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# 개구면 결합 공진기 급전 마이크로스트립 방향성결합기 해석 및 설계

## ( Analysis and Design Theory of Aperture-Coupled Cavity-Fed Back-to-Back Microstrip Directional Coupler )

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### 요 약

개구면 결합 공진기 급전 마이크로스트립 방향성결합기의 특성을 해석하였고, 최적 설계를 위한 효율적인 설계 이론을 제시한다. 이러한 목적을 위해 단순하고도 정확한 등가 회로를 도출하였고, 도출된 등가 회로를 바탕으로 결합기의 설계 수식을 유도하였다. 여러 구조적 설계 변수를 결정하기 위해, 유전 알고리즘과 Nelder-Mead 방법에 기반한 진화적 이중 최적화 방법을 사용하였으며, 제안한 설계 방식과 최적화 설계 방법의 타당성을 검증하기 위해 10 dB 방향성 결합기를 설계, 제작하였다. 측정된 결합기의 결합 계수는 3 GHz 에서 10.3 dB 였고, 반사계수와 isolation은 각각 31.8 dB 와 30.5 dB 였다. 또한 출력단과 결합단 사이에 90° 위상 차이를 보였다. 측정치와 설계치의 일치도는 제안한 해석 방법과 등가 회로 및 최적화 설계의 타당성을 검증해 준다.

### Abstract

An analysis and design theory of an aperture-coupled cavity-fed back-to-back microstrip directional coupler is presented for the efficient and optimized design. For this purpose, an equivalent network is developed, and simple but accurate calculations of circuit element values are described. Design equations of the coupler are derived based on the equivalent circuit. In order to determine various structural design parameters, the evolutionary hybrid optimization method based on the genetic algorithm and Nelder-Mead method is invoked. As a validation check of the proposed theory and optimized design method, a 10 dB directional coupler was designed and fabricated. The measured coupling was 10.3 dB at 3 GHz, and the return loss and isolation were 31.8 dB and 30.5 dB, respectively. The directional coupler also showed very good quadrature phase characteristics. Good agreements between the measured and the design specifications fully validate the proposed network analysis and design procedure.

**Keywords :** Aperture-coupling, cavity-feed, directional coupler, microstrip circuit, hybrid optimization,

### I. Introduction

The need for heat sink and structural support for

active circuits, especially in active phased array antenna elements, often requires the use of relatively thick ground plane. Aperture-coupled cavity-fed coupling structure, which enables an electromagnetic wave to propagate without decay (above the cut off) in waveguide sections in thick ground plane, is of interest in multilayer microwave and millimeter wave circuits as an efficient means of electrical

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interconnection between layers. In addition to the better electrical characteristics, it provides much more design flexibility.

Researches on aperture-coupled cavity-fed microstrip couplers have been undertaken<sup>[1]-[3]</sup>, but these are limited to only single coupling section. In order to realize directional coupling characteristics, studies are needed on multi-section coupling structures and efficient design methods. Although the numerical analyses can be applied for the accurate analysis of the aforementioned coupling structures, these have a limitation in design applications where a large number of design parameters are involved. Therefore development of an elaborated equivalent circuit, which is based on an analytic or semi-analytic method, is needed for the optimized computer-aided designs.

This paper presents network analysis and design theory of an aperture-coupled cavity-fed back-to-back microstrip directional coupler, where two coupling sections are connected by stepped impedance microstrip lines. An equivalent network is developed for the optimized design purpose, and simple but accurate calculation of circuit element values is described. Based on this equivalent circuit, design equations of the directional coupler are derived and applied to design directional couplers, where an evolutionary hybrid optimization method based on the genetic algorithm<sup>[4]</sup> and Nelder-Mead method<sup>[5]</sup> is invoked to determine the structural parameters.

## II. Network Analysis and Equivalent Circuit

The geometry of a symmetric aperture-coupled cavity-fed back-to-back microstrip directional coupler is shown in Fig. 1, where two identical sections of aperture-coupled cavity-fed coupling structures are connected with two identical connecting microstrip lines. The bottom and top microstrip lines are chosen as the feed and coupled lines, respectively. The thickness and dielectric constant of microstrip substrates are  $d$  and  $\epsilon_r$ , respectively. The width of microstrip port line is denoted by  $W$ .  $L_s$  and  $W_s$  are

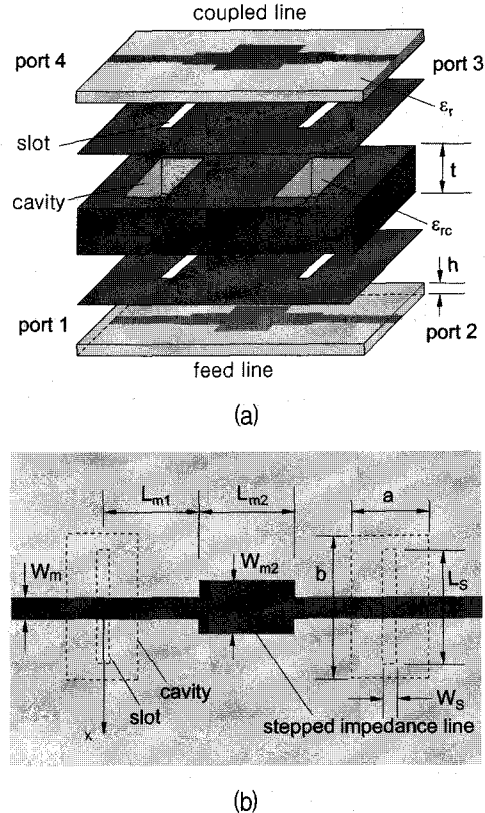


그림 1. 개구면 결합 공진기 급전 등진 구조 마이크로스트립 방향성 결합기의 형태; (a) 3차원모양; (b) 상부모양

Fig. 1. Geometry of aperture-coupled cavity-fed back-to-back microstrip directional coupler; (a) 3 dimensional view; (b) top view

the length and width of the coupling slots, and  $a$ ,  $b$ , and  $t$  are the width, height, and thickness of the cavities, respectively. The cavities are filled with a dielectric material with dielectric constant  $\epsilon_{rc}$ . In some cases, multiple dielectric sheets can be stacked in the cavities. It is important that the dimensions of slots and dielectric filled waveguide should be chosen to ensure the below resonant mode and the above the cut off mode operations, respectively. As the connecting lines, stepped impedance microstrip lines are introduced, where a uniform line is the special case of stepped impedance lines. A higher degree of design freedom can be provided with the stepped impedance lines.  $L$  is the extended length of the feed line.  $L_l$  and  $W_l$  are the length and width of the microstrip stepped impedance line, respectively.

At first, an equivalent circuit of a single coupling section, which consists of two identical microstrip

lines and cavity with two identical coupling apertures, is considered. The equivalent network models developed for an aperture coupled microstrip lines, back to back coupler, and patch antenna <sup>[6]-[8]</sup> have to be combined and slightly modified for reflecting the effect of aperture coupled cavity in a thick ground plane accurately <sup>[9]</sup>. In order to facilitate the reader's understanding, the related theories are described briefly.

It is well known that electromagnetic coupling between the microstrip line and aperture in the ground plane can be modeled by an ideal transformer with the help of the reciprocity theorem <sup>[10]</sup>. The turns ratio  $n_t$  is expressed as

$$n_t = \int_S (\bar{e}_s \times \bar{h}_m) \cdot \hat{n} dS \quad (1)$$

where  $S$  is the aperture area,  $\bar{e}_s$  is the aperture electric field which is normalized so that the voltage across the slot center becomes 1 V,  $\bar{h}_m$  denotes the magnetic field of a microstrip line under the normalization condition of unit current flow (1 A), and  $\hat{n}$  is the outward normal unit vector. Since the aperture electric field is known to be well approximated by  $\bar{e}_s = \hat{x}e_{sx}$  with

$$e_{sx} = \frac{\cos(\pi y/L_s)}{\pi \sqrt{(W_s/2)^2 - x^2}} \quad (2)$$

only the  $y$ -component magnetic field  $h_{my}$  contributes to the above integral. With the following inverse Fourier transform expression of  $h_{my}$ :

$$h_{my}(y) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \tilde{h}_{my}(k_y) e^{-jk_y y} dk_y \quad (3)$$

the following expression of  $n_t$  can be obtained.

$$n_t = \frac{J_0(W_s \beta_m/2)}{L_s} \int_{-\infty}^{\infty} \tilde{h}_{my}(k_y) \frac{\cos(k_y L_s/2)}{(\pi/L_s)^2 - k_y^2} dk_y \quad (4)$$

where  $\beta_m$  is the phase constant of microstrip line. With the help of the spectral domain immittance approach <sup>[6],[11]</sup>, the following expression of  $\tilde{h}_{my}(k_y)$  can be obtained under the assumption of

only the  $x$ -component current flow on the microstrip line:

$$\tilde{h}_{my} = \frac{1}{\cosh(\gamma_d d)} \tilde{G}_{yx}^{HJ} \tilde{J}_{my}(k_y) \quad (5)$$

where  $\tilde{G}_{yx}^{HJ}$  denotes the spectral domain Green's function of the  $y$ -component magnetic field due to the  $x$ -component current density on microstrip line  $J_{mx}$  <sup>[6],[11]</sup>, and  $\tilde{J}_{my}(k_y)$  is the Fourier transform of  $J_{my}(y)$ . The following function is well known to approximate the current density on microstrip line:

$$J_{mx}(y) = \frac{1}{\pi \sqrt{(W/2)^2 - y^2}} \quad (6)$$

Looking over the integrand in equation (4), it is not difficult to know that the integrand has no singularity along the real  $k_y$  axis, and converges fast as  $k_y$ . Therefore  $n_t$  can be evaluated numerically without difficulty.

The effect of the complex power flow into the half space with substrate from aperture is represented by the admittance  $Y_s$  in the spectral domain as

$$Y_s = - \int_S (m_{sy}^* H_y) dS \\ = \frac{-1}{4\pi^2 |V_s|^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \tilde{G}_{xx}^{HM} \tilde{M}_{sx}^2 dk_x dk_y \quad (7)$$

where  $\tilde{G}_{xx}^{HJ}$  denotes the spectral-domain Green's function of magnetic field  $H_{sx}$  due to the equivalent magnetic current density  $m_{sx}$  just above the ground plane, and  $\tilde{M}_{sx}$  is the Fourier transform of  $m_{sx}$ . With the spectral-domain immittance approach <sup>[11]</sup> and the Fourier transform relation,  $\tilde{G}_{xx}^{HM}$  and  $\tilde{M}_x$  are obtained as

$$\tilde{G}_{xx}^{HM} = \frac{-(k_x^2 Y_{TE}^s + k_y^2 Y_{TE}^s)}{k_x^2 + k_y^2} \quad (8)$$

$$\tilde{M}_{sx} = \frac{2\pi}{L_s} \frac{\cos(k_x L_s/2)}{(\pi/L_s)^2 - k_x^2} J_0\left(\frac{W_s |k_y|}{2}\right) \quad (9)$$

where  $J_0(\cdot)$  denotes the 1<sup>st</sup> kind of Bessel function of zero order, and  $Y_{TE}$  and  $Y_{TM}$  are the input wave admittances for the  $TE_z$  and  $TM_z$  modes,

respectively. Applying the rectangular-to-polar coordinate transformation and the symmetry property of  $\tilde{G}_{xx}^{HJ}$  and  $|\tilde{M}_{sx}|^2$ ,  $Y_s$  can be numerically evaluated without difficulty with the help of the contour deformation [12] and asymptotic extraction techniques [13]

The rectangular cavity with two apertures in a thick ground plane can be regarded as two aperture-to-waveguide sections in a back-to-back configuration. Considering the behavior of the  $y$ -component magnetic field in the waveguide  $H_{wy}$ , the Fourier series is chosen as

$$H_{wy}(x, y) = \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} H_{mn} \sin k_{xm} (x + a/2) \cos k_{yn} (y + b/2) \quad (10)$$

where  $k_{xm} = m\pi/a$ ,  $k_{yn} = n\pi/b$ , and  $H_{mn}$  is the  $mn$ -th Fourier coefficient of  $H_{wy}$ . With this choice and applying the Parseval's theorem and some algebraic manipulations,  $Y_{sw}$ , the input admittance looking into the waveguide from the aperture, is expressed as

$$\begin{aligned} Y_{sw} &= - \int_S (m_{sy}^* H_{wy}) dS \\ &= \sum_{m=1}^{\infty} \sum_{n=0}^{\infty} \{ (n_{nm}^{TE})^2 Y_{mn}^{TE} + (n_{mn}^{TM})^2 Y_{mn}^{TM} \} \end{aligned} \quad (11)$$

with

$$(n_{mn}^{TE})^2 = \frac{ab}{2\epsilon_n} |\tilde{M}_{sx}|_{mn}^2 \frac{k_{xm}^2}{k_{xm}^2 + k_{yn}^2} \quad (12)$$

where  $(\tilde{M}_{sx})_{mn}$ , the  $mn$ -th Fourier coefficients of  $m_{sx}$ , is given as

$$(\tilde{M}_{sx})_{mn} = \frac{2\epsilon_n}{ab} \frac{2\pi}{L_s} \frac{\cos(k_x L_s/2) \sin(k_{xm} a/2)}{(\pi/L_s)^2 - k_x^2} J_0 \left( \frac{W_s |k_y|}{2} \right) \cos \left( \frac{k_{yn} b}{2} \right) \quad (13)$$

and  $\epsilon_n = 1$  for  $n = 0$  and  $\epsilon_n = 2$  for  $n \neq 0$ . The expression of  $(n_{mn}^{TM})^2$  is the same as that of  $(n_{mn}^{TE})^2$  with  $k_{xm}$  and  $k_{yn}$  interchanged. Each mode of a waveguide can be modeled by the corresponding equivalent transmission line, and  $n_{mn}^{TE}$  and  $n_{mn}^{TM}$  can be considered as the turns ratios of the equivalent

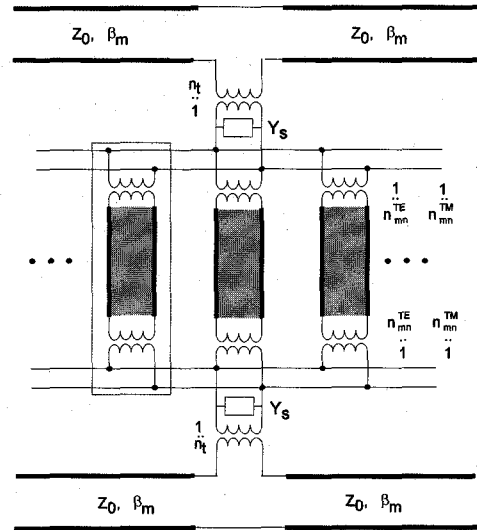


그림 2. 단일 결합 부의 등가회로 모델

Fig. 2. Equivalent circuit representation of a single coupling section.

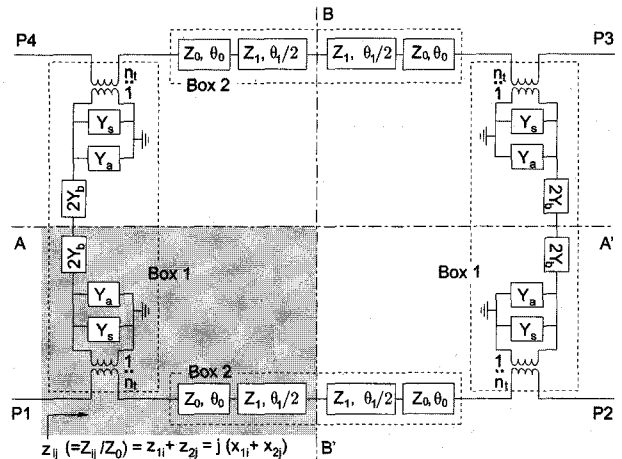


그림 3. 개구면 결합 급전 등진구조 마이크로스트립 방향성 결합기의 등가 회로

Fig. 3. Equivalent circuit of an aperture-coupled cavity-fed back-to-back microstrip directional coupler.

ideal transformers between the slot and the corresponding waveguide mode voltages. A simple equivalent circuit representation can therefore be made. Now since the opposite part can be modeled similarly, the equivalent circuit of a single section coupling structure is obtained as shown in Fig. 2. The equivalent circuit for each transmission line section can be analyzed using the ABCD matrix formulation. From the obtained ABCD matrix, the admittance parameters of the equivalent  $\pi$ -network are obtained by the

network parameter conversion [14] as shown in the dashed box. All the parallel connected  $\pi$ -networks can be represented by single  $\pi$ -network with admittance parameters  $Y_a$  and  $Y_b$ . Therefore the final equivalent network of the directional coupler is obtained as shown in Fig. 3. Even though the radiation loss from coupling slots can be included in the equivalent circuit, it will be ignored for the derivation of coupling condition.

### III. Coupling Theory and Design Equations

Now a coupling theory of the proposed directional coupler is considered from the obtained equivalent circuit. The directional coupler is a four port network with two fold symmetry planes (AA' and BB') as shown in Fig. 3. The connecting transmission-line sections are the circuit representation of the extended feed line (electrical line length  $\theta_0$ ) and stepped impedance microstrip line (characteristic impedance  $Z_1$  and electrical length  $\theta_1$ ). Even though uniform line is one candidate of connecting lines, it just the special case of stepped impedance lines.

The scattering parameters can be calculated with the even-odd mode analysis by considering only one-quarter part of the circuit (Fig 3) [14]. Under the assumption of a lossless directional coupler, normalized input impedance  $z_{ij}$  ( $= Z_{ij}/Z_0$ ) can be expressed as  $z_{ij} = jx_{ij} = j(x_{1i} + x_{2j})$  where  $ij = ee, eo, oe, or oo$ , with the following meanings:

$ee$  : AA' is open circuited and BB' open circuited,  
 $eo$  : AA' is short circuited and BB' open circuited,  
 $oe$  : AA' is open circuited and BB' short circuited,  
 $oo$  : AA' is short circuited and BB' short circuited.

With the corresponding reflection coefficient  $\Gamma_{ij} = (z_{ij} - 1)/(z_{ij} + 1)$ , the scattering parameters of the directional coupler are obtained as

$$S_{11} = (\Gamma_{ee} + \Gamma_{eo} + \Gamma_{oe} + \Gamma_{oo})/4 \quad (14a)$$

$$S_{21} = (\Gamma_{ee} - \Gamma_{eo} + \Gamma_{oe} - \Gamma_{oo})/4 \quad (14b)$$

$$S_{31} = (\Gamma_{ee} - \Gamma_{eo} + \Gamma_{oe} - \Gamma_{oo})/4 \quad (14c)$$

$$S_{41} = (\Gamma_{ee} + \Gamma_{eo} - \Gamma_{oe} - \Gamma_{oo})/4 \quad (14d)$$

Now the conditions of directional coupler are considered. If the port 1 and 4 are chosen as input and isolation ones, respectively, the following conditions can be derived from the matching and isolation conditions ( $S_{11}=0$  and  $S_{41}=0$ ):

$$x_{ee}x_{eo} = -1 \quad (15a)$$

$$x_{oe}x_{oo} = -1 \quad (15b)$$

Under these matching and isolation conditions,  $S_{31}/S_{21}$  is given as

$$\frac{S_{31}}{S_{21}} = \frac{-j(x_{ee} - x_{oe})}{1 + x_{ee}x_{oe}} \quad (16)$$

and the phase difference therefore becomes  $90^\circ$ . This implies that the proposed directional coupler can be used as a quadrature hybrid. Algebraic manipulation of equations (15a) and (15b) yields  $(x_{1e} - x_{1o})(x_{ee} + x_{oo}) = 0$ . Under the case  $x_{1e} \neq x_{1o}$ , which is a general case for the directional coupler considered in this paper, the following condition can be obtained

$$x_{ee} + x_{oo} = 0 \quad (17)$$

Using these relations, the coupling factor  $C$  ( $=|S_{31}|$ ) can be expressed as a function of  $x_{ee}$  only as

$$C^2 = \frac{(1 - x_{ee}^2)^2}{(1 + x_{ee}^2)^2} \quad (18)$$

and four different values of  $x_{ee}$  are therefore determined for the given coupling factor  $C$  as

$$x_{ee} = \pm \sqrt{\frac{1-C}{1+C}} \quad \text{or} \quad \pm \sqrt{\frac{1+C}{1-C}} \quad (19)$$

Fig. 4 shows four different values of  $x_{ee}$  with respect to coupling  $C$ .

In order to design a directional coupler, four reactance values  $x_{1e}$ ,  $x_{1o}$ ,  $x_{2e}$ , and  $x_{2o}$  should be

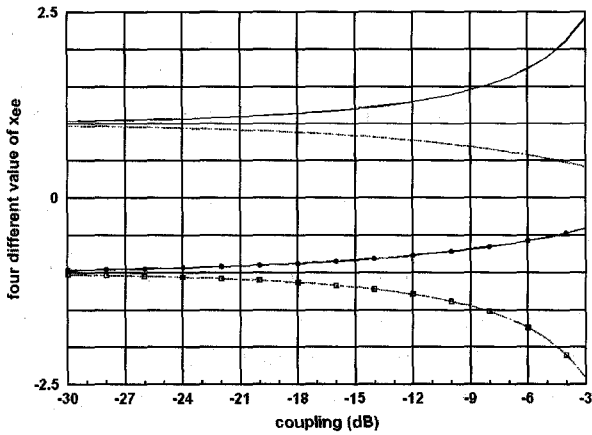
그림 4. 결합량에 따른 4개의 서로 다른  $x_{ee}$  값들

Fig. 4. Four different values of  $x_{ee}$  with respect to coupling.

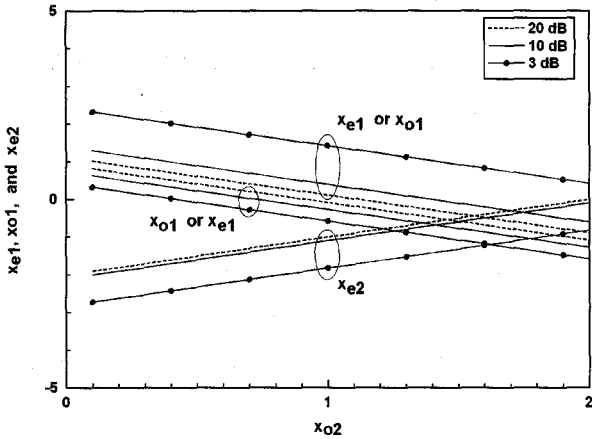
그림 5.  $x_{o2}$ 에 대한  $x_{e1}$ ,  $x_{o1}$  그리고  $x_{e2}$ 의 설계 곡선

Fig. 5. Design curves for  $x_{e1}$ ,  $x_{o1}$ , and  $x_{e2}$  as a function of  $x_{o2}$ .

determined from the given conditions. In general, the length of the connecting line is order of quarter-wave length, and  $x_{1e}$  and  $x_{1o}$  are therefore negative and positive, respectively. Fig. 5 shows reasonable sets of  $x_{1e}$ ,  $x_{2e}$ , and  $x_{2o}$  for the specified coupling as a function of  $x_{1o}$ . Since there are only three conditions (matching, isolation, and coupling), one of the reactance values should be preassigned.

As an example with a preassigned  $x_{1e}$ , design procedure for a specified coupling  $C$  is described in Fig. 6. Since  $x_{ee}$  is a function of  $C$  as shown in equation (19), different values of  $x_{2e}$  can be calculated from the equation  $x_{ee} = x_{1e} + x_{2e}$  with a preassigned  $x_{1e}$ . From each value of  $x_{ee}$ , the corresponding  $x_{oo}$  is determined by equation (17), and  $x_{oe} = (x_{1o} + x_{2e})$  is

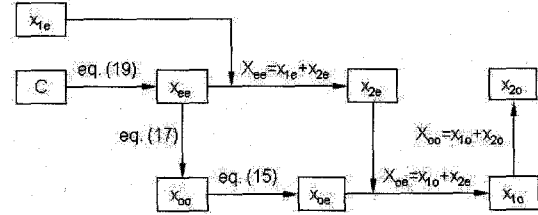


그림 6. 개구면 결합 급전 등진구조 마이크로스트립 방향성 결합기의 설계 흐름도

Fig. 6. Design flow of the aperture-coupled cavity-fed back-to-back microstrip directional coupler.

obtained from the equation (15). Finally  $x_{1o}$  and  $x_{2o}$  are successively determined. Now the structural dimensions of the directional coupler satisfying these equivalent circuit values should be determined.

#### IV. Optimized Design

As mentioned before, many structural parameters are involved in the design of the directional coupler studied in this paper. It is known that the equivalent circuit parameters  $x_{1e}$  and  $x_{1o}$  are functions of the dimensions of coupling section, and  $x_{2e}$  and  $x_{2o}$  of the connecting stepped impedance line.

At first, the dimensions of cavity and coupling slot such as  $a$ ,  $b$ ,  $t$ , and  $L_s$  should be determined so as to yield the desired  $x_{1e}$  and  $x_{1o}$  for the specified coupling power. However, this is not an easy task because many structural parameters involved are linked together. So the optimized design<sup>[15]</sup> is therefore indispensable, and an evolutionary hybrid optimization method can be efficiently used.

The genetic algorithm (GA) is well known to be one of the powerful global optimization tools for the electromagnetic design problems<sup>[4]</sup>. This algorithm is particularly effective in the global min-max problems where large numbers of design parameters are involved. However, it is very difficult to find the best solution by applying GA alone due to its statistical property. So it is encouraged to use local optimization algorithms together with GA. The Nelder-Mead method (NM), one of the simplex methods for finding a local minimum of function, is known to be simple and efficient because NM is relied not on numerical

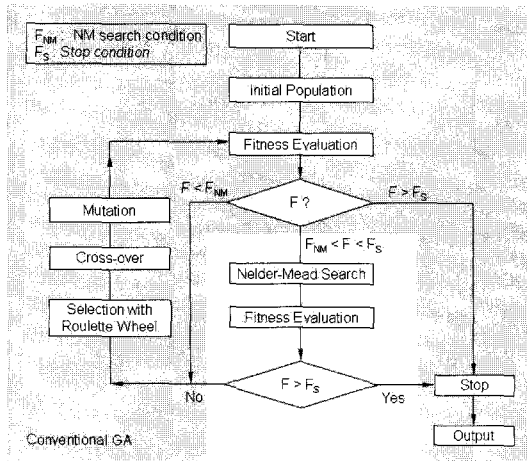


그림 7. 진화적 이동 최적화 방법의 흐름도

Fig. 7. Flow chart of the evolutionary hybrid optimization method.

derivatives (such as Conjugate Gradient) but on a geometrical search<sup>[5]</sup>. The hybrid optimization algorithm combining GA and NM is therefore chosen for the optimized design of the proposed directional coupler.

The flow chart of the evolutionary hybrid optimization method is shown in Fig. 7. This algorithm starts with an initial set of randomly generated population, which consists of chromosomes whose elements are genes, that is, the structural parameter values to be optimized. In this design example, genes are the dimensions of cavity and coupling slot, and each gene was generated randomly within the appropriate boundary.

Next, the cost and fitness functions are defined and computed for initial population. In general, these are defined as  $\text{Cost} \geq 0$  and  $0 \leq \text{Fitness} \leq 1$ . Since two independent goals of  $x_{e1}$  and  $x_{o1}$  are involved, the followings are chosen as the cost and fitness functions:

$$\text{COST} = k_1 \left( 1 - \frac{x_{e1}}{(x_{e1})_d} \right)^2 + k_2 \left( 1 - \frac{x_{o1}}{(x_{o1})_d} \right)^2 \quad (20)$$

$$\text{Fitness} = \frac{1}{1 + k_3 \text{Cost}^{k_4}} \quad (21)$$

where  $(x_{e1})_d$  and  $(x_{o1})_d$  are the desired values of  $x_{e1}$  and  $x_{o1}$ , respectively. The weighting coefficients

$k_1$  and  $k_2$  should be chosen considering the effect of variations of  $x_{e1}$  and  $x_{o1}$  on the scattering parameters of the directional coupler, especially on coupling power. And coefficients  $k_3$  and  $k_4$  are chosen the initial fitness distribution to be an appropriate one.

Since the evaluation of cost and fitness will be performed for all population in each generation, the time for this evaluation should be slashed to the minimum within the range of possibility for the efficient design. Quite a decent approach for this aim is to tabularize and store the data of  $n_t$  and  $Y_s$  for the discrete sets of design parameters  $a$ ,  $b$ ,  $t$ ,  $L_s$  in advance for data interpolation.

Since it is difficult to generate population with good fitness values in initial population, reproduction by the GA with crossover and mutation follows after it. Parents for mating are generally chosen by Roulett-Wheel selection. When there are some chromosomes whose fitness values are larger than the threshold value for NM search ( $F_{NM}$ ) in the repeated process, the NM engine starts to search the much more accurate solutions near these chromosomes. The final step is to evaluate whether the best fitness value meet the stop condition ( $F_s$ ) or not. If the validity of decision is not sufficient, all steps repeat again up to meet the stop condition.

Next the type and dimensions of connecting line should be determined so as to make  $x_{2e}$  and  $x_{2o}$  be equal to the obtained values from the design equations. For this aim, a stepped impedance line is a good candidate because arbitrary reactance values  $x_{2e}$  and  $x_{2o}$  can not be obtained with uniform transmission line. Reactance values of stepped impedance line are given for the corresponding even and odd modes as

$$x_{e2} = \frac{\tan \theta_0 - z_1 \cot(\theta_1/2)}{1 + z_1 \tan \theta_0 \cot(\theta_1/2)} \quad (22)$$

$$x_{o2} = \frac{\tan \theta_0 + z_1 \cot(\theta_1/2)}{1 - z_1 \tan \theta_0 \cot(\theta_1/2)} \quad (23)$$

where  $\theta_0$  denotes the electrical length of an extended feed line.  $z_1$  and  $\theta_1$  are the normalized characteristic impedance and electrical lengths of a stepped impedance line, respectively. In the above system of equations, eliminating  $\tan\theta_0$  yields the following quadratic equation of  $T_1 = \tan(\theta_1/2)$ :

$$cT_1^2 + dT_1 + c = 0 \quad (24)$$

with  $c = z_1(1 + x_{e2}x_{o2})$  and  $d = (x_{e2} - x_{o2})(1 - z_1^2)$ .  $T_1$  can be obtained if  $z_1$  is preassigned. For the practical design, it is encouraged to make the connecting line length be order of quarter-wave length, that is,  $\tan(\theta_1/2)$  should be real and positive. This implies that  $d^2 - 4c^2 \geq 0$  and  $c$  and  $d$  should have opposite sign. Now  $\tan(\theta_1/2)$  and  $\tan\theta_0$ , that is,  $\theta_0$  and  $\theta_1$  can be determined successively under these criterions.

## V. Results and Discussions

In order to verify the proposed analysis and design theory, a 10 dB directional coupler was designed. Among the dimensions of cavity and coupling slot, the key parameters  $a$ ,  $b$ ,  $t$ , and  $L_s$  are chosen as the design ones to be determined optimally. The fixed parameters are  $\epsilon_r = 2.2$ ,  $h = 31$  mils,  $W_m = 2.40$  mm,  $\epsilon_{rc} = 10.2$ , and  $W_s = 0.80$  mm. From the given coupling factor of 10 dB,  $x_{ee} = -0.7208$ . If  $x_{1e} = 1.0000$  is preassigned for the design,  $x_{1o} = 0.3333$ ,  $x_{2e}$

$= -1.7208$ , and  $x_{2o} = 0.3874$  are obtained by Fig. 5 and the design procedure mentioned in Section III.

At first, the design parameters  $a$ ,  $b$ ,  $t$ , and  $L_s$  should be determined so as to yield the desired  $x_{1e}$  and  $x_{o1}$ . Initially 32 chromosomes were generated, and the maximum generation was set to be 32. In order to find the appropriate values of  $k_1$  and  $k_2$ , the effect of variations of  $x_{e1}$  and  $x_{o1}$  on coupling power was investigated and displayed in Fig. 8. As shown in this figure,  $x_{e1}$  affects approximately three times stronger than  $x_{o1}$ ,  $k_1 = 0.75$  and  $k_2 = 0.25$  were therefore chosen as weighting coefficients. In addition,  $k_3 = 15$  and  $k_4 = 1.5$  were selected for defining the fitness.

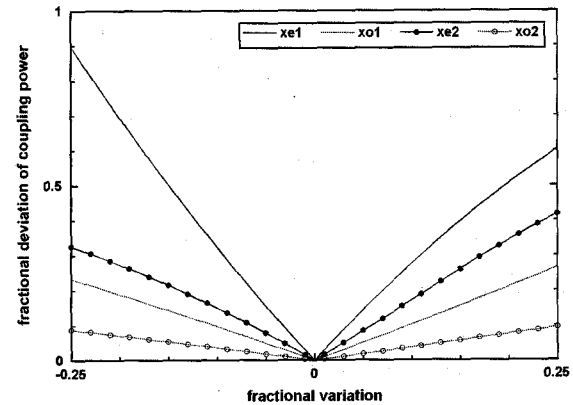


그림 8. 등가회로 소자 값 변화가 결합량에 미치는 영향

Fig. 8. Effect on coupling power of the variation of equivalent circuit values.

표 1. GA 단일 방법과 이중 GA 방법을 통해 얻어진 최적 설계 결과

Table 1. Optimized design results with GA alone and Hybrid GA.

	trial	a	b	t	Ls	cost	fitness	xe1	xo1	S11 (dB)	S21 (dB)	S31 (dB)	S41 (dB)
GA alone	1	22.54	4.45	3.84	10.42	0.002	0.999	0.991	0.347	-49.78	-0.43	-10.29	-58.16
	2	25.21	3.81	18.23	6.43	0.005	0.995	1.005	0.357	-50.58	-0.43	-10.24	-47.56
	3	21.68	3.03	5.38	10.72	0.000	1.000	1.000	0.336	-66.77	-0.45	-10.04	-66.54
	4	27.88	4.72	4.13	10.28	0.022	0.952	0.964	0.377	-38.84	-0.35	-11.11	-49.26
	5	27.90	2.84	5.71	10.52	0.011	0.983	0.948	0.333	-42.44	-0.39	-10.70	-42.37
Hybrid GA	1	22.18	2.54	6.42	10.83	0.000	1.000	1.000	0.333	-87.22	-0.46	-10.00	-87.60
	2	24.30	2.88	5.60	10.75	0.000	1.000	1.000	0.334	-80.13	-0.46	-10.01	-83.60
	3	21.39	2.65	6.13	10.81	0.000	1.000	1.000	0.333	-91.39	-0.46	-10.00	-85.74
	4	25.84	4.62	18.52	5.94	0.000	1.000	1.001	0.332	-66.43	-0.46	-9.96	-74.70
	5	20.12	3.47	4.62	10.62	0.000	1.000	1.000	0.333	-82.62	-0.46	-9.99	-91.08



Thresholds for NM search and stop condition were chosen as  $F_{NM} = 0.8$  and  $F_S = 0.999$ , respectively. Table 1 shows the structural dimensions obtained with GA alone and the evolutionary hybrid method, respectively. Stop condition was not met in most of the trials with GA alone even if 32 generations were allowed to be repeated. On the contrary, only average 2-4 generations were needed in the evolutionary hybrid method to meet the stop condition with excellent results. In addition, it did not take much time for NM search in this case.

Next, the dimensions of stepped impedance line was determined so as to yield  $x_{2e} = -1.7208$  and  $x_{2o} = 0.3874$  by the approach mentioned in Section IV. Since  $c > 0$  in this design example,  $d < 0$  should be hold. This implies that  $z_1$  should be preassigned as  $z_1 \leq 1$ . Now  $\tan(\theta_1/2)$  and  $\tan\theta_1$ , that is,  $\theta_1$  and  $\theta_1$  can be determined successively. In this paper,  $\theta_1 = 12.12^\circ$  and  $\theta_1 = 26.03^\circ$  were obtained for the chosen  $z_1 = 0.7$ .

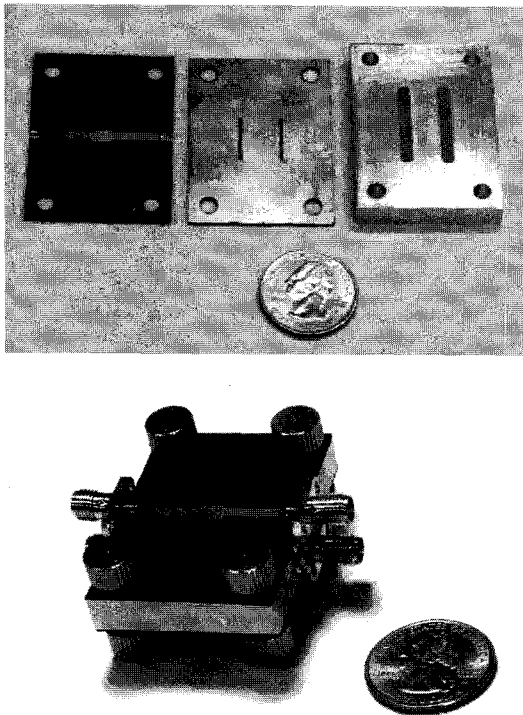
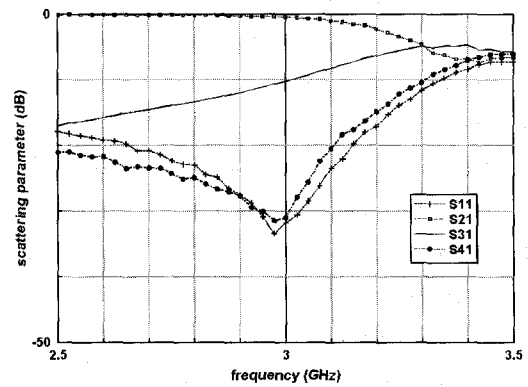


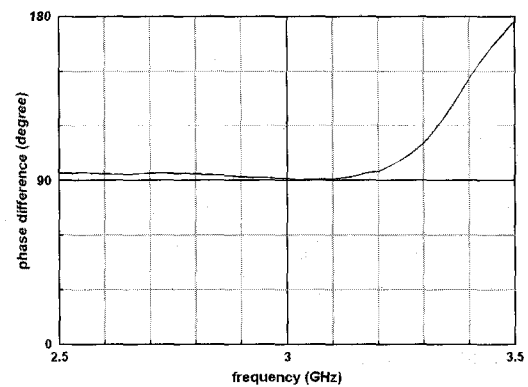
그림 9. 제작된 개구면 결합 급전 등진구조 마이크로스트립 방향성 결합기

Fig. 9. Fabricated aperture-coupled cavity-fed back-to-back microstrip directional coupler

The final structural dimensions obtained by the aforementioned procedure are  $a = 23.50$  mm,  $b = 2.54$  mm,  $t = 7.00$  mm, and  $L_s = 12.80$  mm,  $L_{m0} = 2.45$  mm,  $L_{m1} = 5.18$  mm, and  $W_{m1} = 4.00$  mm. For the validation check of the design theory, the designed directional coupler was fabricated (Fig. 9), and the measured characteristics are shown in Fig. 10. The measured coupling is 10.3 dB at 3 GHz, and the return loss and isolation are 31.8 dB and 30.5 dB, respectively. Measured coupling variation is  $\pm 1.4$  dB and  $\pm 2.5$  dB over 5 % and 10 % bandwidths, respectively. Further studies seem to be needed for reducing the coupling variation for many applications. As shown in this figure, the observed quadrature phase deviation is  $1.5^\circ$  and  $2.7^\circ$  over 5 % and 10 %



(a)



(b)

그림 10. 개구면 결합 급전 등진구조 마이크로스트립 방향성 결합기의 측정 결과;

(a) 산란계수의 크기; (b)  $S_{21}$ 과  $S_{31}$ 의 위상 차이

Fig. 10. Measured characteristics of aperture coupled cavity fed back to back microstrip directional coupler; (a) magnitude of scattering parameters; (b) phase difference between  $S_{21}$  and  $S_{31}$

bandwidths. It is shown that excellent quadrature phase characteristics can be obtained from the present directional coupler. The reasonable agreements with the specifications show the validity of the present network analysis and design theory as well as the usefulness of the aperture-coupled cavity-fed back-to-back microstrip directional coupler.

## VI. Conclusions

A network analysis and design theory of an aperture-coupled cavity-fed back-to-back microstrip directional coupler was presented for the efficient and optimized design. For this purpose, an equivalent network was developed, and simple but accurate calculation of circuit element values was described. Based on this equivalent circuit, design equations of the coupler were derived and applied to design a 10 dB directional coupler. The hybrid optimization method based on the genetic algorithm and the Nelder-Mead method was invoked to treat several design parameters simultaneously. Good agreements between the measured and the specifications fully validated the proposed network analysis and design procedure.

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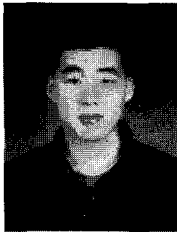
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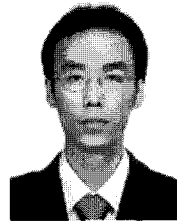
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