

## DIMENSIONS OF THE SUBSETS IN THE SPECTRAL CLASSES OF A SELF-SIMILAR CANTOR SET

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**ABSTRACT.** Using an information of dimensions of divergence points, we give full information of dimensions of the completely decomposed class of the lower(upper) distribution sets of a self-similar Cantor set. Further using a relationship between the distribution sets and the subsets generated by the lower(upper) local dimensions of a self-similar measure, we give full information of dimensions of the subsets by the local dimensions.

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### 1. Introduction

Many authors([4],[5],[8],[10],[11]) have studied multifractals of a self-similar set associated with self-similar measures. A self-similar Cantor set is a typical example of such self-similar set. A spectral class of a self-similar Cantor set, a class of subsets derived from the local dimensions of a self-similar measure on a self-similar Cantor set, has been investigated in [5, 6, 8] to study its geometrical properties. In [6, 8], the Hausdorff and packing dimensions of subsets composing a spectral class were calculated using power equations related to contraction ratios and an associated probability of a self-similar measure. In [2], we related a spectral class by the lower(upper) local dimensions of a self-similar measure with the class by the lower or upper distribution sets(cf. [7]). It gives the comparison of a subset in a spectral class with another subset in a different spectral class via a distribution set. Using these results with the relationship, we compute the values of dimensions of the subsets composing a spectral class generated by a self-similar measure and its lower(upper) local dimensions. However we could not find packing dimensions of some subsets. In fact, the accumulation points

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of some frequency sequence of the point in the subset contain a singular point. We use a recent result([3]) of packing dimension about the divergence points in a self-similar set to get the packing dimension of the subset. Further we make the previous results([2]) simpler for better understanding.

## 2. Preliminaries

We denote  $F$  a self-similar Cantor set, which is the attractor of the similarities  $f_1(x) = ax$  and  $f_2(x) = bx + (1 - b)$  on  $I = [0, 1]$  with  $a > 0$ ,  $b > 0$  and  $1 - (a + b) > 0$ . Let  $I_{i_1, \dots, i_k} = f_{i_1} \circ \dots \circ f_{i_k}(I)$  where  $i_j \in \{1, 2\}$  and  $1 \leq j \leq k$ .

We note that if  $x \in F$ , then there is  $\sigma \in \{1, 2\}^{\mathbb{N}}$  such that  $\bigcap_{k=1}^{\infty} I_{\sigma|k} = \{x\}$  (Here  $\sigma|k = i_1, i_2, \dots, i_k$  where  $\sigma = i_1, i_2, \dots, i_k, i_{k+1}, \dots$ ). If  $x \in F$  and  $x \in I_{\sigma}$  where  $\sigma \in \{1, 2\}^k$ ,  $c_k(x)$  denotes  $I_{\sigma}$  and  $|c_k(x)|$  denotes the diameter of  $c_k(x)$  for each  $k = 0, 1, 2, \dots$ . Let  $p \in (0, 1)$  and we denote  $\gamma_p$  a self-similar Borel probability measure on  $F$  satisfying  $\gamma_p(I_1) = p$  (cf. [6]).  $\dim(E)$  denotes the Hausdorff dimension of  $E$  and  $\text{Dim}(E)$  denotes the packing dimension of  $E$  ([6]). We note that  $\dim(E) \leq \text{Dim}(E)$  for every set  $E$  ([6]). We denote  $n_1(x|k)$  the number of times the digit 1 occurs in the first  $k$  places of  $x = \sigma$  (cf. [7]).

For  $r \in [0, 1]$ , we define the lower(upper) distribution set  $\underline{F}(r)$  ( $\overline{F}(r)$ ) containing the digit 1 in proportion  $r$  by

$$\underline{F}(r) = \left\{ x \in F : \liminf_{k \rightarrow \infty} \frac{n_1(x|k)}{k} = r \right\},$$

$$\overline{F}(r) = \left\{ x \in F : \limsup_{k \rightarrow \infty} \frac{n_1(x|k)}{k} = r \right\}.$$

We call  $\{\underline{F}(r) : 0 \leq r \leq 1\}$  the lower distribution class and  $\{\overline{F}(r) : 0 \leq r \leq 1\}$  the upper distribution class. We write  $\underline{E}_{\alpha}^{(p)}$  ( $\overline{E}_{\alpha}^{(p)}$ ) for the set of points at which the lower(upper) local dimension of  $\gamma_p$  on  $F$  is exactly  $\alpha$ , so that

$$\underline{E}_{\alpha}^{(p)} = \left\{ x : \liminf_{r \rightarrow 0} \frac{\log \gamma_p(B_r(x))}{\log r} = \alpha \right\},$$

$$\overline{E}_{\alpha}^{(p)} = \left\{ x : \limsup_{r \rightarrow 0} \frac{\log \gamma_p(B_r(x))}{\log r} = \alpha \right\}.$$

We call  $\{\underline{E}_{\alpha}^{(p)} (\neq \emptyset) : \alpha \in \mathbb{R}\}$  the spectral class generated by the lower local dimensions of a self-similar measure  $\gamma_p$  and  $\{\overline{E}_{\alpha}^{(p)} (\neq \emptyset) : \alpha \in \mathbb{R}\}$  the spectral class generated by the upper local dimensions of a self-similar measure  $\gamma_p$ . We call  $\alpha$  satisfying  $\underline{E}_{\alpha}^{(p)} (\neq \emptyset)$  ( $\overline{E}_{\alpha}^{(p)} (\neq \emptyset)$ ) an associated lower(upper) local dimension of  $\gamma_p$ .

In this paper, we assume that  $0 \log 0 = 0$  for convenience. We define for  $r \in [0, 1]$

$$g(r, p) = \frac{r \log p + (1 - r) \log(1 - p)}{r \log a + (1 - r) \log b}.$$

From now on we will use  $g(r, p)$  as the above definition.

### 3. Main results

**Lemma 1.** *Let a real number  $s$  satisfy  $a^s + b^s = 1$ . If  $0 < p < a^s$ , then  $\frac{\log(1-p)}{\log b} < \frac{\log p}{\log a}$ . Similarly if  $a^s < p < 1$ , then  $\frac{\log p}{\log a} < \frac{\log(1-p)}{\log b}$ .*

*Proof.* Let  $0 < p < a^s$ . Since log function is an increasing function, we easily see that  $\frac{\log(1-p)}{\log b} < s < \frac{\log p}{\log a}$ . The same arguments hold for  $a^s < p < 1$ .  $\square$

**Remark 1.** If  $p \in (0, 1)$  and  $p \neq a^s$  where  $s$  satisfy  $a^s + b^s = 1$ , then  $g(r, p)$  is a strictly monotone function for  $r \in [0, 1]$  ([2]). Hence we find a solution  $r$  for the equation  $g(r, p) = \alpha$  where  $\alpha \in \left[ \frac{\log(1-p)}{\log b}, \frac{\log p}{\log a} \right]$  or  $\alpha \in \left[ \frac{\log p}{\log a}, \frac{\log(1-p)}{\log b} \right]$ .

**Proposition 1.** *For  $0 \leq r_1 \leq r_2 \leq 1$ ,*

$$\dim \left( \underline{F}(r_1) \cap \overline{F}(r_2) \right) = \inf_{r_1 \leq r \leq r_2} g(r, r)$$

and

$$\text{Dim} \left( \underline{F}(r_1) \cap \overline{F}(r_2) \right) = \sup_{r_1 \leq r \leq r_2} g(r, r).$$

*Proof.* It follow from [3, 9].  $\square$

**Corollary 1.** *Let a real number  $s$  satisfy  $a^s + b^s = 1$ . For  $0 \leq r_1 \leq a^s \leq r_2 \leq 1$ ,*

$$\text{Dim}(\underline{F}(r_1)) = s = \text{Dim}(\overline{F}(r_2)).$$

*In particular,*

$$\text{Dim}(\underline{F}(0)) = s = \text{Dim}(\overline{F}(1)).$$

*Proof.* It follow from the fact that  $g(a^s, a^s) = s$  and the above Proposition.  $\square$

**Corollary 2.** Let  $s$  be the unique real number satisfying  $a^s + b^s = 1$ . Then

- (1)  $\dim(\underline{F}(r)) = \dim(\overline{F}(r)) = g(r, r)$  and  $\text{Dim}(\underline{F}(r)) = s$  and  $\text{Dim}(\overline{F}(r)) = g(r, r)$  if  $0 \leq r < a^s$ ;
- (2)  $\dim(\underline{F}(r)) = \dim(\overline{F}(r)) = g(r, r)$  and  $\text{Dim}(\underline{F}(r)) = g(r, r)$  and  $\text{Dim}(\overline{F}(r)) = s$  if  $a^s < r \leq 1$ ,
- (3)  $\dim(\underline{F}(a^s)) = \dim(\overline{F}(a^s)) = s$  and  $\text{Dim}(\underline{F}(a^s)) = \text{Dim}(\overline{F}(a^s)) = s$

*Proof.* It follows from the above Corollary and the corollary 5 in [2].  $\square$

**Lemma 2.** Let  $p \in (0, 1)$  and consider a self-similar measure  $\gamma_p$  on  $F$  and let  $r \in [0, 1]$ . Then for a real number  $s$  satisfying  $a^s + b^s = 1$

- (1)  $\underline{F}(r) = \underline{E}_{g(r,p)}^{(p)}$  if  $0 < p < a^s$ ,
- (2)  $\underline{F}(r) = \overline{E}_{g(r,p)}^{(p)}$  if  $a^s < p < 1$ ,
- (3)  $\overline{F}(r) = \overline{E}_{g(r,p)}^{(p)}$  if  $0 < p < a^s$ ,
- (4)  $\overline{F}(r) = \underline{E}_{g(r,p)}^{(p)}$  if  $a^s < p < 1$ .

*Proof.* It follows from [2].  $\square$

**Theorem 1.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$ ,  $\underline{E}_{g(r,p_1)}^{(p_1)} = \underline{E}_{g(r,p_2)}^{(p_2)} = \overline{E}_{g(r,p_3)}^{(p_3)} = \overline{E}_{g(r,p_4)}^{(p_4)}$  for some  $r \in [0, 1]$ .

*Proof.* We note that all they are  $\underline{F}(r)$ . It is immediate from the above Lemma.  $\square$

**Theorem 2.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$  and  $0 \leq r \leq a^s$  where a real number  $s$  satisfies  $a^s + b^s = 1$ ,

$$\underline{E}_{g(r,p_1)}^{(p_1)} = \underline{E}_{g(r,p_2)}^{(p_2)} = \overline{E}_{g(r,p_3)}^{(p_3)} = \overline{E}_{g(r,p_4)}^{(p_4)}$$

has Hausdorff dimension  $g(r, r)$  and packing dimension  $s$ .

*Proof.* If  $0 \leq r \leq a^s$ , then  $\underline{F}(r)$  has Hausdorff dimension  $g(r, r)$  and packing dimension  $s$ . It is immediate from the above Proposition.  $\square$

**Theorem 3.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$  and  $a^s \leq r \leq 1$  where a real number  $s$  satisfies  $a^s + b^s = 1$ ,

$$\underline{E}_{g(r,p_1)}^{(p_1)} = \underline{E}_{g(r,p_2)}^{(p_2)} = \overline{E}_{g(r,p_3)}^{(p_3)} = \overline{E}_{g(r,p_4)}^{(p_4)}$$

has Hausdorff dimension and packing dimension  $g(r, r)$ .

*Proof.* If  $a^s \leq r \leq 1$ , then  $\underline{F}(r)$  has Hausdorff dimension and packing dimension  $g(r, r)$ . It is immediate from the above Proposition.  $\square$

**Theorem 4.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$

$$\overline{E}_{g(r,p_1)}^{(p_1)} = \overline{E}_{g(r,p_2)}^{(p_2)} = \underline{E}_{g(r,p_3)}^{(p_3)} = \underline{E}_{g(r,p_4)}^{(p_4)}$$

for some  $r \in [0, 1]$ .

*Proof.* We note that all they are  $\overline{F}(r)$ . It is immediate from the above Lemma.  $\square$

**Theorem 5.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$  and  $0 \leq r \leq a^s$  where a real number  $s$  satisfies  $a^s + b^s = 1$ ,

$$\overline{E}_{g(r,p_1)}^{(p_1)} = \overline{E}_{g(r,p_2)}^{(p_2)} = \underline{E}_{g(r,p_3)}^{(p_3)} = \underline{E}_{g(r,p_4)}^{(p_4)}$$

has Hausdorff dimension  $g(r, r)$  and packing dimension  $s$ .

*Proof.* If  $0 \leq r \leq a^s$ ,  $\overline{F}(r)$  has Hausdorff dimension  $g(r, r)$  and packing dimension  $s$ . It is immediate from the above Proposition.  $\square$

**Theorem 6.** For  $0 < p_1, p_2 < a^s < p_3, p_4 < 1$  and  $a^s \leq r \leq 1$  where a real number  $s$  satisfies  $a^s + b^s = 1$ ,

$$\overline{E}_{g(r,p_1)}^{(p_1)} = \overline{E}_{g(r,p_2)}^{(p_2)} = \underline{E}_{g(r,p_3)}^{(p_3)} = \underline{E}_{g(r,p_4)}^{(p_4)}$$

has Hausdorff dimension and packing dimension  $g(r, r)$ .

*Proof.* If  $a^s \leq r \leq 1$ ,  $\overline{F}(r)$  has Hausdorff dimension and packing dimension  $g(r, r)$ . It is immediate from the above Proposition.  $\square$

**Theorem 7.** For  $p = a^s$  where a real number  $s$  satisfies  $a^s + b^s = 1$ ,

$$\overline{E}_s^{(p)} = \underline{E}_s^{(p)} = E_s^{(p)} = F$$

has Hausdorff dimension and packing dimension  $s$ .

*Proof.* It is immediate from Remark 1 in [2].  $\square$

**Remark 2.** For any  $p \in (0, 1)$  and any  $\alpha$  such that  $\alpha \in \left[ \frac{\log(1-p)}{\log b}, \frac{\log p}{\log a} \right]$  or  $\alpha \in \left[ \frac{\log p}{\log a}, \frac{\log(1-p)}{\log b} \right]$ ,  $\underline{E}_\alpha^{(p)}$  or  $\overline{E}_\alpha^{(p)}$  can be represented by  $\underline{F}(r)$  or  $\overline{F}(r)$  for some solution  $r$  of the equation  $g(r, p) = \alpha$ . Further we have full information of its Hausdorff dimension and packing dimension.

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