

# Association between the Alu Insertion/Deletion Polymorphism in the Tissue-Type Plasminogen Activator Gene and Mirtazapine Response in Koreans with Major Depression

Daseul Kim, MD,<sup>1</sup> Hun Soo Chang, PhD,<sup>2</sup> Eunsoo Won, MD,<sup>1</sup>  
Byung-Joo Ham, MD,<sup>1</sup> Min-Soo Lee, MD<sup>1</sup>

<sup>1</sup>Department of Psychiatry, Korea University Anam Hospital, Korea University College of Medicine, Seoul, Korea

<sup>2</sup>Department of Medical Bioscience, Soonchunhyang University, Bucheon, Korea

**Objectives** To determine the relationship between the Alu insertion/deletion (I/D) polymorphism in the tissue-type plasminogen activator (tPA) gene and the clinical outcome of mirtazapine treatment in Korean major depressive disorder (MDD) patients.

**Methods** We enrolled 422 patients in this study. Symptoms were evaluated using the 21-item Hamilton Depression Rating (HAM-D-21) Scale. After 1, 2, 4, and 8 weeks of mirtazapine treatment, the association between the Alu I/D polymorphism in the tPA gene and remission/response outcomes were evaluated.

**Results** The proportion of I/I homozygotes in responders was higher than that in non-responders, whereas the proportion of D/D homozygotes in responders was lower than that in non-responders at 8 weeks of treatment ( $p = 0.032$ ,  $OR = 1.57$ ). The percentage decline of HAM-D-21 scores in I allele carriers was larger than that of D/D homozygotes at 2 and 8 weeks of treatment ( $p = 0.035$  and  $0.007$ , respectively). I allele carriers were associated with remission at 8 weeks of treatment ( $p = 0.047$ ,  $OR = 2.2$ ).

**Conclusions** These results show that treatment response and remission to mirtazapine were associated with the Alu I/D polymorphism of the tPA gene. This suggests the Alu I/D polymorphism may be a potential genetic marker for the prediction of therapeutic response to mirtazapine treatment in patients with MDD.

**Key Words** Major depressive disorder · Tissue type plasminogen activator · Alu insertion/deletion · Genetic polymorphism · Mirtazapine treatment response.

Received: June 8, 2016 / Revised: July 22, 2016 / Accepted: July 29, 2016

Address for correspondence: Min-Soo Lee, MD

Department of Psychiatry, Korea University Anam Hospital, Korea University College of Medicine, 73 Incheon-ro, Seongbuk-gu, Seoul 02841, Korea

Tel: +82-2-920-5354, Fax: +82-2-927-2836, E-mail: leeminso@korea.ac.kr

## Introduction

Evidence has been accumulating regarding the role of brain-derived neurotrophic factor (BDNF) in the pathophysiology of psychiatric disorders in recent years. In both the central nervous system (CNS) and peripheral nervous system (PNS), BDNF plays a critical role in the differentiation and survival of neurons during embryonic development as well as in the maintenance of neuronal viability during adulthood.<sup>1-3</sup> BDNF expression may also be associated with the mechanisms of action of antidepressants; multiple studies have suggested that BDNF gene expression can be a downstream target of various antidepressants.<sup>4-7</sup> In addition, the BDNF gene is known to play a critical role in the development of the serotonergic system, a major neu-

rotransmitter system and a target in the treatment of patients with major depressive disorder (MDD).<sup>8</sup> Thus, BDNF may have a role in therapeutic improvement in depression and may protect from stress-induced neuronal damage.<sup>9-12</sup>

Recent evidence suggests that tissue-type plasminogen activator (tPA) and the plasminogen system play a key role in the proteolysis of proBDNF in the brain.<sup>13,14</sup> The mature form of BDNF is derived from proBDNF by proteolytic cleavage.<sup>15</sup> Therefore, in addition to the role of BDNF in the pathogenesis of MDD, a hypothesis that implicates tPA dysfunction in MDD may also explain the reason antidepressants increase BDNF transcription,<sup>16,17</sup> and occasionally cannot improve or can even worsen symptoms of major depression. Recently, associations between tPA and MDD have also been demonstrated in clinical, as well

as animal, studies. In mice subjected to acute restraint stress, tPA activity was rapidly up-regulated in the central and medial amygdala, while mice in which the tPA gene had been disrupted did not show anxiety and showed attenuated neuronal remodeling after repeated stress.<sup>18)</sup> As stress is a major factor affecting mood states and tPA is also critical for the stress reaction, this finding implies the role of tPA in MDD following stressful life events. In fact, the association between patients with MDD and lower plasma levels of tPA has been previously reported. One study revealed that subjects with depression showed significantly lower plasma tPA concentrations when compared with healthy controls.<sup>19)</sup> Another study showed that baseline plasma tPA levels were significantly lower in geriatric patients with depression compared to controls.<sup>20)</sup> These findings suggest that the tPA gene and its relationship with susceptibility to depression and antidepressant response should be further studied.

Mature tPA, with an inferred sequence of 527 amino acids, is a single-chain glycoprotein.<sup>21)22)</sup> The gene for human tPA has been mapped to 8p12-q11.2<sup>23)</sup> and its complete sequence of 33 kilobases (kb) has been established.<sup>24)</sup> Several polymorphisms of the tPA gene, which consists of the presence or absence of a 311-bp Alu sequence in intron 8, have been identified.<sup>25)</sup> The Alu-repeat insertion probably arose early in human evolution, and a number of populations have been found to be dimorphic for its presence or absence.<sup>26)</sup> The Alu-repeat insertion may also be closely linked to a mutation at or near the tPA gene that produces a functional effect, and an Alu-repeat insertion/deletion (I/D) event can alter mRNA stability and splicing.<sup>27)</sup> Based on this, many studies have focused on the Alu I/D polymorphism's (rs4646972) association with several diseases, including ischemic stroke<sup>28)</sup> and multiple sclerosis.<sup>29)30)</sup> A similar polymorphism in the angiotensin converting enzyme (ACE) gene has been previously studied, demonstrating association with various neuropsychiatric disorders, such as dementia<sup>31)</sup> and depression.<sup>32)33)</sup> However, the Alu I/D genetic polymorphism in the tPA gene has not yet been studied in MDD. Thus, studies of genetic polymorphisms of the tPA gene, and their association with the risk of MDD and antidepressant treatment response, may provide genetic markers for predicting individual response to antidepressant treatment.

Mirtazapine is a tetracyclic antidepressant drug that is noradrenergic and a specific serotonergic antidepressant (NaSSa); it enhances noradrenergic transmission through blockade of  $\alpha_2$ -adrenoceptors.<sup>34)</sup> Mirtazapine also enhances serotonergic transmission indirectly through noradrenergic stimulation of  $\alpha_1$ -adrenoceptors and blockade of  $\alpha_2$ -heteroreceptors.<sup>35)36)</sup> In addition, mirtazapine blocking both 5-HT<sub>2</sub> and 5-HT<sub>3</sub> receptors leads to important advantages in both its therapeutic and

tolerability profiles. For instance, the 5-HT<sub>2</sub>-blocking effect is thought to contribute to the anxiolytic effects of mirtazapine and its beneficial effects on sleep.<sup>37)</sup> However, some patients still have intolerable side effects or poor response after mirtazapine treatment. In our previous study, we observed an increase in plasma BDNF after mirtazapine treatment in patients with MDD. However, BDNF polymorphism was not significantly associated with treatment response to mirtazapine.<sup>38)</sup> Thus, the present study aimed to determine the relationship between the Alu I/D polymorphism in the tPA gene, which has a key role in regulation and expression of BDNF, and the clinical outcome of mirtazapine treatment in Korean MDD patients. We hypothesized that the Alu I/D polymorphism in the tPA gene may be associated with mirtazapine treatment response in patients with MDD.

## Methods

### Subjects

All subjects were recruited from outpatients visiting the Psychiatric Clinic of Korea University Anam Hospital and gave informed consent to participate in the study. Trained psychiatrists examined all subjects using the Structured Clinical Interview for DSM-IV Axis I disorders (SCID-I) and the Korean version of the Diagnostic Interview for Genetic Studies (K-DIGS). The severity of depression was assessed using the 21-item Hamilton Depression Rating (HAM-D-21) Scale. As we intended to observe treatment response over the course of 8 weeks, subjects with moderate or severe depression were considered more appropriate for this study. Therefore, only subjects with a minimum score of 18 on the HAM-D-21 Scale were enrolled.<sup>39)</sup> The protocol was approved by the Ethics Committee of the Korea University Medical Center.

Patients with primary or comorbid diagnoses of schizophrenia, schizoaffective disorder, bipolar disorder, dementia, and alcohol or substance dependence based on DSM-IV criteria within the previous 6 months were excluded from the study. We also excluded patients with a personal or family history of substance abuse/dependence. Patients who were receiving psychotropic medications were subjected to a 2-week washout period. Demographic data, medical history, and laboratory data were documented. Patients with serious or unstable medical illness, such as seizures, brain lesions, cardiac problems, pregnancy, liver/kidney failure, and abnormal baseline laboratory values, were also excluded from the study. All subjects were at least 18 years of age.

**Clinical assessment**

A total of 422 patients were enrolled in this study from November 2009 to March 2012. During the study treatment period, all subjects took mirtazapine (Remeron®; Schering-Plough, Kenilworth, NJ, USA) at a daily dose of 15–60 mg for 8 weeks. The daily dose was determined based on clinician judgment, considering the patient’s initial tolerability, and potential adverse effects. Psychotropic drugs, such as benzodiazepines and mood stabilizers, were not permitted.

Clinical symptoms were evaluated using the HAMD-21 Scale at baseline and after 1, 2, 4, and 8 weeks of treatment. The HAMD-21 was performed and managed by a single trained rater, and the rater and genotyper were both blinded. At baseline, 422 patients with MDD were enrolled. At week 1, 329 patients of whom were initially enrolled participated, 282 patients remained at week 2, 240 patients at week 4, and 205 patients at week 8. The reasons for withdrawal included intolerable adverse effects (23.9%), insufficient improvement of symptoms (7.4%), non-attendance to scheduled visits (34.4%), economic problems (15.3%), another medical conditions (1.8%), and discontinuation of medication due to improvement of symptoms (17.2%). Responders were those who showed a ≥ 50% decrease in HAMD-21 score compared to baseline, and remission status was defined as a HAMD-21 total score of 7 points or less.<sup>40,41)</sup> Udvalg for Kliniske Undersogelser (UKU) Side Effect Rating Scale (UKU-SERS) was used to evaluate the side-effect profile.<sup>42)</sup>

**Genotyping for the tPA Alu I/D polymorphism**

Genotypes of the tPA Alu I/D were analyzed using genomic DNA extracted from peripheral blood mononuclear cells of study subjects using polymerase chain reaction (PCR) with minor modifications to the methods described by Tishkoff et al.<sup>26)</sup> PCR was performed using the following primers: sense, 5'-GTG AAA AGC AAG GTC TAC CAG-3'; antisense, 5'- GAC ACC

GAG TTC ATC TTG AC-3'. The amplification mixture contained 10 pmol of each primer, 200 μM of each dNTP, 50 mM KCl, 10 mM Tris. HCl (pH8.4), 3 mM MgCl<sub>2</sub>, 0.5 units Taq DNA polymerase (iNtRON Biotechnology, Seoul, Korea), and 100 ng genomic DNA. The samples were subjected to 30 cycles consisting of 1 min at 94°C, 1 min at 60°C, and 1 min at 72°C in a thermal cycler (TaKaRa Bio Inc., Shiga, Japan). A 10 μl sample of the reaction product was analyzed on a 2.0% agarose gel. Following electrophoresis, the DNA was visualized with ethidium bromide. The amplified 570- and 260-bp fragments corresponded to the insertion and deletion allele, respectively.

**Statistical analysis**

The Hardy–Weinberg equilibrium for the tPA Alu I/D polymorphism was tested using the chi-square test. The genetic association of the polymorphism was analyzed using a multiple logistic regression and generalized linear model (GLM) type III for categorical data and continuous variables, respectively, controlling for age and sex as covariates. To compensate for the missing data caused by patient withdrawal, LOCF (last-observation-carried-forward) was applied for imputation of missing HAMD-21 scores. A p-value ≤ 0.05 was regarded as statistically significant. The power to detect associations given the sample size was analyzed using Power for Genetic Association Analyses (PGA).<sup>43)</sup> All statistical analyses were performed using SPSS version 18.0 (SPSS Inc., Chicago, IL, USA).

**Results**

**Clinical characteristics of study subjects and hardy-weinberg equilibrium for the Alu I/D polymorphism**

Table 1 summarizes patient data for mean age, age at onset, sex, previous history of depression, family history of depres-

**Table 1.** Demographic characteristics in the MDD intention-to-treat group

|   | tPA Alu genotype (n = 422) |              |              | p value            |
|---|----------------------------|--------------|--------------|--------------------|
|   | Ins/Ins                    | Ins/Del      | Del/Del      |                    |
| Number of patients                            | 153                        | 192          | 77           | 0.221 <sup>†</sup> |
| Age (year, mean ± gSE)                        | 50.14 ± 1.15               | 51.78 ± 1.08 | 50.42 ± 1.64 | 0.551*             |
| Onset age (year, mean ± nSE)                  | 46.10 ± 1.25               | 47.81 ± 1.09 | 45.69 ± 1.68 | 0.454*             |
| Sex (female, %)                               | 122 (79.7)                 | 138 (71.9)   | 61 (79.2)    | 0.182 <sup>†</sup> |
| Previous history of depression (%)            | 57 (37.3)                  | 74 (38.5)    | 38 (49.4)    | 0.216 <sup>†</sup> |
| Family history of depression (%)              | 18 (11.8)                  | 23 (12.0)    | 14 (18.2)    | 0.332 <sup>†</sup> |
| Family history of other psychotic disease (%) | 8 (5.2)                    | 14 (7.3)     | 3 (3.9)      | 0.725 <sup>†</sup> |
| Suicide attempt (%)                           | 10 (6.5)                   | 13 (6.8)     | 7 (9.1)      | 0.753 <sup>†</sup> |
| Baseline HAMD-21 score (mean ± SE)            | 22.42 ± 0.37               | 22.91 ± 0.38 | 22.40 ± 0.56 | 0.538 <sup>†</sup> |

Genotype comparisons were made by \*ANOVA and <sup>†</sup>chi-square test, ‡ : p values for Hardy-Weinberg Equilibrium (chi-square test, d.f. = 1). MDD : major depressive disorder, tPA : tissue-type plasminogen activator, Ins : insertion, Del : deletion, HAMD-21 : 21-item Hamilton Depression Rating

sion, family history of other psychotic disease, frequency of suicidal attempts, and baseline HAMD-21 scores. None of these parameters differed significantly among the three tPA Alu genotypes (I/I, I/D, and D/D). In addition, baseline HAMD-21 scores showed no significant differences between the three genotypes. Chi-square tests were applied to the three genotype frequencies, and the result revealed that the subjects were in Hardy-Weinberg equilibrium ( $\chi^2 = 1.497, p = 0.221$ ). The clinical characteristics of the withdrawn subjects were not significantly different from the completers, and the tPA Alu I/D genotype of the withdrawn subjects did not significantly differ between reasons for withdrawal.

**Association between Alu I/D polymorphism and mirtazapine treatment response in patients with MDD**

Statistical analysis of the association between the Alu I/D polymorphism in the tPA gene and mirtazapine treatment response was performed. As shown in Table 2, a significant association between the Alu I/D genotype and treatment response was found after 8 weeks of mirtazapine treatment. In the codominant model, the proportion of I/I homozygote in responders was higher than that in non-responders, whereas the proportion of D/D homozygote in responders was lower than that in non-responders at 8 weeks of treatment [p = 0.032, odd ratio (OR) = 1.57 (1.04–2.38)]. This association was also found in allelic analysis [p = 0.029, OR = 1.59 (1.06–2.39) ; 59.4% vs. 47.9%, respectively]. In the recessive model, there was a trend of better response in I allele carriers compared to D/D homozygotes, but the result was not significant [p = 0.052, OR = 1.99 (0.99–3.99)]. There was no significant difference in treatment response among genotypes in the dominant model (between I/I genotype and I/D+D/D genotype). No significant difference was found in dropout rate among genotypes (data not shown).

In addition, we compared the percent decline of HAMD-21 scores following mirtazapine treatment between patients having D/D genotype and I allele carriers (Fig. 1). In the recessive model, the percent decrease of HAMD-21 scores was significantly larger in I allele carriers compared to that in patients having D/D genotype at 2 weeks of mirtazapine treatment, as well as at 8 weeks of treatment.

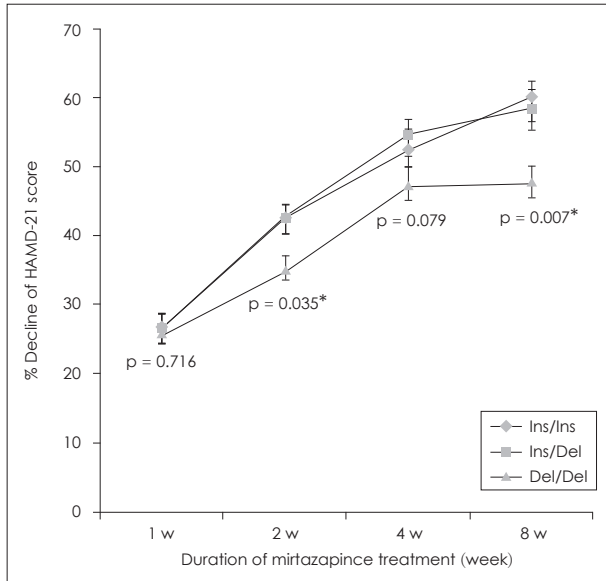
**Association between the Alu I/D polymorphism and remission status by mirtazapine treatment**

We also investigated the relationship between the tPA gene Alu I/D polymorphism and remission status following mirtazapine treatment. As shown in Table 3, tPA Alu I/D genotypes were associated with remission status at 8 weeks of treatment, with I allele carriers achieving a better remission status

**Table 2.** Association analysis of Alu I/D polymorphism in tPA gene with treatment response to mirtazapine in patients with MDD

| Duration | Response status | Genotypes, n |             |            | Total      | Codom. |                  |       | Dom.             |       |                  | Rec. |     |     | Allele frequencies, n |                  |   |
|----------|-----------------|--------------|-------------|------------|------------|--------|------------------|-------|------------------|-------|------------------|------|-----|-----|-----------------------|------------------|---|
|          |                 | I/I          | I/D         | D/D        |            | p      | OR               | p     | OR               | p     | OR               | p    | OR  | I   | D                     | Total            | p |
| Week 1   | Non-R           | 91 (33.1%)   | 129 (46.9%) | 55 (20.0%) | 275 (100%) | 0.363  | 0.82 (0.54–1.25) | 0.357 | 0.75 (0.41–1.38) | 0.585 | 0.81 (0.37–1.75) | 311  | 239 | 550 | 0.397                 | 1.21 (0.79–1.84) |   |
|          | R               | 21 (38.9%)   | 24 (44.4%)  | 9 (16.7%)  | 54 (100%)  |        |                  |       |                  |       |                  | 66   | 42  | 108 |                       |                  |   |
| Week 2   | Non-R           | 54 (30.5%)   | 84 (47.5%)  | 39 (22.0%) | 177 (100%) | 0.260  | 0.82 (0.58–1.16) | 0.531 | 0.85 (0.50–1.42) | 0.202 | 0.66 (0.35–1.25) | 192  | 162 | 354 | 0.254                 | 1.24 (0.88–1.75) |   |
|          | R               | 37 (35.2%)   | 51 (48.6%)  | 17 (16.2%) | 105 (100%) |        |                  |       |                  |       |                  | 125  | 85  | 210 |                       |                  |   |
| Week 4   | Non-R           | 34 (35.4%)   | 40 (41.7%)  | 22 (22.9%) | 96 (100%)  | 0.979  | 1.01 (0.69–1.46) | 0.301 | 0.74 (0.42–1.30) | 0.208 | 1.52 (0.79–2.93) | 108  | 84  | 192 | 1.000                 | 1.00 (0.69–1.45) |   |
|          | R               | 42 (29.2%)   | 78 (54.2%)  | 24 (16.7%) | 144 (100%) |        |                  |       |                  |       |                  | 162  | 126 | 288 |                       |                  |   |
| Week 8   | Non-R           | 17 (23.6%)   | 35 (48.6%)  | 20 (27.8%) | 72 (100%)  | 0.032* | 1.57 (1.04–2.38) | 0.108 | 1.71 (0.89–3.29) | 0.052 | 1.99 (0.99–3.99) | 69   | 75  | 144 | 0.029*                | 1.59 (1.06–2.39) |   |
|          | R               | 47 (35.3%)   | 64 (48.1%)  | 22 (16.5%) | 133 (100%) |        |                  |       |                  |       |                  | 158  | 108 | 266 |                       |                  |   |

Obtained by logistic regression controlling for age and sex as covariates. Figures in parentheses indicate 95% confidence intervals unless stated otherwise. \*: indicates p-value ≤ 0.05. I : insertion, D : deletion, Codom. : codominant model (I/I vs. I/D vs. D/D), Dom. : dominant model (I/I vs. I/D + D/D), Rec. : recessive model (I/I + I/D vs. D/D), OR : odds ratio, R : response, Non-R : nonresponse, MDD : major depressive disorder, tPA : tissue-type plasminogen activator



**Fig. 1.** Comparison of percent decline of Hamilton Depression Rating (HAMD-21) score following mirtazapine treatment at indicated period between tissue-type plasminogen activator Alu Ins/Del genotypes (Del/Del vs. Ins/Ins + Ins/Del). In the recessive model, the percent decrease of HAMD-21 scores was significantly larger in Ins allele carriers compared to that in patients having Del/Del genotype at 2 weeks of mirtazapine treatment ( $p = 0.035$ ), as well as at 8 weeks of treatment ( $p = 0.007$ ). The numbers above each time point indicate p-values, which were obtained using a type III generalized linear model age, with age of onset, sex, and starting dose of mirtazapine as covariates. \* :  $p \leq 0.05$ . Ins : insertion, Del : deletion.

compared to D/D homozygotes [ $p = 0.047$ , OR = 2.2 (1.01–4.80)]. The proportion of I allele carriers was 86.8% in remitters at 8 weeks. In the allelic analysis, the frequencies of I allele were higher in remitters at 8 weeks than those in non-remitters, but no significant association was revealed [ $p = 0.123$ , OR = 1.40 (0.93–2.10)].

### Discussion

In the present study, we observed that the Alu I/D polymorphism of the tPA gene was associated with mirtazapine treatment response and remission status, along with the decline of HAMD-21 scores. We found a significant association between mirtazapine treatment response and the Alu-repeat polymorphism in I allele carriers in the codominant model, and a trend of better response in I allele carriers in the recessive model. A significant finding was also revealed in the recessive model of the remission group. The percentage decline of HAMD-21 score was also significantly larger in I allele carriers. Our results suggest that the I allele of the Alu-repeat I/D polymorphism in the tPA gene is a favorable factor in the treatment of MDD using mirtazapine. To our knowledge, this is the first study that

**Table 3.** Association analysis of Alu I/D polymorphism in tPA gene with the remission status in mirtazapine-treated patients with MDD

| Duration | Remission status |             | Genotypes, n |            |       |                  | Codom. |                  |        | Dom.             |     |     | Rec. |       |                  | Allele frequencies, n |       |   |
|----------|------------------|-------------|--------------|------------|-------|------------------|--------|------------------|--------|------------------|-----|-----|------|-------|------------------|-----------------------|-------|---|
|          | Non-R            | R           | I/I          | I/D        | D/D   | Total            | p      | OR               | p      | OR               | p   | OR  | p    | OR    | I                | D                     | Total | p |
| Week 1   | 109 (34.7%)      | 145 (46.2%) | 60 (19.1%)   | 314 (100%) | 0.247 | 1.53 (0.74–3.15) | 0.257  | 2.11 (0.58–7.68) | 0.468  | 1.55 (0.48–5.03) | 363 | 265 | 628  | 0.259 | 0.64 (0.31–1.33) |                       |       |   |
| Week 2   | 76 (32.2%)       | 111 (47.0%) | 49 (20.8%)   | 236 (100%) | 0.659 | 0.91 (0.58–1.41) | 0.935  | 1.03 (0.52–2.04) | 0.379  | 0.68 (0.29–1.61) | 14  | 16  | 30   | 0.647 | 1.13 (0.72–1.78) |                       |       |   |
| Week 4   | 15 (32.6%)       | 24 (52.2%)  | 7 (15.2%)    | 46 (100%)  | 0.406 | 0.84 (0.55–1.27) | 0.983  | 1.01 (0.54–1.89) | 0.136  | 0.53 (0.23–1.22) | 54  | 38  | 92   | 0.401 | 1.21 (0.80–1.83) |                       |       |   |
| Week 8   | 38 (29.5%)       | 59 (45.7%)  | 32 (24.8%)   | 129 (100%) | 0.128 | 1.37 (0.91–2.06) | 0.553  | 1.20 (0.65–2.22) | 0.047* | 2.20 (1.01–4.80) | 74  | 50  | 124  | 0.123 | 1.40 (0.93–2.10) |                       |       |   |
|          | 26 (34.2%)       | 40 (52.6%)  | 10 (13.2%)   | 76 (100%)  |       |                  |        |                  |        |                  | 92  | 60  | 152  |       |                  |                       |       |   |

Obtained by logistic regression controlling for age and sex as covariates. Figures in parentheses indicate 95% confidence intervals unless stated otherwise. \* : indicates p-value  $\leq 0.05$ . I : insertion, D : deletion, Codom. : codominant model (I/I vs. I/D vs. D/D), Dom. : dominant model (I/I + I/D vs. D/D), Rec. : recessive model (I/I + I/D vs. D/D), OR : odds ratio, R : remission, Non-R : nonremission, MDD : major depressive disorder

investigated the association between the Alu I/D polymorphism and mirtazapine monotherapy, for a period of 8 weeks in a single ethnic group of Koreans who were diagnosed with MDD.

tPA is involved in the expression of mature BDNF, and plasminogen activator inhibitor-1 (PAI-1) plays a key role in the regulation of tPA.<sup>44)</sup> Recently, Eskandari et al.<sup>45)</sup> found that women with MDD had higher serum PAI-1 levels than normal controls. Previous studies examining BDNF and PAI-1 polymorphisms in MDD have reported the following findings. There was no significant association between the BDNF V66M polymorphism, which is known to influence mature BDNF expression, and response to mirtazapine treatment in a previous study we had conducted.<sup>38)</sup> Another study focusing on the association between the PAI-1 4G/5G polymorphism and treatment response to mirtazapine, also could not find significant associations.<sup>46)</sup> Therefore, in this study, we analyzed the association between the Alu I/D polymorphism in the tPA gene and mirtazapine treatment response in patients with MDD directly.

A previous study reported that, after 2 minutes of mental stress tPA release rates increased approximately 2-fold in all genotype groups. Moreover, subjects homozygous for the insertion polymorphism had a significantly higher release rate than both heterozygotes and subjects homozygous for the deletion polymorphism, with a graded increase in tPA release rate according to the number of I alleles.<sup>47)</sup> This finding suggests that more tPA is released from subjects with the tPA Alu I/I genotype, compared to subjects with the deletion allele. A greater amount of mature BDNF is also produced in subjects homozygous for the insertion compared to subjects with the deletion allele. In line with these studies, our results suggest that higher levels of mature BDNF resulting from tPA expression in I allele carriers may facilitate response to mirtazapine treatment, and enhance therapeutic recovery rates from depression, compared to Alu D/D genotype carriers.

However, although we found a significant association between the Alu I/D polymorphism and remission status following mirtazapine treatment at 8 weeks, this association was not as significant in the allelic analysis. As both tPA and PAI-1 are involved in the tPA-plasminogen proteolytic cascade, this finding implies that the genetic interaction of tPA with PAI-1 or BDNF might have a decisive effect on remission, rather than tPA alone. As genetic variants of tPA and PAI-1 genes have been suggested to be risk factors for stroke, Babu et al.<sup>28)</sup> investigated the association of the -7351 C/T polymorphism and Alu I/D polymorphism in the tPA gene and 4G/5G polymorphism in the PAI-1 gene. They reported that subjects with different tPA and PAI-1 genotype combinations displayed a significantly higher risk for overall ischemic stroke. However, when ana-

lyzed as independent covariates, no significant association was revealed. This result suggests how gene-gene interactions involving more variants may alter the susceptibility of particular subjects to a certain disease, and this may also be the case in MDD, since depression is a complex trait and does not follow Mendelian patterns.<sup>48)</sup> In order to clarify this hypothesis, further research is required.

Our study has several limitations. Firstly, the total number of patients who completed the study (n = 205) was relatively low, along with the number of D allele homozygotes (n = 42). Thus, a similar analysis in a larger population group would be needed for replicating our results. Secondly, we investigated only the tPA Alu I/D polymorphism, and not all allelic combinations of the tPA Alu I/D polymorphism, which can be found in the Korean population. Therefore, to confirm the association between mirtazapine treatment response and tPA Alu I/D polymorphism, further genetic screenings and studies should be performed. Thirdly, as the study was conducted in a semi-naturalistic design, mirtazapine was titrated to a dosage considering treatment response and intolerable side effects. Finally, a placebo-controlled group was absent in this study.

Despite such limitations, the results of our study suggests the possibility that mirtazapine treatment response may be associated with tPA gene polymorphisms. Our study is meaningful as we have demonstrated the tPA Alu I/D polymorphism to be a favorable factor in predicting treatment response in MDD, which is a relatively novel finding. Due to several limitations and lack of previous related research, we cannot confirm the tPA Alu I/D polymorphism to be a definite predictor of mirtazapine treatment. Nevertheless, this is the first report on the association of tPA gene polymorphisms with clinical outcomes of mirtazapine treatment in patients with MDD. Moreover, our results suggest a better treatment response in I allele carriers, and support the hypothesis that this specific polymorphism influences the therapeutic action of mirtazapine in MDD. Future studies with larger sample sizes are needed in order to investigate the mechanistic hypotheses motivated by our results.

In conclusion, this study demonstrates that Alu I allele carriers of the tPA gene show better treatment response to mirtazapine monotherapy compared to D/D homozygotes. The determination of the genotype on the tPA Alu ID may be a useful genetic marker for predicting mirtazapine treatment response in patients with major depression, which may contribute when planning future treatment strategies.

#### Acknowledgments

This study was supported by a grant of the Korean Health Technology R&D Project, Ministry of Health & Welfare, Republic of Korea (HI12C0003).

**Conflicts of interest**

The authors have no financial conflicts of interest.

**REFERENCES**

- 1) Jones KR, Reichardt LF. Molecular cloning of a human gene that is a member of the nerve growth factor family. *Proc Natl Acad Sci U S A* 1990;87:8060-8064.
- 2) Leibrock J, Lottspeich F, Hohn A, Hofer M, Hengerer B, Masiakowski P, et al. Molecular cloning and expression of brain-derived neurotrophic factor. *Nature* 1989;341:149-152.
- 3) Ventimiglia R, Mather PE, Jones BE, Lindsay RM. The neurotrophins BDNF, NT-3 and NT-4/5 promote survival and morphological and biochemical differentiation of striatal neurons in vitro. *Eur J Neurosci* 1995;7:213-222.
- 4) Fernandes BS, Berk M, Turck CW, Steiner J, Gonçalves CA. Decreased peripheral brain-derived neurotrophic factor levels are a biomarker of disease activity in major psychiatric disorders: a comparative meta-analysis. *Mol Psychiatry* 2014;19:750-751.
- 5) Polyakova M, Stuke K, Schuemberg K, Mueller K, Schoenkecht P, Schroeter ML. BDNF as a biomarker for successful treatment of mood disorders: a systematic & quantitative meta-analysis. *J Affect Disord* 2015;174:432-440.
- 6) Roceri M, Hendriks W, Racagni G, Ellenbroek BA, Riva MA. Early maternal deprivation reduces the expression of BDNF and NMDA receptor subunits in rat hippocampus. *Mol Psychiatry* 2002;7:609-616.
- 7) Smith MA, Makino S, Kvetnansky R, Post RM. Stress and glucocorticoids affect the expression of brain-derived neurotrophic factor and neurotrophin-3 mRNAs in the hippocampus. *J Neurosci* 1995;15(3 Pt 1): 1768-1777.
- 8) Martinowich K, Lu B. Interaction between BDNF and serotonin: role in mood disorders. *Neuropsychopharmacology* 2008;33:73-83.
- 9) Altar CA. Neurotrophins and depression. *Trends Pharmacol Sci* 1999;20:59-61.
- 10) Duman RS. Synaptic plasticity and mood disorders. *Mol Psychiatry* 2002;7 Suppl 1:S29-S34.
- 11) Nestler EJ, Gould E, Manji H, Buncan M, Duman RS, Greshenfeld HK, et al. Preclinical models: status of basic research in depression. *Biol Psychiatry* 2002;52:503-528.
- 12) Coyle JT, Duman RS. Finding the intracellular signaling pathways affected by mood disorder treatments. *Neuron* 2003;38:157-160.
- 13) Lee R, Kermani P, Teng KK, Hempstead BL. Regulation of cell survival by secreted proneurotrophins. *Science* 2001;294:1945-1948.
- 14) Pang PT, Teng HK, Zaitsev E, Woo NT, Sakata K, Zhen S, et al. Cleavage of proBDNF by tPA/plasmin is essential for long-term hippocampal plasticity. *Science* 2004;306:487-491.
- 15) Seidah NG, Benjannet S, Pareek S, Savaria D, Hamelin J, Goulet B, et al. Cellular processing of the nerve growth factor precursor by the mammalian pro-protein convertases. *Biochem J* 1996;314(Pt 3):951-960.
- 16) Nibuya M, Morinobu S, Duman RS. Regulation of BDNF and trkB mRNA in rat brain by chronic electroconvulsive seizure and antidepressant drug treatments. *J Neurosci* 1995;15:7539-7547.
- 17) Russo-Neustadt A, Beard RC, Cotman CW. Exercise, antidepressant medications, and enhanced brain derived neurotrophic factor expression. *Neuropsychopharmacology* 1999;21:679-682.
- 18) Pawlak R, Magarinos AM, Melchor J, McEwen B, Strickland S. Tissue plasminogen activator in the amygdala is critical for stress-induced anxiety-like behavior. *Nat Neurosci* 2003;6:168-174.
- 19) Pietraszek MH, Takada Y, Nishimoto M, Ohara K, Ohara K, Takada A. Fibrinolytic activity in depression and neurosis. *Thromb Res* 1991;63:661-666.
- 20) Shi Y, You J, Yuan Y, Zhang X, Li H, Hou G. Plasma BDNF and tPA are associated with late-onset geriatric depression. *Psychiatry Clin Neurosci* 2010;64:249-254.
- 21) Jörnvall H, Pohl G, Bergsdorf N, Wallén P. Differential proteolysis and evidence for a residue exchange in tissue plasminogen activator suggest possible association between two types of protein microheterogeneity. *FEBS Lett* 1983;156:47-50.
- 22) Pennica D, Holmes WE, Kohr WJ, Harkins RN, Vehar GA, Ward CA, et al. Cloning and expression of human tissue-type plasminogen activator cDNA in *E. coli*. *Nature* 1983;301:214-221.
- 23) Yang-Feng TL, Opendakker G, Volckaert G, Francke U. Human tissue-type plasminogen activator gene located near chromosomal breakpoint in myeloproliferative disorder. *Am J Hum Genet* 1986;39:79-87.
- 24) Degen SJ, Rajput B, Reich E. The human tissue plasminogen activator gene. *J Biol Chem* 1986;261:6972-6985.
- 25) Ludwig M, Wohn KD, Schleuning WD, Olek K. Allelic dimorphism in the human tissue-type plasminogen activator (TPA) gene as a result of an Alu insertion/deletion event. *Hum Genet* 1992;88:388-392.
- 26) Tishkoff SA, Ruano G, Kidd JR, Kidd KK. Distribution and frequency of a polymorphic Alu insertion at the plasminogen activator locus in humans. *Hum Genet* 1996;97:759-764.
- 27) Makałowski W, Mitchell GA, Labuda D. Alu sequences in the coding regions of mRNA: a source of protein variability. *Trends Genet* 1994;10:188-193.
- 28) Babu MS, Prabha TS, Kaul S, Al-Hazzani A, Shafi G, Roy S, et al. Association of genetic variants of fibrinolytic system with stroke and stroke subtypes. *Gene* 2012;495:76-80.
- 29) Lovrecic L, Ristić S, Starčević-Cizmarević N, Brajenović-Milić B, Jazbec SS, Sepčić J, et al. PAI and TPA gene polymorphisms in multiple sclerosis. *Mult Scler* 2008;14:243-247.
- 30) Zivković M, Starčević Čizmarević N, Lovrečić L, Klupka-Sarić I, Stanković A, Gašparović I, et al. The role of TPA I/D and PAI-1 4G/5G polymorphisms in multiple sclerosis. *Dis Markers* 2014;2014:362708.
- 31) Wang HK, Fung HC, Hsu WC, Wu YR, Lin JC, Ro LS, et al. Apolipoprotein E, angiotensin-converting enzyme and kallikrein gene polymorphisms and the risk of Alzheimer's disease and vascular dementia. *J Neural Transm (Vienna)* 2006;113:1499-1509.
- 32) Arinami T, Li L, Mitsushio H, Itokawa M, Hamaguchi H, Toru M. An insertion/deletion polymorphism in the angiotensin converting enzyme gene is associated with both brain substance P contents and affective disorders. *Biol Psychiatry* 1996;40:1122-1127.
- 33) Segman RH, Shapira Y, Modai I, Hamdan A, Zislin J, Heresco-Levy U, et al. Angiotensin converting enzyme gene insertion/deletion polymorphism: case-control association studies in schizophrenia, major affective disorder, and tardive dyskinesia and a family-based association study in schizophrenia. *Am J Med Genet* 2002;114:310-314.
- 34) De Boer T, Ruigt GSF, Berendsen HHG. The  $\alpha$ 2-selective adrenoceptor antagonist org 3770 (mirtazapine, Remeron<sup>®</sup>) enhances noradrenergic and serotonergic transmission. *Hum Psychopharmacol* 1995;10:S107-S118.
- 35) de Montigny C, Haddjeri N, Mongeau R, Blier P. The effects of mirtazapine on the interactions between central noradrenergic and serotonergic systems. *CNS Drugs* 1995;4:13-17.
- 36) Haddjeri N, Blier P, de Montigny C. Noradrenergic modulation of central serotonergic neurotransmission: acute and long-term actions of mirtazapine. *Int Clin Psychopharmacol* 1995;10 Suppl 4:11-17.
- 37) Nutt DJ. Tolerability and safety aspects of mirtazapine. *Hum Psychopharmacol* 2002;17 Suppl 1:S37-S41.
- 38) Kang RH, Chang HS, Wong ML, Choi MJ, Park JY, Lee HY, et al. Brain-derived neurotrophic factor gene polymorphisms and mirtazapine responses in Koreans with major depression. *J Psycho-*

- pharmacol 2010;24:1755-1763.
- 39) **Hamilton M.** A rating scale for depression. *J Neurol Neurosurg Psychiatry* 1960;23:56-62.
  - 40) **Frank E, Prien RF, Jarrett RB, Keller MB, Kupfer DJ, Lavori PW, et al.** Conceptualization and rationale for consensus definitions of terms in major depressive disorder. Remission, recovery, relapse, and recurrence. *Arch Gen Psychiatry* 1991;48:851-855.
  - 41) **Keller MB.** Past, present, and future directions for defining optimal treatment outcome in depression: remission and beyond. *JAMA* 2003;289:3152-3560.
  - 42) **Kim JH, Choi SW, Joe SH, Ha TH, Yoo HJ, Choi JE, et al.** Reliability and validity of the Korean version of UKU-SERS-Pat in patients with bipolar disorder. *Nord J Psychiatry* 2008;62:496-502.
  - 43) **Menashe I, Rosenberg PS, Chen BE.** PGA: power calculator for case-control genetic association analyses. *BMC Genet* 2008;9:36.
  - 44) **Salles FJ, Strickland S.** Localization and regulation of the tissue plasminogen activator-plasmin system in the hippocampus. *J Neurosci* 2002;22:2125-2134.
  - 45) **Eskandari F, Mistry S, Martinez PE, Torvik S, Kotila C, Sebring N, et al.** Younger, premenopausal women with major depressive disorder have more abdominal fat and increased serum levels of pro-thrombotic factors: implications for greater cardiovascular risk. *Metabolism* 2005;54:918-924.
  - 46) **Chang HS, Lee HY, Ham BJ, Kang RH, Jeong YJ, Kim HM, et al.** Plasminogen activator inhibitor 1 gene polymorphisms and mirtazapine responses in Koreans with major depression. *Asia-Pacific Psychiatry* 2009;1:143-151.
  - 47) **Jern C, Ladenvall P, Wall U, Jern S.** Gene polymorphism of t-PA is associated with forearm vascular release rate of t-PA. *Arterioscler Thromb Vasc Biol* 1999;19:454-459.
  - 48) **Wong ML, Licinio J.** Research and treatment approaches to depression. *Nat Rev Neurosci* 2001;2:343-351.