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Intramedullary Screw Fixation for Clavicle Shaft Fractures: Comparison of the Anterograde versus the Retrograde Technique

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Background: The purpose of this study was to investigate the difference between two nailing approaches of intramedullary screw fixation, the retrograde nailing versus the anterograde nailing, on the radiological and clinical outcomes in patients with clavicle shaft fractures. Methods: From April 2002 to August 2014, we enrolled a total of 22 patients with clavicle shaft fractures to participate in this study. Twelve patients received retrograde intramedullary nailing and 10 received anterograde nailing. The average duration of follow-up was 12 months. In all the patients, we took follow-up radiographs of the anteroposterior and the axial views to assess the postoperative radiological outcomes. We measured the visual analogue scale (VAS) score, American Shoulder and Elbow Surgeons (ASES) score, and the range of motion (ROM).

Results: Clinically, we did not find a statistically significant difference in the retrograde group and the anterograde group in terms of the duration to bone union, the VAS score the ASES score and the ROMs. Radiologically, we found that the difference in the clavicle shortening of the affected arm and the unaffected arm did not show a statistically significant difference at the immediate postoperative assessment. we found that the difference in the clavicle shortening of the affected arm between the immediate postoperative and the final follow-up value did not show a statistically significant difference.

Conclusions: We found that both the retrograde nailing and the anterograde nailing gave a favorable outcome for clavicle shaft fractures. Although we saw evidence of clavicle shortening after intramedullary screw fixation, this was not a factor that influenced clinical outcome.

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Key Words: Fracture; Clavicle; Anterograde; Retrograde

Introduction

Clavicle shaft fractures are common and compose 2.6% of all fractures.¹⁾ They compose 88% of all fractures of the shoulder girdle and 80% of all fractures of the clavicle.²⁾ Despite such a high frequency in prevalence, controversy still remains as to the relative benefits of surgical and conservative treatment for clavicle shaft fractures. Most authors propose that fractures should be treated using the figure of eight bandage. But recently, because of the use of bandages is troublesome, the patient's desire for expedited return to activity, and the favorable outcomes associated with surgical treatment, many patients now lean toward receiving surgical treatment over conservative treatment. There are various approaches and techniques to surgically treat clavicle shaft fractures: intramedullary fixation using K-wires,³⁾ Rush pins, Knowles pins, Steinmann pins,⁴⁾ Hagie and Rockwood pins, elastic stable intramedullary nails,⁵⁾ intramedullary screw fixation,⁶⁾ external fixation,⁷⁾ fixation with plating,⁸⁾ and minimally invasive plate osteosynthesis (MIPO) fixation.9) Yet none of these have been singled out as the gold standard of treatment. The tendency to treat clavicle shaft fractures using plating has increased after the advent of MIPO fixation¹⁰ and intramedullary fixation is another widely used alternative for clavicle shaft fractures.¹¹⁾ Of the types of fixation, the intramedullary screw fixation is useful

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pISSN 2383-8337 This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. elSSN 2288-8721 in that it builds upon the weaknesses of simple intramedullary fixation of instability by fixing the far cortex; the technique provides rotational stability, though not perfectly, through interfragment compression. Through a retrograde intramedullary nailing of the screw, a technique introduced by Boehme et al.,¹²⁾ many authors have shown good clinical outcomes.¹³⁻¹⁶⁾ We devised an anterograde intramedullary nailing approach to treat clavicle shaft fractures and used that along with the previously described retrograde approach.

The purpose of this study was to investigate and compare the outcomes of retrograde intramedullary screw fixation and of anterograde intramedullary screw fixation for clavicle shaft fractures. We made a comparative analysis of the two approaches using the radiological and clinical outcomes. We hypothesized that the anterograde approach is superior to the retrograde approach.

Methods

The institutional review board (IRB) an exemption for this study due to its retrospective design (KMC IRB 1513-04). From April 2002 and August 2014, we considered the following patients with clavicle shaft fractures for enrollment in our study: patients who received surgery for the fracture within 2 weeks of sustaining the injury and patients who were able to partake in at least one year of follow-up. Those with multiple fractures were excluded. A total of 22 patients fulfilled the inclusion criteria and were enrolled into our study. We retrospectively analyzed the patients. We determined that as indications for surgery patients must have a clavicle shortening of more than 1.2 cm or have skin tenting. The contraindications for surgery were as follows: 1) severe comminuted clavicle fractures; 2) proximal or distal 1/3 clavicle fractures; 3) open fractures; and 4) clavicle fractures with concomitant neurological symptoms. The study participants were 16 men and 6 women with an average age of 36.8 years (range, 19–76 years). The average duration of follow-up was 12 months (range, 4–23 months). We performed retrograde intramedullary nailing until 2006, but we concerned that this approach was associated with distancing between the posterior cortical bone of lateral fragment and the anteromedial cortical bone of medial fragment, devised an anterograde intramedullary nailing approach to address this and utilized this approach instead from 2014.

We classified the clavicle fractures according to the classification system established by Robinson et al.⁸⁾: type 2a1 fractures are cortical alignment fractures without displacement; type2a2 fractures are cortical alignment fractured with angulation of the distal fracture fragment; type2b1 fractures are cortical displaced fractures with simple, wedge comminution; and type 2b2 fractures are cortical displaced fractures that are mutilifragmentary and segmental. In our study 20 patients had a type 2b1 fracture and 2 patients had type 2b2 fractures. For the treatment of their clavicle shaft fractures, 12 patients received retrograde intramedullary nailing and were allocated into the retrograde group, and 10 received anterograde intramedullary nailing and were allocated in the anterograde group.

Assessment of Outcomes

We took radiographs of the preoperative antero-posterior and axial views of the shoulder in every patient to measure the length of the affected and the unaffected clavicle. The same radiographs were taken postoperatively at the following periods: 2 weeks, 4 weeks, 6 weeks, 3 months, 6 months, and 1 year. We used the radiographs to assess clavicle length of both arms, the extent of bone union, strength of screw fixation, and bone nonunion. We determined bone union as the point either when range of motion (ROM) was restored without concomitant clinical pain or with radiologically assessed resolution of fracture line or when the callus fracture is remodeled into trabecular bone. The clavicle shortening was measured as the difference between the clavicle length at the immediate postoperative follow-up and at the final follow-up. For the clinical assessment, we analyzed pain at the final follow-up using the visual analogue scale (VAS) score for subjective pain and the American Shoulder and Elbow Surgeons (ASES) score. The ROM for anterior flexion, external rotation, internal rotation, and abduction were assessed. We using a portable, hand-held Nottingham Mecmesin Myometer (Mecmesin Co., Nottingham, UK) measured muscle strength relative to the unaffected contralateral side. At the final followup, we also rated the level of subjective satisfaction and divided the resulting evaluation as either satisfied or unsatisfied.

Statistical Analysis

We performed the statistical analyses of the results using the IBM SPSS software package ver. 20.0 (IBM Co., Armonk, NY, USA). The results were analyzed using the Mann-Whitney test. We considered statistical significance at a p-value of less than 0.05.

Surgical Techniques

The surgery was performed with the patients under general anesthesia and in beach chair position. For the anterograde approach (Fig. 1), we made a skin incision of around 2.5 cm following the Langer line above the clavicular fracture. Then, after the soft tissue was dissected, we exposed the fracture site. We positioned the K-wire pointing towards the intramedullary of the proximal fracture fragment, then the K-wire was penetrated through the anterospoterior cortical bone of the proximal fracture fragment until it could be palpated subcutaneously (Fig. 2). At the site of K-wire protrusion, we made a skin incision and pulled out the K-wire medially until it could no longer be seen at the fracture site. With the K-wire rested within the antero-pos-

terior cortical bone of the proximal fracture fragment, we used a bone forcep to perform reduction of the fracture. If the reduction was incomplete in clavicle shaft fractures with large fracture fragments, we performed wiring of the fracture site (Fig. 3). After an anatomical reduction was confirmed, the K-wire was pulled out passing the fracture site until it rested at the antero-posterior cortical bone of the distal fracture fragment. Then, using a Kwire of the same length, we measured the length of the intramedullary K-wire. After, we inserted the intramedullary K-wire until it completely pierced through the proximal posterosuperior cortical bone and was exposed to the cutaneous compartment. Following the K-wire, we made a 3.5 mm drilling and inserted a cannulated screw after tapping (Fig. 4). Taking the tapper as the standard, we confirmed the intramedullary space. For women or pediatric patients with relatively small bodyweight, we used a 4.0 mm cannulated screw, whereas for patients of normal adult bodyweight, we used a 5.0 mm cannulated screw. The fracture site was exposed in the same way as the anterograde approach for the retrograde approach. The K-wire was positioned in the direction of the intramedulla of the distal fracture fragment and inserted until the posteroinferior cortical bone was penetrated. We performed an anatomical reduction of the fracture site and inserted the K-wire into the proximal fracture fragment until it rested across the anterosuperior cortices. We made the measurement as described before and, following the K-wire, we inserted the cannulated screw (Fig. 5). An arm sling was applied for postoperative 4 weeks, and immediate postoperatively passive joint motions were performed.

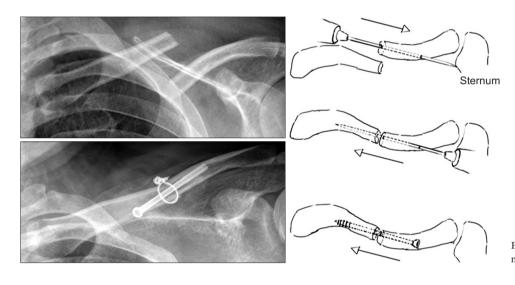


Fig. 1. Anterograde technique of intramedullary screw fixation.



Fig. 2. The K-wire was aimed obliquely toward the anterio-inferior cortex of the medial fragment.



Fig. 3. Wiring was used to correct an incomplete reduction.

Results

Clinical Outcomes

The retrograde group comprised 12 patients and the anterograde group, 10 patients. The average age of the patients in the retrograde group was 33.8 years and in the anterograde group, 40.6 years. The average duration of follow-up was 13.2 months in the retrograde group and 14.1 months in the anterograde group (p>0.05). Eight patients in the retrograde group received wiring to hold the fracture fragments, and 6 received wiring in the anterograde group. The clavicle shaft fractures of the patients were classified according to the Robinson classification system as follows: in the retrograde group, none had type 2A1 or type 2A2 fractures, 11 patients had a type 2b1 fracture, and 1 patient had a type 2b2 fracture; in the anterograde group, none had type 2a1 or type 2a2 fractures, 9 patients had a type 2b1 fracture, and 1 patient had a type 2b2 fracture. The significant difference



Fig. 4. A cannulated screw (5.0 mm) was inserted through the K-wire.

in distribution in classification were not seen between the retrograde and the anterograde group (p=0.453).

At the final follow-up, we found that none of the parameters of clinical outcome showed a statistically significant difference between the two treatment approaches. The VAS score was 1.5 ± 1.9 in the retrograde group and 0.5 ± 0.6 in the anterograde group (p=0.283). The ASES score was 86.6 \pm 11.5 in the retrograde group and 90.2 \pm 9.1 in the anterograde group (p=0.579). For the ROMs of the joint, the anterior flexion was $163^{\circ} \pm 8.0^{\circ}$ in the retrograde group and $165^{\circ} \pm 5.3^{\circ}$ in the anterograde group (p=0.697), external rotation was $64^{\circ} \pm 6.9^{\circ}$ in the retrograde group and $61.5^{\circ} \pm 4.5^{\circ}$ in the anterograde group (p=0.571), the internal rotation was T7.4 \pm 1.8 in the retrograde group and T6.9 \pm 2.2 in the anterograde group (p=0.353), and abduction was $124^{\circ} \pm 16.2^{\circ}$ in the retrograde group and $115^{\circ} \pm 9.9^{\circ}$ in the anterograde group (p=0.07) (Table 1). The two groups did not show a statistically significant difference in muscle strength neither during forward elevation nor abduction. The difference in the muscle strength during forward elevation

Table 1. Clinical Outcomes of the Retrograde Group and the Anterograde Group

Variable	Retrograde (n=12)	Anterograde (n=10)	<i>p</i> -value*
VAS (points)	1.5 ± 1.9	0.5 ± 0.6	0.283
ASES (points)	86.6 ± 11.5	90.2 ± 9.1	0.579
Range of motion (degree)			
Active further flexion	163 ± 8.0	165 ± 5.3	0.697
External rotation at the side	64 ± 6.9	61.5 ± 4.5	0.571
Internal rotation to the back	$\mathrm{T7.4}\pm1.8$	$T6.9 \pm 2.2$	0.353
Abduction	124 ± 16.2	115 ± 9.9	0.07

Values are presented as mean ± standard deviation.

VAS: visual analogue scale, ASES: American Shoulder and Elbow Surgeons. *Statistically significance was set to p<0.05.



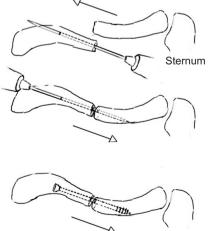


Fig. 5. Retrograde technique of intramedullary screw fixation.

of the affected and unaffected side was 0.8 ± 1.5 kg in the retrograde group and 0.7 ± 1.3 kg in the anterograde group (p=0.241). At abduction, this difference was 1.8 ± 2.3 kg in the retrograde group and 1.4 ± 1.9 kg in the anterograde group (p=0.478).

Twenty out of 22 patients rated their level of subjective satisfaction of the surgical outcome as satisfactory. Two patients rated their outcome as unsatisfactory. The reason for the unsatisfactory outcome was found to be the case because of a bone nonunion in one patient from the retrograde group and because of a deep infection in one patient in the anterograde group, for whom we carried out an incision and drainage to address the complication.

Radiological Outcomes

We found that 21 of 22 patients (95.5%) achieved a successful bone union after the surgical treatment. The single patient in whom bone nonunion was found was part of the retrograde group. The time to bone union was on average 8.4 ± 1.3 weeks in the retrograde group and 7.8 \pm 2.1 weeks in the anterograde group, but a statistically significant difference was not seen between the groups (p=0.853). The postoperative difference in the affected and unaffected clavicle length in the anteroposterior view was 2.7 ± 1.5 mm in the retrograde group and 3.3 ± 1.7 mm in the anterograde group (p=0.130) and in the axial view was 3.1 \pm 2.7 mm in the retrograde group and 2.8 \pm 2.2 mm in the anterograde group (p=0.397), again not showing a statistically significant difference. At the final follow-up, neither did we find a statistically significant difference between the preoperative and the immediate postoperative difference in the clavicle length of the affected arm: in the antero-posterior view, this was 5.6 \pm 1.3 mm in the retrograde group and 3.6 \pm 1.1 mm in the anterograde group (p=0.064) and, in the axial view, 8.5 ± 2.3 mm in the retrograde group and 5.4 ± 1.8 mm in the anterograde group (p=0.085) (Table 2).

 Table 2. Bone Union and Clavicle Shortening in the Retrograde Group and the Anterograde Group

Variable	Retrograde (n=12)	Anterograde (n=10)	<i>p</i> -value*		
Bone union duration (wk)	8.4 ± 1.3	7.8 ± 2.1	0.853		
Immedialte postoperative difference (mm) [†]					
Antero-posterior	2.7 ± 1.5	3.3 ± 1.7	0.130		
Axial	3.1 ± 2.7	2.8 ± 2.2	0.397		
Last follow-up difference $(mm)^{\dagger}$					
Antero-posterior	5.6 ± 1.3	3.6 ± 1.1	0.064		
Axial	8.5 ± 2.3	5.4 ± 1.8	0.085		

*Statistically significance was set to *p*<0.05. [†]Unaffected side-affected side. [‡]Difference between the values of the immediate postoperative and the last follow-up clavicle length of the affected side.

Complications

A total of 10 patients presented with postoperative complications; the retrograde and the anterograde group each had 5 patients who presented with complications (Table 3). The following complications were seen in the retrograde group: 2 patients had delayed bone union, but with surveillance bone union was achieved and pain resolved; 1 patient had bone nonunion, so for whom the screw was removed; 1 patient had angulated fracture and decreased anterior flexion; and 1 patient had flaring and edema at the area of surgery, but with surveillance the symptoms spontaneously resolved. The following complications were seen in the anterograde group: 1 patient had deep infection at the area of surgery, so incision and drainage were performed; 2 patients showed loosening of screw fixation, but with surveillance bone union was nevertheless achieved; and 2 patients had skin tenting but pain was not complained, and screws were removed after bone union.

Discussion

In this study we found that intramedullary screw fixation for clavicle shaft fractures using either the retrograde or the anterograde approach showed good postoperative clinical outcomes. Conservative treatment is generally recommended for most clavicle shaft fractures. But a recent study by Robinson et al.¹⁷⁾ found that in 581 patients treated through conservative treatment, 21% of them presented with bone non-union. Another study by Zlowodzki et al.¹⁸⁾ found that in 159 patients who received conservative treatment around 15.1% showed non-union. Wick et al.¹⁹⁾ found that a high prevalence of diastasis was associated with patients who received conservative treatment for clavicle shaft fractures, which may have led to the decreased clinical outcome with a nonunion rate of 10% to 15%. Therefore, it is becoming more evident that conservative measures alone may not be sufficient for the treatment of clavicular fractures. An alternative to conservative treatment is surgical treatment, of which there are several types. For the surgical treatment of the clavicle shaft fractures, the plating and screw fixation are the most commonly used tools.²⁰⁾ Many papers have shown that plating and screw

Table 3. Complications		
Variable	Retrograde (n=12)	Anterograde (n=10)
Non-union (n)	1	0
Delayed union (n)	2	0
Angulation (n)	1	0
Superficial infection (n)	1	0
Deep infection (n)	0	1
Screw problem (loosening) (n)	0	2
Skin tenting (n)	0	2

fixation is associated with a higher rate of bone union and enhanced clinical outcome than conservative treatment.^{8,18,21,22)} However, plating and screw fixation require a large skin incision²³⁾ and a relatively long duration of surgery. Especially, after removal of the plate, the screw holes may induce a stress riser leading to unnecessary refractures. Further, a large incision that is required at the time of fixation and later at the removal of the plate may increase the risk of infection.²⁴⁾ Conversely, authors such as Ferran et al.²⁵⁾ and Liu et al.²⁶⁾ have reported that the complications and clinical outcomes of intramedullary fixation using either plating or screw fixation did not show a clinically significant difference.

The intramedullary screw fixation compared to plating requires a smaller skin incision and less periosteal peeling. Further, it has relative advantages in that the screw itself can sustain some of the weight itself alleviating burden on the fracture site and exert compression on the fracture site. Khalil⁶⁾ found that a better fixation, stability, and clinical outcome can be achieved during intramedullary fixation using a screw over plating. In this study, we found that the average ASES score was 88.4 showing a satisfactory outcome with intramedullary screw fixation. We did not find a statistically significant difference in terms of the clinical scores (VAS score, ASES score, and the ROMs) between patients of the retrograde group and the anterograde group.

Abo El Nor²⁷⁾ reported a postoperative clavicle shortening of an average 4 mm after intramedullary screw fixation. In our study, the average postoperative clavicle shortening was 5.8 mm in the antero-posterior view and 9.3 mm in the axial view at the final follow-up. We found that the extent of clavicle shortening was greater in the retrograde group than in the anterograde group, but no difference was found statistically. Hill et al.²⁸⁾ found that a clavicle shortening of more than 20 mm and Lazarides and Zafiropoulos,²⁹⁾ a clavicle shortening of more than 15 mm were associated with a poor prognosis. Conversely, Nowak et al.³⁰⁾ found that clavicle shortening was not an accurate prognostic marker for clinical outcome and that there were other better markers of prognosis. In this study, we found that although clavicle shortening occurred more in the retrograde group than in the anterograde group, the clinical outcomes between two were not statistically different showing that the extent of clavicle shortening were not associated with clinical outcome or were not severe enough to influence it.

The posterior thick trapezius muscle must be dissected so that the screw can be inserted deeply in the retrograde approach. During this approach, because the screw length cannot be assessed accurately, it is difficult to choose an appropriate screw. Further, because the posterosuperior-anteromedial cortical interval where the intramedullary screw is laid has the tendency to lengthen, the retrograde has another disadvantage in that sometimes it is difficult to remove the screw after bone union. To address this problem, we used a screw that as 2.6 mm longer for the retrograde rather than the one used for the anterograde approach. The anterograde approach has the advantage in that it enters from above the clavicle and that screw fixation is relatively easy. A few studies on the bicortical fixation during intramedullary fixation of clavicle shaft fractures have found that when the cortices are penetrated with screws, a resulting stress riser increases the chances of a fracture especially during elevation or the abduction of the arm. But in our study, bicortical fixation did neither limit the forward elevation or abduction of the arms nor induce any fractures. Other authors have reported that in the instance a curvature of the clavicle that necessitates the use of a straight screw to stabilize cortices of both ends of the fracture site, the existing fracture may be inadvertently extended or a new fracture may be induced during this process. To avoid this, in our study we pre-inserted guide pins to position the K-wire and to help ultimately to guide directionality and positioning of the screw. Because excessive interfragmentary compression in comminuted fractures can lead to clavicle shortening, wiring especially for segmental comminuted fractures can be used for prophylactic prevention of clavicle shortening.

Several limitations to this study exist: the size of the sample number was small, being only 22; the study was a retrospective study; time-span during which the two treatment approaches were performed does not overlap at all; and an inter-observer difference in measuring the clavicle length may exist. We attempted to address some of these limitations by selecting a single surgeon to carry out all the treatments, both the anterograde and the retrograde approach and two physicians who received training from the same fellowship program to measure the clavicle length. Yet prospective large scale studies that compare the outcomes prospectively are required.

Conclusion

We found that the retrograde and the intramedullary screw fixation show comparatively favorable clinical outcomes for clavicle shaft fractures. Our results show that both approaches of intramedullary screw fixation are ideal options for the surgical treatment of these fractures. Interestingly, we found that postoperative clavicle shortening was not an influencing factor of clinical outcome. Although intramedullary screw fixation is not a method that gives the most stabilization, it can still be regarded as one of the beneficial treatment options for clavicular fractures for its capacity to stabilize the far cortex.

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