

## The Spherical Derivative Near An Essential Singularity

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### 1. Introduction

In this paper we investigate the behavior of a meromorphic function in a neighborhood of an essential singularity.

In our discussion we need the concept of spherical distance. For a presentation of this see [3]. Let  $P = C \cup \{\infty\}$  denote the extended complex plane or Riemann sphere. For geometric purposes we view  $P$  as the sphere in  $R^3$  with center  $(0, 0, 0)$  and radius 1. The identification is given by explicitly by stereographic projection. A circle on  $P$  is called a great circle if its image under stereographic projection is a great circle. Similarly, the open unit disk  $D$  in the complex plane can be regarded as a hemisphere.

The spherical metric is the Riemannian metric

$$\lambda_p(z) |dz| = \frac{|dz|}{1 + |z|^2}$$

on  $P$  which is half the pull-back via stereographic projection of the restriction of the euclidean metric to the sphere in  $R^3$ . The spherical metric has constant Gaussian curvature 4. The spherical distance between  $z$  and  $w$  in  $P$  is defined by

$$d_p(z, w) = \inf_{\delta} \int_{\delta} \lambda_p(\zeta) |d\zeta|,$$

where the infimum is taken over all paths  $\delta$  on  $P$  joining  $z$  and  $w$ . In fact, this infimum is a minimum. The minimum value is attained for the shorter arc  $\gamma$  of any great circle through  $z$  and  $w$ . The arc  $\gamma$  is unique unless  $z$  and  $w$  are antipodal points; when  $z$  and  $w$  are antipodal then either of the subarcs of any great circle through  $z$  and  $w$  is a possible choice for  $\gamma$ . In general, any path  $\gamma$  that satisfies

$$d_p(z, w) = \int_{\gamma} \lambda_p(\zeta) |d\zeta|$$

is called a spherical geodesic. Explicitly,

$$d_p(z, w) = \begin{cases} \arctan(|z - w| / |1 + \bar{w}z|) & \text{if } z, w \in C \\ \arctan(1/|z|) & \text{if } z \in C, w = \infty. \end{cases}$$

and  $d_p(z, w)$  is half the angle at the center of the sphere that is subtended by any geodesic.

## 2. The spherical derivative

Let  $f$  be a meromorphic function in a plane region, and let  $a$  be pole of  $f$  order  $m$ . Then

$$f(z) = g(z) + \frac{A_m}{(z-a)^m} + \dots + \frac{A_1}{(z-a)}$$

for  $z$  in some disk about  $a$  and  $g$  holomorphic in that disk. This yields that

$$\lim_{z \rightarrow a} \frac{|f'(z)|}{1 + |f(z)|^2} = \begin{cases} 0 & \text{if } m \geq 2 \\ \frac{1}{|A_1|} & \text{if } m = 1. \end{cases}$$

The spherical derivative  $f^\#(z)$  of  $f(z)$  is defined by

$$f^\#(z) = \frac{|f'(z)|}{1 + |f(z)|^2}$$

whenever  $z$  is not a pole of  $f$ , and

$$f^\#(a) = \lim_{z \rightarrow a} \frac{|f'(z)|}{1 + |f(z)|^2}$$

if  $a$  is a pole of  $f$ . We note that  $f^\#$  is a complex-valued continuous function.

**Theorem 1.** *Let  $f(z)$  be a meromorphic function in  $D^* = \{z: 0 < |z| < 1\}$  and have an essential singularity at the origin. Then there exists a meromorphic function  $g$  in  $D^*$  and a real number  $\theta$  in  $[0, 2\pi)$  such that  $g^\# = f^\#$ , and  $G_\theta(z) = g(z)\bar{g}(\bar{z}e^{i\theta})$  has an essential singularity at the origin.*

**Proof** First, suppose there is a sequence  $(z_n)$  in  $D^*$  with  $z_n \rightarrow 0$  and  $f(z_n) = 0$  for all  $n$ . Because  $f$  has just countably many poles in  $D^*$ , it is possible to select  $\theta$  in  $[0, 2\pi)$  so that  $\bar{z}_n e^{i\theta}$  is not a pole of  $f$  for all  $n$ . Fix such a value of  $\theta$ . Then the function  $F_\theta(z) = f(z)\bar{f}(\bar{z}e^{i\theta})$  is meromorphic in  $D^*$ . Since  $F_\theta(z_n) = 0$  for all  $n$ , it follows that  $F_\theta(z)$  has an essential singularity at the origin.

Now, suppose such a sequence  $(z_n)$  does not exist. The big Picard Theorem implies that for any  $a$  in  $P$ , with at most two exceptions, there is a sequence  $(z_n)$  in  $D^*$  with  $z_n \rightarrow 0$  and  $f(z_n) = a$  for all  $n$ . Fix such a value  $a$ . Then  $R(w) = (w-a)/(1+\bar{a}w)$  is a rotation of  $P$  and  $g = R \circ f$  is meromorphic in  $D^*$ . Since the spherical derivative is invariant under rotations of the sphere, we have  $g^\#(z) = f^\#(z)$  for all  $z$  in  $D^*$ . Clearly,  $g(z_n) = 0$  for all  $n$ , so the first part of the proof shows that there is a real number  $\theta$  in  $[0, 2\pi)$  such that  $G_\theta(z) = g(z)\bar{g}(\bar{z}e^{i\theta})$  has an essential singularity at the origin.

**Remark.** Set

$$f(z) = \prod_{n=1}^{\infty} \left[1 - \left(\frac{1}{nz}\right)^{3^n}\right] / \prod_{n=1}^{\infty} \left[1 + \left(\frac{1}{nz}\right)^{3^n}\right].$$

Then  $f$  is meromorphic on  $P - \{0\}$  and has an essential singularity at the origin. If  $\theta = \pi j/3^m$ , for  $j$  an odd integer and  $m$  a positive integer, then  $F_\theta(z) = f(z)\bar{f}(\bar{z}e^{i\theta})$  is a rational function. Thus,  $F_\theta(z)$  does not have an essential singularity at the origin for a countable, dense set of value in  $[0, 2\pi)$ . For  $\theta \neq j/3^m$  the function  $F_\theta(z)$  does have an

essentia singularity at the origin.

Theorem 2. Let  $f(z)$  be a meromorphic function in  $D^*$  and have an essential singularity at the origin. Then

$$\limsup_{z \rightarrow 0} |z|f'(z) \geq \frac{1}{2}.$$

Proof. By Theorem 1, we can choose a meromorphic function  $g$  in  $D^*$  and a real number  $\theta$  in  $[0, 2\pi)$  such that  $g^* = f^*$  and  $G_\theta(z) = g(z)\bar{g}(\bar{z}e^{i\theta})$  has an essential singularity at the origin. The Casorati-Weierstrass Theorem implies that for every  $\epsilon > 0$  there is a sequence  $(z_n)$  in  $D^*$  with  $z_n \rightarrow 0$  such that  $|G_\theta(z_n) + 1| < \epsilon$ . The points  $g(z_n)$  and  $g(\bar{z}_n e^{i\theta})$  lie almost diametrically opposite on the Riemann sphere, and hence the spherical length  $L$  of the image of  $|z| = |z_n|$  by  $g(z)$  is greater than  $\pi - \delta(\epsilon)$ , where  $\delta(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$ . Let  $\gamma$  be the image of  $|z| = |z_n|$  by  $g(z)$ . Then

$$\begin{aligned} L &\leq \int_\gamma \lambda_p(w) |dw| = \int_{|z|=|z_n|} \frac{|g'(z)| |dz|}{1 + |g(z)|^2} \\ &\leq 2\pi |z_n| \max g^*(z). \end{aligned}$$

Combining these two inequalities, we obtain

$$\limsup_{z \rightarrow 0} |z|f'(z) = \limsup_{z \rightarrow 0} |z|g'(z) \geq \frac{1}{2}.$$

Now we give a brief introduction to the hyperbolic metric. For a general discussion of the hyperbolic metric we refer the reader to [1] and [2].

Let  $G$  be a hyperbolic region in the complex plane; that is, the complement of  $G$  in  $\mathbb{C}$  contains at least two points. Then there is a holomorphic universal covering projection  $f$  of the open unit disk  $D$  onto  $G$ . If  $G$  is simply connected, then  $f$  is just a one-to-one conformal mapping of  $D$  onto  $G$ . The hyperbolic metric  $\lambda_G(z)|dz|$  on  $G$  is defined as follows: if  $a \in G$  and  $b \in f^{-1}(a)$ , then

$$\lambda_G(a) = 1/|f'(b)|(1 - |b|^2).$$

The value of  $\lambda_G(a)$  is independent of both the choice of  $b \in f^{-1}(a)$  and the selection of the covering  $f$ . It follows from the definition of the hyperbolic metric that

$$\lambda_G(f(z))|f'(z)| = \frac{1}{1 - |z|^2}$$

whenever  $f$  is a holomorphic universal covering projection of  $D$  onto  $G$ .

Example. Let  $G = \{z: 0 < |z| < R\}$ . The function

$$w = f(z) = R \exp\left(\frac{z+1}{z-1}\right): D \rightarrow G$$

is a holomorphic universal covering projection. We have

$$\lambda_G(f(z))|f'(z)| = \lambda_D(z) = \frac{1}{1 - |z|^2},$$

$$\lambda_G(w)|w| = \frac{2}{|z-1|^2} = \frac{1}{1 - |z|^2},$$

$$\lambda_G(w) = \frac{1}{2|w|} \cdot \frac{|1-z|^2}{1-|z|^2}.$$

Since  $w = R \exp\left(\frac{z+1}{z-1}\right)$ , it follows that

$$\begin{aligned} \frac{|w|}{R} &= \left| \exp\left(\frac{z+1}{z-1}\right) \right| = \exp\left(\operatorname{Re} \frac{z+1}{z-1}\right) \\ &= \exp\left(\operatorname{Re} \frac{|z|^2 - 1^2(z-\bar{z})}{|z-1|^2}\right) \\ &= \exp \frac{|z|^2 - 1}{|z-1|^2}; \end{aligned}$$

hence  $\log \frac{R}{|w|} = \frac{1-|z|^2}{|z-1|^2}$ . Therefore, the hyperbolic metric  $\lambda_G(w) |dw|$  on  $G$  is

$$\lambda_G(w) |dw| = \frac{1}{2|w| \log(R/|w|)}.$$

A meromorphic function  $f$  on a hyperbolic region  $G$  is called a normal function if

$$\sup \left| \frac{f^*(z)}{\lambda_G(z)} \right| : z \in G < \infty.$$

**Theorem 3.** *Let  $f$  be a meromorphic function in  $D^*$ . If  $f$  has an essential singularity at the origin, then  $f$  can not be normal in  $D^*$ .*

*Proof.* If  $f$  is normal in  $D^*$ , then there exists a positive number  $M$  such that

$$f^*(z) \leq M \lambda_{D^*}(z) = \frac{1}{2|z| \log(1/|z|)}$$

for all  $z$  in  $D^*$ . This yields

$$\lim_{z \rightarrow 0} \sup |z| f^*(z) = 0.$$

But  $\lim_{z \rightarrow 0} \sup |z| f^*(z) \geq \frac{1}{2}$ , since  $f$  has an essential singularity at the origin. This contradiction establishes the theorem.

#### References

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3. D. Minda, *The hyperbolic metric and Bloch constants for spherically convex regions*, Complex Variables Theory Appl., 5(1986), 127-140.