



# Serum procalcitonin as a diagnostic marker of neonatal sepsis

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**Purpose:** We evaluated serum procalcitonin (PCT) as a diagnostic marker of neonatal sepsis, and compared PCT levels with C-reactive protein (CRP) levels.

**Methods:** We retrospectively reviewed the medical records of 269 neonates with a suspected infection, admitted to Wonkwang University School of Medicine & Hospital between January 2011 and December 2012, for whom PCT and CRP values had been obtained. Neonates were categorized into 4 groups according to infection severity. CRP and PCT values were analyzed and compared, and their effectiveness as diagnostic markers was determined by using receiver operating characteristic (ROC) curve analysis. We also calculated the sensitivity, specificity, and positive, and negative predictive values.

**Results:** The mean PCT and CRP concentrations were respectively  $56.27 \pm 81.89$  and  $71.14 \pm 37.17$  mg/L in the "confirmed sepsis" group;  $15.64 \pm 32.64$  and  $39.23 \pm 41.41$  mg/L in the "suspected sepsis" group;  $9.49 \pm 4.30$  and  $0.97 \pm 1.16$  mg/L in the "mild infection" group; and  $0.21 \pm 0.12$  and  $0.72 \pm 0.7$  mg/L in the control group. High concentrations indicated greater severity of infection ( $P < 0.001$ ). Five of 18 patients with confirmed sepsis had low PCT levels ( $< 1.0$  mg/L) despite high CRP levels. In the ROC analysis, the area under the curve was 0.951 for CRP and 0.803 for PCT. The cutoff concentrations of 0.5 mg/L for PCT and 1.0 mg/L for CRP were optimal for diagnosing neonatal sepsis (sensitivity, 88.29% vs. 100%; specificity, 58.17% vs. 85.66%; positive predictive value, 13.2% vs. 33.3%; negative predictive value, 98.6% vs. 100%, respectively).

**Conclusion:** PCT is a highly effective early diagnostic marker of neonatal infection. However, it may not be as reliable as CRP.

**Key words:** Sepsis, Procalcitonin, C-reactive protein

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## Introduction

Despite improvement in neonatal survival rates due to advanced neonatal treatment, neonatal infection-associated sepsis still has an important effect on neonatal morbidity and survival, as it affects 1–4 in 1,000 babies in developed countries<sup>1)</sup>. Diagnosing sepsis is very difficult because its symptoms are vague and nonspecific. Therefore, antibiotics are administered before culture results are obtained to reduce morbidity and mortality rates—increasing antibiotic resistance and hospital charges. Thus, an accurate and rapid diagnostic test is necessary.

The most reliable test for diagnosing sepsis is a blood culture; however, false-positive results often occur due to contamination or no culture growth. Although neutrophil, total white blood cell (WBC), absolute neutrophil count (ANC), and platelet counts and blood culture are ordered to screen for suspected sepsis, these values are ineligible as infection markers due to insufficient sensitivity and specificity<sup>1)</sup>. Thus, most hospitals commonly

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use C-reactive protein (CRP) levels as markers.

Recently, the effectiveness of procalcitonin (PCT) as an early diagnostic tool for neonatal sepsis has been reported. Research studies reported that PCT is more effective than CRP at follow-up, as PCT levels rise earlier and return to normal levels more rapidly than CRP levels<sup>2-9</sup>. However, some studies have shown a decrease in PCT specificity associated with an increase in uninfected preterm babies, hypoxia, neonatal respiratory distress syndrome (RDS), and hematologic failure<sup>10-12</sup>. Furthermore, one report suggested that PCT is only significant when used in combination with other tests, and it is not appropriate for diagnosing sepsis<sup>13</sup>. Here, we compared PCT with CRP levels for diagnosing neonatal sepsis.

## Materials and methods

### 1. Subject and group classification

We targeted >4-day-old and <30-day-old patients with suspected bacterial infection who had undergone antibiotic injection after CRT and PCT tests and were hospitalized in the neonatal intensive care unit, nursery, pediatric wards from January 2011 to December 2012; we excluded patients who had major congenital anomalies and liver diseases.

We included 269 patients in the study and divided them into 4 groups based on the severity of infection<sup>14,15</sup>.

#### 1) Group I: confirmed sepsis group

>3 positive sepsis-related clinical symptoms; >2 positive sepsis-related blood test results; CRP levels >6 mg/L; and positive blood culture test results

#### 2) Group II: suspected sepsis group

>3 positive sepsis-related clinical symptoms; >2 positive sepsis-related blood test results; CRP levels >6 mg/L; negative blood culture test

#### 3) Group III: mild infection group

>2 positive sepsis-related clinical symptoms; <2 positive sepsis-related blood tests; CRP levels < 6 mg/L; negative blood culture test results

#### 4) Group IV: no infection group (control group)

All babies, excluding groups I, II, and III.

The criteria for sepsis-related clinical symptoms<sup>1</sup> were divided into the following groups: (1) General: fever, temperature instability, and poor feeding; (2) gastrointestinal system: abdominal distension and hepatomegaly; (3) respiratory system: apnea, dyspnea, retraction, and cyanosis; (4) cardiovascular system: pallor, mottling, tachycardia, and bradycardia; (5) central nervous system: irritability, lethargy, abnormal Moro reflex, fontanelle bulging, seizure, and hypotonia; (6) hematologic system: jaundice, splenomegaly, and petechiae.

Sepsis-related blood tests included total WBC, ANC, CRP levels, and platelet counts. Total WBC and ANC were analyzed based on the criteria described by Manroe et al.<sup>16</sup> and Rodwell et al.<sup>17</sup> scoring system. Leukopenia was defined as <5,000/mm<sup>3</sup>, leukocytosis >21,000/mm<sup>3</sup>, normal ANC 1,800–5,400/mm<sup>3</sup>, thrombocytopenia<sup>18</sup> <150,000/mm<sup>3</sup>, and CRP levels were >6 mg/L (which was our hospital's criterion for infection), and these were analyzed retrospectively.

## 2. Methods

We analyzed the results and divided patients into groups based on infection severity after investigating gestational age (GA), birth weight (BW), gender, CRP and PCT levels, blood culture, and clinical symptoms of the neonates with suspected bacterial infection.

Neonates with suspected bacterial infection were given antibiotics after blood sampling for total WBC, ANC, platelet count, CRP, PCT, and blood culture results.

Blood sampling was performed when bacterial infection was suspected. PCT was measured by enzyme-linked fluorescent assay using BRAHMS PCT (BioMerieux SA, Marcy l'Etoile, France) kit in the VIDAS equipment, and CRP levels were measured by immunoturbidimetric analysis using a CRP reagent kit (Sekisui Medical Co., Tokyo, Japan). To determine the diagnostic usefulness of PCT and CRP levels, we analyzed the receiver operating characteristic (ROC) curve and calculated the sensitivities, specificities, and positive and negative predictive values.

## 3. Statistics

For statistical analysis, characteristics of 4 patients group was assessed using analysis of variance and  $X^2$  test; PCT and CRP values using the Kruskal-Wallis test; and multiple comparison between groups using Mann-Whitney  $U$  test. Significance was defined as a  $P$  value <0.01.

## Results

### 1. Patients' characteristics in each group

Of the 269 babies, 18 were included in the confirmed sepsis group, 56 in the suspected sepsis group, 81 in the mild infection group, and 114 in the control group. Patients' BWs were 2,370±1,090, 2,440±1,110, 2,430±3,840, and 2,140±960 g, respectively, and GAs were 34.8±6.92, 34.8±4.45, 32.8±5.07, and 33.4±4.64 weeks, respectively. BW and GA were not significantly different between the groups. There were more male ( $n=164$ ) than female babies ( $n=105$ ); however, the difference was not significant (Table 1).

## 2. PCT and CRP levels in each group

PCT levels were significantly high according to infection severity: 56.27±81.89 mg/L, confirmed sepsis group; 15.64±32.64 mg/L, suspected sepsis group; 9.49±4.30 mg/L, mild infection group; and 0.21±0.12 mg/L, control group ( $P<0.001$ ). CRP values showed similar results (71.14±37.17, 39.23±41.41, 0.97±1.16, and 0.72±0.7 mg/L, respectively, in each group,  $P<0.001$ ) (Table 2).

## 3. Causative microorganisms in the confirmed sepsis group

Bacteria were cultured in 18 cases. *Enterococcus faecium* and

*Streptococcus* were the most common microbes on blood culture (4 cases, 22%) (Table 3).

Of the 18 patients with confirmed sepsis, 2 patients (patient 8, 18) had slightly increased CRP values to 14.93 and 18.2 mg/L, respectively; however, their PCT values increased considerably to 200 and 141.18 mg/L, respectively. Therefore, PCT seems to be more reliable for diagnosing neonatal sepsis than CRP in 2 cases. However, despite increased CRP values in 5 cases, their PCT values remained very low, and were <0.5 mg/L (as the cutoff value) in 2 cases (patient 3 and 4) and <1 mg/L (as the cutoff

**Table 1.** Patient characteristics according to the groups

Variable	Group				P-value
	Confirmed sepsis (n=18)	Suspected sepsis (n=56)	Mild infection (n=81)	Noninfection (n=114)	
Birth weight (g)	2,370±1,090	2,440±1,110	2,430±3,840	2,140±960	0.7878
Gestational age (wk)	34.8±6.92	34.8±4.45	32.8±5.07	33.4±4.64	0.0827
Sex (male:female)	7:11	36:20	52:29	69:45	0.0771

Values are presented as mean±standard deviation.

**Table 2.** Mean PCT and CRP levels, according to group

Variable	Group				P-value
	Confirmed sepsis (n=18)	Suspected sepsis (n=56)	Mild Infection (n=81)	Noninfection (n=114)	
PCT (mg/L)	56.27±81.89	15.64±32.64	9.49±4.30	0.21±0.12	<0.001
CRP (mg/L)	71.14±37.17	39.23±41.41	0.97±1.16	0.72±0.70	<0.001

Values are presented as mean±standard deviation.

PCT, Procalcitonin; CRP, C-reactive protein

**Table 3.** Procalcitonin and C-reactive protein levels, and associated causative microorganism, in patients with confirmed sepsis

Patients	GA (wk)	BWt (g)	Sex	PCT (mg/L)	CRP (mg/L)	Microorganisms
1	23	560	F	2.11	79.9	<i>Enterococcus faecium</i>
2 <sup>†</sup>	23	570	F	0.76	79.6	<i>Enterococcus faecium</i>
3*	23	560	F	0.41	79.8	<i>Enterococcus faecium</i>
4*	23	590	F	0.4	79.7	<i>Enterococcus faecium</i>
5	37	2,600	F	200.0	72.0	<i>Streptococcus agalactiae</i>
6	37	2,600	F	58.78	72.0	<i>Streptococcus agalactiae</i>
7	37	2,960	F	194.11	40.19	<i>Streptococcus agalactiae</i>
8	32	1,710	F	200.0	14.93	<i>Streptococcus pneumoniae</i>
9	33	3,020	F	171.86	150.3	<i>Escherichia coli</i>
10	40	2,800	M	8.9	109.4	<i>Escherichia coli</i>
11	40	2,800	M	1.21	09.4	<i>Escherichia coli</i>
12	38	3,300	M	12.56	47.35	<i>Staphylococcus epidermidis</i>
13 <sup>†</sup>	41	3,200	F	0.8	12.41	<i>Staphylococcus hominis</i>
14	40	2,800	M	8.9	84.99	<i>Acinetobacter baumannii</i>
15	40	2,800	M	1.21	84.99	<i>Acinetobacter baumannii</i>
16	41	2,500	M	8.94	109.2	<i>Micrococcus luteus</i>
17 <sup>†</sup>	40	3,900	M	0.7	36.08	<i>Microbacterium</i> species
18	38	3,400	F	141.18	18.2	<i>Brevibacterium</i> species

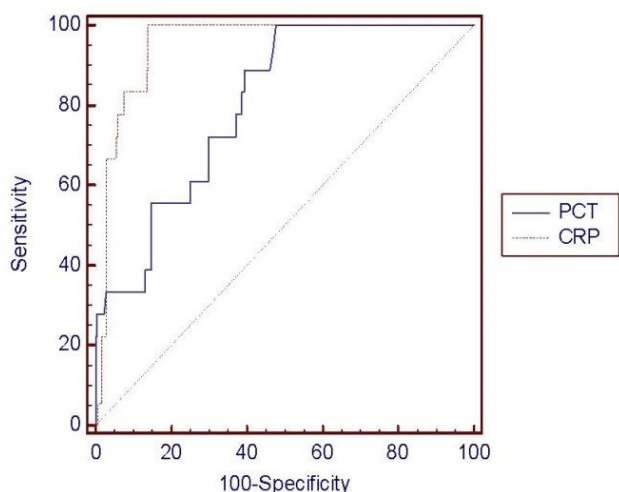
BW, birth weight; GA, gestational age; PCT, procalcitonin; CRP, C-reactive protein.

\*PCT<0.5 mg/L. <sup>†</sup>PCT<1 mg/L.

value) in 3 cases (patient 2, 13, and 17), for detecting neonatal sepsis. Therefore, PCT may have a lower accuracy as a diagnostic marker of neonatal sepsis than CRP (Table 3).

#### 4. ROC curve

ROC curve analysis was performed to determine the diagnostic usefulness of PCT compared with CRP for detecting neonatal sepsis. In the ROC curve, area under the curve (AUC) was higher for CRP (0.951, 95% confidence interval [CI], 0.918–0.973) than for PCT (0.803, 95% CI, 0.751–0.849), showing that CRP is more useful; however, PCT levels also showed a high result ( $P < 0.0015$ ) (Fig. 1). PCT sensitivity and specificity were 88.89% and 58.17%, respectively, with a diagnostic threshold of 0.5 mg/L, and 72.22% and 69.32%, respectively with a threshold of 1 mg/L. The sensitivity and specificity of CRP were 100% and 78.09%, respectively, with a diagnostic threshold of 6 ng/L, and 100%



**Fig. 1.** Comparison of the receiver operating characteristic (ROC) curves of procalcitonin (PCT) and C-reactive protein (CRP). The area under the curve was 0.803 for PCT and 0.951 for CRP. The difference between areas was significant (0.148; 95% confidence interval, 0.056–0.239;  $P = 0.0015$ ).

**Table 4.** Sensitivity, specificity, positive predictive value, and negative predictive value of procalcitonin and C-reactive protein level for the diagnosis of neonatal sepsis

Variable	Cutoff value (%)			
	Sensitivity	Specificity	PPV	NPV
PCT (mg/L)				
0.5	88.89	58.17	13.2	98.6
1	72.22	69.32	14.4	97.2
CRP (mg/L)				
6	100	78.09	24.7	100
10	100	85.66	33.3	100

CI, confidence interval; PCT, procalcitonin; CRP, C-reactive protein; PPV, positive predictive value; NPV, negative predictive value.

and 85.66% with a threshold of 10 mg/L (Table 4). Therefore, PCT seems very useful for diagnosing neonatal sepsis with high sensitivity and specificity; however, the sensitivity and specificity of CRP were much higher. Moreover, the most sensitive diagnostic cutoff values were 0.5 mg/L for PCT and 10 mg/L for CRP. The positive predictive rate (0.5 mg/L, 13.2%; 1 mg/L, 14.4%) of PCT was very low, whereas the negative predictive rate (0.5 mg/L, 98.6%; 1 mg/L, 97.2%) showed a similar high result to CRP (6 and 10 mg/L, 100%) (Table 4).

#### Discussion

Although blood culture results are important for diagnosing neonatal sepsis, it has a low rate of culture, making it difficult to diagnose neonatal sepsis early and resulting in unnecessary or delayed treatment. Therefore, a rapid test with the best degree of sensitivity, reliability, and predictability is required for the early diagnosis and treatment of neonatal sepsis.

The diagnostic markers of neonatal sepsis include total WBC and differential counts; an immature-to-total neutrophil ratio,  $\geq 0.2$ ; neutropenia; thrombocytopenia; and levels of CRP, PCT, haptoglobin, fibrinogen, and cytokines (interleukin [IL] 6, IL-8, and tumor necrosis factor- $\alpha$ ), etc., with the bacterial culture providing a definitive diagnosis<sup>1</sup>. Among these markers, CRP is most commonly used in all hospitals during follow-up and diagnosis. Although CRP levels can be obtained easily and rapidly through an automatic method, and has a high sensitivity, its specificity is low, making it difficult to diagnose sepsis<sup>19</sup>.

Comparatively, PCT is more specific than other markers and is detected faster than CRP. Therefore, PCT is believed to be a new marker of infection; however, its use as the only marker for early diagnosis of sepsis is controversial<sup>19</sup>.

PCT, a precursor of calcitonin, is a peptide composed of 116 amino acids and a glycoprotein with a molecular weight of 14.5 kDa. In ordinary times, it is synthesized in the C cells of the thyroid gland and becomes the mature hormone calcitonin formed by 32 amino acids through proteolysis<sup>20</sup>. Although it exists in extremely low concentrations in healthy persons, its levels increase in the presence of bacterial infections, such as sepsis, meningitis, and urethritis, and it rises rapidly in severe sepsis or septic shock<sup>21</sup>. At that time, high serum PCT levels are found because macrophages and monocytes, and the C cells of the thyroid produce it through induction by bacterial endotoxin. Dandona et al.<sup>22</sup> found that PCT is first detected 4 hours after injection of a small quantity of endotoxin in healthy people due to inflammation; its levels increase rapidly after 6–8 hours, reach a plateau, and then return to normal levels after 24 hours.

PCT levels show a physiologic increase 24–48 hours after birth, and it is normalized after 3 days<sup>12</sup>. Other than infection,

PCT levels increase in premature infants, hypoxia, RDS, and hemodynamic instability, decreasing its specificity in early-onset sepsis<sup>10-12</sup>.

In 1993, Assicot et al.<sup>23</sup> were the first to report increased PCT levels after severe bacterial infection, using a monoclonal immunoradiometric assay. Thereafter, many PCT studies have been reported, but few have found meaningful results for the early diagnosis of bacterial infection. Although CRP level is widely used as an indicator of acute infection, increased CRP levels after the beginning of inflammation is slower than that in PCT levels. This difference can be attributed to CRP starting to increase 4–6 hours later than PCT, after the beginning of inflammation, and reaching its peak about 36 hours later<sup>21</sup>. Further, although PCT decreases 24–48 hours later after antibiotics are initiated and its level returns to normal 5 days after, CRP levels remains high for at least 24–48 hours, and then decline 5 days later despite treatment with antibiotics<sup>24</sup>. Therefore, PCT is known as a more useful indicator for diagnosing early-onset sepsis in newborns because it increases earlier within 12 hours of life than either CRP or IL-6 levels, and it may also be useful for follow-up examination.

However, Blommendahl et al.<sup>19</sup> suggested that PCT was not a better marker than CRP levels because PCT was affected by perinatal factors within 48 h of birth. A study by Chiesa et al.<sup>24</sup> on the perinatal influence on PCT suggests that the prenatal antibiotic therapy may be associated with false-negative PCT results in those with early neonatal sepsis. Furthermore, Martin-Denavit et al.<sup>25</sup> mentioned that prenatal antibiotics administered to neonates 1 day after birth increases PCT level to a slightly higher concentration than normal.

We divided patients into 4 groups, who were suspected bacterial infection after 4 days to below 30 days of birth, according to their clinical states. PCT levels were higher in patients with more severe clinical symptoms such as that observed for CRP. Additionally, we performed ROC curve analysis to determine the diagnostic usefulness of PCT compared with CRP for detecting neonatal sepsis. In the ROC curve, the AUC for CRP was 0.951 and that for PCT was 0.803; thus, both markers had a great diagnostic value; however, CRP levels showed more usefulness. To check diagnosis accuracy, we used the following guidelines based on the AUC level: noninformative (0.5), less accurate (0.5 < AUC ≤ 0.7), moderately accurate (0.7 < AUC ≤ 0.9), and highly accurate (0.9 < AUC < 1)<sup>26</sup>. Thus, based on our results, CRP is a highly accurate marker, whereas PCT is moderately accurate for the diagnosis of neonatal sepsis. Moreover, PCT levels remained normal to very low in 5 patients, while CRP levels increased (Table 3). Of these 5 cases, PCT values were <0.5 mg/L of the cutoff value for neonatal sepsis in 2 patients and <1 mg/L of cutoff value (mildly increased) in 3 patients. Therefore, PCT showed lower accuracy than CRP.

Our results suggest that the best cutoff value for diagnosing neonatal bacterial infection is 0.5 mg/L for PCT and 10 mg/L for CRP. PCT had a sensitivity of 88.79% and specificity of 58.17%, with a cutoff value 0.5 mg/L, while CRP had a sensitivity of 100% and specificity of 52.66%. Although the sensitivity and specificity was slightly lower for PCT, they are considered sufficiently high for a diagnostic marker. Previous studies have reported the sensitivity and specificity of PCT as follows: Sakha et al.<sup>27</sup>, 66.7% and 50%; Boo et al.<sup>28</sup>, 88.9% and 65.2%; Chiesa et al.<sup>24</sup>, 92.6% and 97.5%; and Hatherill et al.<sup>3</sup>, 92.6% and 97.5%, respectively. In a domestic study, the sensitivity, specificity, positive, and negative predictive values for PCT were 66.7%, 94.4%, 88.9%, and 81.0%, respectively, suggesting that PCT is a better clinical marker than CRP—although it is associated with a more expensive cost<sup>29</sup>.

In our study, negative predictive values of PCT were 98.6% with a 0.5 mg/L cutoff value and 97.2% with a 1 mg/L cutoff value. Without sepsis, normal cases from sepsis cases could be distinguished and the results were the same as those with CRP. Ballot et al.<sup>13</sup> reported a high negative predictability of 95% and Guibourdenche et al.<sup>30</sup> emphasized its significance in PCT tests.

As stated above, although many reports have suggested that PCT is a more useful marker than CRP, our study highlights the risk of evaluating PCT levels as the only test for neonatal sepsis. This is because the sensitivity and specificity of PCT are slightly lower than those of CRP. Moreover, 5 of 18 patients with confirmed sepsis had PCT levels within the normal to very low level despite having high CRP levels.

Ballot et al.<sup>13</sup> suggested that the PCT test alone was not sufficient to confirm neonatal sepsis, because of its slightly lower sensitivity, specificity, positive predictability, and AUC. Lapillonne et al.<sup>10</sup> discovered that premature birth increased PCT concentrations without any bacterial infection; thus, it had no specificity despite of its diagnostic value. Furthermore, Monneret et al.<sup>12</sup> found that high PCT levels observed on the second day after birth (enough to suspect bacterial infection) return to the physiologically normal range (<0.5 mg/L) on the fourth day, similar to other healthy babies. Their conclusion was as follows: “It is common in RDS and condition related to temporal hypoxia during labor so high PCT level doesn’t mean the bacterial infection all the time.”

Based on our analysis, PCT may not be a sufficiently reliable diagnostic marker of neonatal sepsis compared with CRP. Therefore, we conclude that PCT can be used as a diagnostic marker in combination with other tests for the diagnosis of neonatal sepsis.

## Conflict of interest

No potential conflict of interest relevant to this article was reported.

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