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Design and Fabrication of Six-Degree of Freedom Piezoresistive Turbulent Water Flow Sensor

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Abstract

This paper presents the design concept, theoretical investigation, and fabrication of a six-degree of freedom (6-DOF) turbulent flow micro sensor utilizing the piezoresistive effect in silicon. Unlike other flow sensors, which typically measure just one component of wall shear stress^[1,2], the proposed sensor can independently detect six components of force and moment on a test particle in a turbulent flow. By combining conventional and four-terminal piezoresistors in Si (111), and arranging them suitably on the sensing area, the total number of piezoresistors used in this sensing chip is only eighteen, much fewer than the forty eight piezoresistors of the prior art piezoresistive 6-DOF force sensor^[3].

Keywords: *piezoresistive effects, micro force-moment sensor, flow sensor.*

INTRODUCTION

One of the holy grails in geophysical research is reliable simulation technology for the micromechanics of sediment particle, erosion and transport, which are the fundamental processes shaping the land and major factors in flooding phenomena. In the previous work here^[4], temporally resolved, high-resolution Particle Image Velocimetry (PIV) has provided the first experimental confirmation of the staggered arrangement of streamwise vortices previously predicted by numerical simulations to occur near the wall of turbulent channel flow. Figure 1 shows a perspective view of coherent vortices over the wall of a turbulent channel flow, measured by stereographic PIV in a cross-stream laser sheet, near the lower wall of a water channel. Isosurfaces of streamwise vorticity are shown in

dark and light gray, while low speed fluid is indicated by wire frame. Prominent Reynolds-stress producing events are indicated by black spheres (ejections) and transparent gray spheres (sweeps.)

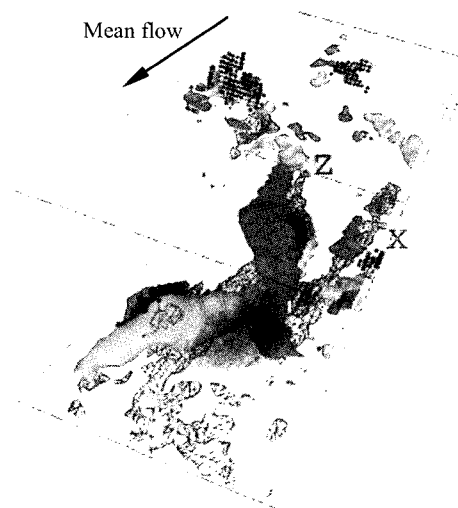


Fig. 1. Perspective view of coherent vortices over the wall of a turbulent channel flow.

In order to investigate the spatio-temporal re-

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relationship between near-wall vortices and particles, it is necessary to have micro force sensors with Six Degrees of Freedom (6-DOF) to measure the fluctuating components of force and moment on a particle at the bed of a turbulent channel flow. Such data will be invaluable in verifying simulations of sediment transport.

There have been several approaches to design and fabricate full six-component force-moment sensors. Sinden and Boie ^[5] introduced some theoretical designs of a planar capacitive force sensor with 6-DOF. However, these designs are more complex than piezoresistive sensors and not advantageous to fabricate with MEMS in terms of fabrication accuracy, reproducibility, and sensor dimension. Some centimeter-scale conventional 6-DOF force sensors have also been presented ^[6,7], in which the metallic strain gauges were fixed on spatial structure. These sensors are very complicated structurally and have low sensitivity. Grahn ^[8] invented a triaxial normal and shear force sensor, which used ultrasonic technique as the detecting principle. Okada ^[3] also reported a planar six-axis force sensor based on the silicon piezoresistive effect. Forty-eight piezoresistors are formed at twenty-four places on the upper surface of beams. Large numbers of piezoresistors on beams make the electrical circuit complicated, and result in high power dissipation, wide beams, and consequently, high structural stiffness.

In this paper, a piezoresistive-based micro sensing chip with 6-DOF using only sixteen conventional and two four-terminal piezoresistors is described. The configuration of a specific sensor to measure forces and moments acting on particles in turbulent liquid flows is also briefly presented. One can use this design of sensing chip to fabricate other micro integrated force-based devices, such as tactile sensors, and micro accelerometers.

CONFIGURATION OF SENSOR

The sensor configuration is shown in Fig. 2. The

sensing chip has a Si crossbeam with normal and shear piezoresistors on the upper surface of the beams. Connections are wired to off-chip circuits by flexible cables sealed onto 4 walls of a base pillar. As mentioned above, the first planned application of this sensor is to measure the forces and moments acting on a particle placed at the boundary wall of a turbulent flow of water. The test particle has a diameter of about 8 mm and is made of polyethylene, of which the specific gravity is 0.965, nearly equal to that of water. By this selection of material, the vertical force component induced by gravity of particle is almost eliminated during working. The centroid of particle will coincide with the center of the surface of the sensing chip. The sensing chip is completely overlaid by a silicone rubber layer. The sensor are waterproofed by a silicone rubber layer. The sensor are protected by a protection base located under it. All electrical elements of the sensor are waterproofed by a silicone rubber layer.

Forces and/or moments from liquid flow acting on the test particle will be transmitted to the sensing chip via a force transmission pillar placed at the center of the sensing chip; consequently, the crossbeam will deform and the resistance of the piezoresistors will change, thus changing the output of the corresponding bridge circuits.

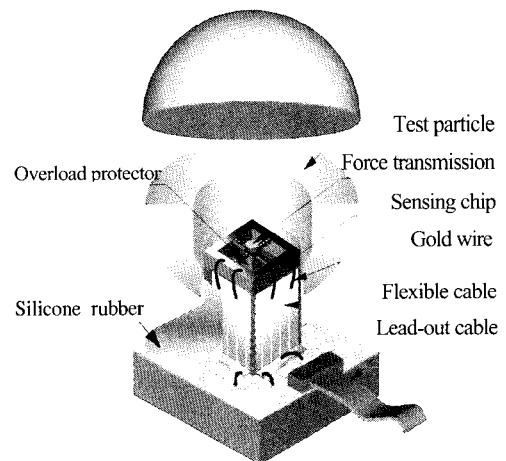


Fig. 2. Configuration of the sensor.

DESIGN OF SENSING CHIP

Structural Analysis

The dimensions of the sensing chip were tentatively specified based on the expected ranges of force and moment acting (horizontal forces F_x , F_y of about 1 N, and moments around X or Y axes of about 10 Nm, Fig. 3) and the desired sensitivity, the piezoresistive effect of silicon, the non-buckling condition, and the necessary width of beam for wiring. This model was then analyzed by FEM to investigate more fully the stress field in the structure, and to optimize the specifications of the beam dimensions. Figure 3 shows the finite element model of the sensing chip for numerically analyzing in MENTAT 3.1 software (MARC Research Corp.). The modal analyses were also performed to ensure the sensor work well in turbulent liquid flow. The dimensions of each arm of the crossbeam: length \times width \times thickness are $500 \times 120 \times 40 \mu\text{m}^3$. The overall sizes of the chip are $3000 \times 3000 \times 400 \mu\text{m}^3$. Two lateral faces of the four pedestals at the outer ends of beams are fixed as a boundary condition. For all directions in the plane (111) of Si, Young's modulus $E = 169 \text{ GPa}$ and Poisson's ratio $\nu = 0.358$,^[9]. External forces and moments are applied on the central plate. Figure 4 shows the distributions of longitudinal stress components in X -axial beams due to the action of forces $F_z = 0.04\text{N}$, $F_x = 0.7\text{N}$ and moment $M_y = 12\text{N}\mu\text{m}$, applied consecutively. Figure 5 shows the shear stress distributions in the Y -axial beams, induced by moments $M_z = 88 \text{ N}\mu\text{m}$, $M_y = 12 \text{ N}\mu\text{m}$ and force $F_x = 0.7 \text{ N}$ also applied in turn. Stresses in the central plate are not indicated since this area is not used for sensing purposes. The structural sensitivity S_{stL} (or structural flexibility) to an applied load L is defined as below:

$$S_{stL} = \frac{\sigma_{\max}}{L} \quad (1)$$

where σ_{\max} is the maximum absolute stress among the stresses occurring at the various piezoresistors used in measuring that load.

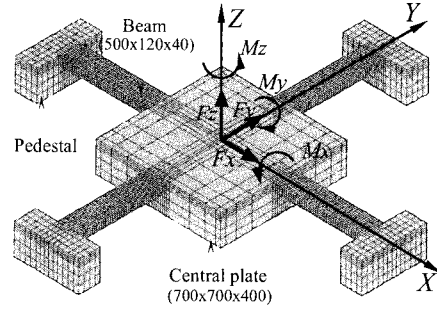


Fig. 3. FEM model of sensing chip.

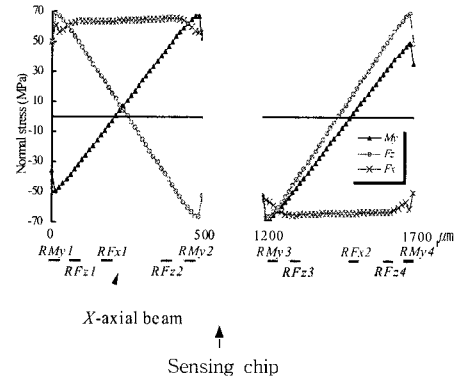


Fig. 4. Longitudinal stress distributions in X -axial beams.

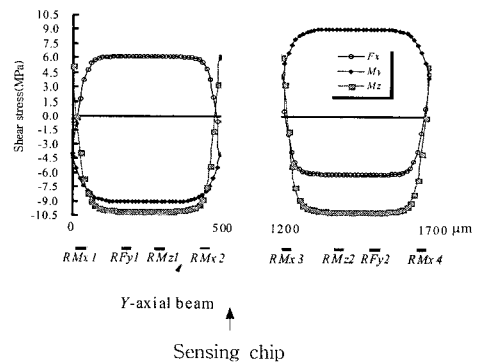


Fig. 5. Shear stress distributions in Y -axial beams.

Arrangement of Piezoresistors

Based on the stress distribution in the crossbeam derived from FEM analysis, piezoresistors were placed to eliminate the cross-axis sensitivities,

and to maximize the sensitivities to various components of force and moment as shown in Figs. 4, 5 and 6. Sixteen p-type conventional piezoresistors, (4- R_{Fz} , 2- R_{Fx} , 2- R_{Fy} , 4- R_{Mx} , and 4- R_{My} to detect Fz , Fx , Fy , Mx , and My , respectively), and two p-type four-terminal piezoresistors (R_{Mz1} and R_{Mz2} to measure the moment Mz), are diffused along the central-longitudinal axes on the upper surface of an n-type silicon crossbeam, (Fig. 6). The in-plane principal axes of the piezoresistors are aligned with the crystal directions $\langle 1\bar{1}0 \rangle$ and $\langle 11\bar{2} \rangle$ of silicon (111). All conventional piezoresistors are designed to be identical, as are the two shear piezoresistors. The piezoresistive effect of conventional single-crystalline piezoresistors can be expressed as below, [10]:

$$\frac{\Delta R}{R} = \pi_l \sigma_l + \pi_t \sigma_t \tag{2}$$

where $\frac{\Delta R}{R}$ is the relative change of resistance in a conventional piezoresistor due to the longitudinal stress σ_l (i.e. the component parallel to the current flow and electrical field) and transverse stress σ_t . π_l and π_t are the corresponding piezoresistance coefficients.

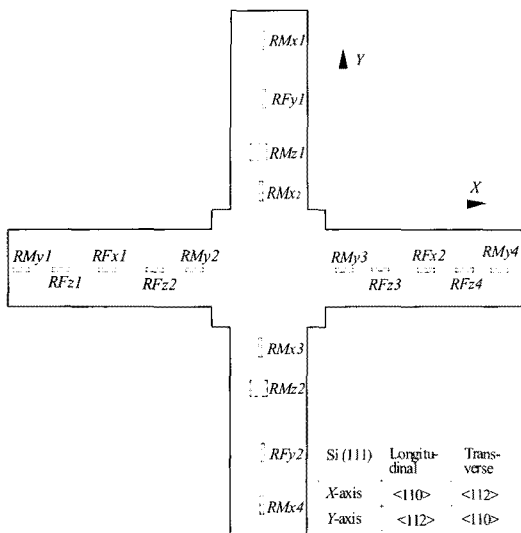


Fig. 6. Arrangement of piezoresistors on the crossbeam.

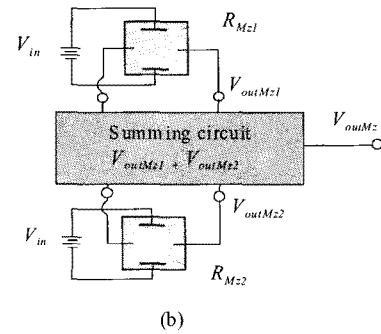
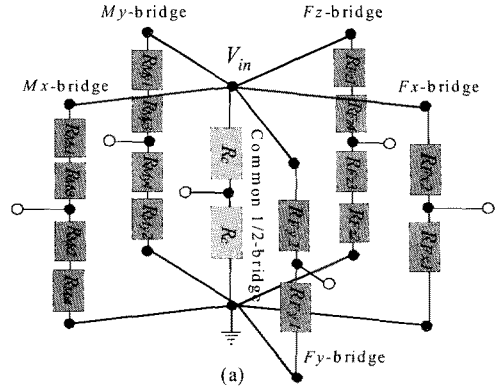


Fig. 7. Measurement circuits.

Piezoresistors are arranged far enough from the fixed beam-ends to avoid unexpected stress components, so that the stress status at each conventional piezoresistor is uniaxial, and as a result, $\sigma_t = 0$. Thus, Eq. (2) can be rewritten by:

$$\frac{\Delta R}{R} = \pi_l \sigma_l \tag{3}$$

The piezoresistive effect of a four-terminal piezoresistor can be expressed as below, [11]:

$$V_{out} = \pi_t \tau_{xy} V_{in} \tag{4}$$

where V_{out} is the output voltage of the four-terminal piezoresistor in response to an in-plane shear stress τ_x (or τ_{xy}), V_{in} is the supply voltage, and π_t is the shear piezoresistance coefficient. Ignoring extremely small dimensional changes of the piezoresistors, π_l and π_t are constant and their values in the two crystal directions $\langle 1\bar{1}0 \rangle$ and $\langle 11\bar{2} \rangle$ can be expressed respectively by Eqs. (4) and (5) below, [12]:

$$\pi_{\langle 110 \rangle} = \pi_{\langle 112 \rangle} = \frac{1}{2}(\pi_{11} + \pi_{12} + \pi_{44}) \quad (5a)$$

$$\pi_{\langle 1\bar{1}0 \rangle} = \pi_{\langle 1\bar{1}2 \rangle} = \frac{1}{3}(\pi_{11} - \pi_{12} + 2\pi_{44}) \quad (5b)$$

With an impurity concentration of about $5 \times 10^{19} \text{ cm}^{-3}$ (typical of our process), $\pi_{44} = 85 \times 10^{-11} \text{ Pa}^{-1}$, [13]. For p-type piezoresistors, π_{11} and π_{12} are sufficiently small in comparison with π_{44} , that they can be neglected. Equation (5a) and Eq. (5b) are thus approximated by:

$$\pi_{\langle 110 \rangle} \approx \frac{1}{2}\pi_{44}; \pi_{\langle 1\bar{1}0 \rangle} \approx \frac{2}{3}\pi_{44} \quad (6)$$

$$\text{Define } S_{gn} = \frac{1}{2}\pi_{44} \text{ and } S_{gs} = \frac{2}{3}\pi_{44} \quad (7)$$

where S_{gn} and S_{gs} are the stress sensitivities of the normal and shear piezoresistors, respectively.

Table 1 summarizes the resistance changes for the conventional piezoresistors and output voltages for the four-terminal piezoresistors due to the applied loads. The '+' and '-' signs indicate respectively an increase and decrease, '0' means unchanged and '=' means a similar change in both sign and magnitude in piezoresistors of a corresponding bridge. Gray-colored regions indicate where the response of the corresponding bridge is non-zero

Measurement Circuits

The measurement circuit for measuring the five components, (F_x , F_y , F_z , M_x , and M_y), is created by connecting five parallel detecting potentiometer

circuits with a common potentiometer circuit to form Wheatstone bridges sharing a common half-bridge, (Fig. 7 (a)). The common half-bridge resistors are identical and placed side by side on an unstressed region of the chip. The output voltage of each bridge can be measured between the output point of each sensing potentiometer and the output point of the common one (Wheatstone-bridge rule) to find the corresponding applied load.

To measure the moment around the Z-axis M_z , the voltages V_{outMz1} and V_{outMz2} of the two four-terminal piezoresistors R_{Mz1} and R_{Mz2} are summed by a summing circuit, (Fig. 7 (b)).

$$V_{outMz} = V_{outMz1} + V_{outMz2} \quad (8)$$

where V_{outMz1} and V_{outMz2} are calculated from Eq. (4).

Detection of F_x and F_y

When a tangential force F_x in X-direction is applied to the sensing chip, the beam with piezoresistor R_{Fx1} will be subjected to a tensile stress, (Fig. 4), while the opposite beam, on which piezoresistor R_{Fx2} is located, will undergo a corresponding compression, hence $\Delta R_{Fx1} = -\Delta R_{Fx2}$. As a result, the output voltage of the F_x -bridge is different from zero:

$$V_{outFx} = \frac{\Delta R_{Fx1}}{2R_{Fx1}} V_{in}, \text{ and } S_{CFx} = \frac{V_{outFx}}{\Delta R_{Fx1}} = \frac{V_{in}}{2R_{Fx1}} \quad (9)$$

Table 1. Resistance changes of normal piezoresistors and output voltages of shear piezoresistors.

	Fx-bridge		Fy-bridge		Fz-bridge				My-bridge				Mx-bridge				Mz-circuit	
	R_{Fx1}	R_{Fx2}	R_{Fy1}	R_{Fy2}	R_{Fz1}	R_{Fz2}	R_{Fz3}	R_{Fz4}	R_{My1}	R_{My2}	R_{My3}	R_{My4}	R_{Mx1}	R_{Mx2}	R_{Mx3}	R_{Mx4}	R_{Mz1}	R_{Mz2}
F_x	+	-	0	0	+	+	-	-	+	+	-	-	0	0	0	0	+	-
F_y	0	0	+	-	0	0	0	0	0	0	0	0	+	+	-	-	0	0
F_z	=	=	=	=	+	-	-	+	+	-	-	+	+	-	-	+	0	0
M_y	0	0	0	0	+	-	+	-	+	-	+	-	0	0	0	0	+	-
M_x	0	0	0	0	0	0	0	0	0	0	0	0	+	-	+	-	0	0
M_z	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	=	=

where $S_{c_{F_x}}$ is defined as the circuit sensitivity of the F_x -bridge. By contrast, $R_{F_{y1}}$ and $R_{F_{y2}}$ in the Y -axial beam have no change in resistance because the normal stresses in them are mostly equal to zero. Therefore, the F_y -bridge is still balanced, *i.e.*, there is no response in this bridge. Similarly, the M_x -bridge has no response. In the case of the F_z -bridge, as the stresses at $R_{F_{z1}}$ and $R_{F_{z4}}$ have the same absolute magnitude, but in opposite sign, (Fig. 4), so $\Delta R_{F_{z1}} = -\Delta R_{F_{z4}}$. Analogously, $\Delta R_{F_{z2}} = -\Delta R_{F_{z3}}$. Therefore $V_{outF_z} = 0$, or the F_z -bridge has no sensitivity to the force F_x . Similarly, the M_y -bridge has no response. In the Y -axial beam, shearing stresses with the same magnitude but opposite sign will exist in four-terminal piezoresistors $R_{M_{z1}}$ and $R_{M_{z2}}$, (Fig. 5), so the total output voltage of the M_z -circuit is still zero. Note that four-terminal piezoresistors with crystal directions mentioned above are not sensitive to normal stresses, (see Eq. (4)).

The detection of tangential force F_y is analogous to F_x .

Detection of F_z

When a vertical force F_z is applied to the sensing chip, from the table 1 and Fig. 7 (a), the resistance changes in the four piezoresistors of the F_z -bridge can be written as $\Delta R_{F_{z1}} = \Delta R_{F_{z4}} = -\Delta R_{F_{z2}} = -\Delta R_{F_{z3}}$. Consequently, the F_z -bridge is unbalanced, and the output response is different from zero:

$$V_{outF_z} = \frac{\Delta R_{F_{z1}}}{2R_{F_{z1}}} V_{in} \quad \text{and} \quad S_{c_{F_z}} = \frac{V_{outF_z}}{\Delta R_{F_{z1}}} = \frac{V_{in}}{2R_{F_{z1}}} \quad (10)$$

where $S_{c_{F_z}}$ is defined as the circuit sensitivity of the F_z -bridge. The other bridges have no response to this force F_z .

Detection of M_x and M_y

Similarly, when a moment M_y around the Y -axis is applied to the sensing chip, as can be seen from the FEM result, (Fig. 4), the normal stresses in the four piezoresistors of the M_y -bridge can be written as $\sigma_{R_{x1}} = -\sigma_{R_{x4}} = -\alpha\sigma_{R_{x2}} = \alpha\sigma_{R_{x3}}$, where α is a constant depending upon the width and

length of the beam; in this study, $\alpha \approx 3/4$. Therefore, the resistance change in the M_y -bridge can be written by $\Delta R_{M_{y1}} = -\Delta R_{M_{y4}} = -\alpha\Delta R_{M_{y2}} = \alpha\Delta R_{M_{y3}}$. The output voltage and circuit sensitivity of the M_y -bridge are expressed by:

$$V_{outM_y} = \frac{(1+\alpha)\Delta R_{M_{y3}}}{4R_{M_{y3}}} V_{in} \quad \text{and} \quad S_{c_{M_y}} = \frac{(1+\alpha)V_{in}}{4} \quad (11)$$

The output response of the F_x -bridge, F_y -bridge, F_z -bridge, M_x -bridge, and M_z -circuit are zero, (see table 1, Fig. 7 (a), and Fig. 7 (b)). Note that $R_{F_{x1}}$ and $R_{F_{x2}}$ are specified to lie at the positions where the normal stress, induced by moment M_y , is equal to zero, (Fig. 4).

The detection of moment M_x is analogous to M_y .

Detection of M_z

When a moment around the Z -axis M_z is applied to the sensing chip, only shearing stresses exist in the piezoresistors. These stresses are equal in magnitude and sign at $R_{M_{z1}}$ and $R_{M_{z2}}$, (Fig. 5), so the total output voltage in the M_z -circuit is non zero:

$$V_{outM_z} = 2V_{outM_z1} = 2S_{gs}\tau_s V_{in} = S_{CM_z} S_{gs}\tau_s \quad (12)$$

where $S_{CM_z} = 2V_{in}$ is the circuit sensitivity of the M_z -circuit. The other bridges have no response to these shearing stresses.

FABRICATION PROCESS

Figure 8 shows the major steps in the fabrication process for the sensing chip. At each step, the main processes, typical geometry, and the desired dimensions are listed.

Step 1: The starting material was 4-inch n-type (111) silicon wafer with a thickness of 400 μm .

Step 2: A 0.3 μm -thick insulator layer SiO_2 was formed by thermal oxidation process.

Step 3: Piezoresistors were patterned so as their principal axes align with the crystal directions $\langle 1\bar{1}0 \rangle$ and $\langle 11\bar{2} \rangle$. Boron ions were diffused to form p-type piezoresistors. A drive-in process was done to activate boron ions deeper into the Si substrate. In order to reduce the temperature

sensitivity of piezoresistors, the impurity concentration was controlled at about $5 \times 10^{19} \text{ cm}^{-3}$.

Step 4: Contact holes were opened to assure there are not any unexpected insulator layers sandwiched between piezoresistors and electrodes.

Step 5: $0.6 \mu\text{m}$ -thick aluminum wires and bonding pads were formed by vacuum evaporation, photolithography, and etching processes. Next, the sintering process was performed in a dry N_2 environment for 30 minutes at 400°C to make firm contact between electrodes and piezoresistors.

Step 6: Crossbeam pattern was defined by photolithography using a double-sided mask aligner. Then, frontside Deep Reactive Ion Etching (D-RIE) process was performed to a depth of $40 \mu\text{m}$. Finally, the cavity and overload-stopper were formed by D-RIE from the backside. Thick photoresist was adopted as passivation layer during D-RIE process. Figure 9 is a micrograph of a fabricated sensing chip.

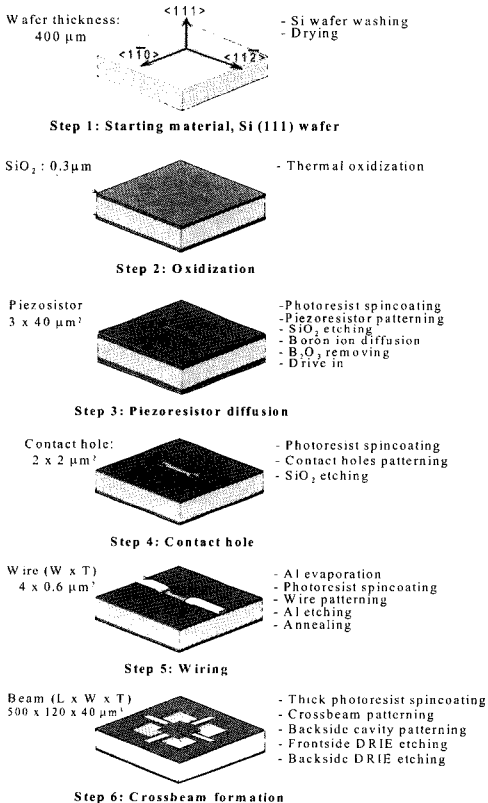


Fig. 8. Fabrication process for sensing chip.

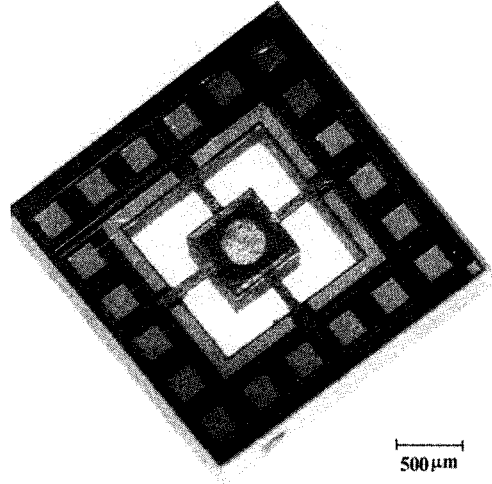


Fig. 9. Micrograph of sensing chip.

OUTPUT RESPONSE

The output characteristics and the sensitivity have been thoroughly analyzed. In response to the i^{th} component, L^i , of force or moment, the output voltage V_{out}^i is given by $V_{out}^i = S_L^i L^i = S_g^i S_{St}^i S_c^i L^i$, where S_g^i, S_{St}^i, S_c^i are stress, structural and circuit sensitivities for L^i component. S_{St}^i was defined in Eq. (1), S_g^i is determined by Eq. (7), and S_c^i can be calculated following one of the equations from Eq. (9) to Eq. (12), depending on the specific component the L^i . Table 2 shows the output characteristics of the sensing chip. In this paper, the sensing chip has been designed for application in hydraulics, where the horizontal force of liquid flow F_x (or F_y) is much larger than that in the vertical direction, so the structural stiffness with force in horizontal direction was higher than that in the vertical direction. These sensitivities can be balanced by changing the length and thickness of the beams or by using amplifiers. Calibration is now being performed, and initial results have confirmed the designed value of the F_z -sensitivity. An ultra small load indenter, controlled by a computer, was used to generate a precise force F_z during calibration. The output voltage of F_z -bridge varied linearly up to 120 mV, as the applied force

F_z was increased from 0 to 100 mN, ($V_{in} = 5V$). Therefore, a sensitivity of 1.20 mV/mN was obtained, very closed to the design value as shown in Table 2.

Table 2. Output characteristics of the sensing chip.

Loads	Sensitivities
F_z	1.23 mV/mN
F_x or F_y	9.5×10^{-2} mV/mN
M_x or M_y	4.9×10^{-3} mV/mN. μ m
M_z	7.9×10^{-4} mV/mN. μ m

(Supply voltage $V_{in} = 5V$).

CONCLUSION

The design concept and working principle of a 6-DOF force-moment sensing chip have been presented. By combining normal and shear piezoresistors in Si (111), and the way of arranging them on a crossbeam, connecting them into measurement circuits, their number was considerably reduced in comparison with prior art 6-DOF piezoresistive force-moment sensors known to the authors; consequently, the sensing chip is smaller, more sensitive, and consumes less power. The agreement between the first calibration results and the estimated F_z -sensitivity, (1.20 mV/mN, $V_{in} = 5V$), proved that the fabrication process, (including Boron ions diffusion, crossbeam formation, etc.) of the sensing chip was well controlled. Calibration of the remaining components is being performed, and its results are being analyzed.

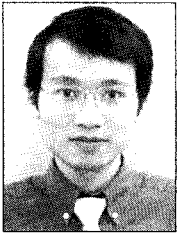
This design of the sensing chip can potentially be applied to fabricate 6-DOF micro accelerometers and tactile sensors.

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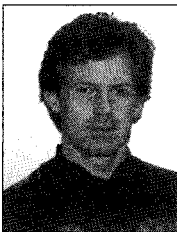
[1] T. Von Papen et al, MEMS surface fence

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