned}$$

$$\leq N\sqrt{t}\|v_2 - v_1\|_{L^2(0,t;H^2(\Omega))}.$$

We also note that

$$\begin{aligned} (F(v_1) - F(v_2))_t &= P((w \cdot \nabla)(v_2 - v_1)) \\ &\quad - \int_0^t A^{\frac{1}{2}} e^{-(t-s)A} A^{\frac{1}{2}} P((w \cdot \nabla)(v_2 - v_1)) ds, \end{aligned}$$

and thus, taking  $L^2$ -norm, we have

$$\begin{aligned} \|(F(v_1) - F(v_2))_t\|_{L^2(\Omega)} &\leq \|P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(\Omega)} \\ &\quad + \int_0^t C(t-s)^{-\frac{1}{2}} \|\nabla P((w \cdot \nabla)(v_2 - v_1))\|_{L^2(\Omega)} ds. \end{aligned}$$

Similarly taking integral on  $[0, t]$  for small  $t$ ,

$$\|F(v_1) - F(v_2)\|_{H^1(0,t;L^2(\Omega))} \leq N\sqrt{t}\|v_2 - v_1\|_{L^2(0,t;H^2(\Omega))}.$$

Therefore,

$$\|F(v_1) - F(v_2)\|_{W_{2,2}^{2,1}(Q_T)} \leq N\sqrt{t}\|v_2 - v_1\|_{W_{2,2}^{2,1}(Q_T)}.$$

Hence, the contraction mapping principle then yields a unique solution  $u \in W_{2,2}^{2,1}(Q_T)$  for small  $T > 0$ .

Next, let  $T^* < \infty$  be a maximal time. For  $T < T^*$ , a solution  $u \in W_{2,2}^{2,1}(Q_T)$  of

$$u_t + Au = P(f - (w \cdot \nabla)u), \quad u(0) = u_0$$

satisfies the following inequality:

$$\begin{aligned} (32) \quad &\|u_t\|_{L^2(0,T;L^2(\Omega))} + \|\nabla^2 u\|_{L^2(0,T;L^2(\Omega))} \\ &\leq N \left( \|f\|_{L^2(0,T;L^2(\Omega))} + \|(w \cdot \nabla)u\|_{L^2(0,T;L^2(\Omega))} + \|u_0\|_{W_{2,2}^{2,1}(Q_T)} \right). \end{aligned}$$

Let  $T \rightarrow T^*$ . Then, left-hand side of (32) is infinity. But, since  $\|(w \cdot \nabla)u\|_{L^2(0,T;L^2(\Omega))} \leq \|w\|_{L^\infty(Q_T)} \|f\|_{L^2(0,T;L^2(\Omega))}$ , right-hand side of (32) is uniformly finite. Thus, the contraction mapping principle then yields a unique solution  $u \in W_{2,2}^{2,1}(Q_T)$  for all time.  $\square$

For fixed  $T > 0$ , we consider a suitable weak solution  $u$  to Navier-Stokes equations:

$$(33) \quad u_t - \Delta u + (u \cdot \nabla)u + \nabla p = f, \quad \nabla \cdot u = 0$$

in  $Q_T$  with the initial condition  $u(x, 0) = u_0 \in L^2$  satisfying  $\nabla \cdot u_0 = 0$  in a weak sense. For the existence we follow the steps in [4]. For fixed  $N > 0$ , we set  $\delta = T/N$ . Then we find a sequences  $(u_N, p_N)$  such that

$$\begin{aligned} u_N &\in C(0, T; J^2(\Omega)) \cap L^2(0, T; J(\Omega)), \\ \partial_t u_N + \Psi_\delta(u_N) \cdot \nabla u_N - \Delta u_N + \nabla p_N &= f, \\ \nabla \cdot u_N &= 0, \quad u_N(0) = u_0. \end{aligned}$$

Here, the *retarded mollifier*  $\Psi_\delta$  is defined by

$$\Psi_\delta(v)(x, t) \equiv \delta^{-4} \iint_{\mathbb{R}^4} \psi\left(\frac{y}{\delta}, \frac{\tau}{\delta}\right) v^*(x - y, t - \tau) dy d\tau,$$

where  $\psi(x, t) \in C^\infty$  satisfies

$$\psi \geq 0, \iint \psi dx dt = 1, \quad \text{and} \quad \text{supp} \psi \subset \{(x, t) : |x|^2 < t, 1 < t < 2\},$$

and  $v^* : \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}^3$  is defined by

$$v^*(x, t) = \begin{cases} v(x, t) & \text{if } (x, t) \in \Omega \times \mathbb{R}, \\ 0 & \text{otherwise.} \end{cases}$$

The values of  $\Psi_\delta(v)$  at time  $t$  clearly depend only on the values of  $v$  at times  $\tau \in (t - 2\delta, t - \delta)$ . For  $v \in L^\infty(0, T; J^2(\Omega)) \cap L^2(0, T; J(\Omega))$ , it is clear that

$$\nabla \cdot \Psi_\delta(v) = 0 \quad \text{a.e. } x \in \Omega,$$

$$\sup_{0 \leq t \leq T} \int_\Omega |\Psi_\delta(v)|^2(x, t) dx \leq N \operatorname{ess\,sup}_{0 < t < T} \int_\Omega |v|^2 dx,$$

$$\int_\Omega |\nabla \Psi_\delta(v)|^2 dx \leq N \int_\Omega |\nabla v|^2 dx.$$

Such  $(u_N, p_N)$  exist by Lemma 4.1 inductively on each time interval  $(m\delta, (m + 1)\delta)$ ,  $0 \leq m \leq N - 1$ .

By  $\frac{d}{dt} \int_\Omega |u|^2 dx = 2 \int_\Omega (u_t, u) dx$ , we have

$$\int_{\Omega \times \{t\}} |u_N|^2 dx ds + 2 \int_0^t \int_\Omega |\nabla u_N|^2 dx ds = \int_\Omega |u_0|^2 dx + 2 \int_0^t \int_\Omega f \cdot u_N dx ds$$

for  $0 < t < T$ . Therefore, we have

$$\int_{\Omega \times \{t\}} |u_N|^2 dx ds + \int_0^t \int_\Omega |\nabla u_N|^2 dx ds \leq \int_\Omega |u_0|^2 dx + \int_0^t \|f\|_{H^{-1}}^2 d\tau ds.$$

In particular,

$$u_N \text{ stays bounded in } L^\infty(0, T; L^2) \cap L^2(0, T; H^1),$$

$$\frac{d}{dt} u_N \text{ stays bounded in } L^2(0, T; H_0^{-2})$$

and hence,

$$\{u_N\} \text{ stays bounded in } L^2(Q_T).$$

From Stokes estimate,

$$\{p_N\} \text{ stays bounded in } L^{\frac{5}{3}}(Q_T).$$

Thus, there exist their limits  $(u_*, p_*)$  such that

$$u_N \rightarrow u_* \begin{cases} \text{Strongly in } L^q(Q_T), & 2 \leq q < \frac{10}{3}, \\ \text{weakly in } L^2(0, T; J(\Omega)), \\ \text{weak-star in } L^\infty(0, T; J^2(\Omega)), \end{cases}$$

$$p_N \rightarrow p_* \quad \text{weakly in } L^{\frac{5}{3}}(0, T; J(\Omega)).$$

We note that  $(u_*, p_*)$  is a suitable weak solution of the Navier-Stokes equations (33). The remaining parts of the proof are similar to that of [4].

#### 4.2. Stokes estimates

Here we sketch the local boundary estimate for the Stokes system with slip boundary conditions in [31]. Let,

$$\begin{aligned} \langle D_t \rangle^{1/2} u(t) &= \mathcal{F}_\xi^{-1}[(1 + s^2)^{\frac{1}{4}} \mathcal{F}_\xi u(s)](t), \\ H_q^{1/2}(\mathbb{R}, X) &= \{u \in L_q(\mathbb{R}, X) \mid \langle D_t \rangle^{1/2} u(t) \in L_q(\mathbb{R}, X)\}, \\ \|u\|_{H_q^{1/2}(\mathbb{R}, X)} &= \|u\|_{L_q(\mathbb{R}, X)} + \|\langle D_t \rangle^{1/2} u\|_{L_q(\mathbb{R}, X)}, \end{aligned}$$

where  $\mathcal{F}_\xi$  and  $\mathcal{F}_\xi^{-1}$  denote the Fourier transform and its inverse formula, respectively. Set

$$\begin{aligned} H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+) &= H_q^{1/2}(\mathbb{R}_+, L_p(\mathbb{R}_+^3)) \cap L_q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3)), \\ \|u\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} &= \|u\|_{H_q^{1/2}(\mathbb{R}_+, L_p(\mathbb{R}_+^3))} + \|u\|_{L_q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))}. \end{aligned}$$

Moreover,

$$\begin{aligned} \widehat{W}_q^1(x) &= \left\{ u \in W_q^1(X) \mid \int_X u(x) dx = 0 \right\}, \\ \widehat{W}_q^{-1}(x) &= [\widehat{W}_{q'}^1(x)]^*, \quad q' = q/q - 1, \quad 1 < q < \infty, \\ \|u\|_{\widehat{W}_q^{-1}(x)} &= \sup_{0 \neq v \in \widehat{W}_{q'}^1(x)} \frac{|[u, v]|}{\|\nabla v\|_{L_{q'}(X)}}, \end{aligned}$$

where  $[\cdot, \cdot]$  denotes the duality of  $\widehat{W}_q^{-1}(x)$  and  $\widehat{W}_{q'}^1(x)$ .

**Lemma 4.2.** *Let  $1 < p, q < \infty$ ,  $2r < \rho$  and  $Q_\rho^+ = B_\rho^+ \times (-\rho^2, 0)$ . Suppose that  $v \in L_t^q W_x^{2,p}(Q_\rho^+)$ ,  $v_t \in L_t^q L_x^p(Q_\rho^+)$  and  $\pi \in L_t^q W_x^{1,p}(Q_\rho^+)$  such that  $(v, \pi)$  solves the following Stokes system:*

$$(34) \quad \begin{cases} v_t - \widehat{\Delta} v + \widehat{\nabla} \pi = g, & \widehat{\nabla} \cdot v = 0 & \text{in } Q_\rho^+, \\ v_3 = 0, \quad \partial_3 v_1 = \varphi_{x_1} \partial_3 v_3, \quad \partial_3 v_2 = \varphi_{x_2} \partial_3 v_3 & \text{on } Q_\rho \cap \{x_3 = 0\}, \end{cases}$$

where  $\varphi$  is given in Assumption 2.1, and  $\widehat{\Delta}$ ,  $\widehat{\nabla}$  are differential operators in Section 2. Then  $(v, \pi)$  satisfies

$$\begin{aligned} &\|v_t\|_{L^{p,q}(Q_\rho^+)} + \|v\|_{L^q((-r^2, 0), W_p^2(B_r^+))} + \|\nabla \pi\|_{L^{p,q}(Q_\rho^+)} \\ &\leq N \left( \|g\|_{L^{p,q}(Q_\rho^+)} + \|v\|_{L^{p,q}(Q_\rho^+)} + \|\nabla v\|_{L^{p,q}(Q_\rho^+)} + \|\pi\|_{L^{p,q}(Q_\rho^+)} \right). \end{aligned}$$

*Proof.* Let  $\xi$  be a standard cut-off function satisfying:

$$\begin{aligned} \xi &\in C_0^\infty(\mathbb{R}^3), \quad 0 \leq \xi \leq 1 \text{ in } \mathbb{R}^3, \\ \xi &\equiv 1 \text{ in } B_r, \quad \xi = 0 \text{ outside on } B_\rho, \\ |\widehat{\nabla}\xi| &< \frac{c}{\rho-r}, \quad |\widehat{\nabla}^2\xi| < \frac{c}{(\rho-r)^2}. \end{aligned}$$

Take  $\nu = v\xi$ ,  $\Pi = \pi\xi$ . Then,

$$(35) \quad \begin{cases} \nu_t + \nu - \widehat{\Delta}\nu + \widehat{\nabla}\Pi = G, & \widehat{\nabla} \cdot \nu = d \quad \text{in } \mathbb{R}_+^3 \times \mathbb{R}_+, \\ \nu_3 = 0, \quad \partial_3\nu_1 = h_1, \quad \partial_3\nu_2 = h_2 & \text{on } \partial\mathbb{R}_+^3 \times \mathbb{R}_+, \end{cases}$$

where

$$\begin{aligned} G &= \nu - 2\widehat{\nabla}v\widehat{\nabla}\xi - v\widehat{\Delta}\xi + \pi\widehat{\nabla}\xi + \xi g, \quad d = v \cdot \widehat{\nabla}\xi, \\ h_1 &= v_1\partial_3\xi + \xi\varphi_{x_1}\partial_3v_3, \quad h_2 = v_2\partial_3\xi + \xi\varphi_{x_2}\partial_3v_3. \end{aligned}$$

Then (35) can be expressed:

$$(36) \quad \begin{cases} \nu_t + \nu - \Delta\nu + \nabla\Pi = G^*, \quad \nabla \cdot \nu = d^* \quad \text{in } \mathbb{R}_+^3 \times \mathbb{R}_+, \\ \nu_3 = 0, \quad \partial_3\nu_1 = h_1, \quad \partial_3\nu_2 = h_2 & \text{on } \partial\mathbb{R}_+^3 \times \mathbb{R}_+, \end{cases}$$

where

$$\begin{aligned} G^* &= G + \Delta'\nu - \nabla'\Pi, \quad d^* = d - \nabla'\nu, \\ \Delta' &= \widehat{\Delta} - \Delta = -2\varphi_{x_1}\partial_{x_1x_3} - 2\varphi_{x_2}\partial_{x_2x_3} + (\varphi_{x_1})^2\partial_{x_3x_3} \\ &\quad + (\varphi_{x_2})^2\partial_{x_3x_3} - \varphi_{x_1x_1}\partial_{x_3} - \varphi_{x_2x_2}\partial_{x_3}, \\ \nabla' &= \widehat{\nabla} - \nabla = (-\varphi_{x_1}\partial_{x_3}, -\varphi_{x_2}\partial_{x_3}, 0). \end{aligned}$$

Using the maximal estimate for Stokes system with slip boundary [31, Theorem 5.1], we get

$$\begin{aligned} &\|\nu_t\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|\nu\|_{L^q(\mathbb{R}_+, W_p^2(\mathbb{R}_+^3))} + \|\nabla\Pi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\ &\leq N \left( \|G^*\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|d^*\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} + \|d_t^*\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} \right. \\ &\quad \left. + \|d^*\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + \|h\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right). \end{aligned}$$

Then, the following estimates hold:

$$\begin{aligned} \|\Delta'\nu\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} &\leq c\epsilon\|\nu\|_{L^q(\mathbb{R}_+, W_p^2(\mathbb{R}_+^3))}, \\ \|\nabla'\Pi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} &\leq \epsilon\|\nabla\Pi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)}. \end{aligned}$$

Thus, choosing  $\epsilon$  small enough, we have

$$\begin{aligned} &\|\nu_t\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|\nu\|_{L^q(\mathbb{R}_+, W_p^2(\mathbb{R}_+^3))} + \|\nabla\Pi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\ &\leq N \left( \|G\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|d\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} + \|d_t\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} \right. \\ &\quad \left. + \|d\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + \|h\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right). \end{aligned}$$

From [31], we get following estimate:

$$\begin{aligned}
& \|d\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} + \|d_t\|_{L^q(\mathbb{R}_+, \dot{W}_p^{-1}(\mathbb{R}_+^3))} \\
& \leq N \left( \|v \cdot \widehat{\nabla} \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v_t \cdot \widehat{\nabla} \xi\|_{L^q(\mathbb{R}_+, W_p^{-1}(\mathbb{R}_+^3))} \right) \\
& \leq N \left( \|v \cdot \widehat{\nabla} \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \varepsilon \|\nabla^2(v \cdot \widehat{\nabla} \xi)\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right. \\
& \quad \left. + \|v \cdot \widehat{\nabla} \xi\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + \|g \cdot \widehat{\nabla} \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right), \\
& \|h\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\
& \leq N \left( \|v \nabla \xi\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|\xi \nabla \varphi \nabla v\|_{H_{p,q}^{1,1/2}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right) \\
& \leq N \left( \|v \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|\langle D_t \rangle^{\frac{1}{2}}(v \nabla \xi)\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v \nabla \xi\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} \right. \\
& \quad + \|\xi \nabla \varphi \nabla v\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \varepsilon_0 \|\langle D_t \rangle^{\frac{1}{2}}(\xi \nabla \varphi \nabla v)\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\
& \quad \left. + \varepsilon_0 \|\xi \nabla \varphi \nabla v\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} \right) \\
& \leq N \left( \|v \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v \nabla \xi\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + \|\xi \nabla \varphi \nabla v\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right. \\
& \quad + \varepsilon_0 \|\xi \nabla \varphi \nabla v\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + R^{-\frac{1}{2}} \|v_t \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\
& \quad \left. + R^{\frac{1}{2}} \|v \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \varepsilon_0 \|\xi v\|_{W_{p,q}^{2,1}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right).
\end{aligned}$$

Thus, we obtain

$$\begin{aligned}
& \|v_t \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} - R^{-\frac{1}{2}} \|v_t \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v \xi\|_{L^q(\mathbb{R}_+, W_p^2(\mathbb{R}_+^3))} \\
& - \varepsilon \|\nabla^2(v \cdot \widehat{\nabla} \xi)\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|\nabla(\pi \xi)\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \\
& - \varepsilon_0 \|\xi v\|_{W_{p,q}^{2,1}(\mathbb{R}_+^3 \times \mathbb{R}_+)} - \varepsilon_0 \|\xi \nabla \varphi \nabla v\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} \\
& \leq N \left( \|G\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v \cdot \widehat{\nabla} \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|g \cdot \widehat{\nabla} \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right. \\
& \quad + \|v \cdot \widehat{\nabla} \xi\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} + \|v \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + \|v \nabla \xi\|_{L^q(\mathbb{R}_+, W_p^1(\mathbb{R}_+^3))} \\
& \quad \left. + \|\xi \nabla \varphi \nabla v\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} + R^{\frac{1}{2}} \|v \nabla \xi\|_{L^{p,q}(\mathbb{R}_+^3 \times \mathbb{R}_+)} \right).
\end{aligned}$$

Therefore, choosing  $R$  large enough,  $\varepsilon$  and  $\varepsilon_0$  small enough, recalling that  $\xi \equiv 1$  on  $B_r$  and  $\xi = 0$  outside on  $B_\rho$ , and  $G = \nu - 2\widehat{\nabla} v \widehat{\nabla} \xi - v \widehat{\Delta} \xi + \pi \widehat{\nabla} \xi + \xi g$ ,

$$\begin{aligned}
& \|v_t\|_{L^{p,q}(Q_r^+)} + \|v\|_{L^q((-r^2, 0), W_p^2(B_r^+))} + \|\nabla \pi\|_{L^{p,q}(Q_r^+)} \\
& \leq N \left( \|g\|_{L^{p,q}(Q_\rho^+)} + \|v\|_{L^{p,q}(Q_\rho^+)} + \|\nabla v\|_{L^{p,q}(Q_\rho^+)} + \|\pi\|_{L^{p,q}(Q_\rho^+)} \right)
\end{aligned}$$

holds. □

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