# Kinetics and Reaction Mechanism for Aminolysis of Benzyl 4-Pyridyl Carbonate in H<sub>2</sub>O: Effect of Modification of Nucleofuge from 2-Pyridyloxide to 4-Pyridyloxide on Reactivity and Reaction Mechanism

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Pseudo-first-order rate constants  $k_{amine}$  have been measured spectrophotometrically for the reactions of benzyl 4-pyridyl carbonate **6** with a series of alicyclic secondary amines in H<sub>2</sub>O at 25.0 °C. The plots of  $k_{amine}$  vs. [amine] curve upward, indicating that the reactions proceed through a stepwise mechanism with two intermediates, a zwitterionic tetrahedral intermediate T<sup>±</sup> and its deprotonated form T<sup>-</sup>. This contrasts to the report that the corresponding reactions of benzyl 2-pyridyl carbonate **5** proceed through a forced concerted pathway. The  $k_{amine}$  values for the reactions of **6** have been dissected into the second-order rate constant  $Kk_2$  and the third-order rate constant  $Kk_3$ . The Brønsted-type plots are linear with  $\beta_{nuc} = 0.94$  and 1.18 for  $Kk_2$  and  $Kk_3$ , respectively. The  $Kk_2$  for the reaction of **6** is smaller than the second-order rate constant  $k_N$  for the corresponding reaction of **5**, although 4-pyridyloxide in **6** is less basic and a better nucleofuge than 2-pyridyloxide in **5**.

Key Words : Aminolysis, Brønsted-type plot, Nucleofuge, Concerted mechanism, Stepwise mechanism

## Introduction

Aminolyses of esters have been reported to proceed through a concerted mechanism or through a stepwise pathway with a zwitterionic tetrahedral intermediate  $T^{\pm}$  as shown in Scheme 1.<sup>1-9</sup> A linear Brønsted-type plot with  $\beta_{nuc} = 0.5 \pm 0.1$  has been suggested as evidence for a concerted mechanism while a curved Brønsted-type plot often observed for aminolysis of esters possessing a good leaving group (*e.g.*, 2,4-dinitrophenoxide) has been interpreted as a change in the rate-determining step (RDS) of a stepwise reaction.<sup>1-9</sup>

In fact, reactions of 2,4-dinitrophenyl benzoate 1 with a series of alicyclic secondary amines in MeCN have been reported to proceed through a concerted mechanism on the basis of a linear Brønsted-type plot with  $\beta_{nuc} = 0.40$ .<sup>6</sup> In contrast, the corresponding reactions of 1 in H<sub>2</sub>O have been suggested to proceed through a stepwise mechanism, since the Brønsted-type plot exhibits downward curvature (*e.g.*,  $\beta_{nuc}$  decreases from 0.74 to 0.34 as the basicity of the incoming amine increases),<sup>7</sup> indicating that the nature of solvents is an important factor to determine the reaction mechanism. On the other hand, aminolyses of thiono esters (*e.g.*, *O*-4-nitrophenyl thionobenzoate 2, *O*-phenyl *O*-4-nitrophenyl thionocarbonate 3 and aryl dithiobenzoates 4) have often been reported to proceed through two intermediates T<sup>±</sup> and its deprotonated form T<sup>-</sup>, implying that the

$$\begin{array}{c} O \\ H \\ R - C - OAr + HN \\ I \\ \end{array} \xrightarrow{k_1} \begin{array}{c} O \\ R - C - OAr \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - OAr \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_1} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ I \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ R - C - NH^+ \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ H \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k_2} \begin{array}{c} O \\ \end{array} \xrightarrow{k_2} \end{array} \xrightarrow{k$$

nature of electrophilic center also determines the reaction mechanism.<sup>8,9</sup>



Esters possessing a 2-pyridyl moiety have been reported as an excellent acylating agent in reactions with Grignard reagents as well as in reactions with cupric bromide or lithium dialkylcuprate.<sup>10,11</sup> The reactions have been concluded to proceed through a 6-membered cyclic complex (*e.g.*, I), in which Mg<sup>2+</sup> ion acts as a strong Lewis acid catalyst.<sup>10,11</sup> We have also shown that alkali metal ions catalyze the reactions of **5** with alkali metal ethoxides EtOM (M = Li, Na, K) through a transition state similar to II.<sup>12</sup>



Thus, aminolysis of benzyl 2-pyridyl carbonate **5** was expected to proceed through a stepwise mechanism with an intermediate as modeled by III, which is structurally similar to I or II.<sup>13</sup> However, we have reported that aminolysis of **5** in MeCN proceeds through a concerted mechanism on the basis of a linear Brønsted-type plot with  $\beta_{nuc} = 0.57$ .<sup>13a</sup> One might suggest that solvent effect is responsible for the concerted mechanism, since the ionic species T<sup>±</sup> would be highly unstable in the aprotic solvent. However, this argument appears to be little persuasive, since the reactions of **5** in H<sub>2</sub>O were found to proceed also through a concerted mech-

anism.13b



Our study has now extended to the reactions of benzyl 4pyridyl carbonate **6** with a series of alicyclic secondary amines in H<sub>2</sub>O to get further information on the reaction mechanism. We wish to report that the effect of modification of the nucleofuge from 2-pyridyloxide to 4-pyridyloxide (*i.e.*,  $5 \rightarrow 6$ ) on reactivity and reaction mechanism is significant (*e.g.*, **6** is less reactive than **5** although the former possesses a less basic nucleofuge than the latter, and the aminolysis of **6** proceeds through a stepwise mechanism with two intermediates T<sup>±</sup> and T<sup>-</sup> while that of **5** proceeds through a concerted pathway).

#### **Results and Discussion**

First-order kinetics were observed under the reaction conditions with the amine concentration in large excess. Pseudo-first-order rate constants  $(k_{obsd})$  were calculated from the slopes of the linear plots of ln  $(A_{\infty} - A_t)$  vs. t. It is estimated from replicate runs that the uncertainty in the  $k_{obsd}$  values is less than  $\pm 3\%$ . The  $k_{obsd}$  values with the reaction conditions are summarized in Tables S1-S6 in the Supporting Information.

As shown in Figure 1, the plot of  $k_{obsd} vs$ . [amine] for the reactions of **6** with piperidine curves upward as a function of increasing amine concentration. It is noted that the  $k_{obsd} >> 0$  at [amine] = 0.0 M. Similarly curved plots with a positive  $k_{obsd}$  value at [amine] = 0.0 M are obtained for the reactions with the other amines (see Figures S1a-S5a in the Supporting Information). However, the  $k_{obsd}$  at [amine] = 0.0 M becomes smaller as the amine becomes less basic. This indicates that the contribution of H<sub>2</sub>O and/or OH<sup>-</sup> generated from hydrolysis of amines to the  $k_{obsd}$  value is significant, particularly for the reactions with strongly basic amines (*e.g.*, piperidine and 3-methylpiperidine). From our preliminary experiment, we found that **6** is rapidly hydrolyzed even



**Figure 1.** Plot of  $k_{obsd}$  vs. [amine] for the reaction of benzyl 4pyridyl carbonate **6** with piperidine in H<sub>2</sub>O at 25.0 ± 0.1 °C.

at a low OH<sup>-</sup> concentration, *e.g.*, the second-order rate constant for the reaction of **6** with OH<sup>-</sup> was measured to be 193  $M^{-1}s^{-1}$  in H<sub>2</sub>O at 25.0 °C (see Figures S6 in the Supporting Information).

**Reaction Mechanism.** It is apparent that the  $k_{obsd}$  at [amine] = 0.0 M represents the contribution of the reaction of **6** with H<sub>2</sub>O and/or OH<sup>-</sup> ion, which was generated from hydrolysis of amines in the reaction condition. Thus, the  $k_{amine}$  (*i.e.*, the pseudo-first-order rate constant for the reactions of **6** with amines) has been calculated from the relationship  $k_{amine} = k_{obsd} - 193 \text{ M}^{-1}\text{s}^{-1} \times [OH^{-}]$ . The concentration of OH<sup>-</sup> ion in the reaction mixture can be calculated from the Henderson-Hasselbalch equation, *i.e.*, pH = p $K_a$  of the conjugate acid of amines – log [amine]/[conjugate acid of amine]. The  $k_{amine}$  values calculated in this way are graphically demonstrated in Figures S1b-S5b for those of **6** with other amines.

As shown in Figure 2, the plot of  $k_{\text{amine}} vs$ . [amine] curves upward passing through the origin. Such upward curvature is typical for aminolysis of esters reported previously to proceed through a rate-determining deprotonation process from T<sup>±</sup> to give T<sup>-,8,9</sup> Thus, one can suggest that aminolysis of **6** proceeds through a stepwise mechanism with two intermediates T<sup>±</sup> and T<sup>-</sup> as shown in Scheme 2. This contrasts to our recent report that the corresponding aminolysis of **5** proceeds through a concerted mechanism.<sup>13b</sup> It is evident that modification of the nucleofuge from 2-pyridyloxide to 4-pyridyloxide (*i.e.*, **5**  $\rightarrow$  **6**) causes a change in the reaction mechanism.

**Dissection of**  $k_{amine}$  **into**  $Kk_2$  **and**  $Kk_3$ . The  $k_{amine}$  values calculated above have been dissected into the second-order rate constants ( $Kk_2$ ) and the third-order rate constants ( $Kk_3$ ). One can express the pseudo-first-order rate constant ( $k_{amine}$ ) for the reactions of **6** with amines as Eq. (1) on the basis of the kinetic results and the mechanism proposed in Scheme 2. Under the assumption,  $k_{-1} \gg k_2 + k_3$ [amine], Eq. (1) can be simplified as Eq. (2). Thus, one might expect that the plot of  $k_{amine}/[amine] vs$ . [amine] is linear if the above assumption is valid.



**Figure 2.** Plot of  $k_{\text{amine}}$  vs. [amine] for the reaction of benzyl 4pyridyl carbonate **6** with piperidine in H<sub>2</sub>O at  $25.0 \pm 0.1^{\circ}$ C.

Aminolysis of Benzyl 4-Pyridyl Carbonate in H<sub>2</sub>O



- $k_{\text{amine}} = (k_1 k_2 [\text{amine}] + k_1 k_3 [\text{amine}]^2) / (k_{-1} + k_2 + k_3 [\text{amine}])$ (1)
- $k_{\text{amine}}/[\text{amine}] = Kk_2 + Kk_3[\text{amine}], \text{ where } K = k_1/k_{-1}$  (2)

In fact, the plot of  $k_{amine}/[amine] vs$ . [amine] is linear for the reaction with piperidine as shown in Figure 3. The corresponding plots for the reactions with the other amines are also linear (see Figures S1c-S5c in the Supporting Information), indicating that the current aminolysis of **6** proceeds through a stepwise mechanism with two intermediates T<sup>±</sup> and T<sup>-</sup> and the assumption (*i.e.*,  $k_{-1} >> k_2 + k_3$ [amine]) is valid. Accordingly, the  $Kk_2$  and  $Kk_3$  values were calculated from the intercept and the slope of the linear plots of  $k_{amine}/[amine] vs$ . [amine], respectively and are summarized in Table 1 together with the second-order rate constants  $k_N$  reported recently for the corresponding reactions of **5** for comparison.<sup>13b</sup>

Effect of Modification of Nucleofuge on Reactivity. As shown in Table 1, the  $Kk_2$  and  $Kk_3$  values for the reactions of **6** decrease rapidly as the amine basicity decreases, *e.g.*,  $Kk_2$ decreases from 13.4 M<sup>-1</sup>s<sup>-1</sup> to 0.759 and 0.0104 M<sup>-1</sup>s<sup>-1</sup>, as the  $pK_a$  of the conjugate acid of amines decreases from 11.22 to 9.82 and 7.98, in turn. The  $k_N$  for the corresponding reactions of **5** also decreases as the amine basicity decreases but the dependence of  $k_N$  on  $pK_a$  is much less sensitive than that of  $Kk_2$  (or  $Kk_3$ ) for the reaction of **6**. Interestingly, the  $Kk_2$  for the reactions of **6** is smaller than the  $k_N$  for the corresponding reaction of **5**, although 4-pyridyloxide in **6** is *ca*. 0.4  $pK_a$  units less basic and a better nucleofuge than 2pyridyloxide in **5**.<sup>14</sup> One might suggest that the difference in the reaction mechanisms (*i.e.*, a concerted mechanism for the reactions of **5** *vs*. a stepwise mechanism with two



**Figure 3.** Plot of  $k_{\text{amine}}/[\text{amine}] vs. [amine] for the reaction of benzyl 4-pyridyl carbonate$ **6**with piperidine in H<sub>2</sub>O at 25.0 ± 0.1 °C.

intermediates  $T^{\pm}$  and  $T^{-}$  for those of **6**) is responsible for the difference in reactivity.

The effects of amine basicity on the second-order rate constants  $Kk_2$  and on the third-order rate constants  $Kk_3$  are illustrated in Figures 4(a) and (b), respectively. The Brønsted-type plots are linear with  $\beta_{nuc} = 0.94$  and 1.18 for  $Kk_2$  and  $Kk_3$ , respectively. These  $\beta_{nuc}$  values appear to be the upper limit of  $\beta_{nuc}$  for reactions reported previously to proceed through a stepwise mechanism. Such large  $\beta_{nuc}$  values are consistent with the results that the  $Kk_2$  and  $Kk_3$  exhibit high sensitivity to the amine basicity as mentioned in the preceding section (Table 1).

Factors Determining Presence/Absence of Deprotonation Process. It has been reported that reactions of *O*-phenyl *O*-

Table 1. Summary of kinetic data for the reactions of benzyl 2-pyridyl carbonate 5 and benzyl 4-pyridyl carbonate 6 with alicyclic secondary amines in H<sub>2</sub>O at  $25.0 \pm 0.1$  °C

Amines		pK <sub>a</sub> -	<b>5</b> <sup><i>a</i></sup>	6	
			$k_{\rm N}/{ m M}^{-1}{ m s}^{-1}$	$Kk_2/M^{-1}s^{-1}$	$Kk_3/M^{-2}s^{-1}$
1	piperidine	11.22	37.9	$13.4\pm0.2$	$899\pm24$
2	3-methylpiperidine	11.07	44.0	$12.9\pm0.1$	$894\pm17$
3	piperazine	9.82	19.1	$0.759\pm0.03$	$23.6\pm0.4$
4	1-(2-hydroxyethyl)piperazine	9.38	5.03	$0.112\pm0.007$	$3.05\pm0.05$
5	morpholine	8.36	3.07	$0.0543 \pm 0.001$	$0.811\pm0.01$
6	N-formylpiperazine	7.98	1.09	$0.0104 \pm 0.0004$	$0.139\pm0.004$

<sup>a</sup>The kinetic data for the reactions of **5** were taken from ref. 13b.



**Figure 4.** Brønsted-type plots for the reactions of benzyl 4-pyridyl carbonate **6** with alicyclic secondary amines in H<sub>2</sub>O at  $25.0 \pm 0.1$  °C: log *Kk*<sub>2</sub> *vs.* p*K*<sub>a</sub> (**a**) and log *Kk*<sub>3</sub> *vs.* p*K*<sub>a</sub> (**b**). The identity of points is given in Table 1.

4-nitrophenyl thionocarbonate 3 (and its derivatives) with weakly basic amines (e.g., piperazinium ion and N-formylpiperazine) proceed through  $T^{\pm}$  and  $T^{-}$  in an aqueous solution, while the corresponding reactions with strongly basic amines (e.g., piperidine and piperazine) proceed without the deprotonation process from  $T^{\pm,9}$  Thus, Castro *et al.* have concluded that basicity of the attacking amine is a determinant that selects the mechanistic pathway.<sup>9</sup> On the other hand, we have shown that reactions of O-aryl thionobenzoates (2 and its derivatives) with amines proceed through a stepwise mechanism with one or two intermediates depending on the basicity of the incoming amine and the nucleofuge (*i.e.*, the reaction proceeds through  $T^{\pm}$  when the leaving aryloxide is less basic than the incoming amine but through  $T^{\pm}$  and  $T^{-}$  when the leaving group is more basic than the nucleophile).8

It is evident that the reactions with a weakly basic amine would result in a large  $k_{-1}$  while those of substrates possessing a strongly basic nucleofuge would give a small  $k_2$ . Thus, one might suggest that the reactions of **2** and **3**, which were reported to proceed through a deprotonation process from  $T^{\pm}$  to yield  $T^-$ , would exhibit a small  $k_2/k_{-1}$  ratio by decreasing  $k_2$  or by increasing  $k_{-1}$ .

The above argument can also account for the difference in the reaction mechanisms for aminolyses of 5 and 6. As mentioned above, aminolysis of 5 in H<sub>2</sub>O was concluded to proceed through a concerted mechanism on the basis of a linear Brønsted-type plot with  $\beta_{nuc} = 0.49$ , although the reaction was predicted to proceed through a stepwise mechanism with an intermediate as modeled by III.<sup>13b</sup> Since the Hbonding interaction in III would accelerate the rate of leaving-group expulsion (*i.e.*, an increase in  $k_2$ ) but would retard departure of the amine from III (*i.e.*, a decrease in  $k_{-1}$ ), aminolysis of 5 would result in a large  $k_2/k_{-1}$  ratio. In contrast, such H-bonding interaction is structurally impossible for the reactions of 6, indicating that the determinant of an increasing  $k_2$  and a decreasing  $k_{-1}$  is absent. Accordingly, the reactions of **6** would result in a small  $k_2/k_{-1}$  ratio. This idea can be further supported by the fact that the  $k_{\rm N}$  for the

reactions of **5** is larger than the  $Kk_2$  for the corresponding reaction of **6** (Table 1), although 2-pyridyloxide in **5** is *ca*. 0.4 p $K_a$  units more basic and a poorer nucleofuge than 4pyridyloxide in **6**.<sup>14</sup> Thus, it is proposed that aminolysis of **6** proceeds through T<sup>±</sup> and T<sup>-</sup> as intermediates with a small  $k_2/k_{-1}$  ratio.

#### Conclusions

Our study has allowed us to conclude the following; (1) The effect of modification of the nucleofuge from 2-pyridyloxide to 4-pyridyloxide on reaction mechanism is significant. The aminolysis of 6 proceeds through a stepwise mechanism with T<sup>±</sup> and T<sup>-</sup> as intermediates while the corresponding reaction of 5 was reported to proceed through a forced concerted mechanism. (2) The  $Kk_2$  for the reaction of **6** is smaller than the  $k_{\rm N}$  for the corresponding reaction of **5**. although 4-pyridyloxide in 6 is less basic and a better nucleofuge than 2-pyridyloxide in 5. (3) The reaction of 6 would result in a smaller  $k_2$  with a larger  $k_{-1}$  (*i.e.*, a small  $k_2$ /  $k_{-1}$  ratio) than that of **5** since the intramolecular H-bonding interaction, which was proposed for the reaction of 5, is structurally impossible. The small  $k_2/k_{-1}$  ratio causes the reaction of 6 to proceed through  $T^{\pm}$  and  $T^{-}$  and is responsible for the fact that 6 is less reactive than 5.

#### **Experimental Section**

**Materials.** Substrate **6** was synthesized from the reaction of 4-hydroxypyridine with benzyl chloroformate in methylene chloride, which was generated from the reaction of phosgene and benzyl alcohol as described previously.<sup>15</sup> The crude product was purified by recrystallization and its purity was checked by its melting point and <sup>1</sup>H and <sup>13</sup>C NMR spectra. Amines and other chemicals were of the highest quality available. Doubly glass distilled water was further boiled and cooled under nitrogen to remove any dissolved  $CO_2$  just before use.

Kinetics. Kinetic study was performed using a UV-Vis spectrophotometer equipped with a constant-temperature circulating bath. All the reactions were carried out under pseudo-first-order conditions in which the amine concentration was at least 20 times greater than the substrate concentration. Typically, the reaction was initiated by adding 5  $\mu$ L of a 0.01 M of substrate stock solution in MeCN by a 10 µL syringe to a 10 mm UV cell containing 2.50 mL of the reaction medium and the amine nucleophile. The amine stock solution of ca. 0.2 M was prepared in a 25.0 mL volumetric flask by adding 2 equiv. of amine and 1 equiv. of HCl solution to make a self-buffered solution except for the solutions of piperidine and 3-methylpiperidine (the stock solutions of these amines were prepared by adding 5 equiv. amine and 4 equiv. of HCl solution to decrease the OHconcentration in the self-buffered solution. The reactions were followed by monitoring disappearance of the substrate at 275 nm. Reactions were followed generally for 9-10 halflives and  $k_{obsd}$  were calculated using the equation,  $\ln (A_{\infty} -$  Aminolysis of Benzyl 4-Pyridyl Carbonate in H<sub>2</sub>O

### *Bull. Korean Chem. Soc.* **2012**, Vol. 33, No. 7 2273

#### $A_t$ ) vs. t.

**Product Analysis.** 4-Pyridyloxide was liberated and identified as one of the reaction products by comparison of the UV-Vis spectra after completion of the reactions with those of the authentic samples under the reaction conditions.

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