

GLOBAL AVALANCHE CRITERION FOR THE S -BOXES OF DES

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ABSTRACT. In this paper we modify two indicators related to the global avalanche criterion (GAC) and discuss their properties. Also, we apply the modified indicators to measure the GAC of S -boxes of DES.

1. INTRODUCTION

A symmetric key block cipher system requires strong substitution boxes (S -boxes) satisfying a number of critical properties. Among these properties are balancedness, nonlinearity, propagation characteristics and correlation immunity. The propagation characteristics can be investigated by the SAC (Strict Avalanche Criterion) and PC (Propagation Criterion), in a local manner. The SAC was introduced by Webster [7] and Webster-Tavares [8] in 1985 and measures the balancedness of output bits when a single bit of input bits is complemented. Independently the propagation criterion was introduced by Preneel et al [3] and measures the balancedness of output bits when input bits are complemented with respect to a vector $\alpha \in GF(2)^n$, where $GF(2)$ is the Galois field. But all these concepts have their limitations in capturing some of the vital characteristics required by a cryptographically strong function.

A new criterion called GAC (Global Avalanche Characteristic) to measure the propagation characteristic of substitution boxes of cryptographic system in a global manner was introduced by Zhang-Zheng [10] in 1997. Two indicators the sum-of-square indicators σ_f and the absolute indicator Δ_f for the S -boxes were introduced. The smaller σ_f and Δ_f are, the better the GAC of a S -box is.

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In this paper we modify the concepts of two indicators so that we are able to compare the GACs of different S -boxes. Applying the modified indicators to S -boxes of DES(Data Encryption Standard) we find the S_4 box is strong and the S_7 box is weak as far as GAC concerns.

2. BASIC NOTATIONS AND DEFINITIONS

In this section, we introduce notations, definitions and well known properties for cryptographic Boolean functions.

Let $GF(2)^n$ be an n -dimensional vector space over the Galois field $GF(2)$. A function f from $GF(2)^n$ to $GF(2)$ is called a Boolean function on $GF(2)^n$. A Boolean function of the form

$$f(x) = a_1x_1 + \cdots + a_nx_n + c, \text{ where } a_j, c \in GF(2), i = 1, 2, \dots, n$$

is called affine. Furthermore f is called linear if $c = 0$.

The Hamming weight $W(x)$ of $x \in GF(2)^n$ is the number of ones in x . The Hamming distance $d(f, g)$ between two functions f and g is the number of function values in which they differ. A Boolean function f is *balanced* if $d(f, o) = \frac{1}{2^{n-1}}$, where o is the Boolean function on $GF(2)^n$ all of whose function values are 0. This implies that when input coordinates of a Boolean function are selected independently, at random, the output of the function must behave as a uniformly distributed random variable.

The minimum Hamming distance between f and all the affine functions on $GF(2)^n$ is called the *nonlinearity* of a Boolean function f and it is denoted by N_f . Then the nonlinearity of an affine function is 0.

Strong substitution boxes also require that complementing a single bit results in the output of the function being complemented with a probability of a half. The following definition was introduced by Webster [7] and Webster-Tavares [8].

Definition 2.1. A Boolean function f on $GF(2)^n$ is said to *satisfy the strict avalanche criterion (SAC)* if

$$|\{x \in GF(2)^n \mid f(x + \alpha) \neq f(x)\}| = 2^{n-1},$$

for any $\alpha \in GF(2)^n$ with $W(\alpha) = 1$.

It is obvious that f on $GF(2)^n$ satisfies the SAC if and only if $f(x) + f(x + \alpha)$ is balanced for any $\alpha \in GF(2)^n$ with $W(\alpha) = 1$.

Example 2.2. Let f be a Boolean function on $GF(2)^3$ defined by $f(x_1, x_2, x_3) = x_1x_2 + x_2x_3$. Then for all α 's with $W(\alpha) = 1$ we have

$$|\{x \in GF(2)^3 \mid f(x + \alpha) = f(x)\}| = 4$$

Thus f satisfies the strict avalanche criterion.

The notion of SAC has been generalized to the propagation criterion by Adams and Tavares [1] and independently by Preneel et al [4].

Definition 2.3. A Boolean function f is said to *satisfy the propagation criterion (PC) with respect to a vector $\alpha \in GF(2)^n$* if $|\{x \in GF(2)^n \mid f(x + \alpha) = f(x)\}| = 2^{n-1}$, or equivalently $f(x + \alpha) + f(x)$ is balanced. Furthermore, a Boolean function f is said to *satisfy the propagation criterion of degree k* if it satisfies the propagation criterion with respect to all nonzero vectors whose Hamming weight is at most k .

Example 2.4. Let f be a Boolean function on $GF(2)^4$ defined by $f(x_1, x_2, x_3) = x_1x_2 + x_2x_3 + x_1x_3$. Then f satisfies the PC of degree 2.

Note that a function satisfying the PC of degree 1 satisfies the SAC. Generally speaking, f satisfies the PC of degree k if complementing k or less bits results in the out bit of f being complemented by a probability of half. As we mentioned above, the concept of PC measure the avalanche characteristic of a function. A complementary concept to the PC is the linear structure which measures the smoothness of a function.

Definition 2.5. A Boolean function f on $GF(2)^n$ is said to *have a linear structure* if

$$f(x) + f(x + \alpha) = c, \text{ where } x \in GF(2)^n \text{ and } c \in GF(2),$$

for some nonzero vector $\alpha \in GF(2)^n$.

From above definition every affine function has a linear structure. The following example is not an affine function, but it has a linear structure.

Example 2.6. Let f be a Boolean function on $x \in GF(2)^4$ defined by

$$f(x_1, x_2, x_3, x_4) = x_1 + x_1x_2 + x_1x_3 + x_4.$$

Then for $\alpha = (0, 1, 1, 0)$ we have

$$f(x) + f(x + \alpha) = f(x_1, x_2, x_3, x_4) + f(x_1, x_2 + 1, x_3 + 1, x_4) = 0.$$

Thus f has a linear structure with respect to $\alpha = (0, 1, 1, 0)$.

Let f be a Boolean function, and let

$$\alpha_0 = (0, \dots, 0, 0), \alpha_1 = (0, \dots, 0, 1), \dots, \alpha_{2^n-1} = (1, \dots, 1, 1)$$

be all vectors of $GF(2)^n$. Then the sequence $(f(\alpha_0), f(\alpha_1), \dots, f(\alpha_{2^n-1}))$ is a $(0, 1)$ -sequence of f and the sequence $((-1)^{f(\alpha_0)}, (-1)^{f(\alpha_1)}, \dots, (-1)^{f(\alpha_{2^n-1})})$ is a $(1, -1)$ -sequence of f .

Let $\bar{a} = (a_1, \dots, a_m)$ and be $\bar{b} = (b_1, \dots, b_m)$ two vectors (or sequences), the scalar product of \bar{a} and \bar{b} , denoted by $\langle \bar{a}, \bar{b} \rangle$, is defined as the sum of the component-wise multiplications. In particular, when \bar{a} and \bar{b} are $(0, 1)$ -sequences, $\langle \bar{a}, \bar{b} \rangle = a_1 b_1 + \dots + a_m b_m$, where the addition and multiplications are over $GF(2)$, and when \bar{a} and \bar{b} are $(1, -1)$ -sequences, $\langle \bar{a}, \bar{b} \rangle = \sum_{i=1}^m a_i b_i$, where the addition and multiplication are over the reals.

Definition 2.7. Let f be a Boolean function on $GF(2)^n$. The *Walsh-Hadamard transform* of f is defined as

$$\hat{f}(\alpha) = 2^{-\frac{n}{2}} \sum_{x \in GF(2)^n} (-1)^{f(x) + \langle \alpha, x \rangle}$$

where $\alpha = (a_1, \dots, a_n) \in GF(2)^n$, $x = (x_1, \dots, x_n)$ and $f(x) + \langle \alpha, x \rangle$ is regarded as a real-valued function.

Definition 2.8. A Boolean function f on $GF(2)^n$ is called a *bent function* if its Walsh-Hadamard transform satisfies

$$\hat{f}(\alpha) = \pm 1 \quad \text{for all } \alpha \in GF(2)^n.$$

The following result can be found in Adams-Tavares [1], Dillon [2], Seberry-Zhang [5] and Yarlagadda-Hershey [9].

Proposition 2.9. Let $f : GF(2)^n \rightarrow GF(2)$ be a Boolean function. Then the following statements are equivalent:

- (1) f is bent.
- (2) $\langle \xi, l \rangle = \pm 2^{\frac{1}{2}n}$ for any affine sequence l of length 2^n , where ξ is the sequence of f .
- (3) $f(x) + f(x + \alpha)$ is balanced for any non-zero $\alpha \in GF(2)^n$.

The following properties of bent functions are well known (cf. Zhang-Zheng [10]).

Proposition 2.10. Let f be a bent function. Then

- (1) f satisfies PC of order of degree k for all $1 \leq k \leq n$,

- (2) f satisfies SAC,
- (3) f has maximum nonlinearity,
- (4) f has no linear structure, and
- (5) f is not balanced.

Even though bent functions satisfy strong properties such as SAC, PC and have maximum nonlinearity, they are not balanced. Also we observe that functions satisfying SAC can have a large number of vectors of Hamming weight larger than one as its linear structure. Therefore they can not be directly used in a cipher system where balancedness is needed.

3. THE CRITERION FOR GLOBAL AVALANCHE CHARACTERISTICS

In this section we modify two indicators the sum-of-square indicator and the absolute indicator to measure the global avalanche characteristics (GACs) of Boolean functions.

Given a Boolean function f on $GF(2)^n$ and a vector α in $GF(2)^n$, we denoted by $\xi(\alpha)$ the sequence of $f(x + \alpha)$. Set $\Delta_f(\alpha) = \langle \xi(0), \xi(\alpha) \rangle$. To further simplify our discussion, $\Delta_f(\alpha)$ will be written as $\delta(\alpha)$ if the function under consideration is clear.

The overall avalanche characteristic of a function f can be measured by examining $|\Delta(\alpha)|$ for all nonzero vectors α . We can say that a function has a good GAC if for most nonzero α , $|\Delta(\alpha)|$ is zero or very close to zero. This observation leads us to the following definition (cf. Zhang-Zheng [10]).

Definition 3.1. Let $f : GF(2)^n \rightarrow GF(2)$ be a Boolean function. Then the *sum-of-square indicator for the avalanche characteristic* of f is defined by

$$\sigma_f = \sum_{\alpha \in GF(2)^n} \Delta^2(\alpha)$$

and the *absolute indicator for the characteristic* of f is defined by

$$\Delta_f = \max_{\alpha \in GF(2)^n, \alpha \neq 0} |\Delta(\alpha)|.$$

The smaller σ_f and Δ_f are, the better the GAC of a function f is. The following theorem gives us the upper bounds and lower bounds on σ_f .

Theorem 3.2. Let f be a Boolean function on $GF(2)^n$. Then

- (1) $2^{2n} \leq \sigma_f \leq 2^{3n}$,
- (2) $\sigma_f = 2^{2n}$ if and only if f is a bent function, and
- (3) $\sigma_f = 2^{3n}$ if and only if f is an affine function.

Proof. The proof can be found in Zhang-Zheng [10]. □

Now we modify two indicators in order to compare the GACs of two different functions.

Definition 3.3. Let f be a Boolean function on $GF(2)^n$. Then the value

$$R_{\sigma_f} = \frac{\sigma_f - 2^{2n}}{2^{3n} - 2^{2n}} \quad \text{and} \quad R_{\Delta_f} = \frac{\Delta_f}{2^n} \quad (\text{resp.})$$

are called the *sum-of-square measure* and the *absolute measure* (resp.) of f .

Proposition 3.4. Let f be a Boolean function on $GF(2)^n$. Then

- (1) $0 \leq R_{\sigma_f} \leq 1$,
- (2) f is bent if and only if $R_{\sigma_f} = 0$, and
- (3) f is affine if and only if $R_{\sigma_f} = 1$.

Proof. (1) Since $2^{2n} \leq \sigma_f \leq 2^{3n}$, it is clear by Definition 3.3.

(2) By Theorem 3.2, f is bent if and only if $\sigma_f = 2^{2n}$ if and only if $R_{\sigma_f} = 0$ by Definition 3.3.

(3) By Theorem 3.2, f is an affine function if and only if $\sigma_f = 2^{3n}$ if and only if $R_{\sigma_f} = 1$ by Definition 3.3. □

Proposition 3.5. Let f be a Boolean function on $GF(2)^n$. Then

- (1) $0 \leq R_{\Delta_f} \leq 1$,
- (2) f is bent if and only if $R_{\Delta_f} = 0$, and
- (3) f a linear structure if and only if $R_{\Delta_f} = 1$.

Proof. (1) Note that Δ_f is defined as the maximum among all $\Delta(\alpha)$, $\alpha \neq 0$. Therefore $\Delta(\alpha) = \pm 2^n$ if and only if α is a linear structure of f . Hence we get $0 \leq \Delta_f \leq 2^n$.

(2) It is clear that $\Delta_f = 0$ if and only if f is bent if and only if $R_{\Delta_f} = 0$.

(3) $\Delta_f = 2^n$ if and only if f has a linear structure. □

Proposition 3.6. Let f be a non-bent cubic function on $GF(2)^n$. Then

$$R_{\Delta_f} \geq 2^{-\frac{n-1}{2}}.$$

Proof. It follows from Seberry-Zhang [5, Theorem 3] that $\Delta_f \geq 2^{\frac{n+1}{2}}$. Hence

$$R_{\Delta_f} = \frac{\Delta_f}{2^n} \geq 2^{-\frac{n-1}{2}}. \quad \square$$

Example 3.7. Let w be a permutation on the set $V_k - \{0\} = \{a_1, \dots, a_{2^k-1}\}$. Let f be a Boolean function on $GF(2)^{2k}$ defined by

$$f(z) = f(y, x) = \begin{cases} \langle \alpha_{j_0}, x \rangle & \text{if } y = 0 \\ \langle W(y), x \rangle & \text{if } y \neq 0 \end{cases}$$

where $z = (y, x)$, $y \in GF(2)^k$, $x \in GF(2)^k$, $y = (y_1, \dots, y_k)$ and α_{j_0} is a fixed nonzero vector in $GF(2)^k$. Then we have the following Table 3.1.

TABLE 3.1. The sum-of-square measure and the absolute measure of f

k	α_{j_0}	σ_f	Δ	R_{σ_f}	R_{Δ_f}
3	(1,0,1)	7168	16	0.012	0.25
4	(1,0,0,1)	90112	32	0.001	0.125
5	(1,0,0,0,1)	1245184	64	0.0002	0.0625
6	(1,0,0,0,0,1)	18350080	128	0.00002	0.03125

We observe that as k increase, both R_{σ_f} and R_{Δ_f} decrease very rapidly.

Example 3.8. Let f be a function on $GF(2)^{2k}$ defined by Example 3.7. Then

$$R_{\sigma_f} = \frac{2^{3k+3} - 2^{3k+1}}{2^{6k} - 2^{4k}} \quad \text{and} \quad R_{\Delta_f} \leq 2^{-k+1}.$$

Remark. It follows from Seberry-Zhang [5, Theorem 4] that $\sigma_f = 2^{4k} + 2^{3k+3} - 2^{3k+1}$ and $\Delta_f \leq 2^{k+1}$. Hence we have

$$R_{\sigma_f} = \frac{\sigma_f - 2^{4k}}{2^{6k} - 2^{4k}} = \frac{2^{3k+3} - 2^{3k+1}}{2^{6k} - 2^{4k}} \quad \text{and} \quad R_{\Delta_f} = \frac{\Delta_f}{2^{2k}} \leq 2^{-k+1}.$$

4. THE GAC FOR THE S-BOXES OF DES

In this section we present the values of the sum-of-square measure and the absolute measure for the S -boxes of DES (cf. Stinson [6]).

The DES(Data Encryption Standard) is the most well-known symmetric key block cipher, which was developed at IBM. DES was first published in the Federal

TABLE 4.1. The S -boxes of DES

S_1	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
	0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8
	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
	15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13
S_2	15	1	8	14	6	11	3	4	9	7	2	13	12	0	5	10
	3	13	4	7	15	2	8	14	12	0	1	10	6	9	11	5
	0	14	7	11	10	4	13	1	5	8	12	6	9	3	2	15
	13	8	10	1	3	15	4	2	11	6	7	12	0	5	14	9
S_3	10	0	9	14	6	3	15	5	1	13	12	7	11	4	2	8
	13	7	0	9	3	4	6	10	2	8	5	14	12	11	15	1
	13	6	4	9	8	15	3	0	11	1	2	12	5	10	14	7
	1	10	13	0	6	9	8	7	4	15	14	3	11	5	2	12
S_4	7	13	14	3	0	6	9	10	1	2	8	5	11	12	4	15
	13	8	11	5	6	15	0	3	4	7	2	12	1	10	14	9
	10	6	9	0	12	11	7	13	15	1	3	14	5	2	8	4
	3	15	0	6	10	1	13	8	9	4	5	11	12	7	2	14
S_5	2	12	4	1	7	10	11	6	8	5	3	15	13	0	14	9
	14	11	2	12	4	7	13	1	5	0	15	10	3	9	8	6
	4	2	1	11	10	13	7	8	15	9	12	5	6	3	0	14
	11	8	12	7	1	14	2	13	6	15	0	9	10	4	5	3
S_6	12	1	10	15	9	2	6	8	0	13	3	4	14	7	5	11
	10	15	4	2	7	12	9	5	6	1	13	14	0	11	3	8
	9	14	15	5	2	8	12	3	7	0	4	10	1	13	11	6
	4	4	2	12	9	5	15	10	11	14	1	7	6	0	8	13
S_7	4	11	2	14	15	0	8	13	3	12	9	7	5	10	6	1
	13	0	11	7	4	9	1	10	14	3	5	12	2	15	8	6
	1	4	11	13	12	3	7	14	10	15	6	8	0	5	9	2
	6	11	13	8	1	4	10	7	9	5	0	15	14	2	3	12
S_8	13	2	8	4	6	15	11	1	10	9	3	14	5	0	12	7
	1	15	13	8	10	3	7	4	12	5	6	11	0	14	9	2
	7	11	4	1	9	12	14	2	0	6	10	13	15	3	5	8
	2	1	14	7	4	10	8	13	15	12	9	0	3	5	6	11

Register of March 17, 1975. After adopting as a standard for unclassified applications on January 1977 it has been renewed until 1998. One of important factors in security is S -boxes. In the encryption process of DES there are 8 S -boxes, given in Table 4.1, which are functions from $GF(2)^6$ to $GF(2)^4$. We denote component functions of an S -boxes by $f_1(x), f_2(x), f_3(x)$ and $f_4(x)$.

TABLE 4.2. Measure values for component functions of DES

	S_1 -box				S_2 -box			
i	1	2	3	4	1	2	3	4
σ_{f_i}	20224	11776	16000	19072	19840	25984	16000	14464
$R_{\sigma,i}$	0.063	0.030	0.046	0.058	0.061	0.085	0.046	0.040
Δ_{f_i}	32	24	40	32	40	56	32	40
$R_{\Delta,i}$	0.5	0.38	0.63	0.5	0.63	0.88	0.5	0.63
	S_3 -box				S_4 -box			
i	1	2	3	4	1	2	3	4
σ_{f_i}	21376	19456	12544	19072	15232	15232	15232	15232
$R_{\sigma,i}$	0.067	0.060	0.033	0.058	0.043	0.043	0.043	0.043
Δ_{f_i}	40	48	32	48	24	24	24	24
$R_{\Delta,i}$	0.63	0.75	0.5	0.75	0.38	0.38	0.38	0.38
	S_5 -box				S_6 -box			
i	1	2	3	4	1	2	3	4
σ_{f_i}	16000	18304	14464	10624	19456	15616	15232	16000
$R_{\sigma,i}$	0.046	0.055	0.040	0.025	0.060	0.045	0.043	0.046
Δ_{f_i}	32	40	32	24	48	32	40	40
$R_{\Delta,i}$	0.5	0.63	0.5	0.38	0.75	0.5	0.63	0.63
	S_7 -box				S_8 -box			
i	1	2	3	4	1	2	3	4
σ_{f_i}	17152	34048	13696	23296	12544	16768	16768	12928
$R_{\sigma,i}$	0.051	0.116	0.037	0.074	0.033	0.049	0.049	0.034
Δ_{f_i}	32	48	32	48	32	40	40	40
$R_{\Delta,i}$	0.5	0.75	0.5	0.75	0.5	0.63	0.63	0.63

Each S_i is a fixed 4×16 array whose entries come from the integers 0–15. Given a bit string of length 6, say $B_j = b_1 \cdots b_6$, we compute $S_j(B_j)$ as follows. The two bits $b_1 b_6$ determine the binary representation of a row $r(0 \leq r \leq 3)$ of S_j , and the four bits $b_2 b_3 b_4 b_5$ determine the binary representation of a column $c(0 \leq c \leq 15)$ of S_j . Then $S_j(B_j)$ is defined to be the entry $S_j(r, c)$, written in binary as a bit string of length 4.

For our convenience, let R_{σ_i} and R_{Δ_i} be the sum-of-square measure and the absolute measure of f_i , respectively. Then we have Tables 4.2 as measure values for component functions of each S_i -boxes, $i = 1, \dots, 8$ of DES.

Let $m_{\sigma_f}(m_{\Delta_f})$ and $s_{\sigma_f}(s_{\Delta_f})$ (resp.) be the mean and the standard deviation (resp.) of

$$\{\sigma_{f_1}, \sigma_{f_2}, \sigma_{f_3}, \sigma_{f_4}\} \text{ and } (\{\Delta_{f_1}, \Delta_{f_2}, \Delta_{f_3}, \Delta_{f_4}\}), \text{ respectively.}$$

Similarly, let $m_{R_\sigma}(m_{R_\Delta})$ and $s_{R_\sigma}(s_{R_\Delta})$ (resp.) be the mean and the standard deviation of $\{R_{\sigma,1}, R_{\sigma,2}, R_{\sigma,3}, R_{\sigma,4}\}$ and $(\{R_{\Delta,1}, R_{\Delta,2}, R_{\Delta,3}, R_{\Delta,4}\})$ (resp.). From Table 4.1 of S_i -boxes, the following results can be presented (see Table 4.3).

From Table 4.2 R_{σ_f} is in between 0.030 and 0.116, which is close to 0. That implies that the nonlinearity of each component function in all S -boxes is pretty large. Furthermore, from Table 4.3 the average value R_{σ_f} of 4 components is in

TABLE 4.3. The mean and the standard deviation

	S_1 -box		S_2 -box		S_3 -box		S_4 -box	
i	m_i	s_i	m_i	s_i	m_i	s_i	m_i	s_i
σ_f	16768	3270	19072	4445	18112	3331	15232	0
R_σ	0.049	0.013	0.058	0.017	0.055	0.013	0.043	0
Δ_f	32	5.66	42	8.72	42	6.63	24	0
R_Δ	0.5	0.088	0.66	0.145	0.66	0.103	0.38	0
	S_5 -box		S_6 -box		S_7 -box		S_8 -box	
i	m_i	s_i	m_i	s_i	m_i	s_i	m_i	s_i
σ_f	14848	2796	16576	1685	22048	7734	14752	2021
R_σ	0.042	0.011	0.049	0.007	0.07	0.03	0.041	0.008
Δ_f	32	5.66	40	5.66	40	8	38	3.46
R_Δ	0.5	0.088	0.63	0.088	0.63	0.125	0.6	0.056

between 0.041 and the standard deviations is less than 0.03. We compare the S_4 -box is better than the others since $R_{\sigma_f} = 0.043$, $R_{\Delta_f} = 0.38$ are the smallest and their standard deviations are 0. On the other hand, as the standard deviations of S_7 -box are 7734, 0.03, 8, and 0.125 which are rather larger than the other S -boxes, S_7 -box is easy to be attacked.

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