

Cyclic Co-oligomeric Ferroelectric Liquid Crystals with Fast Switching Properties, Wide SmC* Temperature Range and de Vries-type SmA*-SmC* Transition[†]

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We have synthesized and characterized the first cyclic co-oligomeric ferroelectric liquid crystals (FLCs) based on cyclic siloxanes and found that the co-oligomer containing two different mesogenic units exhibits SmC* mesophase over a wide temperature range from 65 °C to 135 °C, much wider than those of the monomer counterparts and the cyclic homo-oligomers. The cyclic co-oligomeric liquid crystal readily filled the display cell and exhibited fast switching times in the range of 4 ms to 6 ms over the entire SmC* phase. Moreover, the practical absence of layer shrinkage, attributed to de Vries-type transition, shows an additional significant advantage for cyclic co-oligomeric FLCs in LCD applications.

Key Words : Ferroelectric liquid crystal, Cyclic co-oligomeric liquid crystal, Switching time, LCD, de Vries-type transition

Introduction

The thin-film transistor liquid crystal display (TFT-LCD) has become very important as the most dominant flat panel display technology with its ubiquitous applications in variety of devices. Since the initial work of Lechner *et al.* (RCA Corporation, USA) in 1971, the TFT-LCD technology employing nematic liquid crystals has seen continuous, impressive improvements in the image quality, the response time, and the device fabrication efficiency, as a result of enormous research efforts over the last 40 years.¹⁻³ Currently, TFT-LCD products occupy approximately 70~80% of the overall display market, with a total annual revenue of nearly one hundred billion dollars. However, the response time of current LCD devices is quite inferior to the other competing technologies such as plasma display panel (PDP) or organic light-emitting diode (OLED). This shortcoming becomes more serious in the recently emerging advanced display devices, such as 3D displays or field sequential color (FSC) displays, for which the required response times are shorter than 1 ms. Such a fast switching time becomes really challenging for the current LCDs due to the intrinsically slow switching dynamics of nematic liquid crystals.

In this regard, ferroelectric liquid crystals (FLCs), which are known to have intrinsically fast switching properties with the response time as short as 50 μ s, offer an excellent opportunity to overcome the limits of LCD technology.⁴⁻⁶ Such a fast switching time of FLCs arises from a large spontaneous electric polarization, as first discovered in 1975 by Meyer,⁷ and five years later Clark and Lagerwall devised a novel method to take advantage of their unique properties

for display applications.⁸ Although a variety of FLC-based display devices have since been demonstrated, serious problems still remain to be solved in order to realize the inherent potential of the FLC materials.⁴⁻⁶ Specifically, low molecular-weight monomeric ferroelectric liquid crystals (MFLCs) exhibit the problems of alignment instability and a narrow temperature range of suitable FLC phase. Although these problems can be minimized with polymeric ferroelectric liquid crystals (PFLCs), they limit the switching time and present serious difficulties in the fabrication process due to very high viscosities of polymeric materials.^{4-6,9-12} Another problem associated with the use of FLCs are the "Chevron instabilities",¹³ which result as a consequence of the smectic layer shrinkage at the smectic A – smectic C* transition. A possible solution for this problem can be liquid crystalline materials with a de Vries-type phase transition, in which the transition happens with a negligible layer shrinkage.¹⁴⁻¹⁶ In recent years, several such liquid crystalline materials have been reported, some of which contain a siloxane backbone.¹⁷⁻²⁰

Therefore, oligomeric ferroelectric liquid crystals (OFLCs) became of interest in order to overcome the problems of both MFLCs and PFLCs. Previous works demonstrated a variety of structural variations on this theme. In particular, oligomeric siloxanes possessing pendant mesogenic groups attracted lots of interest,²¹⁻³³ since the siloxane moieties readily give rise to a nano-phase-separated structure as is well known for liquid crystalline polysiloxanes.^{11,12} As a result, the temperature range of the smectic phase becomes enlarged, whereas the switching times stay relatively short, due to their oligomeric nature.¹² Despite such progress, further improvements of OFLCs are critically needed in the following two aspects: (i) further broadening the temperature range of the chiral smectic C phase and (ii)

[†]This paper is dedicated to Professor Eun Lee on the occasion of his honourable retirement.

preventing the Chevron defects by enabling de Vries-type transitions. In this regard, a chemically bonded "mixing" of different mesogens on the oligomeric backbone seems to be an attractive method for broadening the chiral smectic C* phase, in contrast to the physical mixing method. In addition, introduction of such a chemical disorder might disrupt an abrupt change in the layer spacing at the smectic A – smectic C* transition and thus promotes a de Vries-type transition,

In this paper, we describe the first preparation and characterization of cyclic co-oligomeric ferroelectric liquid crystals, based on cyclic tetramer siloxanes with pendant chiral mesogenic groups, as an attempt to broaden the temperature range of the chiral smectic C* phase and to promote the desired de Vries-type transitions. Understanding the characteristics of co-oligomeric FLCs, as compared with homo-oligomers, is expected to provide helpful insights in developing new FLC materials critically desired for the advanced LCD technology.

Experimental Section

General. Reactions requiring an inert gas atmosphere were conducted under argon, and the glassware was oven-dried (140 °C). THF and toluene were distilled over sodium prior to use. Methylene chloride was distilled over calcium hydride. Commercially available chemicals were used as received without further purification unless otherwise stated. ¹H NMR spectra were recorded on a Bruker DPX-300 MHz spectrometer. Chemical shifts are given in ppm, referenced to residual proton resonances of the solvents. Thin-layer chromatography was performed on aluminum plates precoated with Merck 5735 silica gel 60 F₂₅₄. Column chromatography was performed with Merck silica gel 60 (230 ± 400 mesh). FT-IR transmission spectra were obtained with JASCO FT-IR spectrometer (660 Plus equipment) using 4 cm⁻¹ spectral resolution and averaged over 512 scans.

Synthesis

4-(1-(R)-Methylheptyloxy)-3-nitrobenzoic acid 4'-(undec-10-enyloxy)-biphenyl-4-yl ester (mesogen 1): 4-(Undec-10-enyloxy)-4'-hydroxybiphenyl (**3**) (1.15 g, 3.4 mmol), 3-nitro-4-(1-(R)-methylheptyloxy)benzoic acid (**1**) (1.0 g, 3.4 mmol) and 4-*N,N'*-dimethylaminopyridine (DMAP, 25 mg, catalytic amount) were dissolved in 80 mL of dry CH₂Cl₂/THF (1:1). After cooling the solution to 0 °C, a solution of *N,N'*-dicyclohexylcarbodiimide (DCC, 0.89 g, 4.3 mmol) in 10 mL of dry CH₂Cl₂ was added and reaction mixture was stirred for 3 hr at 0 °C and overnight at room temperature. The urea was filtered off and the solvent was evaporated. The residue was dissolved in ether and filtered again to remove urea. The solvent was evaporated and the remaining crude product was purified by column chromatography on silica gel (yield 61 %, yellowish white solid). ¹H NMR (300 MHz, CDCl₃) δ 8.61 (s, 1H, ArH), 8.30 (d, 1H, ArH), 7.57 (d, 2H, ArH), 7.47 (d, 2H, ArH), 7.21 (d, 2H, ArH), 7.15 (d, 1H, ArH), 6.96 (d, 2H, ArH), 5.79 (m, 1H, CH₂=CH), 4.97 (d, 1H, CH₂=CH-trans), 4.91

(d, 1H, CH₂=CH-cis), 4.63 (q, 1H, C*-H), 3.98 (t, 2H, CH₂-O), 2.01 (m, 2H, CH₂=CH-CH₂), 1.29-1.81 (m, 27H, CH₂, O-C*-H-CH₃), 0.87 (t, 3H, CH₂-CH₃); IR (NaCl, cm⁻¹): 3050, 2954, 2850, 1738, 1624, 1538, 1500, 1284, 1246, 1218.

4-(10-Undecenyloxy)biphenyl-4-yl 2(S)-chloro-3-(S)-methylpentanoate (5) (mesogen 2): 4-(Undec-10-enyloxy)-4'-hydroxybiphenyl (**3**) (4.0 g, 11.8 mmol), (2*S*,3*S*)-2-chloro-3-methylpentanoic acid (**2**) (1.78 g, 11.8 mmol) and 4-*N,N'*-dimethylaminopyridine (DMAP, 50 mg, catalytic amount) were dissolved in 100 mL of dry CH₂Cl₂/THF (1:1). After cooling the solution to 0 °C, a solution of *N,N'*-dicyclohexylcarbodiimide (DCC, 3.1 g, 15 mmol) in 25 mL of dry CH₂Cl₂ was added and reaction mixture was stirred for 3 hr at 0 °C and overnight at room temperature. The urea was filtered off and the solvent was evaporated. The residue was dissolved in ether and filtered again to remove urea. The solvent was evaporated and the remaining crude product was purified by column chromatography on silica gel (yield 68 %, white solid). ¹H NMR (300 MHz, CDCl₃) δ 7.55 (d, 2H, ArH), 7.48 (d, 2H, ArH), 7.16 (d, 2H, ArH), 6.96 (d, 2H, ArH), 5.81 (m, 1H, CH₂=CH), 4.99 (d, 1H, CH₂=CH-trans), 4.93 (d, 1H, CH₂=CH-cis), 4.39 (d, 1H, Cl-C*-H), 3.99 (t, 2H, O-CH₂), 2.23 (m, 1H, C*H-CH₃), 2.04 (q, 2H, CH₂=CH-CH₂), 1.80 (m, 2H, O-CH₂-CH₂-CH₂), 1.25-1.55 (m, 14H, CH₂ and C*-CH₂-CH₃), 1.15 (d, 3H, C*-CH₃), 0.99 (t, 3H, C*-CH₂-CH₃); IR (NaCl, cm⁻¹): 3078, 2968, 2922, 2874, 2862, 1760, 1606, 1498, 1476, 1286, 1268, 1250, 1250, 1214, 1172, 1156, 830.

Cyclic Homo-Oligomer 1: To a solution of 4-(1-(*R*)-methylheptyloxy)-3-nitrobenzoic acid 4'-(undec-10-enyloxy)-biphenyl-4-yl ester (mesogen **1**) (100 mg, 0.163 mmol) and 2,4,6,8-tetramethylcyclotetrasiloxane (**6**) (8.9 mg, 0.037 mmol) in 2 mL of dry toluene was added 50 μL of platinum-divinyltetramethyldisiloxane complex in xylene (2.1-2.4 % Pt). The reaction mixture was stirred at room temperature in a nitrogen atmosphere for 5 hr. The solvent was removed and the crude product purified by column chromatography on silica gel. The excess of monomers was separated first using a petroleum ether/ethyl acetate mixture (vol. ratio 5:1). The tetramer was finally eluted with chloroform. The product was then precipitated in 50 mL n-hexane. Centrifugation and drying for 12 hr at room temperature *in vacuo* yielded the pure homo-tetramer (yield 65 %, yellowish white solid). ¹H NMR (300 MHz, CDCl₃) δ 8.60 (s, 4H, ArH), 8.29 (d, 4H, ArH), 7.56 (d, 8H, ArH), 7.46 (d, 8H, ArH), 7.21 (d, 8H, ArH), 7.14 (d, 4H, ArH), 6.94 (d, 8H, ArH), 4.62 (q, 4H, C*-H), 3.96 (t, 8H, CH₂-O), 1.78 (m, 8H, O-CH₂-CH₂), 1.1-1.7 (m, 116H, CH₂ and O-C*-H-CH₃), 0.87 (t, 12H, CH₂-CH₃), 0.50 (t, 8H, Si-CH₂), 0.05 (s, 12H, Si-CH₃).

Cyclic Homo-Oligomer 2: To a solution of 4-(10-undecenyloxy)biphenyl-4-yl 2(*S*)-chloro-3-(*S*)-methylpentanoate (**5**) (mesogen **2**) (101.3 mg, 0.215 mmol) and 2,4,6,8-tetramethylcyclotetrasiloxane (**6**) (11.8 mg, 0.049 mmol) in 3 mL of dry toluene was added 50 μL of platinum-divinyltetramethyldisiloxane complex in xylene (2.1-2.4 % Pt). The reaction mixture was stirred at room temperature in

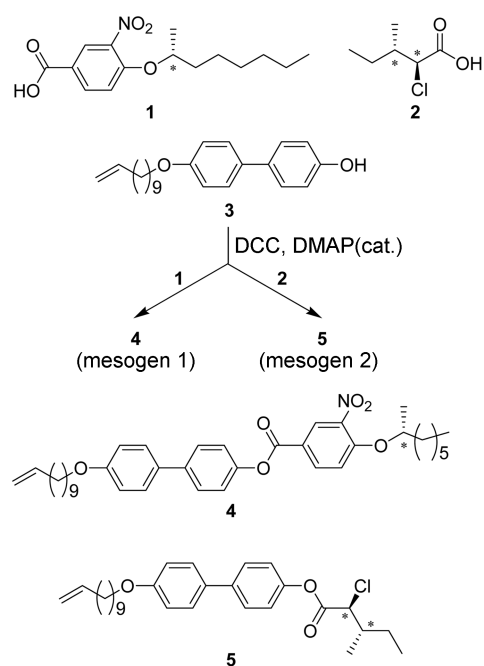
a nitrogen atmosphere for 5 hr. The solvent was removed and the crude product purified by column chromatography on silica gel. The excess of monomers was separated first using a petroleum ether/ethyl acetate mixture (vol. ratio 5 : 1). The tetramer was finally eluted with chloroform. The product was then precipitated in 100 mL *n*-hexane. Centrifugation and drying for 12 hr at room temperature *in vacuo* yielded the pure homo-tetramer (yield 77 %, white solid). ¹H NMR (300 MHz, CDCl₃) δ 7.52 (d, 8H, ArH), 7.44 (d, 8H, ArH), 7.13 (d, 8H, ArH), 6.93 (d, 8H, ArH), 4.37 (d, 4H, Cl-C*H), 3.95 (t, 8H, O-CH₂), 2.21 (m, 4H, C*Cl-C*H), 1.77 (m, 8H, O-CH₂-CH₂), 1.2-1.7 (m, 72H, CH₂ and C*-CH₂), 1.12 (d, 12H, C*-CH₃), 0.97 (t, 12H, C*-CH₂-CH₃), 0.50 (m, 8H, Si-CH₂), 0.04 (s, 12H, Si-CH₃).

Cyclic Co-Oligomer: To a solution of 4-(1-(*R*)-methylheptyloxy)-3-nitrobenzoic acid 4'-(undec-10-enyloxy)-biphenyl-4-yl ester (Mesogen 1) (100 mg, 0.163 mmol) and 2,4,6,8-tetramethylcyclotetrasiloxane (11.5 mg, 0.048 mmol) in 2 mL of dry toluene was added 50 μL of platinum-divinyltetramethyldisiloxane complex in xylene (2.1-2.4 % Pt). The reaction mixture was stirred at room temperature in a nitrogen atmosphere for 2 hr; then 4-(10-undecenyloxy) biphenyl-4-yl 2(*S*)-chloro-3-(*S*)-methylpentanoate (Mesogen 2) (22.5 mg, 0.048 mmol) was added and the mixture was stirred for another 2 hr. The solvent was removed and the crude product purified by column chromatography on silica gel. The excess of monomers was separated first using a petroleum ether/ethyl acetate mixture (vol. ratio 5 : 1). The oligomer was finally eluted with chloroform. The product was precipitated in 50 mL *n*-hexane. Centrifugation and drying for 12 hr at room temperature *in vacuo* yielded the pure co-tetramer (yield 27 %, white solid). ¹H NMR (300 MHz, CDCl₃) δ 8.62 (s, 3.4H, ArH), 8.31 (d, 3.4H, ArH), 7.58 (d, 8H, ArH), 7.49 (d, 8H, ArH), 7.23 (d, 6.8H, ArH), 7.15 (d, 4.6H, ArH), 4.64 (m, 3.4H, O-C*H), 4.39 (d, 0.6H, Cl-CH*), 3.98 (t, 8H, O-CH₂), 2.25 (m, 0.6H, C*Cl-C*H), 1.79 (m, 8H, O-CH₂-CH₂), 1.25-1.75 (m, 109.4H, CH₂, O-C*-CH₃), 1.15 (d, 1.8H, C*-C*H₃), 0.98 (t, 1.8H, C*-CH₂-CH₃), 0.89 (t, 10.2H, CH₂-CH₃), 0.53 (m, 8H, Si-CH₂), 0.06 (s, 12H, Si-CH₃).

Fabrication of LC Test Cells. Indium-tin-oxide (ITO) electrode layers were vacuum deposited on cleaned glass substrates and subsequently etched to obtain the square pattern (20 mm × 20 mm). A solution of polyimide (PI), SE-7492 from Nissan Chemical, was printed on the ITO-coated substrates and then hard baked at 220 °C for 2 hr. The PI layers on two separate substrates were rubbed along anti-parallel direction with velvet cloth. The two substrates were then assembled, keeping the two rubbing axis anti-parallel, into a LC cell by applying a sealant and polymer-bead spacers. The empty cells were then filled with neat liquid crystals by capillary forces on a hot stage.

Results and Discussions

Two monomeric mesogen compounds which have 2 or 3 aromatic rings were first prepared according to known



Scheme 1. Synthesis of chiral mesogens 4 and 5.

methods.^{11,34-37} Coupling reaction of 4-(undec-10-enyloxy)-4'-hydroxybiphenyl (3) and 3-nitro-4-(1-(*R*)-methylheptyloxy) benzoic acid (1) was carried out under basic condition to give compound 4 (mesogen 1). In the same way, 4-(undec-10-enyloxy)-4'-hydroxybiphenyl (3) and (2*S*,3*S*)-2-chloro-3-methylpentanoic acid (2) give compound 5 (mesogen 2). Synthesis scheme is shown in Scheme 1.

The cyclic homo-oligomeric ferroelectric liquid crystals and cyclic co-oligomeric ferroelectric liquid crystals were prepared through hydrosilylation between the 2,4,6,8-tetramethylcyclotetrasiloxane (6) and 4-(1-(*R*)-methylheptyloxy)-3-nitrobenzoic acid 4'-(undec-10-enyloxy)-biphenyl-4-yl ester (4) (mesogen 1) or 4-(10-undecenyloxy) biphenyl-4-yl 2(*S*)-chloro-3-(*S*)-methylpentanoate (5) (mesogen 2) in the presence of platinum-divinyltetramethyldisiloxane complex in xylene (2.1-2.4 wt-% Pt) (Karstedt) as catalyst (Scheme 2).^{38,39} The products were purified by repeated filtration over silica gels to remove catalyst, excess monomers and side products, and then dried *in vacuo*. The structure and purity of the synthesized cyclic oligomer products was confirmed by the disappearance of the Si-H stretching vibration peak in the IR spectrum (2160 cm⁻¹) and the absence of the olefinic C-H signals, as well as the results of ¹H-NMR spectrum including a correct ratio of the protons.

The phase behavior of the synthesized compounds was investigated by three widely used methods: hot-stage polarizing optical microscopy, differential scanning calorimetry (DSC), and x-ray scattering measurements. Figure 1 summarizes the results of phase transition characteristics of our samples, noted on the DSC thermogram. Both monomeric mesogens 1 and 2 form smectic phases (mesogen 1: Cr 58 SmC* 81 SmA* 87 iso and mesogen 2:

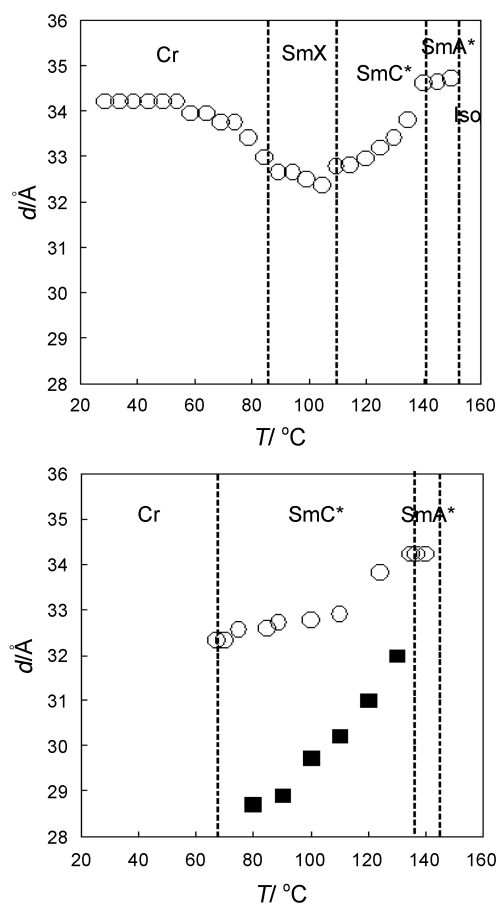


Figure 2. Temperature-dependant layer spacing d in the cyclic homo-oligomer **1** (top) and cyclic co-oligomer (bottom). The actual d -values of the cyclic co-oligomer observed by small angle X-ray scattering (open circles) are compared with the hypothetical values (filled squares) which are expected from the measured optical tilt angle θ_{opt} in the SmC* state according to equation (1).

from the measured optical tilt angle, θ_{opt} , assuming that the mesogenic molecules simply behave as rigid rods. The smectic layer spacing d_C of the SmC* phase should then vary as:

$$d_C = d_A \cos \theta_{\text{opt}} \quad (1)$$

where d_A denotes the smectic layer thickness of the SmA* phase. From the optical tilt data a layer shrinkage of 5.4 Å would be expected for the co-oligomer on cooling the SmA* phase into the tilted SmC* phase. Compared with this estimate, the layer shrinkage (in SmC* phase) actually observed by the X-ray measurement is very small, indicating that the co-oligomer exhibits, most likely, a de Vries-type transition.

Due to their highly ordered structure, ferroelectric liquid crystals tend to be very susceptible to defect formation. Particularly, the issue of smectic layer shrinkage has received a significant interest due to its relevance to the performance of electro-optic devices based on ferroelectric SmC* liquid crystals. In these devices the competition between surface-anchoring and layer shrinkage induces the

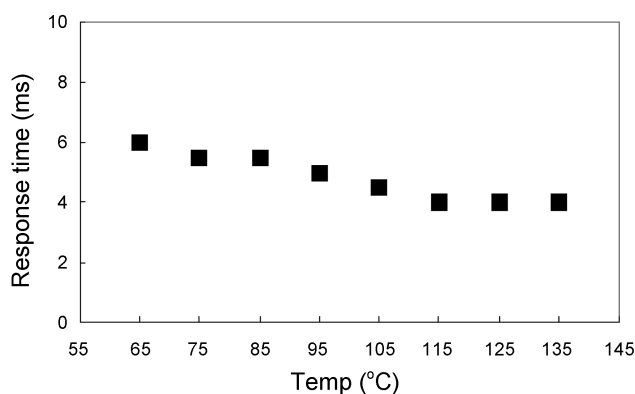


Figure 3. Response times τ_{10-90} versus temperature for the cyclic co-oligomeric ferroelectric liquid crystal ($V_{\text{pp}} = 5 \text{ V}/\mu\text{m}$, 5 Hz square wave, 2 μm thick ITO coated cell with rubbed polyimide coatings).

formation of so-called ‘Chevrons’, that are folding instabilities of the smectic layer structure where the domains of opposite fold direction are mediated by ‘zigzag’ walls.¹³ Such zigzag defects tend to deteriorate the electro-optic properties of the display devices. In this regard, our cyclic co-oligomer, which has very small layer shrinkage characteristics, could have a definite advantage over conventional FLCs in the display applications.

Measurements of the ferroelectric properties give typical results of spontaneous polarization (P_s), with the P_s values of the cyclic homooligomer **1** up to 250 nC/cm² as expected from similar compounds.¹² Investigations of the switching behavior were performed on a 2 μm -thick LC test cell, prepared as described in the Experimental section. Good planar alignment was obtained for the cyclic co-oligomer liquid crystal which readily filled the cell by capillary forces on a hot stage. In the SmC* phase temperature range of the cyclic co-oligomer, the response times were measured as a function of temperature according to the conventional method of determining the switching time (τ_{10-90}) for changing the transmitted light intensity from 10 % to 90 % between the cross polarizers. As plotted in Figure 3, the response time varies from 6 ms to 4 ms from 65 °C to 125 °C, which demonstrates sufficiently fast switching characteristics and alignment stability over a wide temperature range. The response times measured for the cyclic co-oligomeric FLC are definitely much faster than normal nematic liquid crystals, but are quite slower than the monomeric FLCs. This may arise from the rather high molar mass of oligomers. Although the response times of the cyclic homooligomer FLC were not measured for comparison, due to the difficulty in preparing defect-free FLC cells, its switching speed is expected to be quite similar considering the molar mass effect.

Conclusion

In summary, we have developed a novel cyclic co-oligomeric ferroelectric liquid crystal, and found that a

cyclic co-oligomer comprised of two different mesogenic units exhibits SmC* mesophase over a wide temperature range from 65 °C to 135 °C, much wider than those of the monomer counterparts and the homo-oligomers. This cyclic co-oligomer liquid crystal readily filled the display cell and exhibited fast switching times in the range of 4 ms to 6 ms. Moreover, we observed de Vries-type SmA*-SmC* phase transition for this cyclic co-oligomer FLC, exhibiting very small shrinkage of the layer spacing in the SmC* state. LCD applications usually require a combination of desired properties for the liquid crystal materials and practical, stable alignment schemes. In this regard, our results are expected to be helpful to developing new FLC materials critically desired for the advanced LCD technology.

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