

**ON A WEIGHTED MAXIMAL  
MEANS OFF THE LINE  $\frac{1}{p} = \frac{1}{q}$**

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One of the main topics in the harmonic analysis is the local smoothing estimates for a certain maximal means. Elias M. Stein introduced the spherical maximal means in his paper [5]. Also J. Bourgain showed that there is a local smoothing estimates for Stein's maximal means in [1]. In recent years, Mockenhaupt, Seeger and Sogge reinforced the local smoothing estimates of Bourgain's circular maximal means(see [2]). In [3], Oberlin studied Stein's maximal estimates off the dual line  $\frac{1}{p} = \frac{1}{q}$ . A partial solution of the maximal means off the line  $\frac{1}{p} = \frac{1}{q}$  was given by Oberlin in [3].

In this note, we will give a complete solution what Oberlin has expected(see [3]). Actually we give a sharp estimates of a (spherical) maximal means off the dual line for  $n \geq 3$  and  $\alpha \geq 0$ .

Let us define spherical means of (complex) order  $\alpha$  by

$$M_t^\alpha f(x) = \int_{R^n} (1 - |y|^2)_+^{\alpha-1} f(x - ty) dy, f \in C_0^\infty(R^n), t > 0.$$

These means are defined for  $Re\alpha > 0$ , but the definition can be extended to the region  $Re\alpha \leq 0$  by analytic continuation. For  $Re\alpha \leq 0$ , we put  $M_t^\alpha f(x) = m_{\alpha,t} * f(x)$  given by  $\widehat{M_t^\alpha f}(x) = \widehat{m_\alpha}(tx)\widehat{f}(x)$  where  $\widehat{m_\alpha}(x) = \pi^{-\alpha+1}|x|^{-\frac{n}{2}-\alpha+1}J_{\frac{n}{2}+\alpha-1}(2\pi|x|)$ ,  $J_\alpha$  is a Bessel function of order  $\alpha$  and  $m_{\alpha,t}(x) = m_\alpha(\frac{x}{t})t^{-n}, t > 0, x \in R^n$ .

Now we consider a maximal function(introduced by Oberlin-see [3]):

$$T_{p,q}^\alpha f(x) = \sup_{r>0} r^{\frac{n}{p}-\frac{n}{q}} |M_t^\alpha f(x)|, 1 \leq p \leq q \leq \infty.$$

For  $n = 2$ , it is known that  $\{\int_{R^2} (\sup_{r>0} |M_t^\alpha f(x)|)^4 dx\}^{\frac{1}{4}} \leq c\|f\|_4$  if  $\alpha > -\frac{1}{8}$  (see [2]). Thus we can have some estimates of operators  $T_{p,q}^\alpha$  by interpolating the above result and (b) in the following theorem.

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THEOREM 1. (a) Let  $\alpha \geq 0$  and  $n \geq 3$ . Then

$$\|T_{p,q}^\alpha f\|_{L^q(dx)} \leq c_\alpha \|f\|_{L^p(R^n)}$$

if  $(\frac{1}{p}, \frac{1}{q})$  is in the region:  $\frac{1}{q} > \frac{1}{n}(\frac{1}{p} - \alpha)$ ,  $\frac{1}{q} > \frac{1}{n-1}(\frac{n+1}{p} - (n-1+2\alpha))$  and  $\frac{1}{p} < \frac{n-1}{n} + \frac{1}{n}\alpha$ .

(b) For  $n = 2$ , the above result is true when  $\alpha > \frac{1}{6}$ .

COMMENT: (a) and (b) are sharp in the sense of a necessary condition for  $n \geq 2$ . For  $\alpha = 0$ , see a necessary condition in [3]. For  $\alpha > 0$ , we can easily get the above condition using a transform in lemma 2.

The proof is based on a (Stein's) transform and interpolation theorem.

LEMMA 2. Let  $1 < p \leq q < \infty$ .

Set  $\alpha > \alpha' + \frac{1}{q}$ ,  $\alpha' > -\frac{n}{2} + \frac{1}{2}$  and  $\alpha' > \frac{n}{2}(\frac{1}{p} - \frac{1}{q} - 1) + \frac{1}{2q}$ . Then

$$t^{\frac{n}{p} - \frac{n}{q}} |M_t^\alpha f(x)| \leq C(\alpha, \alpha', p, q) \left\{ \frac{1}{t} \int_0^t |s^{\frac{n}{p} - \frac{n}{q}} M_s^{\alpha'} f(x)|^q ds \right\}^{1/q}$$

where  $C$  depends only on  $p, q, \alpha$  and  $\alpha'$ .

*Proof.* By a (Stein's) transform (see [S1]), we have a following calculation:

$$M_t^\alpha f(x) = \frac{2}{\Gamma(\alpha - \alpha')} \int_0^1 M_{st}^{\alpha'} f(x) (1-s^2)^{\alpha - \alpha' - 1} s^{n+2\alpha' - 1} ds$$

for  $\alpha > \alpha' > -\frac{n}{2} + \frac{1}{2}$ . Then

$$\begin{aligned} & t^{\frac{n}{p} - \frac{n}{q}} |M_t^\alpha f(x)| \\ & \leq \frac{2}{\Gamma(\alpha - \alpha')} \int_0^1 (st)^{\frac{n}{p} - \frac{n}{q}} M_{st}^{\alpha'} f(x) s^{n(1 - \frac{1}{p} + \frac{1}{q}) + 2\alpha' - 1} (1-s^2)^{\alpha - \alpha' - 1} ds \\ & \leq C(\alpha, \alpha', p, q) \left\{ \frac{1}{t} \int_0^t u^{\frac{n}{p} - \frac{n}{q}} |M_u^{\alpha'} f(x)|^q du \right\}^{\frac{1}{q}} \end{aligned}$$

by Hölder's inequality. Here,

$$\begin{aligned} & C(\alpha, \alpha', p, q) \\ & = \left\{ \frac{\Gamma(q'(\alpha - \alpha' - 1) + 1) \Gamma(\frac{q'n}{2}(1 - \frac{1}{p} + \frac{1}{q}) + q'\alpha' - \frac{1}{2}(q' - 1))}{2\Gamma(q'(\alpha - \alpha' - 1) + 1 + \frac{q'n}{2}(1 - \frac{1}{p} + \frac{1}{q}) + q'\alpha' - \frac{1}{2}(q' - 1))} \right\}^{\frac{1}{q'}}. \end{aligned}$$

LEMMA 3. Let  $\alpha' = -n + 1 + \frac{n}{p}$  and  $\frac{1}{2} \leq \frac{1}{p} \leq 1$ . Then

$$\left\{ \int_{R^n} \int_0^\infty (r^{\frac{n}{p} - \frac{n}{q}} |M_r^{\alpha'} f(x)|)^q \frac{dr}{r} dx \right\}^{\frac{1}{q}} \leq C \|f\|_p$$

for  $\frac{1}{q} = \frac{n-1}{n+1} (1 - \frac{1}{p})$ .

*Proof.* Consider a family of operators  $S_z : L^p(R^n, dx) \rightarrow L^q(R_+^{n+1}, \frac{dr}{r} dx)$  given by  $S_z f(x, r) = r^{\frac{n(z+1)}{(n+1)}} M_r^{\frac{z}{2} + 1 - \frac{n}{2}} f(x)$  for any complex number  $z$ .

Then we have the following known results by  $TT^*$ -method (see Lemma 5 in [4]):

$$\begin{aligned} \|S_{it} f(x, r)\|_2 &\leq \left\{ \int_{R^n} \int_0^\infty (r^{\frac{n}{(n+1)}} |M_r^{\frac{it}{2} - \frac{n}{2} + 1} f(x)|)^{\frac{2(n+1)}{(n-1)}} \frac{dr}{r} dx \right\}^{\frac{(n-1)}{2(n+1)}} \\ &\leq ca^{\frac{|t|}{2}} \|f\|_2 \end{aligned}$$

for some constants  $c$  and  $a$ .

Since  $|S_{n+it} f(x, r)| = r^{n + \frac{itn}{n+1}} M_r^{1 + \frac{it}{2}} f(x)$ , we can easily show that

$$\|S_{n+it} f\|_{L^\infty(R_+^{n+1}, \frac{dr}{r} dx)} \leq ce^{|t|} \|f\|_{L^1(R^n)}.$$

Hence the complex interpolation theorem gives our results. Now we are ready to finish Theorem 1.

*Proof of theorem 1.* Let  $n \geq 2$ . By the complex interpolation theorem between  $(0, 0)$  and  $(1, \infty)$ , we can see easily that

$$\| \sup_{r>0} r^{\frac{n}{p}} |M_r^\sigma f(x)| \|_\infty \leq c \|f\|_p \text{ if } \frac{1}{p} < \sigma \text{ and } 0 < \sigma < 1.$$

It is known that  $\| \sup_{r>0} |M_r^\sigma f(x)| \|_p \leq c \|f\|_p$  if  $\frac{1}{p} < \frac{n-1}{n} + \frac{\sigma}{n}$  and  $0 < \sigma < 1$  by Stein's maximal theorem(see [5]).

In order to interpolate points in the line  $\frac{1}{p} + \frac{1}{q} = 1$  we will use Oberlin's method-see [3] for  $n \geq 3$ .

Consider a family of operators  $T_z : L^p(R^n) \rightarrow L^q(dx, L^s(\frac{dr}{r}))$  given by  $T_z f(x, r) = r^z M_r^{\alpha(z)} f(x)$ , where  $L^q(dx, L^s(\frac{dr}{r}))$  is a mixed normed space with norm

$\|g\|_{q, \sigma} = \left\{ \int_{R^n} \left( \int_0^\infty |g(x, r)|^q \frac{dr}{r} \right)^{\frac{1}{q}} dx \right\}^{\frac{1}{q}}$ . Let  $p$  be fixed with  $\frac{1}{2} \leq \frac{1}{p} < \frac{n-1}{n} + \frac{\sigma}{n}$ . Put  $\alpha(z) = 1 + \frac{(1-\sigma)p}{2(p-1)} \left( \frac{z}{n} - 1 \right)$ . Choose  $\epsilon > 0$  such that  $\epsilon = \frac{1}{2} \left( n - \frac{(1-\sigma)p}{p-1} \right)$ .

Then we obtain the following estimates by complex interpolation and the choice of  $\epsilon$ :

$$\|T_{\frac{n}{p} - \frac{\sigma}{p}} f\|_{p', \infty} = \left\{ \int_{R^n} \left( \sup_{r>0} r^{\frac{n}{p} - \frac{\sigma}{p}} |M_r^\sigma f(x)| \right)^{p'} dx \right\}^{\frac{1}{p'}} \leq c \|f\|_p$$

if  $\frac{1}{2} \leq \frac{1}{p} < \frac{n-1}{n} + \frac{\sigma}{n}$ .

We will interpolate points on tyepediagram in the line  $\frac{1}{q} = \frac{n-1}{n+1} \left( 1 - \frac{1}{p} \right)$ .

Set  $\frac{-n^2+2n+1}{2(n+1)} \leq \alpha \leq 1$ . Lemma 2 and Lemma 3 gives:

$$\begin{aligned} & \left\| \sup_{r>0} r^{\frac{n}{p} - \frac{\sigma}{q}} |M_r^\sigma f(x)| \right\|_{L^q(R^n)} \\ & \leq C \left\{ \int_{R^n} \left( \sup_{r>0} \left\{ \frac{1}{r} \int_0^r |s^{\frac{n}{p} - \frac{\sigma}{q}} M_s^{\sigma'} f(x)|^q ds \right\}^{\frac{1}{q}} \right)^q dx \right\}^{\frac{1}{q}} \\ & \leq C \left\{ \int_{R^n} \int_0^\infty |s^{\frac{n}{p} - \frac{\sigma}{q}} M_s^{\sigma'} f(x)|^q \frac{ds}{s} dx \right\}^{\frac{1}{q}} \\ & \leq C \|f\|_{L^p(R^n)} \end{aligned}$$

if  $\sigma > \sigma' + \frac{1}{q} = \alpha$ ,  $\frac{1}{p} = \frac{n^2-n}{n^2+1} + \frac{\alpha(n+1)}{n^2+1}$  and  $\frac{1}{q} = \frac{n-1}{n+1} \left( 1 - \frac{1}{p} \right)$ .

Thus we have  $\left\| \sup_{r>0} r^{\frac{n}{p} - \frac{\sigma}{q}} |M_r^\sigma f(x)| \right\|_q \leq c \|f\|_p$  for  $\frac{1}{p} < \frac{n^2-n}{n^2+1}$  and  $\frac{1}{q} = \frac{n-1}{n+1} \left( 1 - \frac{1}{p} \right)$  since we can choose  $\alpha < 0$  such that  $\frac{1}{p} = \frac{n^2-n}{n^2+1} + \frac{\alpha(n+1)}{n^2+1} < \frac{n^2-n}{n^2+1}$ .

If  $\sigma > 0$  we will take  $\alpha < \sigma$  such that  $\frac{1}{p} = \frac{n^2-n}{n^2+1} + \frac{\alpha(n+1)}{n^2+1} < \frac{n^2-n}{n^2+1} + \frac{\sigma(n+1)}{n^2+1}$ . Then we obtain  $\left\| \sup_{r>0} r^{\frac{n}{p} - \frac{\sigma}{q}} |M_r^\sigma f(x)| \right\|_q \leq C_\sigma \|f\|_p$  if  $\frac{1}{p} < \frac{n^2-n}{n^2+1} + \frac{\sigma(n+1)}{n^2+1}$ ,  $\frac{1}{q} = \frac{n-1}{n+1} \left( 1 - \frac{1}{p} \right)$ .

For  $n = 2$ , we can get  $\left\{ \int_{R^n} \int_0^\infty |r^{\frac{2}{3}} M_r^0 f(x)|^6 \frac{dr}{r} dx \right\}^{\frac{1}{6}} \leq C \|f\|_2$  by Lemma 2. Then we have  $\left\{ \int_{R^n} \left| \sup_{r>0} r^{\frac{2}{3}} M_r^0 f(x) \right|^6 dx \right\}^{\frac{1}{6}} \leq C \|f\|_2$  if  $\sigma > \frac{1}{6}$ . Thus it is natural to obtain the results in (b) by the copy of the previous proof.

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