# An Efficient Synthesis of Poly-Substituted Phenols and Pyridines from Morita-Baylis-Hillman Acetates and Diethyl Oxalacetate 

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#### Abstract

Various phenol derivatives were synthesized in a one-pot reaction from MBH acetates and sodium diethyl oxalacetate via a $[4 \mathrm{C}+2 \mathrm{C}]$ cyclization protocol. In addition, some pyridine derivatives could also be synthesized using the same starting materials, by isolating the $\mathrm{S}_{\mathrm{N}} 2^{\prime}$ reaction intermediate and performing the cyclization with $\mathrm{NH}_{4} \mathrm{OAc}$.


Key Words : Phenols, Pyridines, Morita-Baylis-Hillman acetates, Diethyl oxalacetate

## Introduction

Morita-Baylis-Hillman (MBH) adducts ${ }^{1}$ have been used for the synthesis of various aromatic compounds including phenols ${ }^{2}$ and pyridines. ${ }^{3,4}$ Poly-substituted phenols and pyridines are important due to their abundance in nature and biologically active substances. ${ }^{5-7}$
MBH adducts of methyl vinyl ketone could be used as a four-carbon source to form phenol derivative in the reaction with a two-carbon unit such as ketone bearing an $\alpha$-proton via the $[4 \mathrm{C}+2 \mathrm{C}]$ cyclization protocol. ${ }^{2 \mathrm{e}}$ As shown in Scheme 1 , a sequential $\mathrm{S}_{\mathrm{N}} 2^{\prime}$ reaction between MBH acetate $\mathbf{1 a}$ and sodium diethyl oxalacetate (2a) to form 3a, dehydrative cyclization to form an intermediate $\mathbf{I}$, and a final isomerization could produce phenol derivative 4a.

## Results and Discussion

Thus, we examined the reaction of $\mathbf{1 a}$ and 2a in DMF at $120{ }^{\circ} \mathrm{C}$ for 1 h . To our delight, phenol 4a was obtained in good yield ( $75 \%$ ) in a one-pot reaction. ${ }^{8}$ Encouraged by the successful results, various MBH acetates 1b-f were prepared and the syntheses of phenol derivatives $\mathbf{4 b}$-f were carried out under the same reaction conditions. The results are summarized in Table 1. The reactions of MBH acetates 1b-e and 2a produced 4b-e in good yields ( $73-79 \%$, entries $2-5$ ). The reaction of $\mathbf{1 f}$, derived from ethyl vinyl ketone, gave polysubstituted phenol $\mathbf{4 f}$ in a similar yield ( $74 \%$, entry 6 ).
During the reaction, we examined the preparation of an intermediate 3a, as shown in Scheme 2, in order to synthesize poly-substituted pyridines (vide infra). The reaction of

Table 1. Synthesis of poly-substituted phenols from 1 and 2a
Entry $\quad$ MBH acetate $\mathbf{1}$

2



3



4



5



6


$4 f(74)$
${ }^{a}$ Conditions: Substrate $\mathbf{1}(0.5 \mathrm{mmol}), \mathbf{2 a}$ ( 1.1 equiv), DMF, $120^{\circ} \mathrm{C}, 1 \mathrm{~h}$.


Scheme 1


Scheme 2


Scheme 3

Table 2. Synthesis of poly-substituted pyridines

${ }^{a}$ Conditions: Substrate 1 ( 1.0 mmol ), 2a ( 1.1 equiv), DMF, rt, 12 h . ${ }^{b}$ Conditions: Substrate 3 ( 0.4 mmol ), NH4OAc ( 3.0 equiv), AcOH, reflux, 1 h. ${ }^{c}$ Conditions: Substrate 3 ( 0.4 mmol ), NH4OAc ( 20.0 equiv), AcOH , reflux, 18 h .

1a and 2a (1.1 equiv) in DMF at room temperature for 12 h afforded 3a in moderate yield (53\%) along with 1:2 adduct 5a (17\%). ${ }^{9}$ The yield of $\mathbf{3 a}$ increased slightly by using an excess amount ( 3.0 equiv) of $\mathbf{2 a}$. When we used MBH bromide $\mathbf{1 g}$ instead of MBH acetate 1a, desired compound 3a was obtained in a similar yield (49\%) along with 1:2 adduct 6 ( $32 \%$ ). ${ }^{10}$

With this compound 3a in our hand, the synthesis of pyridine $7 \mathbf{a}$ was examined in the presence of $\mathrm{NH}_{4} \mathrm{OAc}$ ( 3.0 equiv), as shown in Scheme 3. To our delight, poly-substituted pyridine $7 \mathbf{a}$ was formed in good yield (75\%) via the plausible intermediates II and III. ${ }^{11}$ For the synthesis of pyridine, MBH adduct served a three-carbon unit, and the pyridine ring was constructed by the $[3 \mathrm{C}+2 \mathrm{C}+1 \mathrm{~N}]$ cyclization protocol. ${ }^{3 \mathrm{c}}$
Encouraged by the results, 3d, 3f and $\mathbf{3 h}$ were prepared according to Scheme 2, and the syntheses of pyridine derivatives were carried out as summarized in Table 2. Pyridines 7b and 7c were obtained in good yields (60-70\%). 2-Hydroxypyridine $7 \mathbf{d}$ was synthesized under the similar reaction conditions in good yield (76\%) from ester derivative 3h, which was made from the MBH acetate of methyl acrylate 1h. For the synthesis of 7d, an excess amount (20 equiv) of $\mathrm{NH}_{4} \mathrm{OAc}$ and a long reaction time ( 18 h ) were required. ${ }^{4 \mathrm{a}}$ In


Scheme 4

addition the synthesis of $n$-hexyl-substituted pyridine $7 \mathbf{e}$ was examined, as shown in Scheme 4. The reaction of $\mathbf{3 i}^{12}$ and $\mathrm{NH}_{4} \mathrm{OAc}$ afforded $7 \mathbf{e}(27 \%)$ along with a hexenyl-substituted pyridine $7 \mathbf{e}^{\prime}(40 \%)$ under the same reaction conditions. The pyridine $7 \mathrm{e}^{\prime}$ might be produced via the aerobic oxidation of an intermediate III-i and the following acid-catalyzed dehydration, as previously observed in a similar case. ${ }^{2 \mathrm{c}, 3 \mathrm{c}}$
As a last examination, we carried out the reaction of a DABCO salt of MBH bromide $\mathbf{1 g}$ and $\mathbf{2 a}$, as shown in Scheme 5. The reaction of $\mathbf{1 g}$ and DABCO in $\mathrm{CH}_{3} \mathrm{CN}$ at room temperature produced the corresponding DABCO salt quantitatively. ${ }^{13}$ To the reaction mixture, 2a was added and the reaction mixture was heated to reflux for $2 \mathrm{~h} .3,4-\mathrm{Di}-$ hydro- $2 H$-pyran derivative $\mathbf{8}$ was obtained in moderate yield (36\%), ${ }^{14}$ via an intramolecular conjugate addition of the enol intermediate, as already reported in a similar case. ${ }^{15}$ Polysubstituted phenol 9 was not formed at all.
In summary, various phenol derivatives were synthesized in a one-pot reaction from MBH acetates and sodium diethyl oxalacetate via a $[4 \mathrm{C}+2 \mathrm{C}]$ cyclization protocol. In addition, some pyridine derivatives could also be synthesized using the same starting materials, by isolating the $\mathrm{S}_{\mathrm{N}} 2^{\prime}$ reaction intermediate and performing the cyclization with $\mathrm{NH}_{4} \mathrm{OAc}$.

## Experimental Section

Typical Procedure for the Synthesis of 4a. A mixture of $\mathbf{1 a}(109 \mathrm{mg}, 0.5 \mathrm{mmol})$ and $\mathbf{2 a}(116 \mathrm{mg}, 0.55 \mathrm{mmol})$ in DMF $(1.0 \mathrm{~mL})$ was stirred at $120^{\circ} \mathrm{C}$ for 1 h . After the usual aqueous extractive workup and column chromatographic purification process (hexanes/EtOAc, 4:1), compound 4a was obtained as pale yellow oil, 123 mg ( $75 \%$ ). Other compounds were synthesized similarly, and the spectroscopic data of 4af are as follows.
Compound 4a: 75\%; pale yellow oil; IR (film) 3364, 1716, 1609, 1303, 1133, $1044 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\mathrm{CDCl}_{3}, 300$ $\mathrm{MHz}) \delta 1.32(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{Hx} 2), 3.98(\mathrm{~s}, 2 \mathrm{H}), 4.29(\mathrm{q}, J=$ $7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.32(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 6.87(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 7.06(\mathrm{~s}$, $1 \mathrm{H}), 7.16-7.28(\mathrm{~m}, 5 \mathrm{H}), 7.59(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75\right.$ $\mathrm{MHz}) \delta 13.94,14.10,35.81,61.37,61.97,115.63,122.60$, $126.42,128.57,128.68$, 130.01, 132.50, 133.25, 139.02, 156.90, 166.90, 168.96; ESIMS m/z 329 [M $\left.{ }^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{19} \mathrm{H}_{20} \mathrm{O}_{5}$ : C, $69.50 ; \mathrm{H}, 6.14$. Found: C, 69.76 ; H ,
6.03.

Compound 4b: 73\%; pale yellow oil; IR (film) 3372, $1718,1608,1305,1133 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $1.32(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H} \times 2), 2.30(\mathrm{~s}, 3 \mathrm{H}), 3.95(\mathrm{~s}, 2 \mathrm{H}), 4.30$ ( $\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.32(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 6.68(\mathrm{br} \mathrm{s}, 1 \mathrm{H})$, $7.06(\mathrm{~s}, 1 \mathrm{H}), 7.07(\mathrm{~s}, 4 \mathrm{H}), 7.59(\mathrm{~s}, 1 \mathrm{H}),{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75\right.$ $\mathrm{MHz}) \delta 13.95,14.11,20.98,35.48,61.36,61.93,115.68$, 122.66, 128.52, 129.32, 130.17, 132.45, 133.18, 135.80, 136.03, 156.89, 166.92, 168.91; ESIMS $m / z 343\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 4c: 79\%; colorless oil; IR (film) 3365, 1718, $1609,1303,1133 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.33$ (t, $J=7.2 \mathrm{~Hz}, 3 \mathrm{H} x 2), 3.94(\mathrm{~s}, 2 \mathrm{H}), 4.30(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H})$, 4.33 (q, $J=7.2 \mathrm{~Hz}, 2 \mathrm{H}$ ), $6.63(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 7.05(\mathrm{~s}, 1 \mathrm{H}), 7.10$ (d, $J=8.4 \mathrm{~Hz}, 2 \mathrm{H}$ ), 7.22 (d, $J=8.4 \mathrm{~Hz}, 2 \mathrm{H}$ ), $7.57(\mathrm{~s}, 1 \mathrm{H})$; ${ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.95,14.13,35.16,61.43$, 62.06, 115.59, 122.76, 128.60, 129.58, 130.01, 132.15, 132.43, 133.43, 137.64, 156.65, 166.68, 168.92; ESIMS m/z $363\left[\mathrm{M}^{+}+\mathrm{H}\right], 365\left[\mathrm{M}^{+}+\mathrm{H}+2\right]$.

Compound 4d: 74\%; colorless oil; IR (film) 3359, 1719, $1608,1304,1133 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.32$ $(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H} \times 2), 4.02(\mathrm{~s}, 2 \mathrm{H}), 4.29(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H})$, $4.33(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 6.81(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 7.09(\mathrm{~s}, 1 \mathrm{H}), 7.24$ (d, $J=7.8 \mathrm{~Hz}, 2 \mathrm{H}), 7.28-7.56(\mathrm{~m}, 7 \mathrm{H}), 7.64(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.94,14.11,35.46,61.40,62.01$, 115.66, 122.64, 126.96, 127.11, 127.28, 128.69, 129.06, 129.91, 132.53, 133.31, 138.14, 139.34, 140.81, 156.90, 166.87, 168.99; ESIMS $m / z 405\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 4e: $75 \%$; pale yellow solid, mp $148-150{ }^{\circ} \mathrm{C}$; IR (KBr) 3370, 1715, 1608, 1305, $1133 \mathrm{~cm}^{-1}$; ${ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.21(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.23(\mathrm{t}, J=7.2$ $\mathrm{Hz}, 3 \mathrm{H}), 4.06(\mathrm{~s}, 2 \mathrm{H}), 4.20(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.24(\mathrm{q}, J=$ $7.2 \mathrm{~Hz}, 2 \mathrm{H}), 6.62(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 7.01(\mathrm{~s}, 1 \mathrm{H}), 7.23(\mathrm{dd}, J=8.4$ and $1.2 \mathrm{~Hz}, 1 \mathrm{H}), 7.30-7.40(\mathrm{~m}, 2 \mathrm{H}), 7.53(\mathrm{~s}, 1 \mathrm{H}), 7.57(\mathrm{~s}$, $1 \mathrm{H}), 7.61-7.73(\mathrm{~m}, 3 \mathrm{H}) ;{ }^{13} \mathrm{C} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.94$, 14.10, 36.02, 61.39, 61.96, 115.73, 122.77, 125.51, 126.07, 126.86, 127.17, 127.54, 127.59, 128.28, 129.77, 132.20, 132.61, 133.37, 133.52, 136.49, 156.95, 166.89, 168.83; ESIMS m/z $379\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 4f: 74\%; pale yellow oil; IR (film) 3436, $1715,1575,1314,1199 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $1.34(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.38(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.16(\mathrm{~s}$, $3 \mathrm{H}), 4.01(\mathrm{~s}, 2 \mathrm{H}), 4.30(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.42(\mathrm{q}, J=7.2$ $\mathrm{Hz}, 2 \mathrm{H}), 5.34(\mathrm{br} \mathrm{s}, 1 \mathrm{H}), 7.16-7.34(\mathrm{~m}, 5 \mathrm{H}), 7.75(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$

NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 12.39,14.07,14.20,36.77,61.08$, 61.51, 119.66, 121.81, 126.54, 126.94, 128.46, 128.92, 130.91, 136.28, 138.20, 156.18, 165.50, 169.33; ESIMS m/z $343\left[\mathrm{M}^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{20} \mathrm{H}_{22} \mathrm{O}_{5}: \mathrm{C}, 70.16 ; \mathrm{H}, 6.48$. Found: C, 70.03; H, 6.74.

Typical Procedure for the Synthesis of 3a. A mixture of 1a ( $218 \mathrm{mg}, 1.0 \mathrm{mmol}$ ) and 2a ( $231 \mathrm{mg}, 1.1 \mathrm{mmol}$ ) in DMF $(1.5 \mathrm{~mL})$ was stirred at room temperature for 12 h . After the usual aqueous extractive workup and column chromatographic purification process (hexanes $/ \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{EtOAc}, 10: 1: 1$ ), compound 3a was obtained as colorless oil, $183 \mathrm{mg}(53 \%)$ along with $\mathbf{5 a}(43 \mathrm{mg}, 17 \%)$. Other compounds were synthesized similarly, and the spectroscopic data of 3a, 5a, 6a, 3d, 3f and $\mathbf{3 h}$ are as follows.
Compound 3a: 53\%; colorless oil; IR (film) 1754, 1731, $1666,1251 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.08(\mathrm{t}, J=$ $7.2 \mathrm{~Hz}, 3 \mathrm{H}$ ), 1.26 (t, $J=7.2 \mathrm{~Hz}, 3 \mathrm{H}$ ), 2.38 (s, 3H), 3.06 (dd, $J$ $=14.1$ and $8.1 \mathrm{~Hz}, 1 \mathrm{H}), 3.18(\mathrm{dd}, J=14.1$ and $7.2 \mathrm{~Hz}, 1 \mathrm{H})$, $3.95-4.04(\mathrm{~m}, 3 \mathrm{H}), 4.20(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.25-7.38(\mathrm{~m}$, $5 \mathrm{H}), 7.56(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.85,13.88$, 24.26, 25.79, 53.48, 61.62, 62.68, 128.73, 129.01, 129.06, 134.72, 138.20, 142.81, 159.56, 168.31, 187.87, 200.05; ESIMS m/z $347\left[\mathrm{M}^{+}+\mathrm{H}\right]$.
Compound 5a: 17\%; colorless oil; IR (film) 1730, 1668, $1239 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.06(\mathrm{t}, J=7.2$ $\mathrm{Hz}, 3 \mathrm{H}), 1.29(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.01(\mathrm{~s}, 6 \mathrm{H}), 3.01(\mathrm{~d}, J=$ $14.7 \mathrm{~Hz}, 2 \mathrm{H}), 3.31(\mathrm{~d}, J=14.7 \mathrm{~Hz}, 2 \mathrm{H}), 3.88(\mathrm{q}, J=7.2 \mathrm{~Hz}$, $2 \mathrm{H}), 4.23(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.25(\mathrm{~s}, 2 \mathrm{H}), 7.26-7.38(\mathrm{~m}$, $10 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.59,13.92,25.53$, 29.44, 58.76, 61.51, 62.27, 128.58, 128.73, 129.41, 135.35, 138.31, 142.10, 159.71, 170.25, 186.56, 200.73; ESIMS m/z $505\left[\mathrm{M}^{+}+\mathrm{H}\right]$.
Compound 6a: $32 \%$; pale yellow oil; IR (film) 1731, $1670,1627,1299,1102 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $1.20(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.26(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.38(\mathrm{~s}, 3 \mathrm{H}$ x 2$), 3.65(\mathrm{~s}, 2 \mathrm{H}), 4.11(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.22(\mathrm{q}, J=7.2$ $\mathrm{Hz}, 2 \mathrm{H}), 4.74(\mathrm{~s}, 2 \mathrm{H}), 7.27-7.58(\mathrm{~m}, 11 \mathrm{H}), 7.71(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.88,13.95,24.48,25.90,26.12$, $60.82,61.64,63.84,118.76,128.35,128.47,128.76,129.39$, 129.86, 129.92, 133.98, 134.79, 135.27, 138.84, 140.07, 145.57, 150.56, 162.96, 166.99, 197.98, 199.35; ESIMS m/z $527\left[\mathrm{M}^{+}+\mathrm{Na}\right]$.
Compound 3d: 55\%; colorless oil; IR (film) 1740, 1731, $1665,1253,1096 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.16$ (t, $J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.34(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.47(\mathrm{~s}, 3 \mathrm{H})$, $3.19(\mathrm{dd}, J=14.1$ and $8.4 \mathrm{~Hz}, 1 \mathrm{H}), 3.33(\mathrm{dd}, J=14.1$ and 6.9 $\mathrm{Hz}, 1 \mathrm{H}), 4.04-4.16(\mathrm{~m}, 3 \mathrm{H}), 4.29(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.34-$ $7.41(\mathrm{~m}, 1 \mathrm{H}), 7.42-7.50(\mathrm{~m}, 4 \mathrm{H}), 7.57-7.69(\mathrm{~m}, 5 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.88,13.90,24.41,25.81,53.54$, 61.68, 62.72, 127.01, 127.37, 127.83, 128.91, 129.80, 133.60, $138.10,140.01,141.86,142.40,159.60,168.38,187.91$, 200.03; ESIMS $m / z 423\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 3f: 56\%; colorless oil; IR (film) 1731, 1668, $1259 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.14(\mathrm{t}, J=7.2$ $\mathrm{Hz}, 3 \mathrm{H}), 1.15(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.33(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.83$ ( $\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}$ ), $3.14(\mathrm{dd}, J=14.4$ and $7.8 \mathrm{~Hz}, 1 \mathrm{H}), 3.25$ (dd, $J=14.4$ and $7.8 \mathrm{~Hz}, 1 \mathrm{H}), 4.01-4.11(\mathrm{~m}, 3 \mathrm{H}), 4.27(\mathrm{q}, J=$
7.2 Hz, 2H), 7.27-7.45 (m, 5H), 7.63 (s, 1H); ${ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 8.63,13.85,13.88,24.48,30.61,53.45$, $61.59,62.65,128.70,128.85,129.02,134.87,137.76,141.34$, 159.60, 168.36, 187.94, 202.64; ESIMS m/z $361\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 3h: 57\%; colorless oil; IR (film) 1731, 1260 $\mathrm{cm}^{-1} ;{ }^{1} \mathrm{H} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.15(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H})$, $1.33(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 3.17(\mathrm{dd}, J=14.7$ and $7.8 \mathrm{~Hz}, 1 \mathrm{H})$, $3.30(\mathrm{dd}, J=14.7$ and $7.8 \mathrm{~Hz}, 1 \mathrm{H}), 3.81$ (s, 3 H ), 4.01-4.12 (m, 2H), $4.25(\mathrm{t}, J=7.8 \mathrm{~Hz}, 1 \mathrm{H}), 4.27(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H})$, 7.28-7.46 (m, 5H), 7.80 (s, 1H); ${ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right)$ $\delta 13.82,13.88,25.22,52.16,53.51,61.71,62.74,128.46$, $128.63,128.78,129.09,134.78,142.19,159.66,167.95$, 168.31, 188.03; ESIMS $m / z 363\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 3i: 56\%; colorless oil; IR (film) 2932, 1755, 1731, 1667, 1259, $1210 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $0.91(\mathrm{t}, J=6.9 \mathrm{~Hz}, 3 \mathrm{H}), 1.22(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.27-1.40$ $(\mathrm{m}, 4 \mathrm{H}), 1.37(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.40-1.49(\mathrm{~m}, 2 \mathrm{H}), 2.22-$ $2.30(\mathrm{~m}, 2 \mathrm{H}), 2.29(\mathrm{~s}, 3 \mathrm{H}), 2.90(\mathrm{~d}, J=7.5 \mathrm{~Hz}, 2 \mathrm{H}), 4.06(\mathrm{t}, J$ $=7.5 \mathrm{~Hz}, 1 \mathrm{H}), 4.15(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.34(\mathrm{q}, J=7.2 \mathrm{~Hz}$, $2 \mathrm{H}), 6.73(\mathrm{t}, J=7.5 \mathrm{~Hz}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta$ 13.90 (2C), 13.93, 22.41, 23.93, 25.38, 28.41, 29.06, 31.54, 53.48, 61.57, 62.71, 137.60, 147.41, 159.81, 168.53, 188.34, 199.37; ESIMS m/z $341\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Typical Procedure for the Synthesis of 7a. A mixture of 3a ( $138 \mathrm{mg}, 0.4 \mathrm{mmol}$ ) and $\mathrm{NH}_{4} \mathrm{OAc}(92 \mathrm{mg}, 1.2 \mathrm{mmol})$ in $\mathrm{AcOH}(1.0 \mathrm{~mL})$ was heated to reflux for 1 h . After the usual aqueous extractive workup and column chromatographic purification process (hexanes/EtOAc, 8:1), compound 7a was obtained as pale yellow oil, 98 mg ( $75 \%$ ). Other compounds were synthesized similarly, and the spectroscopic data of 7a-d are as follows.

Compound 7a: 75\%; pale yellow oil; IR (film) 1728, $1307,1151 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.35(\mathrm{t}, J=$ $7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.41(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.55(\mathrm{~s}, 3 \mathrm{H}), 4.05(\mathrm{~s}$, $2 \mathrm{H}), 4.34(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.45(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.06-$ $7.11(\mathrm{~m}, 2 \mathrm{H}), 7.20-7.34(\mathrm{~m}, 3 \mathrm{H}), 7.90(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.98,14.00,22.71,38.48,61.73,62.05$, 123.32, 126.71, 128.57, 128.74, 135.71, 137.73, 138.52, 149.19, 160.96, 165.22, 166.83; ESIMS m/z 328 [ $\left.\mathrm{M}^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{19} \mathrm{H}_{21} \mathrm{NO}_{4}$ : C, 69.71; H, 6.47; N, 4.28. Found: C, 69.93; H, 6.62; N, 4.14.

Compound 7b: 70\%; pale yellow oil; IR (film) 1727, $1307,1151 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 1.35(\mathrm{t}, J=$ $7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.41(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.58(\mathrm{~s}, 3 \mathrm{H}), 4.08(\mathrm{~s}$, $2 \mathrm{H}), 4.35(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.45(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.15$ (d, $J=8.1 \mathrm{~Hz}, 2 \mathrm{H}), 7.30-7.37(\mathrm{~m}, 1 \mathrm{H}), 7.39-7.46(\mathrm{~m}, 2 \mathrm{H})$, 7.51-7.59 (m, 4H), $7.95(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right)$ $\delta 13.98,14.01,22.76,38.13,61.76,62.07,123.35,126.93$, $127.26,127.41,128.72,128.98,135.61,136.77,138.55$, 139.66, 140.51, 149.24, 161.00, 165.22, 166.83; ESIMS m/z $404\left[\mathrm{M}^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{25} \mathrm{H}_{25} \mathrm{NO}_{4}$ : C, $74.42 ; \mathrm{H}, 6.25$; N, 3.47. Found: C, 74.46; H, 6.57; N, 3.28.

Compound 7c: 60\%; colorless oil; IR (film) 1731, 1592, $1454,1301,1152,1041 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta$ $1.20(\mathrm{t}, J=7.5 \mathrm{~Hz}, 3 \mathrm{H}), 1.35(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.41(\mathrm{t}, J=$ $7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.85(\mathrm{q}, J=7.5 \mathrm{~Hz}, 2 \mathrm{H}), 4.08(\mathrm{~s}, 2 \mathrm{H}), 4.34(\mathrm{q}, J$ $=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.45(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.06-7.11(\mathrm{~m}, 2 \mathrm{H})$,
7.19-7.34 (m, 3H), $7.91(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right)$ $\delta 12.94,14.01,14.04,28.46,37.92,61.71,62.00,122.89$, $126.70,128.60,128.74,134.92,138.38,139.03,149.60$, 165.25, 165.43, 167.09; ESIMS m/z $342\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 7d: 76\%; pale yellow solid, mp 174-176 ${ }^{\circ} \mathrm{C}$; $\operatorname{IR}(\mathrm{KBr}) 3392,1727,1651 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right)$ $\delta 1.30(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.38(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 3.88(\mathrm{~s}$, $2 \mathrm{H}), 4.27(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.41(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 7.20-$ $7.34(\mathrm{~m}, 5 \mathrm{H}), 7.44(\mathrm{~s}, 1 \mathrm{H}), 11.91(\mathrm{br} \mathrm{s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}\right.$, 75 MHz ) $\delta 13.91,13.95,35.87,61.74,63.11,111.92,126.63$, 128.64, 129.09, 135.71, 136.83, 137.20, 138.09, 161.31, 162.90, 164.63; ESIMS $m / z 330\left[\mathrm{M}^{+}+\mathrm{H}\right]$.

Compound 7e: 27\%; colorless oil; IR (film) 2930, 1728, $1304,1151 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR $\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 0.89(\mathrm{t}, J=$ $6.9 \mathrm{~Hz}, 3 \mathrm{H}), 1.26-1.40(\mathrm{~m}, 6 \mathrm{H}), 1.36(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.40$ (t, $J=7.2 \mathrm{~Hz}, 3 \mathrm{H}$ ), 1.53-1.64 (m, 2H), 2.60 (s, 3H), 2.66 (t, $J$ $=7.8 \mathrm{~Hz}, 2 \mathrm{H}), 4.36(\mathrm{q}, J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.44(\mathrm{q}, J=7.2 \mathrm{~Hz}$, $2 \mathrm{H}), 7.91(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 14.01$ (2C), 14.06, 22.13, 22.52, 29.05, 29.41, 31.54, 32.40, 61.78, 62.15, $123.38,137.72,137.87,148.27,160.10,165.36,166.70$; ESIMS m/z $322\left[\mathrm{M}^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{18} \mathrm{H}_{27} \mathrm{NO}_{4}$ : C, 67.26; H, 8.47; N, 4.36. Found: C, 67.51; H, 8.34; N, 4.19.

Compound 7e': 40\%; colorless oil; IR (film) 2929, 1728, 1306, 1260, $1149 \mathrm{~cm}^{-1} ;{ }^{1} \mathrm{H}$ NMR ( $\left.\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 0.93$ (t, $J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.32-1.54(\mathrm{~m}, 4 \mathrm{H}), 1.37(\mathrm{t}, J=7.2 \mathrm{~Hz}$, $3 \mathrm{H}), 1.39(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.27(\mathrm{dq}, J=6.9$ and 1.2 Hz , $2 \mathrm{H}), 2.62$ (s, 3H), 4.37 (q, $J=7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.43$ (q, $J=7.2$ $\mathrm{Hz}, 2 \mathrm{H}), 6.27$ (dt, $J=15.9$ and $6.9 \mathrm{~Hz}, 1 \mathrm{H}), 6.50$ (d, $J=15.9$ $\mathrm{Hz}, 1 \mathrm{H}), 8.11(\mathrm{~s}, 1 \mathrm{H}) ;{ }^{13} \mathrm{C}$ NMR ( $\left.\mathrm{CDCl}_{3}, 75 \mathrm{MHz}\right) \delta 13.87$, $14.01,14.07,22.23,22.75,31.13,33.07,61.79,62.07,123.94$, 124.40, 133.68, 133.97, 137.55, 148.40, 158.26, 165.57, 166.69; ESIMS m/z $320\left[\mathrm{M}^{+}+\mathrm{H}\right]$. Anal. Calcd for $\mathrm{C}_{18} \mathrm{H}_{25} \mathrm{NO}_{4}$ : C, 67.69; H, 7.89; N, 4.39. Found: C, 67.74; H, 7.95; N, 4.14.

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8. When we used diethyl oxalacetate instead of the sodium salt $\mathbf{2 a}$, the reaction of $\mathbf{1 a}\left(\mathrm{DMF}, \mathrm{K}_{2} \mathrm{CO}_{3}, 120^{\circ} \mathrm{C}, 1 \mathrm{~h}\right)$ produced 4 a in a lower yield (62\%).
9. The result stated that the cyclization of $\mathbf{3 a}$ to phenol $\mathbf{4 a}$ occurred readily at $120{ }^{\circ} \mathrm{C}$ (Scheme 1 and Table 1); however, a second alkylation of 3a with 1a to form 1:2 adduct 5a proceeds slowly to some extent ( $17 \%$ ) at room temperature.
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11. A direct one-pot synthesis of pyridine 7a was examined. The reaction of $\mathbf{1 a}$ and $\mathbf{2 a}$ (3.0 equiv) in the presence of $\mathrm{NH}_{4} \mathrm{OAc}(4.0$ equiv) in AcOH under refluxing conditions for 3 h produced $7 \mathbf{a}$; however, the yield was quite low ( $<10 \%$ ).
12. The compound $\mathbf{3 i}$ was prepared from $\mathbf{1 i}$ and $\mathbf{2 a}$ in $\mathrm{CH}_{3} \mathrm{CN}\left(50^{\circ} \mathrm{C}\right.$, 4 h ) in $56 \%$ yield. We also examined the synthesis of phenol derivative from $\mathbf{1 i}$ and $\mathbf{2 a}$ (DMF, $120^{\circ} \mathrm{C}, 1 \mathrm{~h}$ ); however, a severe decomposition was observed.
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14. An appreciable amount of intractable side products was formed, and the corresponding cis-form of $\mathbf{8}$ was also formed in trace amount, but it was not isolated. The spectroscopic data of $\mathbf{8}$ was as follows: colorless oil; IR (film) 1744, 1712, 1637, 1368, $1285 \mathrm{~cm}^{-1}$; ${ }^{1} \mathrm{H} \operatorname{NMR}\left(\mathrm{CDCl}_{3}, 300 \mathrm{MHz}\right) \delta 0.93(\mathrm{t}, J=7.2 \mathrm{~Hz}, 3 \mathrm{H}), 1.28(\mathrm{t}, J=$ $7.2 \mathrm{~Hz}, 3 \mathrm{H}), 2.13(\mathrm{~s}, 3 \mathrm{H}), 2.79(\operatorname{app~q}, J=3.9 \mathrm{~Hz}, 1 \mathrm{H}), 3.92(\mathrm{q}, J=$
$7.2 \mathrm{~Hz}, 2 \mathrm{H}), 4.07(\mathrm{dd}, J=11.4$ and $3.3 \mathrm{~Hz}, 1 \mathrm{H}), 4.25(\mathrm{q}, J=7.2$ $\mathrm{Hz}, 2 \mathrm{H})$, 4.32-4.39 (m, 2H), 7.13-7.29 (m, 5H); ${ }^{13} \mathrm{C}$ NMR $\left(\mathrm{CDCl}_{3}\right.$, $75 \mathrm{MHz}) \delta 13.70,13.86,28.69,38.43,53.11,60.69,62.14,64.19$, $108.44,127.14,127.78,128.75,142.12,153.10,163.06,165.66$, 205.26; ESIMS m/z 347 [ $\left.\mathrm{M}^{+}+\mathrm{H}\right]$.
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