# Kinetics and Reaction Mechanism for Aminolysis of Benzyl 4-Pyridyl Carbonate in $\mathrm{H}_{2} \mathrm{O}$ : Effect of Modification of Nucleofuge from 2-Pyridyloxide to 4-Pyridyloxide on Reactivity and Reaction Mechanism 

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#### Abstract

Pseudo-first-order rate constants $k_{\text {amine }}$ have been measured spectrophotometrically for the reactions of benzyl 4-pyridyl carbonate $\mathbf{6}$ with a series of alicyclic secondary amines in $\mathrm{H}_{2} \mathrm{O}$ at $25.0^{\circ} \mathrm{C}$. The plots of $k_{\text {amine }} v s$. [amine] curve upward, indicating that the reactions proceed through a stepwise mechanism with two intermediates, a zwitterionic tetrahedral intermediate $\mathrm{T}^{ \pm}$and its deprotonated form $\mathrm{T}^{-}$. This contrasts to the report that the corresponding reactions of benzyl 2-pyridyl carbonate 5 proceed through a forced concerted pathway. The $k_{\text {amine }}$ values for the reactions of $\mathbf{6}$ have been dissected into the second-order rate constant $K k_{2}$ and the thirdorder rate constant $K k_{3}$. The Brønsted-type plots are linear with $\beta_{\text {nuc }}=0.94$ and 1.18 for $K k_{2}$ and $K k_{3}$, respectively. The $K k_{2}$ for the reaction of $\mathbf{6}$ is smaller than the second-order rate constant $k_{\mathrm{N}}$ for the corresponding reaction of 5 , although 4-pyridyloxide in $\mathbf{6}$ is less basic and a better nucleofuge than 2-pyridyloxide in 5 .


Key Words : Aminolysis, Brønsted-type plot, Nucleofuge, Concerted mechanism, Stepwise mechanism

## Introduction

Aminolyses of esters have been reported to proceed through a concerted mechanism or through a stepwise pathway with a zwitterionic tetrahedral intermediate $\mathrm{T}^{ \pm}$as shown in Scheme 1. ${ }^{1-9}$ A linear Bronsted-type plot with $\beta_{\text {nuc }}=0.5 \pm 0.1$ has been suggested as evidence for a concerted mechanism while a curved Brønsted-type plot often observed for aminolysis of esters possessing a good leaving group (e.g., 2,4-dinitrophenoxide) has been interpreted as a change in the rate-determining step (RDS) of a stepwise reaction. ${ }^{1-9}$
In fact, reactions of 2,4-dinitrophenyl benzoate 1 with a series of alicyclic secondary amines in MeCN have been reported to proceed through a concerted mechanism on the basis of a linear Brønsted-type plot with $\beta_{\text {nuc }}=0.40 .{ }^{6}$ In contrast, the corresponding reactions of $\mathbf{1}$ in $\mathrm{H}_{2} \mathrm{O}$ have been suggested to proceed through a stepwise mechanism, since the Brønsted-type plot exhibits downward curvature (e.g., $\beta_{\text {nuc }}$ decreases from 0.74 to 0.34 as the basicity of the incoming amine increases), ${ }^{7}$ indicating that the nature of solvents is an important factor to determine the reaction mechanism. On the other hand, aminolyses of thiono esters (e.g., $O$-4-nitrophenyl thionobenzoate 2, $O$-phenyl $O$-4nitrophenyl thionocarbonate $\mathbf{3}$ and aryl dithiobenzoates 4) have often been reported to proceed through two intermediates $\mathrm{T}^{ \pm}$and its deprotonated form $\mathrm{T}^{-}$, implying that the


Scheme 1
nature of electrophilic center also determines the reaction mechanism. ${ }^{8,9}$


Esters possessing a 2-pyridyl moiety have been reported as an excellent acylating agent in reactions with Grignard reagents as well as in reactions with cupric bromide or lithium dialkylcuprate. ${ }^{10,11}$ The reactions have been concluded to proceed through a 6-membered cyclic complex (e.g., I), in which $\mathrm{Mg}^{2+}$ ion acts as a strong Lewis acid catalyst. ${ }^{1011}$ We have also shown that alkali metal ions catalyze the reactions of 5 with alkali metal ethoxides $\operatorname{EtOM}(M=\mathrm{Li}$, $\mathrm{Na}, \mathrm{K})$ through a transition state similar to II. ${ }^{12}$


Thus, aminolysis of benzyl 2-pyridyl carbonate $\mathbf{5}$ was expected to proceed through a stepwise mechanism with an intermediate as modeled by III, which is structurally similar to I or II. ${ }^{13}$ However, we have reported that aminolysis of 5 in MeCN proceeds through a concerted mechanism on the basis of a linear Brønsted-type plot with $\beta_{\text {nuc }}=0.57$. ${ }^{13 \mathrm{a}}$ One might suggest that solvent effect is responsible for the concerted mechanism, since the ionic species $\mathrm{T}^{ \pm}$would be highly unstable in the aprotic solvent. However, this argument appears to be little persuasive, since the reactions of 5 in $\mathrm{H}_{2} \mathrm{O}$ were found to proceed also through a concerted mech-
anism. ${ }^{13 b}$


5


6

Our study has now extended to the reactions of benzyl 4pyridyl carbonate 6 with a series of alicyclic secondary amines in $\mathrm{H}_{2} \mathrm{O}$ to get further information on the reaction mechanism. We wish to report that the effect of modification of the nucleofuge from 2-pyridyloxide to 4-pyridyloxide (i.e., $\mathbf{5} \rightarrow \mathbf{6}$ ) on reactivity and reaction mechanism is significant (e.g., $\mathbf{6}$ is less reactive than $\mathbf{5}$ although the former possesses a less basic nucleofuge than the latter, and the aminolysis of 6 proceeds through a stepwise mechanism with two intermediates $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$while that of $\mathbf{5}$ proceeds through a concerted pathway).

## Results and Discussion

First-order kinetics were observed under the reaction conditions with the amine concentration in large excess. Pseudo-first-order rate constants ( $k_{\text {obsd }}$ ) were calculated from the slopes of the linear plots of $\ln \left(A_{\infty}-A_{t}\right)$ vs. $t$. It is estimated from replicate runs that the uncertainty in the $k_{\text {obsd }}$ values is less than $\pm 3 \%$. The $k_{\text {obsd }}$ values with the reaction conditions are summarized in Tables S1-S6 in the Supporting Information.
As shown in Figure 1, the plot of $k_{\mathrm{obsd}} v s$. [amine] for the reactions of $\mathbf{6}$ with piperidine curves upward as a function of increasing amine concentration. It is noted that the $k_{\mathrm{obsd}} \gg 0$ at $[$ amine $]=0.0 \mathrm{M}$. Similarly curved plots with a positive $k_{\text {obsd }}$ value at [amine] $=0.0 \mathrm{M}$ are obtained for the reactions with the other amines (see Figures S1a-S5a in the Supporting Information). However, the $k_{\mathrm{obsd}}$ at [amine] $=0.0 \mathrm{M}$ becomes smaller as the amine becomes less basic. This indicates that the contribution of $\mathrm{H}_{2} \mathrm{O}$ and/or $\mathrm{OH}^{-}$generated from hydrolysis of amines to the $k_{\text {obsd }}$ value is significant, particularly for the reactions with strongly basic amines (e.g., piperidine and 3-methylpiperidine). From our preliminary experiment, we found that $\mathbf{6}$ is rapidly hydrolyzed even


Figure 1. Plot of $k_{\text {obsd }} v s$. [amine] for the reaction of benzyl 4pyridyl carbonate 6 with piperidine in $\mathrm{H}_{2} \mathrm{O}$ at $25.0 \pm 0.1^{\circ} \mathrm{C}$.
at a low $\mathrm{OH}^{-}$concentration, e.g., the second-order rate constant for the reaction of 6 with $\mathrm{OH}^{-}$was measured to be $193 \mathrm{M}^{-1} \mathrm{~s}^{-1}$ in $\mathrm{H}_{2} \mathrm{O}$ at $25.0^{\circ} \mathrm{C}$ (see Figures S 6 in the Supporting Information).

Reaction Mechanism. It is apparent that the $k_{\text {obsd }}$ at [amine] $=0.0 \mathrm{M}$ represents the contribution of the reaction of 6 with $\mathrm{H}_{2} \mathrm{O}$ and/or $\mathrm{OH}^{-}$ion, which was generated from hydrolysis of amines in the reaction condition. Thus, the $k_{\text {amine }}$ (i.e., the pseudo-first-order rate constant for the reactions of 6 with amines) has been calculated from the relationship $k_{\text {amine }}=k_{\mathrm{obsd}}-193 \mathrm{M}^{-1} \mathrm{~s}^{-1} \times\left[\mathrm{OH}^{-}\right]$. The concentration of $\mathrm{OH}^{-}$ion in the reaction mixture can be calculated from the Henderson-Hasselbalch equation, i.e., $\mathrm{pH}=\mathrm{p} K_{\mathrm{a}}$ of the conjugate acid of amines - log [amine]/[conjugate acid of amine]. The $k_{\text {amine }}$ values calculated in this way are graphically demonstrated in Figure 2 for the reaction of 6 with piperidine and in Figures S1b-S5b for those of 6 with other amines.

As shown in Figure 2, the plot of $k_{\text {amine }} v s$. [amine] curves upward passing through the origin. Such upward curvature is typical for aminolysis of esters reported previously to proceed through a rate-determining deprotonation process from $\mathrm{T}^{ \pm}$to give $\mathrm{T}^{-8,9}$ Thus, one can suggest that aminolysis of 6 proceeds through a stepwise mechanism with two intermediates $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$as shown in Scheme 2. This contrasts to our recent report that the corresponding aminolysis of $\mathbf{5}$ proceeds through a concerted mechanism. ${ }^{13 \mathrm{~b}}$ It is evident that modification of the nucleofuge from 2-pyridyloxide to 4 -pyridyloxide (i.e., $\mathbf{5} \rightarrow \mathbf{6}$ ) causes a change in the reaction mechanism.

Dissection of $\boldsymbol{k}_{\text {amine }}$ into $\boldsymbol{K} \boldsymbol{k}_{\mathbf{2}}$ and $\boldsymbol{K} \boldsymbol{k}_{\mathbf{3}}$. The $k_{\mathrm{amine}}$ values calculated above have been dissected into the second-order rate constants $\left(K k_{2}\right)$ and the third-order rate constants $\left(K k_{3}\right)$. One can express the pseudo-first-order rate constant ( $k_{\text {amine }}$ ) for the reactions of $\mathbf{6}$ with amines as Eq. (1) on the basis of the kinetic results and the mechanism proposed in Scheme 2. Under the assumption, $k_{-1} \gg k_{2}+k_{3}$ [amine], Eq. (1) can be simplified as Eq. (2). Thus, one might expect that the plot of $k_{\text {amine }}$ /[amine] $v s$. [amine] is linear if the above assumption is valid.


Figure 2. Plot of $k_{\text {amine }} v s$. [amine] for the reaction of benzyl 4pyridyl carbonate 6 with piperidine in $\mathrm{H}_{2} \mathrm{O}$ at $25.0 \pm 0.1^{\circ} \mathrm{C}$.


Scheme 2

$$
\begin{gather*}
k_{\text {amine }}=\left(k_{1} k_{2}[\text { amine }]+k_{1} k_{3}[\text { amine }]^{2}\right) /\left(k_{-1}+k_{2}+k_{3}[\text { amine }]\right)  \tag{1}\\
k_{\text {amine }} /[\text { amine }]=K k_{2}+K k_{3}[\text { amine }], \text { where } K=k_{1} / k_{-1} \tag{2}
\end{gather*}
$$

In fact, the plot of $k_{\text {amine }} /[a m i n e]$ vs. [amine] is linear for the reaction with piperidine as shown in Figure 3. The corresponding plots for the reactions with the other amines are also linear (see Figures S1c-S5c in the Supporting Information), indicating that the current aminolysis of 6 proceeds through a stepwise mechanism with two intermediates $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$and the assumption (i.e., $k_{-1} \gg k_{2}+$ $k_{3}$ [amine]) is valid. Accordingly, the $K k_{2}$ and $K k_{3}$ values were calculated from the intercept and the slope of the linear plots of $k_{\text {amine }} /[$ amine] $v s$. [amine], respectively and are summarized in Table 1 together with the second-order rate constants $k_{\mathrm{N}}$ reported recently for the corresponding reactions of 5 for comparison. ${ }^{13 \mathrm{~b}}$

Effect of Modification of Nucleofuge on Reactivity. As shown in Table 1, the $K k_{2}$ and $K k_{3}$ values for the reactions of 6 decrease rapidly as the amine basicity decreases, e.g., $K k_{2}$ decreases from $13.4 \mathrm{M}^{-1} \mathrm{~s}^{-1}$ to 0.759 and $0.0104 \mathrm{M}^{-1} \mathrm{~s}^{-1}$, as the $\mathrm{p} K_{\mathrm{a}}$ of the conjugate acid of amines decreases from 11.22 to 9.82 and 7.98 , in turn. The $k_{\mathrm{N}}$ for the corresponding reactions of $\mathbf{5}$ also decreases as the amine basicity decreases but the dependence of $k_{\mathrm{N}}$ on $\mathrm{p} K_{\mathrm{a}}$ is much less sensitive than that of $K k_{2}$ (or $K k_{3}$ ) for the reaction of $\mathbf{6}$. Interestingly, the $K k_{2}$ for the reactions of $\mathbf{6}$ is smaller than the $k_{\mathrm{N}}$ for the corresponding reaction of 5 , although 4-pyridyloxide in 6 is ca. $0.4 \mathrm{p} K_{\mathrm{a}}$ units less basic and a better nucleofuge than 2 pyridyloxide in 5. ${ }^{14}$ One might suggest that the difference in the reaction mechanisms (i.e., a concerted mechanism for the reactions of 5 vs . a stepwise mechanism with two


Figure 3. Plot of $k_{\text {amine }} /[$ amine] $v s$. [amine] for the reaction of benzyl 4-pyridyl carbonate $\mathbf{6}$ with piperidine in $\mathrm{H}_{2} \mathrm{O}$ at $25.0 \pm 0.1^{\circ} \mathrm{C}$.
intermediates $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$for those of $\mathbf{6}$ ) is responsible for the difference in reactivity.

The effects of amine basicity on the second-order rate constants $K k_{2}$ and on the third-order rate constants $K k_{3}$ are illustrated in Figures 4(a) and (b), respectively. The Brønsted-type plots are linear with $\beta_{\text {nuc }}=0.94$ and 1.18 for $K k_{2}$ and $K k_{3}$, respectively. These $\beta_{\text {nuc }}$ values appear to be the upper limit of $\beta_{\text {nuc }}$ for reactions reported previously to proceed through a stepwise mechanism. Such large $\beta_{\text {nuc }}$ values are consistent with the results that the $K k_{2}$ and $K k_{3}$ exhibit high sensitivity to the amine basicity as mentioned in the preceding section (Table 1).

Factors Determining Presence/Absence of Deprotonation Process. It has been reported that reactions of $O$-phenyl $O$ -

Table 1. Summary of kinetic data for the reactions of benzyl 2-pyridyl carbonate 5 and benzyl 4-pyridyl carbonate $\mathbf{6}$ with alicyclic secondary amines in $\mathrm{H}_{2} \mathrm{O}$ at $25.0 \pm 0.1^{\circ} \mathrm{C}$

| Amines |  | $\mathrm{p} K_{\mathrm{a}}$ | $5^{a}$ | 6 |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $k_{\mathrm{N}} / \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $K k_{2} / \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $K k_{3} / \mathrm{M}^{-2} \mathrm{~s}^{-1}$ |
| 1 | piperidine |  | 11.22 | 37.9 | $13.4 \pm 0.2$ | $899 \pm 24$ |
| 2 | 3-methylpiperidine | 11.07 | 44.0 | $12.9 \pm 0.1$ | $894 \pm 17$ |
| 3 | piperazine | 9.82 | 19.1 | $0.759 \pm 0.03$ | $23.6 \pm 0.4$ |
| 4 | 1-(2-hydroxyethyl)piperazine | 9.38 | 5.03 | $0.112 \pm 0.007$ | $3.05 \pm 0.05$ |
| 5 | morpholine | 8.36 | 3.07 | $0.0543 \pm 0.001$ | $0.811 \pm 0.01$ |
| 6 | $N$-formylpiperazine | 7.98 | 1.09 | $0.0104 \pm 0.0004$ | $0.139 \pm 0.004$ |

[^0]

Figure 4. Brønsted-type plots for the reactions of benzyl 4-pyridyl carbonate $\mathbf{6}$ with alicyclic secondary amines in $\mathrm{H}_{2} \mathrm{O}$ at $25.0 \pm 0.1$ ${ }^{\circ} \mathrm{C}: \log K k_{2}$ vs. $\mathrm{p} K_{\mathrm{a}}(\mathbf{a})$ and $\log K k_{3}$ vs. $\mathrm{p} K_{\mathrm{a}}(\mathbf{b})$. The identity of points is given in Table 1.

4-nitrophenyl thionocarbonate 3 (and its derivatives) with weakly basic amines (e.g., piperazinium ion and $N$-formylpiperazine) proceed through $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$in an aqueous solution, while the corresponding reactions with strongly basic amines (e.g., piperidine and piperazine) proceed without the deprotonation process from $\mathrm{T}^{ \pm}$. 9 Thus, Castro et al. have concluded that basicity of the attacking amine is a determinant that selects the mechanistic pathway. ${ }^{9}$ On the other hand, we have shown that reactions of $O$-aryl thionobenzoates ( $\mathbf{2}$ and its derivatives) with amines proceed through a stepwise mechanism with one or two intermediates depending on the basicity of the incoming amine and the nucleofuge (i.e., the reaction proceeds through $\mathrm{T}^{ \pm}$when the leaving aryloxide is less basic than the incoming amine but through $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$when the leaving group is more basic than the nucleophile). ${ }^{8}$

It is evident that the reactions with a weakly basic amine would result in a large $k_{-1}$ while those of substrates possessing a strongly basic nucleofuge would give a small $k_{2}$. Thus, one might suggest that the reactions of $\mathbf{2}$ and $\mathbf{3}$, which were reported to proceed through a deprotonation process from $\mathrm{T}^{ \pm}$ to yield $\mathrm{T}^{-}$, would exhibit a small $k_{2} / k_{-1}$ ratio by decreasing $k_{2}$ or by increasing $k_{-1}$.
The above argument can also account for the difference in the reaction mechanisms for aminolyses of 5 and $\mathbf{6}$. As mentioned above, aminolysis of $\mathbf{5}$ in $\mathrm{H}_{2} \mathrm{O}$ was concluded to proceed through a concerted mechanism on the basis of a linear Brønsted-type plot with $\beta_{\text {nuc }}=0.49$, although the reaction was predicted to proceed through a stepwise mechanism with an intermediate as modeled by III. ${ }^{13 b}$ Since the Hbonding interaction in III would accelerate the rate of leaving-group expulsion (i.e., an increase in $k_{2}$ ) but would retard departure of the amine from III (i.e., a decrease in $k_{-1}$ ), aminolysis of 5 would result in a large $k_{2} / k_{-1}$ ratio. In contrast, such H -bonding interaction is structurally impossible for the reactions of $\mathbf{6}$, indicating that the determinant of an increasing $k_{2}$ and a decreasing $k_{-1}$ is absent. Accordingly, the reactions of $\mathbf{6}$ would result in a small $k_{2} / k_{-1}$ ratio. This idea can be further supported by the fact that the $k_{\mathrm{N}}$ for the
reactions of $\mathbf{5}$ is larger than the $K k_{2}$ for the corresponding reaction of $\mathbf{6}$ (Table 1), although 2-pyridyloxide in $\mathbf{5}$ is $c a$. $0.4 \mathrm{p} K_{\mathrm{a}}$ units more basic and a poorer nucleofuge than 4 pyridyloxide in $6{ }^{14}$ Thus, it is proposed that aminolysis of 6 proceeds through $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$as intermediates with a small $k_{2} / k_{-1}$ ratio.

## Conclusions

Our study has allowed us to conclude the following; (1) The effect of modification of the nucleofuge from 2-pyridyloxide to 4-pyridyloxide on reaction mechanism is significant. The aminolysis of 6 proceeds through a stepwise mechanism with $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$as intermediates while the corresponding reaction of 5 was reported to proceed through a forced concerted mechanism. (2) The $K k_{2}$ for the reaction of 6 is smaller than the $k_{\mathrm{N}}$ for the corresponding reaction of $\mathbf{5}$, although 4-pyridyloxide in $\mathbf{6}$ is less basic and a better nucleofuge than 2-pyridyloxide in 5 . (3) The reaction of 6 would result in a smaller $k_{2}$ with a larger $k_{-1}$ (i.e., a small $k_{2} /$ $k_{-1}$ ratio) than that of $\mathbf{5}$ since the intramolecular H-bonding interaction, which was proposed for the reaction of $\mathbf{5}$, is structurally impossible. The small $k_{2} / k_{-1}$ ratio causes the reaction of 6 to proceed through $\mathrm{T}^{ \pm}$and $\mathrm{T}^{-}$and is responsible for the fact that $\mathbf{6}$ is less reactive than 5 .

## Experimental Section

Materials. Substrate 6 was synthesized from the reaction of 4-hydroxypyridine with benzyl chloroformate in methylene chloride, which was generated from the reaction of phosgene and benzyl alcohol as described previously. ${ }^{15}$ The crude product was purified by recrystallization and its purity was checked by its melting point and ${ }^{1} \mathrm{H}$ and ${ }^{13} \mathrm{C}$ NMR spectra. Amines and other chemicals were of the highest quality available. Doubly glass distilled water was further boiled and cooled under nitrogen to remove any dissolved $\mathrm{CO}_{2}$ just before use.

Kinetics. Kinetic study was performed using a UV-Vis spectrophotometer equipped with a constant-temperature circulating bath. All the reactions were carried out under pseudo-first-order conditions in which the amine concentration was at least 20 times greater than the substrate concentration. Typically, the reaction was initiated by adding $5 \mu \mathrm{~L}$ of a 0.01 M of substrate stock solution in MeCN by a $10 \mu \mathrm{~L}$ syringe to a 10 mm UV cell containing 2.50 mL of the reaction medium and the amine nucleophile. The amine stock solution of ca. 0.2 M was prepared in a 25.0 mL volumetric flask by adding 2 equiv. of amine and 1 equiv. of HCl solution to make a self-buffered solution except for the solutions of piperidine and 3-methylpiperidine (the stock solutions of these amines were prepared by adding 5 equiv. amine and 4 equiv. of HCl solution to decrease the $\mathrm{OH}^{-}$ concentration in the self-buffered solution. The reactions were followed by monitoring disappearance of the substrate at 275 nm . Reactions were followed generally for 9-10 halflives and $k_{\text {obsd }}$ were calculated using the equation, $\ln \left(A_{\infty}-\right.$
$\left.A_{t}\right)$ vs. $t$.
Product Analysis. 4-Pyridyloxide was liberated and identified as one of the reaction products by comparison of the UV-Vis spectra after completion of the reactions with those of the authentic samples under the reaction conditions.

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[^0]:    ${ }^{a}$ The kinetic data for the reactions of $\mathbf{5}$ were taken from ref. 13b.

