

## ON A GENERALIZATION OF FENCHEL'S THEOREM

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**ABSTRACT.** In this paper, we present the proof of generalized Fenchel's theorem by estimating the Gauss-Kronecker curvature of the tube of a nondegenerate closed curve in  $R^n$ .

### 1. Introduction

Fenchel's well-known theorem on the total curvature  $\int \kappa ds$  of a simple closed curve  $\alpha$  in  $R^3$  consists of the inequality  $\int \kappa ds \geq 2\pi$ , together with the statement that the equality holds if and only if the curve is a plane convex curve. After Fenchel's original work [2], there has been a variety of settings ([3], [4], [5], [6], [7]).

In this paper, we take natural coordinates of the tube of a given nondegenerate curve in Euclidean  $n$ -space to estimate total curvature of the tube. This estimate provides a proof of generalized Fenchel's theorem:

Let  $\alpha$  be a closed curve in  $R^n$ . Then  $\int \kappa ds \geq 2\pi$ , with the equality if and only if the curve is a convex plane curve.

### 2. Preliminaries

Let  $\alpha$  be a curve in  $R^{n+1}$ , which, for convenience, is supposed to be parametrized by arc length, so that  $\|\alpha(s)\| = 1$ . Suppose that the curve

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is non-degenerate, or equivalently

$$\alpha(s) \wedge \alpha'(s) \wedge \cdots \wedge \alpha^{(n)}(s)$$

is not identically zero.

For a Frenet frame

$$(\alpha(s), e_1(s), \cdots, e_n(s))$$

of the curve  $\alpha$ , the Frenet equations are

$$\begin{aligned} \frac{d\alpha}{ds} &= e_1 \\ \frac{de_i}{ds} &= -\kappa_{i-1}(s)e_{i-1} + \kappa_i(s)e_{i+1}. \end{aligned}$$

The  $\kappa_i$  ( $i = 1, \cdots, n$ ) uniquely determine  $\alpha$  up to rigid motion.

Furthermore,  $\{e_2, e_3, \cdots, e_n\}$  span the normal space to the curve.

Let  $S$  be an oriented hypersurface in  $R^{n+1}$  and let  $p \in S$ . Let  $Z$  be any non-zero normal vector field on  $S$  such that  $N = Z/\|Z\|$  and let  $\{\nu_1, \cdots, \nu_n\}$  be any basis for  $S_p$  and  $K$  be the Gauss - Kronecker curvature. Using the fact that  $dN(\nu)$  and  $\nabla_\nu N$  have the same vector part for all  $\nu \in S_p$ ,  $p \in S$ , we find that

$$\begin{aligned} K(p) &= (-1)^n \det \begin{pmatrix} \nabla_{\nu_1} N \\ \vdots \\ \nabla_{\nu_n} N \\ N(p) \end{pmatrix} / \det \begin{pmatrix} \nu_1 \\ \vdots \\ \nu_n \\ N(p) \end{pmatrix} \\ &= \det \begin{pmatrix} dN(\nu_1) \\ \vdots \\ dN(\nu_n) \\ N^{S^n}(N(p)) \end{pmatrix} / \det \begin{pmatrix} \nu_1 \\ \vdots \\ \nu_n \\ N(p) \end{pmatrix}, \end{aligned}$$

where  $N^{S^n}$  is the standard orientation on  $S^n$ .

LEMMA 1. *Let  $T$  be a torus in  $R^{n+1}$ . The total curvature of  $T$  satisfies the inequality;*

$$\int_T |K| d\sigma \geq 2Vol(S^n),$$

where  $d\sigma$  is the volume form on  $T$ .

PROOF. Let  $T^+$  be the region of  $T$  where  $K$  is nonnegative and let  $T^-$  be the region of  $T$  where  $K$  is nonpositive. Then

$$\int_T |K|d\sigma = \int_{T^+} Kd\sigma + \int_{T^-} |K|d\sigma.$$

Note that  $\int_{T^-} Kd\sigma$  represents the area of the image under the Gauss map (counting multiplicities) of the part of  $T$  where  $K \geq 0$ .

But each half-line through the origin in  $R^{n+1}$  appears at least once as a normal direction of  $T^+$ . So the Gauss map  $G : T^+ \rightarrow S^n$  covers the entire unit sphere  $S^n$ ; hence  $\int_{T^+} Kd\sigma \geq Vol(S^n)$ .

Since  $\int_T Kd\sigma = \int_{T^+} Kd\sigma + \int_{T^-} Kd\sigma$  and  $\int_T Kd\sigma = 0$ ,

$$\int_{T^-} Kd\sigma = - \int_{T^+} Kd\sigma \leq -Vol(S^n).$$

But  $\int_{T^-} |K|d\sigma = - \int_{T^-} Kd\sigma$ . Thus  $\int_{T^-} |K|d\sigma \geq Vol(S^n)$ . Therefore  $\int_T |K|d\sigma = \int_{T^+} Kd\sigma + \int_{T^-} |K|d\sigma \geq 2Vol(S^n)$ .  $\square$

LEMMA 2. Let  $T$  be a torus in  $R^{n+1}$ . Then  $\int_T |K|d\sigma = 2Vol(S^n)$  if and only if  $T$  is a regular torus.

PROOF. ("Only if" part)

Note that

$$2Vol(S^n) = \int_T |K|d\sigma = \int_{T^+} Kd\sigma + \int_{T^-} |K|d\sigma.$$

From the fact that  $\int_T Kd\sigma = \int_{T^+} Kd\sigma + \int_{T^-} Kd\sigma$  and  $\int_T Kd\sigma = 0$ , we have

$$\int_{T^-} Kd\sigma = - \int_{T^+} Kd\sigma$$

and

$$\int_{T^-} Kd\sigma = - \int_{T^-} Kd\sigma = \int_{T^-} -Kd\sigma = \int_{T^-} |K|d\sigma.$$

Thus  $\int_{T^-} Kd\sigma = -Vol(S^n)$  and  $\int_{T^+} Kd\sigma = Vol(S^n)$ .

Hence, the spherical image of Gauss map on  $T^-$  covers  $S^n$  without overlapping and the spherical image of Gauss map on  $T^+$  also covers  $S^n$  without overlapping. This is possible only when  $T$  is a regular torus. "If" part is obvious.  $\square$

### 3. Result

Now we will derive the lower bound of the total curvature of  $\alpha$  in  $R^{n+1}$ .

**THEOREM 1.** *For a simple closed curve  $\alpha$  in  $R^{n+1}$ ,*

$$\int_{\alpha} k ds \geq 2\pi,$$

*with the equality if and only if  $\alpha$  is a convex plane curve.*

**PROOF.** Let  $T$  be the tube of radius  $t$  around  $\alpha(s)$ . For a sufficiently small  $t$ ,  $T$  is a smooth hypersurface parametrized by

$$\begin{aligned} X(s, \theta_1, \dots, \theta_{n-1}) &= \alpha(s) + tN(s, \theta_1, \dots, \theta_{n-1}), \\ 0 \leq \theta_1 \leq 2\pi, \quad 0 \leq \theta_2, \dots, \theta_{n-1} &\leq \pi, \end{aligned}$$

where

$$\begin{aligned} N(s, \theta_1, \dots, \theta_{n-1}) &= (\sin \theta_1 \sin \theta_2 \cdots \sin \theta_{n-1})e_2(s) \\ &+ (\cos \theta_1 \sin \theta_2 \cdots \sin \theta_{n-1})e_3(s) \\ &+ \cdots + (\cos \theta_{i-2} \sin \theta_{i-1} \cdots \sin \theta_{n-1})e_i(s) \\ &+ \cdots + \cos \theta_{n-1}e_{n+1}(s). \end{aligned}$$

Then

$$\begin{aligned} d\sigma &= \det \begin{pmatrix} X_s \\ X_{\theta_1} \\ \vdots \\ X_{\theta_{n-1}} \\ N \end{pmatrix} ds d\theta_1 \cdots d\theta_{n-1} \quad \text{and} \\ K &= \det \begin{pmatrix} N_s \\ N_{\theta_1} \\ \vdots \\ N_{\theta_{n-1}} \\ N \end{pmatrix} / \det \begin{pmatrix} X_s \\ X_{\theta_1} \\ \vdots \\ X_{\theta_{n-1}} \\ N \end{pmatrix} \end{aligned}$$

Hence

$$K d\sigma = \begin{pmatrix} N_s \\ N_{\theta_1} \\ \vdots \\ N_{\theta_{n-1}} \\ N \end{pmatrix} ds d\theta_1 \cdots d\theta_{n-1}.$$

On the other hand,

$$\begin{aligned} N_s &= (-\kappa_1 \sin \theta_1 \cdots \sin \theta_{n-1})e_1 + \cdots \\ &\quad + (\kappa_{i-1} \cos \theta_{i-3} \sin \theta_{i-2} \cdots \sin \theta_{n-1} - \kappa_i \cos \theta_{i-1} \sin \theta_i \cdots \sin \theta_{n-1})e_i \\ &\quad + \cdots + (\kappa_n \cos \theta_{n-2} \sin \theta_{n-1})e_{n+1}. \end{aligned}$$

$$\begin{aligned} N_{\theta_i} &= (\sin \theta_1 \sin \theta_2 \cdots \cos \theta_i \sin \theta_{i+1} \cdots \sin \theta_{n-1})e_2 + \cdots \\ &\quad + (-\sin \theta_i \sin \theta_{i+1} \cdots \sin \theta_{n-1})e_{i+2}, \end{aligned}$$

where  $1 \leq i \leq n - 1$ .

$$\det \begin{pmatrix} N_s \\ N_{\theta_1} \\ \vdots \\ N_{\theta_{n-1}} \\ N \end{pmatrix} = -\kappa_1 \sin \theta_1 \sin^2 \theta_2 \cdots \sin^{n-1} \theta_{n-1}.$$

So for the region  $T^+$  where the Gauss-Kronecker curvature of  $T$  is non-negative, we have

$$\begin{aligned} &\int_{T^+} K d\sigma \\ &= \int_0^\ell \kappa_1 ds \int_0^\pi \int_0^\pi \cdots \int_0^\pi \int_0^\pi \sin \theta_1 \sin^2 \theta_2 \cdots \sin^{n-1} \theta_{n-1} d\theta_1 \cdots d\theta_{n-1}. \end{aligned}$$

Hence,

$$(1) \quad \int_{T^+} K d\sigma = \begin{cases} \frac{2^k \cdot \pi^{k-1}}{1 \cdot 3 \cdot 5 \cdots (2k-1)} \int_0^\ell \kappa_1 ds, & n = 2k \\ \frac{\pi^k}{1 \cdot 2 \cdot 3 \cdots k} \int_0^\ell \kappa_1 ds, & n = 2k + 1 \end{cases}$$

where  $k = 1, 2, \dots$ . On the other hand, we have the following inequality from lemma 1

$$(2) \quad \int_{T^+} K \, d\sigma \geq \text{Vol}(S^n).$$

From (1) and (2), in  $R^{n+1}$ ,

$$(3) \quad \int_0^\ell \kappa_1 \, ds \geq \begin{cases} \frac{1 \cdot 3 \cdot 5 \cdots (2k-1)\pi^{\frac{3}{2}}}{2^{k-1}(k-\frac{1}{2})!}, & n = 2k \\ \frac{2 \cdot 1 \cdot 2 \cdot 3 \cdots k\pi}{k!}, & n = 2k + 1 \end{cases}$$

where  $k = 1, 2, \dots$ .

Either case of the right hand side of (3) gives  $2\pi$ . Hence  $\int_0^\ell \kappa_1 \, ds \geq 2\pi$ .

Observe that if  $\alpha$  is not a plane convex curve,  $T$  cannot be a regular torus.

So from lemma 1 and lemma 2,  $\int_{T^+} k \, d\sigma > \text{Vol}(S^n)$ .

Now it follows  $\int_0^\ell k_1 \, d\sigma > 2\pi$  from (1) and (2). This completes the proof of our theorem.  $\square$

**THEOREM 2.** *If  $\kappa(s) \leq 1/R$  for a closed curve  $\alpha$  in  $R^n$ ,  $R$  being a constant, then  $\alpha$  has a length  $L \geq 2\pi R$ . The shortest closed curve with curvature  $\kappa(s) \leq 1/R$  is a circle of radius  $R$ .*

**PROOF.** Note that

$$L = \int_0^L ds \geq \int_0^L R\kappa \, ds = R \int_0^L \kappa \, ds \geq 2\pi R.$$

So any such a curve has length at least  $2\pi R$ . The length of the shortest closed curve with curvature  $k(s) \leq \frac{1}{R}$  must be  $2\pi R$  or  $\int_0^L k \, ds = 2\pi$ , since a circle of radius  $R$  satisfies  $L = 2\pi R$ . From our theorem 1, the shortest curve must be a plane convex curve. Now the Schur's theorem [1] says that the equality of the distance between their end points holds if and only if two arcs are congruent. Since the circle of radius  $R$  is the case, we conclude that it must itself be a circle because both of the distances between their end points are 0.  $\square$

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