

**TOTALLY REAL SUBMANIFOLDS  
WITH PARALLEL MEAN CURVATURE  
VECTOR IN A COMPLEX SPACE FORM\***

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**1. Introduction**

Let  $M_n(c)$  be an  $n$ -dimensional complete and simply connected Kählerian manifold of constant holomorphic sectional curvature  $c$ , which is called a *complex space form*. Then according to  $c > 0, c = 0$  or  $c < 0$  it is a complex projective space  $P_nC$ , a complex Euclidean space  $C^n$  or a complex hyperbolic space  $H_nC$ . An  $n$ -dimensional submanifold  $M$  in a complex space form  $M_n(c)$  with complex structure  $J$  is said to be *totally real* if it satisfies  $J_x(T_xM) = N_xM$  at any point  $x$  in  $M$ , where  $T_xM$  (resp.  $N_xM$ ) denotes the tangent space (resp. the normal space) of  $M$  at  $x$  in  $M$ . Totally real submanifolds in a complex space form are studied by many authors from various points of view (for examples : [1],[6],[10] and so on). In particular, for a compact minimal totally real submanifold  $M$  in  $P_nC$  a sufficient condition for  $M$  to become totally geodesic is first given by Chen and Ogiue [1]. Let  $S$  be the square of the length of the second fundamental form  $\alpha$  of  $M$ . Then they proved the following

**THEOREM A** [1]. *Let  $M$  be an  $n$ -dimensional compact totally real submanifold in  $P_nC$ . If  $M$  is minimal and if it satisfies*

$$(1.1) \quad S < \frac{n(n+1)}{4(2n-1)}c,$$

*then  $M$  is totally geodesic.*

Now, it was pointed by Ludden, Okumura and Yano [6] that the estimate (1.1) of the square norm  $S$  is best possible. The counter example of the upper bound was also characterized in [6] as follows.

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**THEOREM B.** *Let  $M$  be an  $n$ -dimensional compact totally real submanifold in  $P_nC$ . If  $M$  is minimal and if it satisfies*

$$(1.2) \quad S = \frac{n(n+1)}{4(2n-1)}c,$$

*then  $n = 2$  and  $M$  is  $S^1 \times S^1$  in  $P_2C$ .*

We denote by  $\mathbf{h}$  the mean curvature vector on  $M$  and  $H$  be the mean curvature of  $M$  i.e., the norm of the mean curvature vector  $\mathbf{h}$ .

The purpose of this paper is to investigate the similar problem to the above theorems for complete totally real submanifolds with parallel mean curvature vector in a complex space form and we obtain the followings.

**THEOREM 1.** *Let  $M$  be an  $n$ -dimensional complete totally real submanifold with parallel mean curvature vector  $\mathbf{h}$  in a complex projective space  $P_nC$  of constant holomorphic sectional curvature  $c$ . If there exist some  $H_0$  such that  $H < H_0$  and  $S_1 \leq S \leq \sup S < S_2$ , then  $M$  is minimal. Consequently  $M$  is totally geodesic.*

**THEOREM 2.** *Let  $M$  be an  $n$ -dimensional complete totally real submanifold with parallel mean curvature vector  $\mathbf{h}$  in a complex hyperbolic space  $H_nC$  of constant holomorphic sectional curvature  $c$ . Assume that  $H^2 < -\frac{1}{4}c$ . Then there exists a constant  $S_1$  so that if  $\sup S < S_1$ , then  $M$  is totally geodesic.*

## 2. Preliminaries

Throughout this paper all manifolds are assumed to be smooth, connected without boundary. We discuss in smooth category. In this section we recall fundamental properties for totally real submanifolds in a complex space form. A complete and simply connected Kählerian manifold of constant holomorphic sectional curvature is called a *complex space form*. We denote by  $M_n(c)$  an  $n$ -dimensional complex space form of constant holomorphic sectional curvature  $c$ . It consists of a complex projective space  $P_nC$ , a complex Euclidean space  $C^n$  and a complex hyperbolic space  $H_nC$ . Let  $J$  be the complex structure of  $M_n(c)$ . We choose the local field of orthonormal frame  $e_1, \dots, e_n, e_{n+1} = Je_1, \dots,$

$e_{2n} = J e_n$  adapted to the Kählerian metric of  $M_n(c)$  and the dual coframe  $\omega_1, \dots, \omega_{2n}$ . The components  $J_{AB}$  of the complex structure  $J = \sum J_{AB} \omega_A \otimes \omega_B$  with respect to the frame field are given by

$$(2.1) \quad J_{AB} = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$$

Then connection forms  $\{\omega_{AB}\}$  of  $M_n(c)$  are characterized by the structure equations

$$(2.2) \quad \begin{cases} d\omega_A + \sum \omega_{AB} \wedge \omega_B = 0, \omega_{AB} + \omega_{BA} = 0, \\ d\omega_{AB} + \sum \omega_{AC} \wedge \omega_{CB} = \Omega_{AB}, \\ \Omega_{AB} = -\frac{1}{2} \sum R'_{ABCD} \omega_C \wedge \omega_D, \end{cases}$$

(2.3)

$$R'_{ABCD} = \frac{c}{4} (\delta_{AD} \delta_{BC} - \delta_{AC} \delta_{BD} + J_{AD} J_{BC} - J_{AC} J_{BD} - 2J_{AB} J_{CD}),$$

where  $\Omega_{AB}$  (resp.  $R'_{ABCD}$ ) denotes the Riemannian curvature form (resp. the components of the Riemannian curvature tensor  $R'$ ) of  $M_n(c)$ . In the sequel, the following convention on the range of indices is used, unless otherwise stated:

$$1 \leq A, B, \dots \leq 2n; \quad 1 \leq i, j, \dots \leq n; \quad n + 1 \leq \alpha, \beta, \dots \leq 2n.$$

We agree that the repeated indices under a summation sign without indication are summed over the respective range.

Let  $M$  be a real  $n$ -dimensional submanifold of an  $n$ -dimensional complex space form  $M_n(c)$ . The submanifold  $M$  is said to be *totally real* if it satisfies  $J_x(T_x M) = N_x M$  at any point  $x$  in  $M$ , where  $T_x M$  (resp.  $N_x M$ ) denotes the tangent space (resp. the normal space) of  $M$  at  $x$  in  $M$ .

In this paper we assume that  $M$  is an  $n$ -dimensional totally real submanifold in  $M_n(c)$ . Then we can choose a local field of orthonormal frame  $e_1, \dots, e_n, e_{n+1} = J e_1, \dots, e_{2n} = J e_n$  adapted to the Kählerian metric of  $M_n(c)$  and the dual coframe  $\omega_1, \dots, \omega_{2n}$  in such a way that, restricted to the submanifold  $M, e_1, \dots, e_n$  are tangent to  $M$ . The

canonnical forms  $\{\omega_A\}$  and the connection forms  $\{\omega_{AB}\}$  restricted to  $M$  are also denoted by the same symbols. We then have

$$(2.4) \quad \omega_\alpha = 0 \quad \text{for} \quad \alpha = n + 1, \dots, 2n.$$

We see that  $e_1, \dots, e_n$  is a local field of orthonormal frames adapted to the induced Riemannian metric on  $M$  and  $\omega_1, \dots, \omega_n$  is a local field of its dual coframe on  $M$ . It follows from (2.2), (2.4) and Cartan's lemma that we have

$$(2.5) \quad \omega_{\alpha i} = \sum h_{ij}^\alpha \omega_j, \quad h_{ij}^\alpha = h_{ji}^\alpha.$$

In particular, since  $M$  is totally real, we get

$$(2.6) \quad h_{ij}^{n+k} = h_{jk}^{n+i} = h_{ki}^{n+j}$$

The connection forms  $\{\omega_{ij}\}$  of  $M$  are characterized the structure equations

$$(2.7) \quad \begin{cases} d\omega_i + \sum \omega_{ij} \wedge \omega_j = 0, & \omega_{ij} + \omega_{ji} = 0, \\ d\omega_{ij} + \sum \omega_{ik} \wedge \omega_{kj} = \Omega_{ij}, \\ \Omega_{ij} = -\frac{1}{2} \sum R_{ijkl} \omega_k \wedge \omega_l, \end{cases}$$

where  $\Omega_{ij}$  (resp.  $R_{ijkl}$ ) denotes the Riemannian curvature form (resp. the components of the Riemannian curvature tensor  $R$ ) of  $M$ . Therefore, from (2.1), (2.2), (2.3) and (2.7), the Gauss equation is given by

$$(2.8) \quad R_{ijkl} = \frac{c}{4}(\delta_{il}\delta_{jk} - \delta_{ik}\delta_{jl}) + \sum (h_{ii}^\alpha h_{jk}^\alpha - h_{ik}^\alpha h_{ji}^\alpha).$$

The components of the Ricci curvature  $Ric$  and the scalar curvature  $r$  are given by

$$(2.9) \quad R_{jk} = \frac{n-1}{4}c\delta_{jk} + \sum h_{ii}^\alpha h_{jk}^\alpha - \sum h_{ji}^\alpha h_{ik}^\alpha,$$

$$(2.10) \quad r = \frac{n(n-1)}{4}c + \sum \{h_{ii}^\alpha h_{jj}^\alpha - (h_{ij}^\alpha)^2\}.$$

We also have the structure equation for the normal bundle :

$$(2.11) \quad \begin{cases} d\omega_\alpha + \sum \omega_{\alpha\beta} \wedge \omega_\beta = 0, \\ d\omega_{\alpha\beta} + \sum \omega_{\alpha\gamma} \wedge \omega_{\gamma\beta} = -\frac{1}{2} \sum R_{\alpha\beta ij} \omega_i \wedge \omega_j, \end{cases}$$

where we have again by (2.1),(2.2), (2.3) and (2.7) the Ricci equation

$$(2.12) \quad R_{\alpha\beta ij} = \frac{1}{4}c(J_{\alpha j}J_{\beta i} - J_{\alpha i}J_{\beta j}) - \sum (h_{il}^\alpha h_{jl}^\beta - h_{jl}^\alpha h_{il}^\beta).$$

The second fundamental form  $\alpha$  and the mean curvature vector  $\mathbf{h}$  of  $M$  are defined by

$$(2.13) \quad \alpha = \sum h_{ij}^\alpha \omega_i \omega_j e_\alpha, \quad \mathbf{h} = \frac{1}{n} \sum_\alpha \left( \sum_i h_{ii}^\alpha \right) e_\alpha.$$

The mean curvature  $H$  is defined by

$$(2.14) \quad H = |\mathbf{h}| = \frac{1}{n} \sqrt{\sum_\alpha \left( \sum_i h_{ii}^\alpha \right)^2}.$$

Let  $S = \sum (h_{ij}^\alpha)^2$  denote the square of the length of the second fundamental form  $\alpha$  of  $M$ . The components  $h_{ijk}^\alpha$  and  $h_{ijkl}^\alpha$  of the covariant differentials  $\nabla\alpha$  and  $\nabla^2\alpha$  of the second fundamental form are defined by

$$(2.15) \quad \sum h_{ij k}^\alpha \omega_k = dh_{ij}^\alpha - \sum h_{kj}^\alpha \omega_{ki} - \sum h_{ik}^\alpha \omega_{kj} - \sum h_{ij}^\beta \omega_{\beta\alpha},$$

$$(2.16) \quad \begin{aligned} & \sum h_{ij kl}^\alpha \omega_l \\ & = dh_{ij k}^\alpha - \sum h_{ij k}^\alpha \omega_{li} - \sum h_{ilk}^\alpha \omega_{lj} - \sum h_{ij l}^\alpha \omega_{lk} - \sum h_{ij k}^\beta \omega_{\beta\alpha}, \end{aligned}$$

respectively. The Codazzi equation and the Ricci formula for the second fundamental form are given by

$$(2.17) \quad h_{ijk}^\alpha - h_{ikj}^\alpha = 0,$$

$$(2.18)$$

$$h_{ij kl}^\alpha - h_{ij lk}^\alpha = - \sum h_{im}^\alpha R_{mjkl} - \sum h_{mj}^\alpha R_{mikl} - \sum h_{ij}^\beta R_{\beta\alpha kl}.$$

The Laplacian  $\Delta h_{ij}^\alpha$  of the components  $h_{ij}^\alpha$  of the second fundamental form  $\alpha$  is given by  $\Delta h_{ij}^\alpha = \sum_k h_{ijkk}^\alpha$ . From (2.17) and (2.18) we get

$$(2.19) \quad \Delta h_{ij}^\alpha = \sum_k h_{kkij}^\alpha - \sum_k h_{km}^\alpha R_{mijk} - \sum_k h_{mi}^\alpha R_{mkjk} - \sum_k h_{ki}^\beta R_{\beta\alpha jk}.$$

We put  $S_{\alpha\beta} = \sum h_{ij}^\alpha h_{ij}^\beta$  for any indices  $\alpha$  and  $\beta$  and we denote by  $(S_{\alpha\beta})$  an  $n \times n$  symmetric matrix. It can be assumed to be diagonalizable for a suitable choice of  $e_{n+1}, \dots, e_{2n}$ . Set  $S_\alpha = S_{\alpha\alpha}$ . We then have  $S = \sum S_\alpha$ . Next, for any matrix  $A = (a_{ij})$ , we define  $N(A) = tr(A^t A)$ . Combining with the Gauss equation (2.8),(2.12) and (2.19) it follows that we get

$$(2.20) \quad \begin{aligned} \frac{1}{2} \Delta S = & |\nabla \alpha|^2 + \sum h_{ij}^\alpha h_{kkij}^\alpha + \frac{n+1}{4} cS - \frac{n^2}{2} cH^2 - \sum (S_\alpha)^2 \\ & + \sum tr H^\alpha tr H^\alpha (H^\beta)^2 - \sum N(H^\alpha H^\beta - H^\beta H^\alpha), \end{aligned}$$

where  $H^\alpha$  denotes an  $n \times n$  symmetric matrix  $(h_{ij}^\alpha)$  for any index  $\alpha$ .

The following generalized maximum principle due to Omori [8] and Yau [12] will play an important role in this paper.

**THEOREM 2.1.** *Let  $M$  be an  $n$ -dimensional complete Riemannian manifold whose Ricci curvature is bounded from below. Let  $F$  be a  $C^2$ -function bounded from above on  $M$ , then for any  $\varepsilon > 0$ , there exists a point  $p$  in  $M$  such that*

$$F(p) + \varepsilon > \sup F, \quad |\text{grad} F|(p) < \varepsilon, \quad \Delta F(p) < \varepsilon.$$

### 3. Minimal totally real submanifolds

This section is concerned with minimal totally real submanifolds in  $P_n C$ . Let  $M$  be an  $n$ -dimensional complete totally real submanifold in a complex projective space  $P_n C$  of constant holomorphic sectional curvature  $c$ . We assume that  $M$  is minimal. Then by (2.20) we have

$$(3.1) \quad \frac{1}{c} \Delta S = |\nabla \alpha|^2 + \frac{n+1}{4} cS - \sum (S_\alpha)^2 - \sum_{\alpha \neq \beta} N(H_\alpha H_\beta - H_\beta H_\alpha).$$

In order to estimate the last term bounded from below, we need the following lemma due to Chern, do Carmo and Kobayashi [3].

LEMMA 3.1. *Let  $A$  and  $B$  be  $n \times n$  symmetric matrices. Then we have*

$$(3.2) \quad N(AB - BA) \leq 2N(A)N(B),$$

where the equality holds for non-zero matrices  $A$  and  $B$  if and only if  $A$  and  $B$  can be transformed simultaneously by an orthogonal matrix into scalar multiples of the following matrices.

$$\left( \begin{array}{cc|c} 0 & 1 & \\ \hline 1 & 0 & \\ \hline & & 0 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 1 & 0 & \\ \hline 0 & -1 & \\ \hline & & 0 \end{array} \right)$$

Moreover, if  $A_1, A_2$  and  $A_3$  are  $n \times n$  symmetric matrices and if

$$N(A_i A_j - A_j A_i) = 2N(A_i)N(A_j)$$

for any distinct indices  $i$  and  $j$  ( $i, j = 1, 2, 3$ ), then at least one of the matrices  $A_i$  must be zero.

By (3.1) and Lemma 3.1 we have

$$(3.3) \quad \frac{1}{2} \Delta S \geq \frac{n+1}{4} cS - \sum (S_\alpha)^2 - 2 \sum_{\alpha \neq \beta} S_\alpha S_\beta.$$

Using this inequality we can prove the following

THEOREM 3.2. *Let  $M$  be an  $n$ -dimensional complete totally real submanifold in  $P_n C$ . If  $M$  is minimal and if it satisfies  $\sup S < \frac{n(n+1)}{4(2n-1)}c$ , then  $M$  is totally geodesic.*

*Proof.* First the function  $\sigma_1$  and  $\sigma_2$  are defined by

$$n\sigma_1 = \sum S_\sigma = S. \quad n(n-1)\sigma_2 = 2 \sum_{\alpha < \beta} S_\alpha S_\beta$$

Then we get

$$(3.4) \quad \sum (S_\alpha)^2 = n(\sigma_1)^2 + n(n-1)\{(\sigma_1)^2 - \sigma_2\},$$

$$(3.5) \quad \sum_{\alpha < \beta} (S_\alpha - S_\beta)^2 = n^2(n-1)\{(\sigma_1)^2 - \sigma_2\}.$$

Hence, using (3.4) and (3.5) we obtain

$$(3.6) \quad \sum (S_\alpha)^2 + 2 \sum_{\alpha \neq \beta} S_\alpha S_\beta = - \sum (S_\alpha)^2 + 2 \left( \sum S_\alpha \right)^2 \leq \left( 2 - \frac{1}{n} \right) S^2,$$

where the equality holds if and only if  $(\sigma_1)^2 = \sigma_2$ , that is  $S_\alpha = S_\beta$  for any distinct indices  $\alpha$  and  $\beta$ . Thus we have

$$(3.7) \quad \frac{1}{2} \Delta S \geq - \frac{2n-1}{n} S^2 + \frac{n+1}{4} cS,$$

where the equality holds on  $M$  if and only if the second fundamental form is parallel and the equality in (3.2) and (3.6) holds on  $M$ .

On the other hand, for any symmetric matrix  $A = (a_{ij})$  of order  $n (\geq 2)$  it is seen by Hineva [5] that we have

$$a_{ii}a_{jj} - (a_{ij})^2 \geq - \frac{1}{2} \text{tr}A^2.$$

for any distinct indices  $i$  and  $j$ . Accordingly, for a fixed index  $\alpha$  we get

$$h_{ii}^\alpha h_{jj}^\alpha - (h_{ij}^\alpha)^2 \geq - \frac{1}{2} \text{tr}(H^\alpha)^2 \geq - \frac{1}{2} S,$$

which yields by (2.9)

$$R_{ij} \geq \left\{ \frac{n-1}{4} c - \frac{n}{2} S \right\} \delta_{ij},$$

and hence the Ricci curvature on  $M$  is bounded from below. Since the square norm  $S$  is also bounded by the assumption of the theorem, we



can apply the generalized maximum principle (Theorem 2.1) to  $S$ . For any given positive number  $\epsilon$ , there exists a point  $p$  at which  $S$  satisfies

$$(3.8) \quad \sup S < S(p) + \epsilon, \quad |\text{grad}S|(p) < \epsilon, \quad \Delta S(p) < \epsilon.$$

Consequently (3.7) is reduced to the following relationship

$$(3.9) \quad \frac{1}{2}\epsilon > -\frac{2n-1}{n}S^2(p) + \frac{n+1}{4}cS(p).$$

For a convergent sequence  $\{\epsilon_m\}$  such that  $\epsilon_m \rightarrow 0 (m \rightarrow \infty)$  and  $\epsilon_m > 0$ , there exists a point sequence  $\{p_m\}$  such that  $\{S(p_m)\}$  converges to  $S_0 = \sup S$  by (3.8). On the other hand, it follows from (3.9) that we have

$$\frac{1}{2}\epsilon_m > -\frac{2n-1}{n}S^2(p_m) + \frac{n+1}{4}cS(p_m).$$

Thus we get

$$S_0 \left\{ -\frac{2n-1}{n}S_0 + \frac{n+1}{4}c \right\} \leq 0,$$

which means  $S_0 = \sup S = 0$  by the assumption. Thus  $M$  is totally geodesic.

REMARK. Theorem 3.2 is the complete and non-compact version of Theorem A.

THEOREM 3.3. *Let  $M$  be an  $n (\geq 2)$ -dimensional complete totally real submanifold in  $P_n C$ . If  $M$  is minimal and if it satisfies  $S = \frac{n(n+1)}{4(2n-1)}c$ , then  $n = 2$  and  $M$  is  $S^1 \times S^1$  in  $P_n C$ .*

*Proof.* Since  $S$  is constant, we have  $\Delta S = 0$  and hence by (3.7) we have

$$(3.10) \quad N(H^\alpha H^\beta - H^\beta H^\alpha) = 2N(H^\alpha)N(H^\beta),$$

$$(3.11) \quad n(n-1)\{(\sigma_1)^2 - \sigma_2\} = 0, S_\alpha = S_\beta$$

for any distinct indices  $\alpha$  and  $\beta$ . By Lemma 3.1 the equation (3.10) implies that at most two of the  $H^\alpha$ 's are non-zero. However (3.11) means that if there is a zero matrix  $H^\alpha$ , then all matrices  $H^\beta$  are zero

and hence  $S = 0$ . This means that if  $n \geq 3$ , that  $M$  is totally geodesic, a contradiction. Accordingly, the conclusion  $n = 2$  is given.

Next we suppose there exist non-zero matrices  $H^\alpha$ 's. Then, again by Lemma 3.1 there are exactly two  $H^\alpha$ 's different from the zero matrix and we may suppose that

$$H^3 = \lambda \left( \begin{array}{cc|c} 0 & 1 & 0 \\ 1 & 0 & 0 \\ \hline 0 & 0 & 0 \end{array} \right),$$

$$H^4 = \mu \left( \begin{array}{cc|c} 1 & 0 & 0 \\ 0 & -1 & 0 \\ \hline 0 & 0 & 0 \end{array} \right),$$

where  $\lambda$  and  $\mu$  are constant, since the fundamental form is parallel. Thus, by a theorem due to Ludden, Okumura and Yano [6], the proof is complete.

#### 4. Totally real submanifolds with parallel mean curvature vector

This section is devoted to the investigation about totally real submanifolds with parallel mean curvature vector. Let  $M$  be an  $n(\geq 2)$ -dimensional totally real submanifold with parallel mean curvature vector  $\mathbf{h}$  in a complex space form  $M_n(c)$ . Because the mean curvature vector is parallel, the mean curvature  $H$  is constant. Suppose  $H \neq 0$ . Then we can choose  $e_{n+1}$  in such a way that its direction coincides with that of the mean curvature vector. Then it is easily seen that we have

$$(4.1) \quad w_{\alpha n+1} = 0, H = \text{constant},$$

$$(4.2) \quad \text{tr}H^{n+1} = nH, \text{tr}H^\alpha = 0$$

for any index  $\alpha(\neq n + 1)$ . We here notice that for a submanifold  $M$  with parallel mean curvature vector  $\mathbf{h}$  in  $M_n(c)$ , it satisfies  $S \geq nH^2$ , where the equality holds on  $M$  if and only if  $M$  is totally umbilic.

*Proof of Theorem 1.* First  $M$  is supposed to be minimal. Then by Theorem 3.2, the theorem is already verified. Accordingly we may

suppose  $H \neq 0$  and we can choose the frame field treated above. Taking account of (2.20), (3.6) and (4.3), we have

$$(4.3) \quad \frac{1}{2} \Delta S \geq -\left(2 - \frac{1}{n}\right) S^2 + \frac{n+1}{4} cS - \frac{n^2}{2} cH^2 + nH \sum \operatorname{tr} H^{n+1} (H^\alpha)^2.$$

we estimate the last term bounded from below.

Since  $H^{n+1}$  is symmetric matrix, we can choose  $\{e_1, \dots, e_n\}$  such that

$$h_{ij} = \lambda_i \delta_{ij}.$$

In order to estimate the last term of the above equation, we define

$$P_{xyz} = \sum h_{ij}^x J_y^i J_z^j.$$

Then we can easily find  $P_{n+1n+1n+1} \leq (\sum \lambda_i^2)^{1/2}$  by using Cauchy-Schwarz's inequality and the property of the complex structure  $J$ .

On the other hand, it is well known [7] that

$$\operatorname{tr}(H^{n+1})^2 = nHP_{n+1n+1n+1} + \frac{c}{4}(n-1).$$

Using above properties, we obtain

$$\frac{nH - \sqrt{D}}{2} \leq \left(\sum \lambda_i^2\right)^{1/2} \leq \frac{nH + \sqrt{D}}{2},$$

where  $D = n^2H^2 + c(n-1) \geq 0$ .

Therefore we have

$$\sum \operatorname{tr} H^{n+1} (H^\alpha)^2 \geq -\frac{nH + \sqrt{D}}{2} \operatorname{tr}(H^\alpha)^2 = -\frac{nH + \sqrt{D}}{2} S.$$

Thus we have

$$(4.4) \quad \frac{1}{2} \Delta S \geq -\left[\left(2 - \frac{1}{n}\right) S^2 + \left\{\frac{n^2 H^2}{2} + \frac{nH \sqrt{n^2 H^2 + (n-1)c}}{2} - \frac{n+1}{4} c\right\} S + \frac{n^2}{2} cH^2\right]$$

Now we consider the equation

(4.5)

$$f(x) = \frac{2n-1}{n}x^2 + \left\{ \frac{n^2H^2}{2} + \frac{nH\sqrt{n^2H^2 + (n-1)c}}{2} - \frac{n+1}{4}c \right\}x + \frac{n^2}{2}cH^2$$

Then we have

(4.6)

$$f(nH^2)$$

$$=nH^2 \left[ (2n-1)H^2 + \frac{n^2H^2}{2} + \frac{nH\sqrt{n^2H^2 + (n-1)c}}{2} + \frac{n-1}{4}c \right],$$

(4.7)

$$f(n^2H^2) = n^2H^2 \left[ \frac{n(5n-2)}{2}H^2 + \frac{nH\sqrt{n^2H^2 + (n-1)c}}{2} - \frac{n-1}{4}c \right].$$

Put  $f(n^2H^2) = n^2H^2g(H)$ , where

$$g(H) = \left[ \frac{n(5n-2)}{2}H^2 + \frac{nH\sqrt{n^2H^2 + (n-1)c}}{2} - \frac{n-1}{4}c \right].$$

Since  $g(0) = -\frac{n-1}{4}c < 0$  and  $g(H)$  is monotone increasing function, there exists some constant  $H_0$  such that  $g(H_0) = 0$ . By the assumption of the theorem and above statements there exist two roots  $S_1$  and  $S_2$  so that  $nH^2 < S_1 < S_2$  and the function  $f$  is negative on  $(S_1, S_2)$ . Making use of the same argument as that in the proof of Theorem 3.2 and 3.3 we have the conclusion that  $n \geq 3$  and  $M$  is totally geodesic.

*Proof of Theorem 2.* In order to estimate the last term of equation (4.3) in the case of  $c < 0$ , we need the following property which is proved by cheng and Choi [2].

LEMMA. Let  $a_1, \dots, a_n$  be bounded real numbers satisfying  $\sum a_i = 0$   $\sum (a_i)^2 = a^2 (a > 0)$  and  $b_1, \dots, b_n$  be also real numbers satisfying  $\sum (b_j)^2 = b^2 (b > 0)$ . Then we have

$$\left| \sum a_i (b_i)^2 \right| \leq \sqrt{\frac{n-1}{n}} ab^2,$$

where the equality holds if and only if the  $n-1$   $a_i$  are equal to  $\mp \sqrt{\frac{1}{n(n-1)}}a$  with each other and the corresponding  $n-1$   $b_i$  are equal to 0.

According to the above lemma we have

$$\begin{aligned} \operatorname{tr} H^{n+1}(H^\alpha)^2 &= \sum_i \lambda_i \sum_k h_{ik}^\alpha h_{ik}^\alpha \\ &= \sum_{i,j} (\lambda_{ij} - H)(h_{ij}^\alpha)^2 + \sum_{i,j} H(h_{ij}^\alpha)^2 \\ &\geq \left( H - \sqrt{\frac{n^2 - 1}{n}} \sqrt{S - nH^2} \right) \sum_{i,j} (h_{ij}^\alpha)^2, \end{aligned}$$

where we have put  $\lambda_i = \lambda_{ij}$ .

Thus we have

$$(4.8) \quad \begin{aligned} \frac{1}{2} \Delta S \geq & - \left\{ \left( 2 - \frac{1}{n} \right) S^2 + nH \sqrt{\frac{n^2 - 1}{n}} \sqrt{S - nH^2} S \right. \\ & \left. - \left( nH^2 + \frac{n + 1}{4} c \right) S + \frac{n^2}{2} cH^2 \right\}. \end{aligned}$$

As the same method in proof of Theorem 1, we consider the equation

$$(4.9) \quad \begin{aligned} f(x) = & \left( 2 - \frac{1}{n} \right) x^2 + \sqrt{n(n^2 - 1)} H \sqrt{x - nH^2} x \\ & - \left( nH^2 + \frac{n + 1}{4} c \right) x + \frac{n^2}{2} cH^2. \end{aligned}$$

Then we have

$$f(nH^2) = n(n - 1)H^2 \left( H^2 + \frac{1}{4} c \right).$$

Therefore by the assumption there is the least root  $S_1$  greater than  $nH^2$  of (4.9) and the function  $f$  is negative on the interval  $(nH^2, S_1)$ . So we get sup  $S = nH^2$ , because of  $S \geq nH^2$ , which implies  $S = nH^2$ . This means that  $M$  is totally umbilic so we concludes  $M$  is totally geodesic using the theorem of Yano and Kon [11].

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