

## AUTOMORPHISMS OF METACYCLIC GROUPS OF PRIME POWER ORDER

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### 1. Introduction

There are a number of determinations of the isomorphism types of finite metacyclic  $p$ -groups in the literature (see, for example, Beyl [1], King [2], Newman and Xu [3], and Sim [6]). When  $p$  is odd, the results in the literature typically take this form. given an odd prime  $p$ , each finite metacyclic  $p$ -group has *precisely* one presentation

$$\langle x, y \mid x^{p^\alpha} = y^{p^\beta}, y^{p^\gamma} = 1, y^x = y^{1+p^\delta} \rangle$$

with the parameters  $\alpha, \beta, \gamma, \delta$  subject to certain restrictions. (The choice of the restrictions varies from paper to paper, to fit the approach adopted )

Our main result presents a determination of the automorphism group of a finite nonabelian metacyclic  $p$ -group in terms of the parameters which are invariants of the isomorphism type, by using the presentations of the above form.

Let  $G$  be a metacyclic group and let  $K$  be a cyclic normal subgroup of  $G$  such that  $G/K$  is cyclic. Then the automorphism group of  $G$  acts on the set of all such cyclic normal subgroups. Our strategy to determine the automorphisms of a metacyclic  $p$ -group  $G$  may be stated as follows: we first investigate the orbit containing  $K$  under the action of the automorphism group of  $G$ , and then we try to determine all automorphisms of  $G$  that fix  $K$  setwise. This enables us to have the main results as follow.

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**THEOREM 1.1.** *Let  $P$  be a finite nonabelian split metacyclic  $p$ -group for an odd prime  $p$ .*

(i)  *$P$  has the presentation of the form*

$$P = \langle a, b \mid a^{p^\alpha} = 1, b^{p^\beta} = 1, a^b = a^{1+p^\gamma} \rangle,$$

where  $0 < \gamma < \beta \leq \alpha + \gamma$ , different values of parameters  $\alpha, \beta, \gamma$  giving nonisomorphic such groups.

(ii)  *$\text{Aut}P$  is a soluble group of order  $(p-1)p^{\min(\alpha, \beta) + \min(\alpha, \gamma) + \alpha + \gamma - 1}$  and each Hall  $p'$ -subgroup of  $\text{Aut}P$  is isomorphic to  $\mathbb{Z}_{p-1}$ .*

**THEOREM 1.2.** *Let  $P$  be a finite nonsplit metacyclic  $p$ -group for an odd prime  $p$ .*

(i)  *$P$  has the presentation of the form*

$$P = \langle a, b \mid a^{p^\alpha} = b^{p^\beta}, b^{p^{\beta+\delta}} = 1, b^a = b^{1+p^\gamma} \rangle$$

where  $0 < \delta \leq \gamma < \beta < \alpha$ , different values of parameters  $\alpha, \beta, \gamma, \delta$  giving nonisomorphic such groups.

(ii)  *$\text{Aut}P$  is a  $p$ -group of order  $p^{\alpha+\beta+\gamma+\delta}$ .*

## 2. Background results

We first setup some notation and terminology.

Let  $m$  and  $n$  be positive integers. Define

$$|m \bmod n| := \min\{i \in \mathbb{Z} : i > 0, m^i \equiv 1 \pmod{n}\},$$

the multiplicative order of  $m$  modulo  $n$ ; it is not defined unless  $\gcd(m, n) = 1$ .

We denote the commutator subgroup of a group  $G$  by  $G'$ , the centre by  $Z(G)$  and the Frattini subgroup by  $\Phi(G)$ . The automorphism group of a group  $G$  is denoted by  $\text{Aut}(G)$ . If  $G$  is a finite  $p$ -group, then  $\Omega_1(G)$  denotes the subgroup generated by all elements of order  $p$ . For two subgroups  $H$  and  $K$  of a group  $G$ , let  $C_H(K)$  denote the centralizer of  $K$  in  $H$  and let  $N_H(K)$  denote the normalizer of  $K$  in  $H$ .

We now collect some basic properties of metacyclic groups, which will be used later. The proofs of the results presented here can be found

in [6] if the relevant references were not given. In this note we only deal with *finite* metacyclic groups, so for simplicity, by a metacyclic group we shall mean a finite metacyclic group.

Let  $G$  be a metacyclic group and let  $K$  be a cyclic normal subgroup of  $G$  such that  $G/K$  is cyclic. Then there exists a cyclic subgroup  $S$  such that  $G = SK$ . Such a factorization is called a metacyclic factorization. In particular, if  $G$  has a split metacyclic factorization, namely  $G = SK$  such that  $S \cap K = 1$ , then  $G$  is called *split metacyclic*.

LEMMA 2.1. *Let  $G$  be a group with a metacyclic factorization  $G = SK$ . Let  $S = \langle a \rangle$  and  $K = \langle b \rangle$ . Let  $r$  be an integer such that  $a^{-1}ba = b^r$ . Set  $s := |r \bmod |K||$  and  $t := |K|/\gcd(|K|, r - 1)$ . Then  $G' = \langle a^{r-1} \rangle \cong \mathbb{Z}_t$ ,  $Z(G) = \langle a^s, b^t \rangle$  and  $S/C_S(K) \cong \mathbb{Z}_s$ .*

LEMMA 2.2. *Let  $P$  be a metacyclic  $p$ -group for an odd prime  $p$  and let  $P = SK$  be a metacyclic factorization. Then  $S/C_S(K) \cong P'$ .*

LEMMA 2.3. [7, Theorem 4.3.14] *Let  $P$  be a metacyclic  $p$ -group for an odd prime  $p$ . Then  $P$  is regular, and so  $(ab^{-1})^{p^m} = 1 \iff a^{p^m} = b^{p^m}$ , for every  $a, b$  in  $P$  and every nonnegative integer  $m$ . If  $|P'|$  divides  $n$ , then  $(a^i b^j)^n = a^{in} b^{jn}$ .*

LEMMA 2.4. *If  $P$  is a noncyclic metacyclic  $p$ -group for an odd prime  $p$ ,*

- (i)  $P/\Phi(P) \cong \mathbb{Z}_p \times \mathbb{Z}_p$ ;
- (ii)  $\Omega_1(P) \cong \mathbb{Z}_p \times \mathbb{Z}_p$ .

LEMMA 2.5. *Let  $P$  be a noncyclic metacyclic  $p$ -group for an odd prime  $p$  and  $K$  a subgroup. Then*

- (v)  $K$  is cyclic if and only if  $K$  does not contain  $\Omega_1(P)$ ;
- (vi)  $K$  is normal and  $P/K$  is cyclic if and only if  $K$  contains  $P'$  and  $K$  is not contained in  $\Phi(P)$ .

LEMMA 2.6. *Let  $P$  be a metacyclic  $p$ -group for an odd prime  $p$ . If  $K$  and  $P/K$  are cyclic, then there exists a cyclic subgroup  $S$  of  $P$  such that  $P = SK$  and  $|S| = \exp P$ .*

We will also need the following lemma; a stronger version of it can be found in Rose [5, p. 193].

LEMMA 2.7. *If  $C$  is a cyclic subgroup of a finite abelian group  $A$  such that  $|C| = \exp A$ , then  $C$  is a direct factor of  $A$ .*

### 3. Presentations of metacyclic $p$ -groups

In this section, we consider canonical presentations for metacyclic  $p$ -groups for an odd  $p$ .

Let  $p$  be an odd prime and let  $P$  be a noncyclic metacyclic  $p$ -group. Let  $P = SK$  be a metacyclic factorization of  $P$ . Define  $\alpha, \beta, \gamma, \delta$  by

$$p^\alpha = |S : S \cap K|, \quad p^\beta = |K : S \cap K|, \quad p^\gamma = |K : P'|, \quad p^\delta = |S \cap K|.$$

Then it is easy to show that  $P$  has the presentation

$$\langle a, b \mid a^{p^\alpha} = b^{p^\beta}, \quad b^{p^{\beta+\delta}} = 1, \quad b^a = b^{1+p^\gamma} \rangle$$

and  $0 \leq \delta \leq \gamma \leq \beta + \delta \leq \alpha + \gamma$ ,  $1 \leq \gamma$ . The presentation is obtained by choosing relevant generators  $a$  of  $S$  and  $b$  of  $K$  from the metacyclic factorization  $P = SK$ ; we call this presentation a *metacyclic presentation* of  $P$ , and we denote it by  $\wp(\alpha, \beta, \gamma, \delta)$ . Note that the parameters of the presentation are not invariants of the isomorphism type of  $P$  in general, but determined by the choice of a metacyclic factorization of  $P$ .

If  $\delta = 0$ , then  $P$  is a split metacyclic; in this case, we denote the corresponding metacyclic presentation simply by  $\wp(\alpha, \beta, \gamma)$  and call it a *split metacyclic presentation* of  $P$ . A metacyclic  $p$ -group is split if and only if it has a split metacyclic presentation.

Consider the set

$$\{K : K \trianglelefteq P, K \text{ and } P/K \text{ cyclic} \}.$$

The subgroups of minimal order in this set will be called *minimal kernels*. A metacyclic factorization  $P = SK$  is called *standard* if  $|S| = \exp P$  and  $K$  is a minimal kernel. Lemma 2.6 guarantees that  $P$  has a standard metacyclic factorization. The corresponding metacyclic presentation to a standard metacyclic factorization  $P = SK$  is called a *standard metacyclic presentation* of  $P$ .

As a special case of the general observation for the metacyclic groups of odd order in [6], we see that a metacyclic presentation  $\wp(\alpha, \beta, \gamma, \delta)$  of a noncyclic metacyclic  $p$ -group  $P$  is standard if and only if the parameters

$$0 \leq \delta \leq \gamma \leq \beta \leq \alpha, \quad 1 \leq \gamma,$$

and so the parameters are invariants of the isomorphism type of  $P$ .

We now consider split noncyclic metacyclic  $p$ -groups. Let  $\wp(\alpha, \beta, \gamma, \delta)$  be a standard metacyclic presentation of  $P$ . If  $\delta = 0$ , then  $P$  is obviously split; if  $\alpha = \beta$ , then  $P = \langle ab \rangle \langle b \rangle$  is split; if  $\beta = \gamma$ , then  $P = \langle ab^{p^{\alpha-\beta}} \rangle \langle a \rangle$  is split. Suppose now that  $P$  is split. Then  $P$  has a split metacyclic presentation  $\wp(\alpha', \beta', \gamma')$ , where  $\alpha = \max\{\alpha', \gamma'\}$ ,  $\beta = \min\{\alpha', \beta'\}$ ,  $\gamma = \min\{\alpha', \gamma'\}$ ,  $\delta = \max\{\alpha', \beta'\} - \min\{\alpha', \gamma'\}$ . Therefore either  $\alpha = \beta$ , or  $\beta = \gamma$ , or  $\delta = 0$ . Consequently,  $P$  is split if and only if either  $\alpha = \beta$ , or  $\beta = \gamma$ , or  $\delta = 0$ . We also observe that  $\wp(\alpha', \beta', \gamma')$  is the unique split metacyclic presentation of  $P$  if  $P$  is nonabelian. This means the parameters for the split metacyclic presentation of  $P$  are invariants of the isomorphism type, provided  $P$  is not abelian.

By summarizing the above observation, we have

**THEOREM 3.1.** *Let  $p$  be an odd prime.*

(i) *Every finite nonabelian split metacyclic  $p$ -group  $P$  has a presentation of the form*

$$P = \langle a, b \mid a^{p^\alpha} = 1, b^{p^\beta} = 1, b^a = b^{1+p^\gamma} \rangle$$

where  $\alpha, \beta, \gamma$  are positive integers such that  $1 \leq \gamma < \beta \leq \alpha + \gamma$ . Conversely, each such presentation defines a nonabelian split metacyclic  $p$ -group of order  $p^{\alpha+\beta}$ , different values of the parameters  $\alpha, \beta, \gamma$  (with the above condition) giving nonisomorphic such groups.

(ii) *Every finite nonsplit metacyclic  $p$ -group  $P$  has a presentation of the form*

$$P = \langle a, b \mid a^{p^\alpha} = b^{p^\beta}, b^{p^{\beta+\delta}} = 1, b^a = b^{1+p^\gamma} \rangle$$

where  $\alpha, \beta, \gamma, \delta$  are nonnegative integers such that  $1 < \delta \leq \gamma < \beta < \alpha$ . Conversely, each such presentation defines such a metacyclic  $p$ -group of order  $p^{\alpha+\beta+\delta}$ , different values of the parameters  $\alpha, \beta, \gamma, \delta$  (with the above condition) giving nonisomorphic groups.

#### 4. Automorphisms of metacyclic $p$ -groups

In this section, we determine the automorphism groups of non-abelian metacyclic  $p$ -groups for an odd prime  $p$ . With Theorem 3.1, this will complete the proofs of the main results.

We first observe the following lemma.

**LEMMA 4.1.** *For an odd prime  $p$ , let  $P$  be a finite nonabelian metacyclic  $p$ -group with a metacyclic factorization  $P = SK$  such that  $P'$  properly contains  $S \cap K$ . There exists a one-to-one correspondence between the set  $\{K\theta : \theta \in \text{Aut}P\}$  and  $\text{Hom}(SP'/P', K/P')$ , the set of all homomorphisms from  $SP'/P'$  to  $K/P'$ .*

*Proof.* Let  $\theta$  be an automorphism of  $P$ . Since the cyclic  $p$ -group  $K\theta$  contains  $P'$ , and  $S \cap K < P'$ , we have  $S \cap K\theta = S \cap K < P'$ . Thus  $P = S(K\theta)$  is a metacyclic factorization of  $P$ . It follows that  $K\theta/P'$  is a direct complement of  $SP'/P'$  in  $P/P'$ .

On the other hand, let  $Y/P'$  be a direct complement of  $SP'/P'$  in  $P/P'$ . Then  $SY = P$  and  $SP' \cap Y = P'$ . Since  $SP'$  contains  $\Omega_1(P)$  and  $SP' \cap Y = P'$  is cyclic, the subgroup  $Y$  does not contain  $\Omega_1(P)$ ; so  $Y$  is cyclic by Lemma 2.5. Since  $P' \leq Y$ , we see that  $Y$  is also normal in  $P$ . Consequently,  $P = SY$  is a metacyclic factorization such that  $|K| = |Y|$  and  $S \cap Y = S \cap K$ . Therefore we see that the metacyclic factorizations  $P = SK$  and  $P = SY$  yield the same metacyclic presentation of  $P$ . This gives an automorphism  $\theta$  of  $P$  such that  $K\theta = Y$ .

Consequently, we now have showed that there exists a one-to-one correspondence between  $\{K\theta : \theta \in \text{Aut}P\}$  and the set of all complements of  $SP'/P'$  in  $P/P'$ . Since  $P/P'$  is the direct product of  $SP'/P'$  and  $K/P'$ , the lemma is now clear from (11.1.2) in [4].

Let  $p$  be an odd prime and let  $P$  be a nonabelian metacyclic  $p$ -group.  $P$  has a presentation of the form

$$\langle a, b \mid a^{p^\alpha} = b^{p^\beta}, \quad b^{p^{\beta+\delta}} = 1, \quad b^a = b^{1+p^\gamma} \rangle,$$

where  $1 \leq \gamma$ ,  $0 \leq \delta \leq \gamma \leq \beta + \delta \leq \alpha + \gamma$ . Let  $S = \langle a \rangle$  and let  $K = \langle b \rangle$ . By Lemma 2.1 and Lemma 2.2,  $P' = \langle b^{p^\gamma} \rangle$  and  $C_P(K) = \langle a^{p^{\beta+\delta-\gamma}}, b \rangle$ ; so  $|P'| = p^{\beta+\delta-\gamma}$ . Since  $P$  is regular (see Lemma 2.3),  $(a^i b^j)^{p^n} = a^{ip^n} b^{jp^n}$  for all integers  $i, j, n$  with  $n \geq \beta + \delta - \gamma$ .

Let

$$A(K) := \{x \in P : y^x = y^{1+p^\gamma}, y \in K\}.$$

Then  $A(K) = aC_P(K)$ . Let  $N$  be the set of all automorphisms  $\theta$  of  $P$  such that  $K\theta = K$ . Then  $N$  is a subgroup of  $\text{Aut}P$ . The map

$$a \mapsto x, b \mapsto y$$

defines an automorphism in  $N$  if and only if  $x \in A(K)$ ,  $\langle y \rangle = K$  and  $x^{p^\alpha} = y^{p^\beta}$ . Define

$$\mathcal{K} := \{K\theta : \theta \in \text{Aut}P\}$$

Then since  $\text{Aut}(P)$  acts transitively on  $\mathcal{K}$ , we have

$$|\text{Aut}P| = |N||\mathcal{K}|.$$

Let  $r, s, t$  be integers such that

$$0 \leq r < p^{\alpha-\beta+\gamma-\delta}, 0 \leq s < p^{\beta+\delta}, 0 \leq t < p^{\beta+\delta}.$$

The map

$$a \mapsto a^{1+rp^{\beta+\delta-\gamma}}b^{s-1}, b \mapsto b^t$$

defines an automorphism in  $N$  if and only if

$$\gcd(p, t) = 1, tp^\beta \equiv p^\beta(1 + rp^{\beta+\delta-\gamma}) + sp^\alpha \pmod{p^{\beta+\delta}}.$$

In this case, the automorphism so defined is denoted by  $\theta_{r,s,t}$ . On the other hand, let  $\theta$  be an automorphism in  $N$ . Then  $a\theta \in aC_P(K)$ . Since  $C_P(K) = \langle a^{p^{\beta+\delta-\gamma}}, b \rangle$ , we have  $a\theta = a^{1+rp^{\beta+\delta-\gamma}}b^s$  for some integers  $r, s$  such that  $0 \leq r < p^{\alpha-\beta+\gamma-\delta}$  and  $0 \leq s < p^{\beta+\delta}$ . Obviously  $b\theta = b^t$  for some integer  $t$  with  $0 \leq t < p^{\beta+\delta}$ . Therefore,  $N$  consists of the automorphisms  $\theta_{r,s,t}$  with the above conditions.

We now consider a nonabelian split metacyclic  $p$ -group  $P$ . By Theorem 3.1,  $P$  has the presentation as the above for some integers  $\alpha, \beta, \gamma$  such that  $1 \leq \gamma < \beta \leq \alpha + \gamma$ . By the above observation, we have

$$\theta_{r,s,t} \in N \iff \gcd(p, t) = 1, sp^\alpha \equiv 0 \pmod{p^\beta}.$$

Thus  $|N| = (p-1)p^{\alpha+\gamma-1+\min(\alpha,\beta)}$ . On the other hand, observing that  $|SP'/P'| = p^\alpha$  and  $|K/P'| = p^\gamma$  we have, from Lemma 4.1, that  $|\mathcal{K}| = p^{\min(\alpha,\gamma)}$  and so  $|\text{Aut}P| = (p-1)p^{\min(\alpha,\beta)+\min(\alpha,\gamma)+\alpha+\gamma-1}$ . Moreover, each Hall  $p'$ -subgroup of  $\text{Aut}P$  is isomorphic to the cyclic group of order  $p-1$ , and so  $\text{Aut}P$  is soluble.

We finally consider a nonsplit metacyclic  $p$ -group  $P$ . By Theorem 3.1 again, we can choose the parameters in the above presentation for  $P$  so that  $1 < \delta \leq \gamma < \beta < \alpha$ . We note that  $P'$  contains  $S \cap K$  properly. By applying Lemma 4.1, we get  $|\mathcal{K}| = p^{\min(\alpha,\gamma)} = p^\gamma$  in this case. We also have

$$\theta_{r,s,t} \in N \iff \gcd(p,t) = 1, sp^{\alpha-\beta} \equiv t-1 \pmod{p^\delta}$$

from the above observation. There exist precisely  $p^{2\beta+\delta}$  different pairs of integers  $s$  and  $t$  satisfying the condition. So we have  $|\text{Aut}P| = p^{\alpha+\beta+\gamma+\delta}$ .

We summarize the observation as follow:

**THEOREM 4.2.** *For an odd prime  $p$ , let  $P$  be a finite nonabelian metacyclic  $p$ -group.*

(i) *If  $P$  is presented by*

$$P = \langle a, b \mid a^{p^\alpha} = 1, b^{p^\beta} = 1, a^b = a^{1+p^\gamma} \rangle,$$

where  $0 < \gamma < \beta \leq \alpha + \gamma$ , then

$$|\text{Aut}P| = (p-1)p^{\min(\alpha,\beta)+\min(\alpha,\gamma)+\alpha+\gamma-1}.$$

Moreover, each Hall  $p'$ -subgroup of  $\text{Aut}P$  is isomorphic to  $\mathbb{Z}_{p-1}$ , and so  $\text{Aut}P$  is soluble.

(ii) *If  $P$  is presented by*

$$P = \langle a, b \mid a^{p^\alpha} = b^{p^\beta}, b^{p^{\beta+\delta}} = 1, b^a = b^{1+p^\gamma} \rangle$$

where  $\alpha, \beta, \gamma, \delta$  are nonnegative integers such that  $1 < \delta \leq \gamma < \beta < \alpha$ , then  $\text{Aut}P$  is a  $p$ -group of order  $p^{\alpha+\beta+\gamma+\delta}$ .



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