

## SOME RESULTS ON $k^*$ -PARANORMAL OPERATORS

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### 1. Introduction

Throughout this paper,  $H$  will always denote a Hilbert space. The  $*$ -algebra of all bounded linear operators on  $H$  is denoted by  $L(H)$  and  $K(H)$  is the ideal of all compact operators on  $H$ . The spectrum, the approximate point spectrum and the essential spectrum of an operator  $T$  are denoted by  $\sigma(T)$ ,  $\sigma_{ap}(T)$  and  $\sigma_e(T)$ , respectively. Let  $N_T(\mu)$  be the  $\mu$ -space of  $T$ , that is,  $N_T(\mu) = \{x \in H : Tx = \mu x\}$ . The quotient algebra  $L(H)/K(H)$  is called the Calkin algebra. Let  $\pi : L(H) \rightarrow L(H)/K(H)$  be the natural mapping. Then an operator  $T$  is said to be Fredholm if  $\pi(T)$  is invertible. The essential spectrum  $\sigma_e(T)$  of  $T$  is the set of all complex number  $\lambda$  such that  $\lambda I - T$  is not a Fredholm operator and is denoted by  $\sigma_e(T) = \sigma(\pi(T))$

In [4] and [2], T. Furuta, S.C. Arora and J.K Thukral introduced the concept of paranormal operators and  $*$ -paranormal operators.  $T \in L(H)$  is said to be paranormal if  $\|Tx\|^2 \leq \|T^2x\|\|x\|$ ;  $*$ -paranormal  $\|T^*x\|^2 \leq \|T^2x\|$  for each unit vector  $x$ . Motivated by this, we introduce the following : An operator  $T$  is said to be a  $k^*$ -paranormal operator if  $\|T^*x\|^k \leq \|T^kx\|$  for each unit vector  $x$  in  $H$ . In [7,8], various examples have been constructed to show the proper inclusion relations among the classes of paranormal,  $*$ -paranormal, and  $k^*$ -paranormal operators. It is the aim of this paper to study the properties of the new class of  $k^*$ -paranormal operators which generalizes the class of  $*$ -paranormal operators. Moreover, we show some results on  $k^*$ -paranormal operators.

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## 2. $*$ -paranormal operators and $k^*$ -paranormal operators

An operator  $T$  is said to be isometric if  $\|Tx\| = \|x\|$  for all  $x \in H$ . It is easy to verify that every isometric operator is hyponormal (i.e.  $\|T^*x\| \leq \|Tx\|$  for all  $x \in H$ ). An operator  $T$  is said to be unitarily equivalent to an operator  $S$  if  $S = U^*TU$  for an unitary operator  $U$ .

In [5], T. Furuta and R. Nakamo have proved the following theorem.

**THEOREM A.** *A hyponormal operator unitarily equivalent to its adjoint is normal.*

We generalize the above theorem and prove similar results for the class of  $*$ -paranormal operators and  $k^*$ -paranormal operators. In [7, Theorem 2. 3], we have proved the following theorem.

**THEOREM 2.1.** *Let  $T$  be a  $*$ -paranormal operator. Then an operator unitarily equivalent to  $T$  is  $*$ -paranormal.*

We generalize Theorem A and have a similar result for  $k^*$ -paranormal operator.

**THEOREM 2.2.** *An operator unitarily equivalent to a  $k^*$ -paranormal operator is  $k^*$ -paranormal.*

*Proof.* Suppose  $S = U^*TU$ ,  $T$  is  $k^*$ -paranormal and  $U$  unitary. Now, for each  $x$  in  $H$ , we have  $\|S^*x\|^k = \|U^*T^*Ux\|^k = \|T^*Ux\|^k \leq \|T^kUx\|\|Ux\|^{k-1} = \|US^kx\|\|x\| = \|S^kx\|\|x\|$ . Thus  $S$  is a  $k^*$ -paranormal operator.

**THEOREM 2.3.** *If  $T$  is an isometry and its adjoint is a  $k^*$ -paranormal operator, then  $T$  is unitary.*

*Proof.* Since  $T$  is an isometry,  $T$  is  $k^*$ -paranormal. Then we have the following inequality : For any  $x \in H$ ,

$$\begin{aligned} \|x\|^k &= \|T^*Tx\|^k \leq \|T^2x\|^k \leq \|T^{*k}Tx\|\|Tx\|^{k-1} = \|T^{*k-1}x\|\|x\|^{k-1} \\ &\leq \|TT^{*k-2}x\|\|x\|^{k-1} = \|T^{*k-2}x\|\|x\|^{k-1} \\ &\leq \|T^*x\|\|x\|^{k-1} \leq \|Tx\|\|x\|^{k-1} = \|x\|^k \end{aligned}$$

i.e, the equalities  $\|Tx\| = \|x\|$  and  $\|x\| = \|T^*x\|$  imply  $T^*T = I$  and  $TT^* = I$ , respectively. Therefore  $T$  is unitary.

By a similar proof, we can show easily a parallel result for  $*$ -paranormal operators.

**COROLLARY 2.4.** *If  $T$  is an isometry and its adjoint is a  $*$ -paranormal operator, then  $T$  is unitary.*

In [6], S.M. Patel have proved the following theorem.

**THEOREM B.** *Let  $A$  be a hyponormal operator and let  $B$  be  $*$ -paranormal. If  $A$  and  $B$  are doubly commutative(i.e.  $AB = BA$  and  $AB^* = B^*A$ ), then  $AB$  is a  $*$ -paranormal operator.*

In the following theorem we show that if we replace a hyponormal operator and a  $*$ -paranormal operator by an isometric operator and a  $k^*$ -paranormal operator in Theorem B, then again the condition of commutativity is sufficient to ensure the  $k^*$ -paranormality of the product.

**THEOREM 2.5.** *Let  $T$  be a  $k^*$ -paranormal operator such that  $T$  commutes with an isometric operator  $S$ . Then  $TS$  is a  $k^*$ -paranormal operator.*

*Proof.* For a unit vector  $x$  in  $H$ ,

$$\begin{aligned}
 \|(TS)^*x\|^k &= \|S^*T^*x\|^k \\
 &\leq \|ST^*x\|^k \\
 &= \|T^*x\|^k \\
 &\leq \|T^kx\| \\
 &= \|ST^kx\| \\
 &= \|S^kT^kx\| \\
 &= \|(TS)^kx\|
 \end{aligned}$$

Hence,  $TS$  is a  $k^*$ -paranormal operator.

In [2], S.C.Arora and J.K Thukral showed that power and the inverse(if exists) of  $*$ -paranormal operator may not be  $*$ -paranormal. and also this class is not translation invariant.

Product of two commuting  $*$ -paranormal operators, in general, may not be  $*$ -paranormal [6, P.94]. The product of two commuting  $k^*$ -paranormal operators may not be  $k^*$ -paranormal.

In the proof of [1, Theorem 3], T. Ando has showed the following.

**LEMMA 2.6.** *Let  $T$  and  $S$  be doublely commuting paranormal operators. If  $\|TSx\|\|x\| \geq \|Tx\|\|Sx\|$  (or  $\|T^2Sx\|\|x\| \geq \|T^2x\|\|Sx\|$ ) for all  $x \in H$ , then  $TS$  is a paranormal operator.*

With suitable modification in the inequalities of Lemma 2.6, the following can be showed.

**THEOREM 2.7.** *Let  $T$  and  $S$  be doubly commuting  $*$ -paranormal operators.*

- (1) *If  $\|T^*Sx\|\|x\| \geq \|T^*x\|\|Sx\|$  for all  $x \in H$ , then  $TS$  is  $*$ -paranormal.*
- (2) *If  $\|T^*S^2x\|\|x\| \geq \|T^*x\|\|S^2x\|$  for all  $x \in H$ ,  $TS$  is  $*$ -paranormal.*

*Proof.* (1) Assume that  $\|T^*Sx\|\|x\| \geq \|T^*x\|\|Sx\|$  for all  $x \in H$ . Since  $T$  and  $S$  are double commuting  $*$ -paranormal operators, we have

$$\begin{aligned} \|T^2S^2x\|\|S^2x\|\|Sx\|^2\|T^*x\|\|x\|^2 &\geq \|T^*S^2x\|^2\|Sx\|^2\|T^*x\|\|x\|^2 \\ &= \|S^2T^*x\|\|T^*x\|\|S^2T^*x\|\|Sx\|^2\|x\|^2 \\ &\geq \|S^*T^*x\|^2\|S^2T^*x\|\|Sx\|^2\|x\|^2 \\ &\geq \|S^*T^*x\|^2\|T^*Sx\|\|S^2x\|\|Sx\|\|x\|^2 \\ &\geq \|S^*T^*x\|^2\|T^*x\|\|S^2x\|\|Sx\|^2\|x\|, \end{aligned}$$

Hence,  $\|(TS)^2x\|\|x\| \geq \|(TS)^*x\|^2$ . Thus  $TS$  is a  $*$ -paranormal operator.

(2) By a similar method we have

$$\begin{aligned} \|T^2S^2x\|\|S^2x\|\|S^*x\|\|T^*x\|\|x\| &\geq \|T^*S^2x\|^2\|S^*x\|\|T^*x\|\|x\| \\ &\geq \|S^*T^*x\|^2\|S^*x\|\|x\|\|T^*S^2x\| \\ &\geq \|S^*T^*x\|^2\|T^*x\|\|S^2x\|\|S^*x\| \end{aligned}$$

Hence,  $\|(TS)^2x\|\|x\| \geq \|(TS)^*x\|^2$ . Thus  $(TS)$  is a  $*$ -paranormal operator.

Modifying the condition (1) in Theorem 2.7, we have a parallel result for a  $k^*$ -paranormal operator in the following.

**REMARK.** Let  $T$  and  $S$  be  $k^*$ -paranormal operators such that  $T$  and  $S$  are doubly commutative. If  $\|T^*S^kx\|\|x\| \geq \|T^*x\|\|S^kx\|$  for all  $x \in H$ , where  $k$  is a positive integers( $k \geq 2$ ), then  $TS$  is a  $k^*$ -paranormal operator.

*Proof.* Assume that  $\|T^*S^kx\|\|x\| \geq \|T^*x\|\|S^kx\|$  for all  $x \in H$  and  $k$  is a positive integer( $k \geq 2$ ). Since  $T$  and  $S$  are doubly commuting  $k^*$ -paranormal operators, we have

$$\begin{aligned} \|T^kS^kx\|\|S^kx\|^{k-1}\|T^*x\|^{k-1}\|x\|^k &\geq \|T^*S^kx\|^k\|T^*x\|^{k-1}\|x\|^k \\ &= \|S^kT^*x\|\|S^kT^*x\|^{k-1}\|T^*x\|^{k-1}\|x\|^k \\ &\geq \|S^*T^*x\|^k\|S^kT^*x\|^{k-1}\|x\|^k \\ &= \|S^*T^*x\|^k\|T^*S^kx\|^{k-1}\|x\|^k \\ &\geq \|S^*T^*x\|^k\|T^*x\|^{k-1}\|S^kx\|^{k-1}\|x\|^{k-1} \end{aligned}$$

Hence,  $\|(TS)^kx\|\|x\| \geq \|(TS)^*x\|^k$ . Thus  $TS$  is a  $k^*$ -paranormal operator.

### 3. Fredholm operators and $k^*$ -paranormal operators

**LEMMA 3.1** [3]. If  $T \in L(H)$ , the following are equivalent:

- (1)  $T \in F_l$
- (2)  $\text{ran}T$  is closed and  $\ker T$  is finite dimensional.
- (3) There is no sequence of unit vectors  $\{x_n\}$  such that  $\lim\|Tx_n\| = 0$  and  $x_n \rightarrow 0$  weakly.
- (4) There is no orthonormal sequence  $\{e_n\}$  such that  $\lim\|Te_n\| \rightarrow 0$ , where  $F_l$ ,  $F_r$  and  $F$  denote the left Fredholm, right Fredholm, and Fredholm operators.

LEMMA 3.2 [3].

- (1)  $F_l, F_r$  and  $F$  are open.
- (2)  $T \in F_l$  if and only if  $T^* \in F_r$

LEMMA 3.3 [3]. If  $T \in L(H)$ , the following are equivalent:

- (1)  $T$  is right invertible
- (2)  $T$  is surjective
- (3)  $\inf\{\|T^*x\| : \|x\| = 1\} > 0$
- (4)  $T^*$  is left invertible
- (5)  $\text{ran}T^*$  is closed and  $\ker(T^*) = (0)$

THEOREM 3.4. Let  $T$  be a  $k^*$ -paranormal operator.

- (1)  $T$  is invertible if and only if  $T$  is right invertible.
- (2)  $T$  is Fredholm if and only if  $\pi(T)$  has a right inverse in  $L(H)/K(H)$ .
- (3)  $\sigma(T) = \sigma_r(T)$  and  $\sigma_e(T) = \sigma_{re}(T)$  ( $\sigma_r(T)$  : right spectrum).
- (4)  $\sigma(T^*) = \sigma_{ap}(T^*)$ .

*Proof.* (1) Suppose  $TB = I$  where  $B \in L(H)$ . Then  $(TB)^* = B^*T^* = I$ . Hence  $\ker T^* = (0)$ ; and since  $T$  is  $k^*$ -paranormal, we have  $\ker(T) = (0)$ . Hence  $T$  is injective and  $T$  is surjective by Lemma 3.3. Therefore  $T$  is invertible.

(2) By Lemma 3.1 and Lemma 3.2,  $T^* \in F_l$  if and only if  $T \in F_r$ . Hence  $\text{ran}T^*$  is closed and  $\text{Ker}T^*$  is finite dimensional, and  $\text{ran}T$  is closed if and only if  $\text{ran}T^*$  is closed. Since  $T$  is  $k^*$ -paranormal,  $\text{Ker}T$  is finite dimensional. Therefore  $F$  is a Fredholm operator.

(3) This is immediate from (1) and (2).

(4) By Lemma 3.3 and (3) in Theorem 3.4 we have  $\sigma_l(T^*) = \sigma(T^*)$ , where  $\sigma_l(T^*)$  denote the left spectrum of  $T^*$ . And by [4,p 37] we have  $\sigma_{ap}(T^*) = \sigma_l(T^*) = \sigma(T^*)$ .

THEOREM 3.5. Let  $T$  be a  $k^*$ -paranormal operator. Then  $\lambda \in \sigma_{ap}(T)$  if and only if there is a  $*$ -homomorphism  $\phi : C^*(T) \rightarrow \mathbb{C}$  such that  $\phi(T) = \lambda$  where  $C^*(T)$  is the  $C^*$ -algebra generated by a single operator  $T$ .

*Proof.* Suppose  $\phi : C^*(T) \rightarrow \mathbb{C}$  is a  $*$ -homomorphism such taht  $\phi(T) = \lambda$ . If  $\lambda \notin \sigma_{ap}(T)$ , then there is a constant  $c > 0$  such that  $\|(T - \lambda)x\| \geq c\|x\|$  for all  $x \in H$ . This implies that  $T^*T - \lambda T^* - \bar{\lambda}T + \bar{\lambda}\lambda - c^2$

is a positive operator. Hence  $0 \leq \phi(T^*T - \lambda T^* - \bar{\lambda}T + \bar{\lambda}\lambda) - c^2 = -c^2$ , a contradiction. Hence  $\lambda \in \sigma_{ap}(T)$ . Conversely, suppose  $\lambda \in \sigma_{ap}(T)$ . Let  $\{x_n\}$  be a sequence of unit vectors in  $H$  such that  $\|(T - \lambda)x_n\| \rightarrow 0$ . Let  $LIM$  denote a Banach limit and define  $\phi : L(H) \rightarrow \mathbb{C}$  by  $\phi(B) = LIM \langle Bx_n, x_n \rangle$ . If  $B \in L(H)$ , then  $\|B(T - \lambda)x_n\| \rightarrow 0$ . So  $\phi(B(T - \lambda)) = LIM \langle B(T - \lambda)x_n, x_n \rangle = 0$ . Since  $T$  is  $k^*$ -paranormal,  $\|(T - \lambda)^*x_n\| \rightarrow 0$ . Therefore  $\phi(B(T - \lambda)^*) = 0$  for every  $B$  in  $L(H)$  and  $\phi(I) = LIM \|x_n\|^2 = 1$ . Therefore if  $p((T - \lambda), (T - \lambda)^*)$  is any non-commuting polynomial in  $T - \lambda$  and  $(T - \lambda)^*$  that has no constant term,  $\phi(p((T - \lambda), (T - \lambda)^*) + \alpha) = \alpha$  for all  $\alpha$  in  $\mathbb{C}$ . This implies that  $\phi$  is multiplicative on a dense subalgebra of  $C^*(T)$ . Hence  $\phi|_{C^*(T)}$  is multiplicative and

$$\begin{aligned} 0 &= \phi(T - \lambda) = LIM \langle (T - \lambda)x_n, x_n \rangle \\ &= LIM \langle Tx_n, x_n \rangle + LIM \langle -\lambda x_n, x_n \rangle \\ &= \phi(T) - \lambda \end{aligned}$$

So  $\phi(T) = \lambda$  and  $\phi(T^*) = LIM \langle T^*x_n, x_n \rangle = \{\text{LIM} \langle Tx_n, x_n \rangle\}^* = (\phi(T))^*$ . Therefore  $\phi$  is a  $*$ -homomorphism such that  $\phi(T) = \lambda$ .

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