

## GROUP OF POLYNOMIAL PERMUTATIONS OF $\mathbb{Z}_{p^r}$

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**Abstract.** The set of all polynomial permutations of  $\mathbb{Z}_{p^r}$  forms a group. We investigate the structure of the group and some related groups, and completely determine the structure of the group of all polynomial permutations of  $\mathbb{Z}_{p^2}$ .

### 1. Introduction

Let  $p^r$  be a prime power. If a polynomial over the Galois ring  $\mathbb{Z}_{p^r}$  induces a permutation of  $\mathbb{Z}_{p^r}$ , then it is called a *permutation polynomial*. For  $r = 1$ , it is well-known that every permutation of the field  $\mathbb{Z}_p$  is induced by a polynomial [4]. On the other hand, for  $r > 1$ , not every permutation of  $\mathbb{Z}_{p^r}$  is induced by a polynomial. Hence the notion of a *polynomial permutation*, that is, permutation induced by a polynomial is meaningful in this case.

It is easy to see that the set of all polynomial permutations of  $\mathbb{Z}_{p^r}$  is a group. Indeed the set of all polynomial permutations of  $\mathbb{Z}_{p^r}$  is clearly closed under composition and is a finite subset of the symmetric group of  $\mathbb{Z}_{p^r}$ , and hence forms a subgroup. We investigate the structure of this group and related groups. In particular, we completely determine the structure of the group of all polynomial permutations of  $\mathbb{Z}_{p^2}$ . Along the way, we review some known results about polynomial permutations and in general polynomial functions of  $\mathbb{Z}_{p^r}$ , giving simpler proofs than in literature.

Let us consider the set  $\mathcal{P}_{p^r}$  of all permutation polynomials in  $\mathbb{Z}_{p^r}[x]$  and the set  $V_{p^r}$  of all polynomials in  $\mathbb{Z}_{p^r}[x]$  inducing the zero function on  $\mathbb{Z}_{p^r}$ . Let

$$P_{p^r} = \{\overline{f(x)} \mid f(x) \in \mathcal{P}_{p^r}\},$$

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where  $\overline{f(x)} = f(x) + V_{p^r}$ . Then  $P_{p^r}$  is a monoid under polynomial composition, naturally isomorphic to the group of all polynomial permutations of  $\mathbb{Z}_{p^r}$ . Thus our object of study is  $P_{p^r}$ . We write  $f(x) \approx g(x)$  when two polynomials induce the same function on the base ring.

## 2. Preliminaries

Let  $m$  be a positive integer. Several authors [3, 5, 8] presented somewhat complicated proofs for the following result.

**Theorem 2.1.** *Let  $m$  be a positive integer. Let  $f(x) \in \mathbb{Z}_m[x]$ . Then  $f(x)$  induces the zero function on  $\mathbb{Z}_m$  if and only if it can be written in the form*

$$f(x) = \sum_{n=0}^{\infty} \frac{a_n m}{\gcd(n!, m)} x^{\underline{n}}, \quad 0 \leq a_n < \gcd(n!, m),$$

where  $x^{\underline{n}}$  denotes the falling power  $x(x-1)\cdots(x-n+1)$ .

*Proof.* Note that a polynomial can be expressed uniquely as  $f(x) = \sum_{n=0}^{\infty} b_n x^n$  with  $b_n \in \mathbb{Z}_m$ . So  $f(x)$  induces the zero function on  $\mathbb{Z}_m$  if and only if

$$(1) \quad f(k) = \sum_{n=0}^k b_n k^{\underline{n}} = 0 \quad \text{for all } k \geq 0.$$

Note that  $b_k k!$  divides  $b_k n^{\underline{k}}$  as the binomial coefficient  $\binom{n}{k} = n^{\underline{k}}/k!$  is an integer. Thus a condition equivalent to (1) is for the coefficients  $b_k$  to satisfy  $b_k k! = 0$  in  $\mathbb{Z}_m$  for all  $k \geq 0$ . Since all solutions of the last equation are

$$b_k = \frac{am}{\gcd(k!, m)}, \quad 0 \leq a < \gcd(k!, m),$$

we obtain the result.  $\square$

**Corollary 2.2.** *Every polynomial function on  $\mathbb{Z}_m$  has a unique polynomial representation of the form*

$$f(x) = \sum_{n=0}^{m-1} b_n x^{\underline{n}}, \quad 0 \leq b_n < \frac{m}{\gcd(n!, m)}.$$

Carlitz [1] gave several characterizations of polynomial functions on  $\mathbb{Z}_{p^r}$ . In particular, his Theorem 3 gives a characterization most interesting to us, but it is proved in an indirect way. We give a constructive proof of the result in a slightly modified form.

**Theorem 2.3.** *A function  $\chi$  on  $\mathbb{Z}_{p^r}$  is induced by a polynomial over  $\mathbb{Z}_{p^r}$  if and only if there are some functions  $\chi_i : \mathbb{Z}_p \rightarrow \mathbb{Z}_{p^r}$ ,  $0 \leq i \leq r-1$  such that*

$$(2) \quad \chi(c + kp) = \sum_{i=0}^{r-1} (kp)^i \chi_i(c)$$

for all  $0 \leq c < p$ ,  $0 \leq k < p^{r-1}$ . If a polynomial  $f(x)$  induces  $\chi$ , then  $f(c) = \chi_0(c)$  and  $f'(c) \equiv \chi_1(c) \pmod{p}$  for  $0 \leq c < p$ .

*Proof.* Let  $0 \leq c < p$ ,  $0 \leq k < p^{r-1}$  throughout. Suppose  $\chi$  is induced by a polynomial  $f(x)$ . Then

$$(3) \quad \chi(c + kp) = f(c + kp) = \sum_{i=0}^{r-1} (kp)^i \frac{f^{(i)}(c)}{i!}$$

for each  $k \geq 0$ . It is easy to see that  $\frac{f^{(i)}(x)}{i!}$  is in fact a polynomial over  $\mathbb{Z}$ . Therefore we can take  $\chi_i$  defined by  $\chi_i(c) = f^{(i)}(c)/i!$  for  $0 \leq c < p$  and  $0 \leq i \leq r-1$ .

To prove the converse, let  $\chi$  be a function on  $\mathbb{Z}_{p^r}$  satisfying (2). Carlitz's interpolation formula [1] says that for  $0 \leq c < p$ , the polynomial  $L_c(x) = (1 - (x - c)^{p-1})^{p^{r-1}}$  over  $\mathbb{Z}_{p^r}$  satisfies

$$L_c(a) = \begin{cases} 1 & \text{if } a \equiv c \pmod{p}, \\ 0 & \text{if } a \not\equiv c \pmod{p}. \end{cases}$$

for  $a \in \mathbb{Z}_{p^r}$ . Now let  $f_i(x) = \sum_{e=0}^{p-1} \chi_i(e) L_e(x)$  for  $0 \leq i \leq r-1$ . Note that  $f_i(c + kp) = \chi_i(c)$ . Let  $g(x) = x - \sum_{e=0}^{p-1} e L_e(x)$ . Note that  $g(c + kp) = kp$ . Finally we define a polynomial  $f(x) = \sum_{i=0}^{r-1} g(x)^i f_i(x)$ . The polynomial  $f(x)$  indeed induces  $\chi$  on  $\mathbb{Z}_{p^r}$  since

$$f(c + kp) = \sum_{i=0}^{r-1} g(c + kp)^i f_i(c + kp) = \sum_{i=0}^{r-1} (kp)^i \chi_i(c) = \chi(c + kp).$$

Finally suppose a polynomial  $f(x)$  induces  $\chi$ . We have  $f(c) = \chi(c) = \chi_0(c)$ , and  $f(c + p) \equiv \chi_0(c) + p\chi_1(c) \pmod{p^2}$ . Hence

$$f(c + p) - f(c) \equiv p\chi_1(c) \pmod{p^2}$$

On the other hand by (3),

$$f(c + p) - f(c) \equiv f(c) + pf'(c) - f(c) = pf'(c) \pmod{p^2}.$$

Therefore  $pf'(c) \equiv p\chi_1(c) \pmod{p^2}$ , and hence  $f'(c) \equiv \chi_1(c) \pmod{p}$ .  $\square$

For  $f(x) \in \mathbb{Z}_{p^r}[x]$ , let  $\bar{f}(x)$  denote the polynomial in  $\mathbb{Z}_p[x]$  obtained from  $f(x)$  by reducing the coefficients modulo  $p$ . Keller and Olson [3] observed that the following theorem is a direct consequence of Theorem 123 in [2].

**Theorem 2.4.** *Let  $f(x)$  be a polynomial in  $\mathbb{Z}_{p^r}[x]$ . Then  $f(x)$  induces a permutation of  $\mathbb{Z}_{p^r}$  if and only if  $\bar{f}(x)$  induces a permutation of  $\mathbb{Z}_p$  and  $\bar{f}'(c) \neq 0$  for every  $c$  in  $\mathbb{Z}_p$ .*

A characterization of permutation polynomials over  $\mathbb{Z}_{2^r}$  by Rivest [7] is a consequence of the above theorem. Using the same result, Keller and Olson [3] and Mullen and Stevens [5] counted the number of polynomial permutations of  $\mathbb{Z}_{p^r}$ . See Theorem 2.7.

**Lemma 2.5.** *For  $r \geq 2p$ ,  $(x^r)' \approx 0$  over  $\mathbb{Z}_p$ . For  $p \leq r < 2p$ ,  $(x^r)' \approx -x^{r-p}$  over  $\mathbb{Z}_p$ .*

*Proof.* Note that  $(x^r)' = \sum_{i=0}^{r-1} x^i(x-i-1)^{r-1-i}$ . If  $r \geq 2p$ , then  $i \geq p$  or  $r-1-i \geq p$  so that  $(x^r)' \approx 0$ . Note that  $x^p - (x^p - x) = 0$  in  $\mathbb{Z}_p[x]$  because the left side is a polynomial of degree  $< p$  vanishing on  $\mathbb{Z}_p$ . Therefore if  $p \leq r < 2p$ , then

$$\begin{aligned} (x^r)' &= (x^p(x-p)^{r-p})' = ((x^p - x)x^{r-p})' \\ &= -x^{r-p} + (x^p - x)(x^{r-p})' \approx -x^{r-p}. \end{aligned}$$

□

**Lemma 2.6.** *Let  $s \geq 2p$ . There are  $p!(p-1)^p p^{s-2p}$  number of polynomials  $f(x) \in \mathbb{Z}_p[x]$  of degree  $< s$  inducing a permutation of  $\mathbb{Z}_p$  and  $f'(c) \neq 0$  for every  $c \in \mathbb{Z}_p$ .*

*Proof.* Let  $f(x) = a_0 + a_1x^1 + a_2x^2 + \cdots + a_{s-1}x^{s-1} \in \mathbb{Z}_p[x]$ . Then

$$\begin{aligned} f(x) &\approx a_0 + a_1x^1 + a_2x^2 + \cdots + a_{p-1}x^{p-1}, \\ f'(x) &\approx a_1 + a_2(x^2)' + \cdots + a_{p-1}(x^{p-1})' \\ &\quad - a_p - a_{p+1}x - a_{p+2}x^2 - \cdots - a_{2p-1}x^{p-1}. \end{aligned}$$

Hence

$$\begin{aligned}
 f'(0) &= (a_1 + \cdots + a_{p-1}(x^{p-1})')|_{x=0} - a_p, \\
 f'(1) &= (a_1 + \cdots + a_{p-1}(x^{p-1})')|_{x=1} - a_p - a_{p+1}, \\
 f'(2) &= (a_1 + \cdots + a_{p-1}(x^{p-1})')|_{x=2} - a_p - a_{p+1}2 - a_{p+2}2!, \\
 &\vdots \\
 f'(p-1) &= (a_1 + \cdots + a_{p-1}(x^{p-1})')|_{x=p-1} - a_p - \cdots - a_{2p-1}(p-1)!.
 \end{aligned}$$

Because there are  $p!$  polynomial permutations of  $\mathbb{Z}_p$ , there are  $p!$  choices of the coefficients  $a_0, a_1, \dots, a_{p-1}$  for  $f(x)$  to induce a permutation of  $\mathbb{Z}_p$ . For  $f'(x)$  not to vanish on  $\mathbb{Z}_p$ , there are  $p-1$  choices for each coefficient  $a_p, a_{p+1}, \dots, a_{2p-1}$ . And the coefficient  $a_r$  for  $r \geq 2p$  can be chosen arbitrarily in  $\mathbb{Z}_p$ . Thus we get the number.  $\square$

**Theorem 2.7.** *Let  $r \geq 2$ . The number of polynomial permutations of  $\mathbb{Z}_{p^r}$  is*

$$(4) \quad \frac{p!(p-1)^p p^{rp^r-2p}}{\prod_{n=0}^{p^r-1} \gcd(n!, p^r)}.$$

*Proof.* Every polynomial permutation of  $\mathbb{Z}_{p^r}$  is induced by a polynomial of degree  $< p^r$ . A polynomial  $f(x)$  of degree  $< p^r$  induces a permutation of  $\mathbb{Z}_{p^r}$  if and only if  $f(x)$  is one of the  $p!(p-1)^p p^{p^r-2p}$  number of polynomials satisfying the condition in Theorem 2.4. It follows that there are  $p!(p-1)^p p^{p^r-2p} \times p^{(r-1)p^r}$  number of polynomials  $f(x)$  of degree  $< p^r$  inducing a permutation of  $\mathbb{Z}_{p^r}$ . But these polynomials are divided into classes such that  $\prod_{n=0}^{p^r-1} \gcd(n!, p^r)$  number of polynomials in the same class induce the same function on  $\mathbb{Z}_{p^r}$  by Theorem 2.1.  $\square$

### 3. The group of basic permutation polynomials

In view of Theorem 2.4, we define a *basic permutation polynomial*  $f(x)$  in  $\mathbb{Z}_p[x]$  as a permutation polynomial over  $\mathbb{Z}_p$  such that its derivative  $f'(x)$  never vanishes on  $\mathbb{Z}_p$ . We denote by  $\mathcal{B}_p$  the set of all basic permutation polynomials.

**Lemma 3.1.** *Let  $f(x)$  be a polynomial in  $\mathbb{Z}_p[x]$ . Both of  $f(x)$  and  $f'(x)$  induce the zero function on  $\mathbb{Z}_p$  if and only if  $f(x) = h(x)(x^p - x)^2$  with some  $h(x)$  in  $\mathbb{Z}_p[x]$ .*

*Proof.* If  $f(x) = h(x)(x^p - x)^2$ , then  $f'(x) = h'(x)(x^p - x)^2 - 2h(x)(x^p - x)$ , and hence  $f(x) \approx 0$  and  $f'(x) \approx 0$  on  $\mathbb{Z}_p$ .

Let us suppose conversely, and write  $f(x) = \sum_{n \geq 0} a_n x^n$ . Then

$$f(x) \approx a_0 + a_1 x + a_2 x^2 + \cdots + a_{p-1} x^{p-1}.$$

As  $f(x) \approx 0$ , it follows that  $a_0 = a_1 = \cdots = a_{p-1} = 0$ . Now by Lemma 2.5,

$$f'(x) = \sum_{n \geq p} a_n (x^n)' \approx -a_p - a_{p+1}x - a_{p+2}x^2 - \cdots - a_{2p-1}x^{p-1}.$$

As  $f'(x) \approx 0$ , we also have  $a_p = a_{p+1} = \cdots = a_{2p-1} = 0$ . Hence

$$f(x) = \sum_{n \geq 2p} x^n = \sum_{n \geq 2p} x^p(x-p)^p(x-2p)x^{n-2p} = (x^p - x)^2 \sum_{n \geq 2p} x^{n-2p}.$$

□

**Lemma 3.2.** *Let  $r \geq 2$ . If  $f(x) \in \mathbb{Z}_{p^r}[x]$  induces the zero function on  $\mathbb{Z}_{p^r}$ , then  $\bar{f}(x) = h(x)(x^p - x)^2$  for some  $h(x)$  in  $\mathbb{Z}_p[x]$ .*

*Proof.* Suppose  $f(x) \approx 0$  on  $\mathbb{Z}_{p^r}$ . Then by Theorem 2.1, we can write

$$f(x) = a_p p^{r-1} x^p + a_{p+1} p^{r-1} x^{p+1} + \cdots + a_{2p-1} p^{r-1} x^{2p-1} + \sum_{n \geq 2p} a_n x^n.$$

Therefore  $\bar{f}(x) = \sum_{n \geq 2p} a_n x^n = (x^p - x)^2 \sum_{n \geq 2p} a_n x^{n-2p}$ . □

We define

$$B_p = \{\overline{f(x)} \mid f(x) \in \mathcal{B}_p\}$$

where  $\overline{f(x)}$  denotes the set  $\{f(x) + h(x)(x^p - x)^2 \mid h(x) \in \mathbb{Z}_p[x]\}$ . By Lemma 3.1, note that  $\overline{f(x)} = \overline{g(x)}$  if and only if  $f(x)$  and  $g(x)$  are basic permutation polynomials inducing the same permutation of  $\mathbb{Z}_p$  and their derivatives also induce the same nonvanishing function on  $\mathbb{Z}_p$ .

**Lemma 3.3.**  *$B_p$  is a group under polynomial composition. Let  $r \geq 2$ . We have a surjective group homomorphism*

$$\varphi : P_{p^r} \rightarrow B_p$$

*defined by reduction modulo  $p$ , that is  $\overline{f(x)} \mapsto \overline{f(x)}$ .*

*Proof.* We first show that polynomial composition gives a well-defined operation on  $B_p$ . Let  $\overline{f_1(x)} = \overline{g_1(x)}$  and  $\overline{f_2(x)} = \overline{g_2(x)}$  so that

$$\begin{aligned} f_1(x) &= g_1(x) + h_1(x)(x^p - x)^2, \\ f_2(x) &= g_2(x) + h_2(x)(x^p - x)^2 \end{aligned}$$

for some  $h_1(x)$  and  $h_2(x)$  in  $\mathbb{Z}_p[x]$ . Note that  $f_2 \circ f_1(x)$  is in  $\mathcal{B}_p$  since  $f_2 \circ f_1(x)$  induces a permutation of  $\mathbb{Z}_p$  and

$$(f_2 \circ f_1)'(x) = f_2'(f_1(x))f_1'(x)$$

does not vanish on  $\mathbb{Z}_p$ . Similarly  $g_2 \circ g_1(x)$  is in  $\mathcal{B}_p$ . Note that  $f_2(f_1(x))$  and  $g_2(g_1(x))$  induce the same function on  $\mathbb{Z}_p$ , and so do their derivatives  $f_2'(f_1(x))f_1'(x)$  and  $g_2'(g_1(x))g_1'(x)$ . Therefore by Lemma 3.1, there is a polynomial  $h(x)$  such that

$$f_2 \circ f_1(x) - g_2 \circ g_1(x) = h(x)(x^p - x)^2.$$

This verifies that polynomial composition gives a well-defined operation on  $B_p$ . Hence  $B_p$  is a monoid with identity  $\bar{x}$ .

By Theorem 2.4 and Lemma 3.2, the natural map

$$\varphi : P_{p^r} \rightarrow B_p$$

is well-defined and a surjective monoid homomorphism from a group to a monoid. It follows that  $B_p$  is in fact a group, and  $\varphi$  is a group homomorphism.  $\square$

Through the following series of lemmas, we reveal the structure of the group  $B_p$  completely. See Theorem 3.7.

**Lemma 3.4.** *We have a surjective group homomorphism*

$$\psi : B_p \rightarrow P_p$$

defined by  $\overline{f(x)} \mapsto \overline{f(x)}$ .

*Proof.* It is clear that  $\psi$  is a well-defined group homomorphism. To see  $\psi$  is surjective, observe that if

$$f(x) = a_0 + a_1x^1 + \cdots + a_{p-1}x^{p-1}$$

is a permutation polynomial over  $\mathbb{Z}_p$ , then we can find  $a_p, a_{p+1}, \dots, a_{2p-1}$  in  $\mathbb{Z}_p$  such that the polynomial

$$g(x) = a_0 + a_1x^1 + \cdots + a_{p-1}x^{p-1} + a_px^p + \cdots + a_{2p-1}x^{2p-1}$$

is a basic permutation polynomial. Indeed  $a_p, a_{p+1}, \dots, a_{2p-1}$  are chosen successively to satisfy

$$\begin{aligned} g'(0) &= (a_1 + \dots + a_{p-1}(x^{p-1})')|_{x=0} - a_p \neq 0, \\ g'(1) &= (a_1 + \dots + a_{p-1}(x^{p-1})')|_{x=1} - a_p - a_{p+1} \neq 0, \\ g'(2) &= (a_1 + \dots + a_{p-1}(x^{p-1})')|_{x=2} - a_p - a_{p+1}2 - a_{p+2}2! \neq 0, \\ &\vdots \\ g'(p-1) &= (a_1 + \dots + a_{p-1}(x^{p-1})')|_{x=p-1} - a_p - \dots - a_{2p-1}(p-1)! \neq 0. \end{aligned}$$

Then  $g(x) \approx f(x)$ , and  $\psi(\overline{g(x)}) = \overline{f(x)}$ .  $\square$

Let us define

$$M_p = \text{group of all functions from } \mathbb{Z}_p \rightarrow \mathbb{Z}_p^\times$$

under usual pointwise multiplication operation. Note that  $M_p$  is isomorphic to  $(\mathbb{Z}_p^\times)^p$ ,  $p$ -times direct product of the cyclic group  $\mathbb{Z}_p^\times$ .

**Lemma 3.5.** *The kernel of  $\psi$  is isomorphic to  $M_p$ .*

*Proof.* Define  $\lambda : \ker \psi \rightarrow M_p$  by mapping  $\overline{f(x)}$  to the function  $\tau$  on  $\mathbb{Z}_p$  induced by  $f'(x)$ . It is clearly well-defined. To see  $\lambda$  is a group homomorphism, observe that for  $\overline{f(x)}, \overline{g(x)}$  in  $\ker \psi$ ,

$$(f \circ g)'(x) = f'(g(x))g'(x) \approx f'(x)g'(x)$$

because  $g(x)$  induces the identity permutation on  $\mathbb{Z}_p$ , and hence  $\lambda(\overline{f \circ g(x)}) = \lambda(\overline{f(x)})\lambda(\overline{g(x)})$ . Injectivity is clear. Finally to show that  $\lambda$  is surjective, let  $\tau$  be a function in  $M_p$ . Let  $f(x) = x + h(x)(x^p - x)$  where  $h(x)$  is a polynomial of degree  $< p$  we now determine. Since  $f'(x) \approx 1 - h(x)$ , we need to have  $h(c) = 1 - \tau(c)$  for every  $c \in \mathbb{Z}_p$ . There is a unique polynomial  $h(x)$  of degree  $< p$  satisfying this condition. With this  $h(x)$ , we have  $\overline{f(x)} \mapsto \tau$ .  $\square$

**Lemma 3.6.** *The exact sequence*

$$1 \longrightarrow \ker \psi \longrightarrow B_p \xrightarrow{\psi} P_p \longrightarrow 1$$

*splits. Hence  $B_p$  is the semidirect product of  $P_p$  and  $\ker \psi$ .*

*Proof.* We now define a homomorphism  $\rho : P_p \rightarrow B_p$  such that  $\psi \circ \rho$  is the identity on  $P_p$ . Let  $\overline{g(x)} \in P_p$ . Let  $f(x) = g(x) + (g'(x) - 1)(x^p - x)$ . Then  $f(x) \approx g(x)$  and  $f'(x) = 1 + g''(x)(x^p - x) \approx 1$ . Therefore  $f(x)$  is a basic permutation polynomial. Thus we define  $\rho : P_p \rightarrow B_p$  by  $\overline{g(x)} \mapsto \overline{f(x)}$ . Then  $\rho : P_p \rightarrow B_p$  is a well-defined group homomorphism.



Suppose  $\rho(\overline{g(x)}) = \overline{f(x)}$  with  $\overline{g(x)} \in P_p$ . Then by the definition of  $\rho$ ,  $f(x)$  and  $g(x)$  induce the same function on  $\mathbb{Z}_p$ . Therefore  $\psi(\overline{f(x)}) = \overline{g(x)}$ . Hence  $\psi \circ \rho$  is the identity on  $P_p$ .  $\square$

In Lemma 3.5, we saw  $\ker \psi$  is isomorphic to  $M_p$  that is  $(\mathbb{Z}_p^\times)^p$ . Recall that  $P_p$  is isomorphic to

$$S_p = \text{symmetric group of } p \text{ letters,}$$

because every permutation of  $\mathbb{Z}_p$  is induced by a polynomial. Thus we obtain the following theorem that determines the structure of the group  $B_p$ .

**Theorem 3.7.**  *$B_p$  is isomorphic to the semidirect product  $M_p \rtimes_\alpha S_p$  where  $\alpha : S_p \rightarrow \text{Aut}(M_p)$  is described by  $\alpha(\sigma)(\tau) = \tau \circ \sigma$  for each  $\sigma \in S_p$ ,  $\tau \in M_p$ .*

#### 4. Group of polynomial permutations of $\mathbb{Z}_{p^r}$

From now on, we will regard the elements of  $P_{p^r}$  as functions on  $\mathbb{Z}_{p^r}$  rather than equivalence classes of polynomials.

Let  $r \geq 2$ . We now show that there is a natural copy of  $B_p$  inside of  $P_{p^r}$ . Let  $\overline{f(x)} \in B_p$ . Let  $\sigma$  be the permutation of  $\mathbb{Z}_p$  that  $f(x)$  induces. Let  $\tau$  be the nonvanishing function on  $\mathbb{Z}_p$  that  $f'(x)$  induces. We then define a permutation  $\chi_f$  on  $\mathbb{Z}_{p^r}$  by

$$(5) \quad \chi_f(a) = \sigma(c) + kp\tau(c)$$

for  $a = c + kp$  in  $\mathbb{Z}_{p^r}$ . It is easy to see that  $\chi_f$  is a permutation of  $\mathbb{Z}_{p^r}$ . By Theorem 2.3, it is then indeed a polynomial permutation. Define the map  $\xi : B_p \rightarrow P_{p^r}$  by  $\overline{f(x)} \mapsto \chi_f$ .

**Lemma 4.1.** *The map  $\xi : B_p \rightarrow P_{p^r}$  is an injective group homomorphism.*

*Proof.* Let  $\overline{f_1(x)}, \overline{f_2(x)}$  be in  $B_p$ . Suppose  $f_1(x), f'_1(x)$  induce  $\sigma_1, \tau_1$  on  $\mathbb{Z}_p$ , respectively and  $f_2(x), f'_2(x)$  induce  $\sigma_2, \tau_2$  on  $\mathbb{Z}_p$ , respectively. Then  $f_1 \circ f_2(x)$  induces  $\sigma_1 \circ \sigma_2$  on  $\mathbb{Z}_p$ . and  $(f_1 \circ f_2)'(x) = f'_1(f_2(x))f'_2(x)$  induces  $(\tau_1 \circ \sigma_2)\tau_2$ . Observe that for every  $a = c + kp$  in  $\mathbb{Z}_{p^r}$ ,

$$\begin{aligned} \chi_{f_1} \circ \chi_{f_2}(a) &= \chi_{f_1}(\sigma_2(c) + kp\tau_2(c)) \\ &= \sigma_1(\sigma_2(c)) + kp\tau_2(c)\tau_1(\sigma_2(c)) \\ &= \sigma_1 \circ \sigma_2(c) + kp(\tau_1 \circ \sigma_2)(c)\tau_2(c) \\ &= \chi_{f_1 \circ f_2}(a). \end{aligned}$$

Hence  $\xi$  is a group homomorphism. If  $\chi_f$  is the identity permutation of  $\mathbb{Z}_{p^r}$ , then  $\sigma(c) = c$  and  $\tau(c) = 1$  for  $0 \leq c < p$ , so  $\overline{f(x)}$  is the identity of  $B_p$ . Hence  $\xi$  is injective.  $\square$

**Lemma 4.2.** *The exact sequence*

$$1 \longrightarrow \ker \varphi \longrightarrow P_{p^r} \xrightarrow{\varphi} B_p \longrightarrow 1$$

*splits. Hence  $P_{p^r}$  is the semidirect product of  $B_p$  and  $\ker \varphi$ .*

*Proof.* Let us show that the composition  $\varphi \circ \xi$  is the identity on  $B_p$ . Let  $\overline{f(x)}$  be in  $B_p$ . Let  $\chi_f$  be the permutation of  $\mathbb{Z}_{p^r}$  defined by (5). Suppose a polynomial  $g(x)$  in  $\mathbb{Z}_{p^r}[x]$  induces  $\chi_f$ . Then by Theorem 2.3,  $\bar{g}(x)$  and  $\bar{g}'(x)$  induce  $\sigma$  and  $\tau$  on  $\mathbb{Z}_p$ . Hence  $\varphi(\chi_f) = \overline{f(x)}$ .  $\square$

The following theorem characterizes the polynomial permutations in  $\ker \varphi$ . Let  $\iota$  denote the identity permutation of  $\mathbb{Z}_{p^r}$ .

**Lemma 4.3.** *A permutation  $\chi$  of  $\mathbb{Z}_{p^r}$  is in  $\ker \varphi$  if and only if  $\chi = \iota + \mu$  where  $\mu$  is a polynomial function on  $\mathbb{Z}_{p^r}$  satisfying  $\mu(c) \equiv 0 \pmod{p}$  and  $\mu(c+p) \equiv \mu(c) \pmod{p^2}$  for  $0 \leq c < p$ . The condition for  $\mu$  is equivalent to that  $\mu$  is induced by a polynomial  $f(x)$  satisfying  $f(c) \equiv f'(c) \equiv 0 \pmod{p}$  for  $0 \leq c < p$ .*

*Proof.* Let  $0 \leq c < p$  and  $0 \leq k < p^{r-1}$  throughout. Suppose  $\chi \in \ker \varphi$ . Then  $\chi$  is induced by a polynomial  $f(x)$  satisfying  $f(c) \equiv c \pmod{p}$  and  $f'(c) \equiv 1 \pmod{p}$ . Since  $\chi$  is a polynomial function, by Theorem 2.3, there exist  $\chi_i : \mathbb{Z}_p \rightarrow \mathbb{Z}_{p^r}$  such that

$$\chi(c + kp) = \sum_{i=0}^{r-1} (kp)^i \chi_i(c),$$

and  $f(c) = \chi_0(c)$  and  $f'(c) \equiv \chi_1(c) \pmod{p}$ . It follows that  $\chi_0(c) \equiv c \pmod{p}$  and  $\chi_1(c) \equiv 1 \pmod{p}$ . So we can write  $\chi_0(c) = c + p\tilde{\chi}_0(c)$  and  $\chi_1(c) = 1 + p\tilde{\chi}_1(c)$ . Then

$$\chi(c + kp) = c + p\tilde{\chi}_0(c) + kp(1 + p\tilde{\chi}_1(c)) + \sum_{i=2}^{r-1} (kp)^i \chi_i(c)$$

If we define  $\mu$  by

$$\mu(c + kp) = \tilde{\chi}_0(c)p + (kp)\tilde{\chi}_1(c)p + \sum_{i=2}^{r-1} (kp)^i \chi_i(c),$$

then  $\chi = \iota + \mu$  and  $\mu$  is a polynomial function by Theorem 2.3 satisfying  $\mu(c) \equiv 0 \pmod{p}$  and  $\mu(c+p) \equiv \tilde{\chi}_0(c)p = \mu(c) \pmod{p^2}$ .

The converse is proved by reversing the above argument. The equivalent condition for  $\mu$  follows by Theorem 2.3.  $\square$

Let  $r = 2$ . In this case, the structure of  $\ker \varphi$  is particularly simple. Let

$$T_p = \text{group of all functions } \gamma : \mathbb{Z}_p \rightarrow \mathbb{Z}_p$$

with usual pointwise addition operation. Note that  $T_p$  is isomorphic to  $(\mathbb{Z}_p)^p$ ,  $p$ -times direct product of the additive cyclic group  $\mathbb{Z}_p$ .

**Lemma 4.4.** *The subgroup  $\ker \varphi$  of  $P_{p^2}$  is isomorphic to  $T_p$ .*

*Proof.* Let  $0 \leq c, k < p$  throughout. By Lemma 4.3,  $\chi \in \ker \varphi$  if and only if  $\chi = \iota + \mu$  where  $\mu$  satisfies  $\mu(c + kp) = \tilde{\mu}_0(c)p$  with an arbitrary function  $\tilde{\mu}_0$  from  $\mathbb{Z}_p$  to  $\mathbb{Z}_p$ . In other words,  $\chi \in \ker \varphi$  if and only if  $\chi(c + kp) = c + kp + p\gamma(c)$  with an arbitrary function  $\gamma$  from  $\mathbb{Z}_p$  to  $\mathbb{Z}_p$ . If  $\chi_1(c + kp) = c + kp + p\gamma_1(c)$  and  $\chi_2(c) = c + kp + p\gamma_2(c)$ , then  $\chi_2 \circ \chi_1(c + kp) = \chi_2(c + kp + p\gamma_1(c)) = c + kp + p\gamma_1(c) + p\gamma_2(c) = c + kp + p(\gamma_1(c) + \gamma_2(c))$ . This shows that  $\ker \varphi$  is isomorphic to the additive group  $T_p$ .  $\square$

**Theorem 4.5.** *The group of polynomial permutations of  $\mathbb{Z}_{p^2}$  is isomorphic to*

$$T_p \rtimes_{\beta} (M_p \rtimes_{\alpha} S_p),$$

where  $\beta : M_p \rtimes_{\alpha} S_p \rightarrow \text{Aut}(T_p)$  is given by  $\beta(\tau, \sigma)(\gamma) = (\gamma\tau) \circ \sigma^{-1}$ .

It follows that the order of the group  $P_{p^2}$  is  $p^p(p-1)^p p!$ , which is verified by Theorem 2.7. Moreover from Theorem 4.5, we see that a Sylow  $p$ -subgroup of  $P_{p^2}$  of order  $p^{p+1}$  is the same with that of the Sylow  $p$ -subgroup of the symmetric group  $S_{p^2}$ , namely the wreath product of the additive group  $\mathbb{Z}_p$  with itself.

## 5. Remarks

We could determine the structure of  $P_{p^2}$  because of the simple structure of  $\ker \varphi$  in the case  $r = 2$ . However for  $r > 2$  cases, the structure of  $\ker \varphi$  seems to be more complicated, and we could not resolve it yet. This remains as a future work.

Starting with [6], Nöbauer had studied polynomial permutations of  $\mathbb{Z}_m$ , from the same point of view with ours. However, it seems that there is no duplication among his and our works.

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