

## In Vitro Antimicrobial and Antioxidant Activities of Chitosan Oligosaccharides

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Chitinous materials such as chitin and chitosan are the second most abundant biopolymers on earth after cellulose. Chitin, an insoluble and linear homopolymer of linked 1,4-*N*-acetylglucosamine, is an abundant, renewable, natural material present in cell walls of fungi and certain green algae, and it is the major component of the shells and exoskeletons of crustaceans and insects. Chitosan is a polycationic copolymer consisting of  $\beta$ -1,4-linked 2-acetamido-D-glucose and  $\beta$ -1,4-linked 2-amino-D-glucose units. Crab and shrimp shell wastes are currently utilized as the major industrial source of biomass for large-scale production of chitosan. Chitosan, which is biodegradable, nontoxic and biocompatible, is particularly useful in many industry fields, including food, cosmetics, biomedicine, agriculture and environmental protection [Felt *et al.*, 1998; Liu *et al.*, 2007]. However, its high-molecular weight has limited its practical applications due to its insolubility above pH 6.3 [Fernandes *et al.*, 2008; Seo *et al.*, 2008]. Therefore, recent studies on chitosan have investigated converting it to chitooligosaccharides (COS), because COS not only are water-soluble but also possess versatile functional properties. These COSs are generally produced via chemical, physical or enzymatic scission by

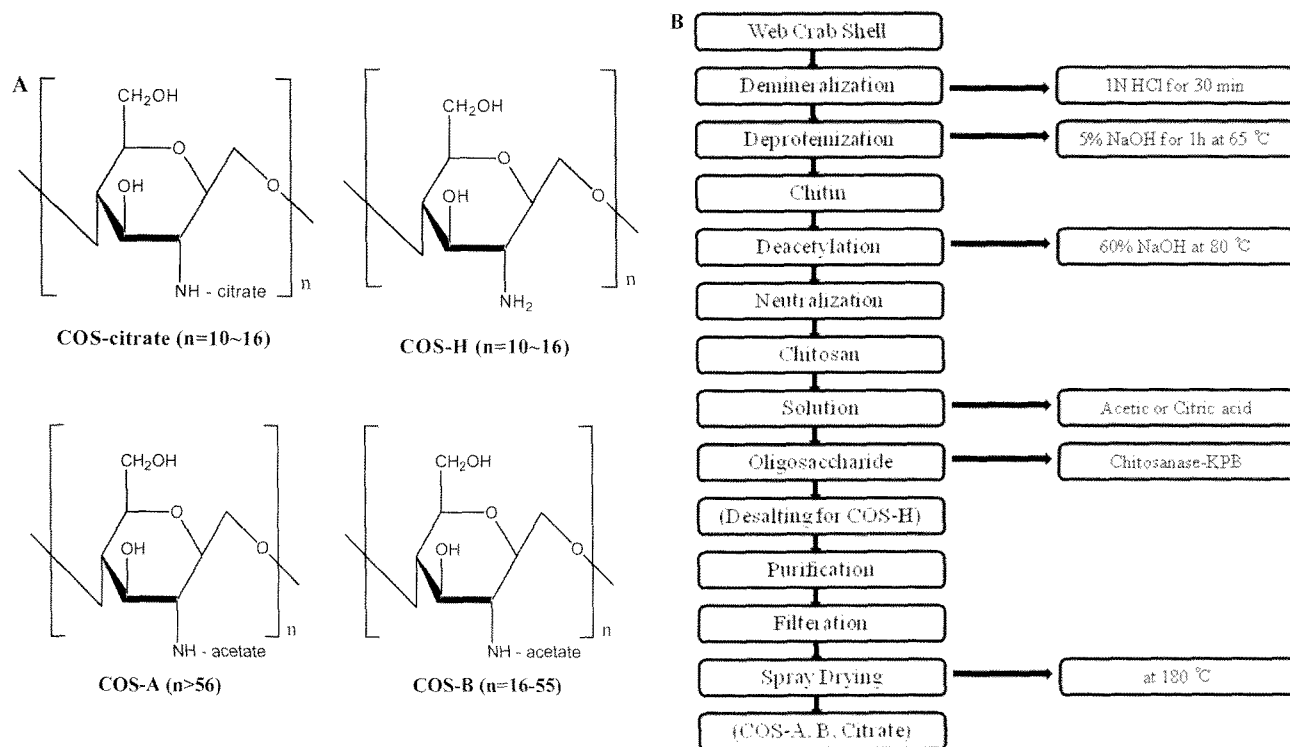
chitosanase and chitinase [Seyfarth *et al.*, 2008].

In this study, we prepared three kinds of COSs with different molecular weights (COS-A, COS-B, COS-H) and a citrate derivative of COS-H via enzymatic scission (Fig. 1). The antibacterial and antioxidant activities of these COSs were then evaluated. The reported antibacterial effects seem to be closely related to molecular weight and degree of acetylation [Lee *et al.*, 2002]. However, the results published so far have been contradictory: some authors reported that chitosan is more effective than COS in inhibiting bacterial growth, while others claimed that an increase in molecular weight decreases the activity of chitosan [Shahidi *et al.*, 1999; Jeon *et al.*, 2001; Liu *et al.*, 2001; Zheng *et al.*, 2004; Qin *et al.*, 2006; Eaton *et al.*, 2008; Fernandes *et al.*, 2008]. These apparent contradictions must therefore be taken into account whenever antimicrobial assays are performed with COSs. The following food-borne and skin pathogens were used to test the antimicrobial activity of the COSs: *Listeria monocytogenes* ATCC 19115, *Staphylococcus aureus* ATCC 25293, *Escherichia coli* ATCC 25922, *Vibrio parahaemolyticus* ATCC 33844, *Propionibacterium acnes* ATCC 6919, *Propionibacterium granulosum* ATCC 25564, *Malassezia furfur* ATCC 14521, *Staphylococcus epidermidis* ATCC 12228, and *Candida albicans* ATCC 10231. Antimicrobial activity of COSs was measured by the paper disc diffusion method. The cultures were adjusted with sterile saline solution to optical density (O.D) 0.4 ( $10^6$  CFU/mL). Filter paper (Whatman No. 5, Whatman International Ltd, Piscataway, NJ) discs (8 mm diameter) were impregnated with 2 mg/mL of the COSs, which were then aseptically applied to the surface of an agar plate at well-spaced intervals. Discs impregnated with sterile water were used as the negative control. The plates were incubated at 37°C for 24 h, and growth inhibition zones, including the diameter of the discs, were measured. The sensitivity of food-borne and skin pathogens to COSs is shown in Table 1. All food-borne pathogens (*L. monocytogenes*, *E. coli*, *V. parahaemolyticus*, and *S. aureus*) exhibited significant susceptibility to COSs (>10 mm inhibition diameter). Furthermore, the *E. coli* was more sensitive to COS-citrate than to other COSs. COS-B exhibited the best anti-yeast activity against *C. albicans* and *M. furfur*. On the other hand, acne-inducing skin pathogens such as *P. acnes*, *P. granulosum*, and *S. epidermidis*, exhibited no significant susceptibility to any COSs. Recently, the antioxidant activity of chitosan and its derivatives has attracted attention due to their nontoxic nature and natural abundance. Therefore, the antioxidant activities of COSs were investigated in DPPH radical scavenging assays and superoxide anion and xanthine oxidase inhibition studies. Fig. 2 depicts the DPPH radical scavenging effect of COS-A, COS-B, COS-H, and COS-citrate.

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**Abbreviations:** COS, chitooligosaccharides; NBT, nitroblue tetrazolium



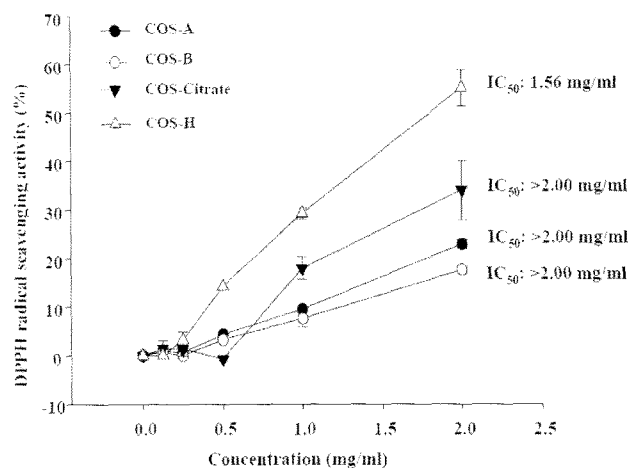
**Fig. 1. COS structures (A) and purification schemes (B).** Water-soluble COSs were prepared from 1%(w/v) chitosan in a dual reactor system. Chitosan (1%, w/v) was dissolved in acetic acid. The dual reactor system was composed of a column reactor packed with immobilized chitosanase and an ultrafiltration membrane. Chitosan was partially hydrolyzed using the packed column reactor, and this partially hydrolyzed chitosan was then applied to a substrate feed tank with an ultrafiltration membrane reactor to produce COS-A, COS-B, and COS-H. In COS-citrate, acetic acid was replaced by citric acid.

**Table 1. Antimicrobial activity of chitosan oligosaccharides**

Microorganisms	COS-A	COS-B	COS-citrate	COS-H
<i>Listeria monocytogenes</i>	12	12	13	10
<i>Staphylococcus aureus</i>	12	12	12	10
<i>Escherichia coli</i>	10	10	14	9
<i>Vibrio parahaemolyticus</i>	11	11	11	9
<i>Propionibacterium acnes</i>	-	-	-	-
<i>Propionibacterium granulosum</i>	-	-	-	-
<i>Malassezia furfur</i>	13	15	12	12
<i>Staphylococcus epidermidis</i>	-	-	-	-
<i>Candida albicans</i>	11	13	11	10

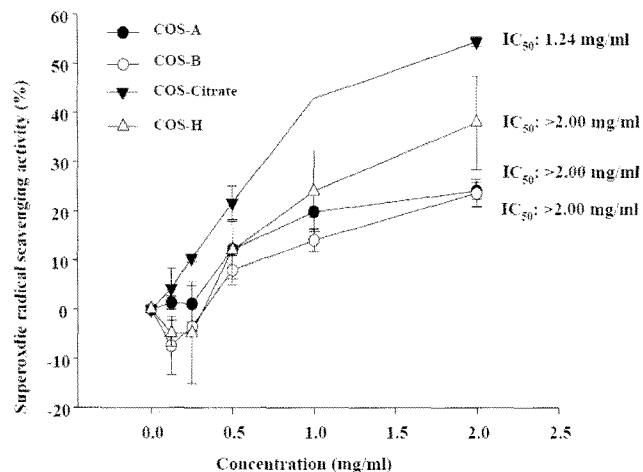
*L. monocytogenes*, *S. aureus*, *S. epidermidis*, *E. coli*, and *V. parahaemolyticus* were cultured in Tryptic Soy Broth (TSB) at 37°C. In the case of *V. parahaemolyticus*, NaCl was added to TSB broth to a concentration of 2%. Two kinds of bacteria that cause acne, *P. acnes* and *P. granulosum*, were cultured at 37°C for 24 h with GAM semisolid medium (Nissui, Japan) under anaerobic conditions before the assay. Two yeast strains, *M. furfur* and *C. albicans*, were cultured at 25°C for 48 h in YM broth. Olive oil (2%) was added for *M. furfur* cultivation.

The DPPH radical scavenging activity increased with increasing concentrations of COSs; in other words, the effect was concentration-dependent. Moreover, as shown in Fig. 2, the 50% inhibition concentration ( $IC_{50}$ ) of COS-H was 1.56 mg/mL, while the  $IC_{50}$ s of the other COS were above 2 mg/mL. DPPH possesses a proton free radical with a characteristic absorption that decreases significantly on exposure to proton radical



**Fig. 2. Free radical scavenging activity of COSs on DPPH radicals.** A  $4 \times 10^{-4}$  M DPPH solution was prepared in methanol. During the assay, 100  $\mu$ L of chitosan oligosaccharides and 100  $\mu$ L of freshly prepared DPPH solution were thoroughly mixed. The reaction mixture was incubated at room temperature for 20 min. The absorbance was then recorded at 517 nm using an ELISA reader. The concentration of chitosan oligosaccharides that provided 50% inhibition ( $IC_{50}$ ) of the DPPH free radical was calculated from a plot of the inhibition percentage against the COS concentration.

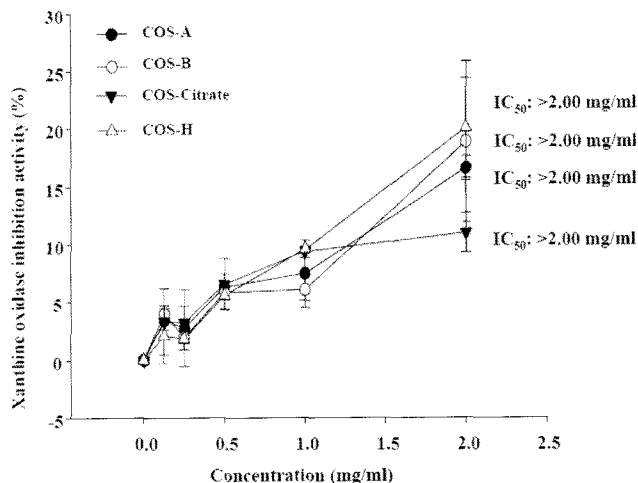
scavengers, and DPPH free radical scavenging by antioxidants is due to their hydrogen-donating ability. Thus, scavenging of DPPH free radical was directly affected by the amount of



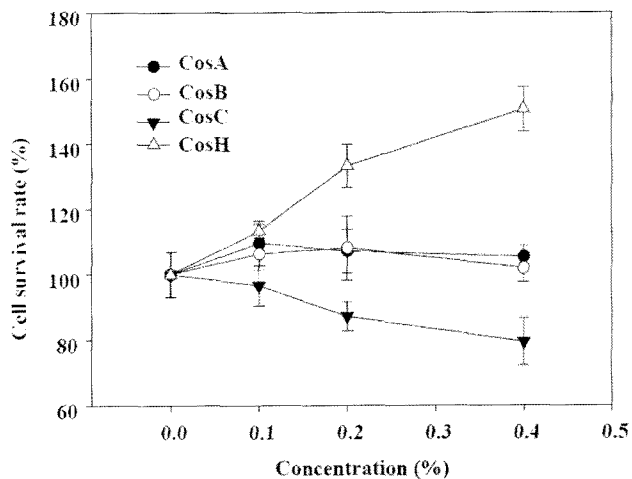
**Fig. 3. Free radical scavenging activity of COSs on superoxide anion.** Superoxide radicals were generated by the xanthine/xanthine oxidase system and monitored by the production of nitroblue tetrazolium (NBT). Reaction mixtures containing five different concentrations of chitosan oligosaccharide, 0.5 mM xanthine, and 0.5 mM NBT were incubated at room temperature for 2 min. The reaction was initiated by the addition of xanthine oxidase (50 mU/mL). After 20 min, the absorbance was recorded at 560 nm using an ELISA reader. The concentration of chitosan oligosaccharide providing 50% inhibition ( $IC_{50}$ ) was calculated from a plot of the inhibition percentage against the COS concentration. The experiments were conducted in triplicate.

attractable atoms in the COSs. Superoxide anion is formed in almost all aerobic cells and is a major agent in the mechanism of oxygen toxicity [Fridovich, 1978; Sawyer and Valentine, 1981; Sun *et al.*, 2004]. Superoxide anion is very harmful to cellular components as a precursor of more reactive oxidative species, such as single oxygen and hydroxyl radicals. Compared with other oxygen radicals, superoxide anion has a longer lifetime, can move longer distances, and is thus more dangerous [Sun *et al.*, 2008]. Therefore, it is very important to study scavenging of superoxide anion. Fig. 3 shows the superoxide anion scavenging activity of COSs at different concentrations. The scavenging effects of COS-A, COS-B, COS-H, and COS-citrate increased with increasing concentrations. The  $IC_{50}$  of COS-citrate was 1.24 mg/mL, while  $IC_{50}$ s of the other COSs were above 2 mg/mL.

Xanthine oxidase can be found at the end of the catabolic sequence of purine nucleotide metabolism in humans and a few other uricotelic species [Rundles and Wyngaarden, 1969]. It catalyzes the oxidation of xanthine, transforming it into uric acid. Overproduction of uric acid can cause hyperuricemia and gout, in which uric acid is deposited in joints where it causes painful inflammation [Rasaratnam and Christophidis, 1995; Harris *et al.*, 1999; Hsieh *et al.*, 2007]. Accordingly, use of a xanthine oxidase inhibitor that blocks the synthesis of uric acid in the body is a potential therapeutic approach for the treatment of gout [Emmerson, 1996; Hsieh *et al.*, 2007]. As mentioned, the conversions of hypoxanthine to xanthine and of xanthine to



**Fig. 4. Inhibition of xanthine oxidase by COSs.** Xanthine oxidase inhibition was evaluated by measuring the formation of uric acid from xanthine at room temperature. Reaction mixtures consisted of five different concentrations of the chitosan oligosaccharide in 100  $\mu$ L of 200 mM potassium phosphate buffer (pH 7.5) containing 1 mM EDTA, 0.5 mM xanthine, and 50 mU/mL xanthine oxidase. The activity of xanthine oxidase was spectrophotometrically determined by measuring the production of uric acid from xanthine at 290 nm. Activity was expressed as  $IC_{50}$ , and was defined as the concentration required to scavenge 50% of the uric acid in the solution. All experiments were performed in triplicate.



**Fig. 5. Cell viabilities of human fibroblast cells treated with COSs.** Human fibroblast cells were grown in DMEM supplemented with 10% (v/v) heat-inactivated FBS, penicillin (100 U/mL), and streptomycin (100  $\mu$ g/mL). Cultures were maintained at 37°C in 5%  $CO_2$  incubator. Cells were seeded in a 96-well plate at a concentration of  $2 \times 10^4$  cells/mL. Sixteen hours after seeding, the cells were treated with the extract samples. The cells were then incubated for an additional 72 h at 37°C. MTT stock solution (50  $\mu$ L; 2 mg/mL in PBS) was then added to each well for a total reaction volume of 250  $\mu$ L. After incubating for 4 h, the plate was centrifuged at 900 $\times$ g for 10 min and the supernatant was aspirated. The formazan crystals in each well were dissolved in DMSO. The amount of purple formazan was determined by measuring the absorbance at 540 nm.

uric acid are catalyzed by xanthine oxidase in the breakdown of purines. The inhibition of xanthine oxidase by COSs is shown in Fig. 4. The inhibitory activity against xanthine oxidase was very low ( $IC_{50} > 2$  mg/mL). The cytotoxic effects of COSs were also

examined in human fibroblast cells, because COSs could have cytotoxic effects on human skin cells when applied as therapeutic agents. If so, they would not be suitable therapeutic agents. The cell viability was almost 100% with COS-A, COS-B, and COS-H at concentrations below 4 mg/mL, whereas human fibroblast cell viability with COS-citrate was over 80% (Fig. 5). These data suggest that COSs have low cytotoxicity against mammalian cells. In conclusion, the antibacterial and antioxidant activities of the COSs were investigated. These compounds exhibited moderate DPPH scavenging, superoxide radical scavenging, and inhibition of xanthine oxidase. To clarify the antibacterial activities of COSs, we evaluated whether they could inhibit the growth of food-borne and skin pathogens. To test the potential application of COSs as cosmetic materials, we also performed MTT assays on human fibroblast cells. In this assay, COSs did not induce any adverse reactions. Based on these results, we suggest that COSs be considered possible candidates for human health.

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