

## Note On the $(Cr)$ -space and the Closed Graph Theorem

by Ha Yeoung-Soon, Son Yong-Kyu, Lee Sang-Kyu and Lau Jeung-Hark

*Chin Ju Teachers College, Chin Ju, Korea*

— Dedicated to Professor Han Shick Park on his 60th birthday —

### 1. Introduction

It is known that the closed graph theorem holds if  $E$  is barrelled and  $F$  is  $(Br)$ -complete [5, 7]. Following the work of MacIntosh [3], we define a class of spaces (called  $(Cr)$ -spaces) which is larger than the class of  $(Br)$ -complete spaces, and show that the closed graph theorem still holds if  $E$  is barrelled and  $F$  a  $(Cr)$ -space. Also we show that the open mapping theorem holds if  $E$  is a  $(C)$ -space and  $F$  barrelled, and that if the closed graph theorem holds for mappings of any barrelled space  $E$  into  $F$ , the space  $F$  is the  $(Cr)$ -space.

We use the notation and terminology of Wilansky [8]. By a LCTVS, we mean a (real or complex) locally convex topological vector space, always assumed separated. If  $[E, F]$  is a dual pair, then we denote the weak topology on  $E$  by  $\sigma(E, F)$ . In particular, if  $E$  is a LCTVS and  $E'$  its topological dual, then the associated weak topology on  $E$  is denoted by  $\sigma(E, E')$ . The topology  $\sigma(E', E)$  on  $E'$  is called the weak (rather than weak\*) topology. Now let  $T$  be a linear mapping from a LCTVS  $E$  to another  $F$ , then we call the linear mapping  $T'$  from  $F'$  into  $E'$  adjoint of the linear mapping  $T$  if  $T'(F') \subset E'$ . A LCTVS  $E$  is called ultra bornological if it can be represented as the locally convex hull  $\sum A_\alpha(E_\alpha)$  of Banach spaces  $E_\alpha$  [2]. An ultra bornological space is both bornological and barrelled. Conversely, every sequentially complete bornological space is ultra bornological, all topologies mentioned are assumed to be locally convex. Let  $E'$  be the dual of a LCTVS  $E$ .  $E'_o$  will denote the point set  $E'$  endowed with the topology  $\sigma(E', E)$ .

### 2. $(Cr)$ -spaces

By analogy with the  $(B)$ -complete and  $(Br)$ -complete spaces, we define  $(C)$ -and  $(Cr)$ -spaces. The following definition is due to MacIntosh [3].

**Definition.** A LCTVS  $E$  is a  $(C)$ -space (resp.  $(Cr)$ -space) if every linear subspace (resp. dense linear subspace)  $D$  of  $F'_o$  whose intersection with each weakly bounded subset  $B$  of  $F'$  is weakly closed in  $B$ , is necessarily closed in  $F'_o$ .

It is clear that every  $(C)$ -space is a  $(Cr)$ -space. Also, every  $(B)$ -complete (resp.  $(Br)$ -complete) space is a  $(C)$ -space (resp.  $(Cr)$ -space). In the definition we could require that the set  $B$  be convex.

**Proposition 2-1.** *Every barrelled  $(C)$ -space (resp.  $(Cr)$ -space) is  $(B)$ -complete (resp.  $(Br)$ -complete).*

**Proof.** The properties of being equicontinuous and weakly bounded are equivalent for any subset of the dual of the barrelled space.

**Proposition 2-2.** *Let  $E$  be a closed subspace of the LCTVS  $F$ . If  $F$  is a  $(C)$ -space or a  $(Cr)$ -space, then  $E$  (under the induced topology) has the same property.*

**Proof.** This can be proved by adapting the proof for  $(B)$ -complete and  $(Br)$ -complete spaces in [7].

We shall need the following property of  $(C)$ -spaces.

**Lemma 2-3.** *If  $E$  is a closed subspace of a  $(C)$ -space  $F$ , then  $F/E$ , in its quotient topology, is a  $(C)$ -space.*

**Proof.** Let  $t : E \rightarrow F$  be a quotient map (=linear, continuous, open) where  $E$  is a  $(C)$ -space. Let  $S$  be a subset of  $F'$  whose intersection with each weakly subset  $B$  of  $F'$  is weakly closed in  $B$ . Then  $t'$  preserves the property of  $S$ . Then  $t'(S)$  is weakly closed. Thus  $(t')^{-1}[t'(S)]$  is weakly closed since  $t'$  is weakly continuous. This set is  $S$  since  $t'$  is one-to-one.

### 3. The closed graph theorem

A linear mapping  $T$  from a LCTVS  $E$  into another  $F$  such that  $T$  has closed graph is called simply a *closed graph linear mapping*.

**Proposition 3-1.** *If  $T$  is a closed graph linear mapping of a barrelled space  $E$  into a  $(Cr)$  space  $F$ , then  $T$  is continuous.*

**Proof.** Since  $T$  is closed, the domain  $D(T')$  of  $T'$  is weakly dense in  $F'$ , and  $T'$  is continuous if  $D(T')$  is provided with the topology induced by  $\sigma(F', F)$  and  $E'$  with  $\sigma(E', E)$ . We claim that the proposition follows if we can prove that  $D(T') \cap B$  is weakly closed for every weakly bounded subset  $B$  of  $F'$ . Indeed, since  $F$  is a  $(Cr)$ -space, we then conclude that  $D(T') = F'$ . Therefore  $T'$  maps weakly relatively compact, and in particular equicontinuous subsets of  $F'$  into weakly relatively compact subsets of  $E'$ . But  $\sigma(E', E)$ -compact subsets of  $E'$  are equicontinuous and hence it follows that, given a neighborhood  $V$  of zero in  $F$ , there exists a neighborhood  $U$  of zero in  $E$  such that  $T(U) \subset V$ , that is,  $T$  is continuous.

To prove that  $D(T') \cap B$  is weakly closed, let  $y'$  belong to the weakly closure of  $D(T') \cap B$  and let  $y'_\alpha$  be a net in  $D(T') \cap B$  converging weakly to  $y'$ . Then, by the weakly continuity of  $T'$ , its image  $T'y'_\alpha$  is a bounded Cauchy net for  $\sigma(E', E)$ . But weakly bounded subsets of  $E'$  are weakly relatively compact, and hence  $T'y'_\alpha$  converges to some point  $x'$  of  $E'$ . Since  $T'$  is weakly closed in  $F' \times E'$ , this proves that  $y' \in D(T') \cap B$  and hence  $D(T') \cap B$  is weakly closed. The proof of the proposition is complete.

**Corollary 3-2.** *Suppose that  $E$  is ultra bornological and that  $F$  is a  $(Cr)$ -space. If  $T$  is a closed graph linear mapping of  $E$  into  $F$ , then  $T$  is continuous.*

The following is the partial converse of the previous proposition.

**Proposition 3-3.** *Suppose that  $F$  satisfies the following requirement: There is a weakly dense subspace  $G$  of  $F$  such that*

- (a)  $B \subset F'$  is weakly bounded if and only if  $B$  is  $\sigma(F', G)$ -bounded,
- (b) weakly bounded subsets of  $F'$  are relatively compact for  $\sigma(F', G)$ . Then, if the closed graph theorem holds for mappings of any barrelled space  $E$  into  $F$ , the space  $F$  is a (Cr)-space.

**Proof.** Let  $L$  be a weakly dense subspace of  $F'$  such that  $L \cap B$  is weakly closed for weakly bounded subset  $B$  of  $F'$ . Consider  $G$  in the topology of uniform convergence on sets of the form  $L \cap B$ . It follows from (a) and (b) that  $G$  is barrelled and  $G' = L$ . If  $T$  is the natural injection of  $F'$  into  $F$ , then clearly  $D(T') = L$ . Since  $T$  is continuous and hence closed in the topologies  $(G, L)$  and  $\sigma(F, L)$ , we conclude that  $T$  is closed also in the stronger original topologies of  $G$  and  $F$ . Consequently  $T$  is continuous, and in particular  $D(T') = F'$ . Hence  $L = F'$ , which completes the proof.

There are two kinds of open mapping theorems. If  $T$  is a linear mapping of  $E$  into  $F$ , we may suppose either that  $T$  has closed graph or that  $T$  is continuous, and conclude, with suitable hypotheses on  $E$  and  $F$ , that  $T$  is open. Here we deal with the latter.

**Proposition 3-4.** *Let  $E$  and  $F$  be two LCTVSs. Suppose that  $E$  is a (C)-space and  $F$  barrelled, and that  $T$  is a continuous linear mapping of  $E$  into  $F$ . Then  $T$  is open.*

**Proof.** Since  $T$  is continuous,  $T^{-1}(0)$  is closed. Let  $H = E/T^{-1}(0)$ . Then  $H$  is a (C)-space by lemma 2-3. Then we can write  $T = s \circ \phi$  where  $\phi$  is the canonical mapping of  $E$  onto  $H$  and  $s$  is a one-to-one continuous linear mapping of  $H$  onto  $F$ . The graph of  $s^{-1}$ , which is the same as the graph of  $s$ , is therefore closed in  $F \times H$ , and so  $s^{-1}$  is continuous. Hence  $T$  is open.

We call the linear mapping  $T$  of the LCTVS  $E$  into the LCTVS  $F$  *nearly open* if  $\overline{T(U)}$  is a neighborhood in  $F$  for every neighborhood  $U$  in  $E$ , and *nearly continuous* if  $\overline{T^{-1}(V)}$  is a neighborhood in  $E$  for every neighborhood  $V$  in  $F$ . When  $T$  is one-to-one and onto, clearly  $T$  is nearly continuous if and only if its inverse is nearly open.

**Proposition 3-5.** *Let  $T$  be a continuous and nearly open linear mapping of a LCTVS  $E$  onto LCTVS  $F$ . If  $E$  is a (C)-space, then so is  $F$ .*

**Proof.** Let  $D$  be a subspace of  $F'$  whose intersection with each weakly bounded subset  $B$  of  $F'$  is weakly closed in  $B$ . Let us examine the subspace  $T'(D)$  in  $E'$ . We have  $T'(D) \cap U^\circ = T'([T(U)]^\circ \cap D)$  for every neighborhood  $U$  of zero in  $E$  where  $U^\circ$  is the polar of  $U$ .

Now  $[T(U)]^\circ$  is the polar of a neighborhood of zero in  $F$ , so that  $[T(U)]^\circ \cap D$  is compact in  $(F', F)$ . Hence  $T'(D) \cap U^\circ$  is weakly compact for every  $U$  so that  $T'(D)$  is closed in  $E'$ . It follows that  $D$  is closed in  $F'$ . Hence  $F$  is a (C)-space.

**Proposition 3-6.** *Let  $T$  be a one-to-one linear mapping of a LCTVS  $E$  onto a closed subspace of a LCTVS  $F$ . Suppose that  $T$  is open and nearly continuous. Then  $E$  is a (C)-space if  $F$  is.*

**Proof.** Let us denote by  $F_1$ , the space  $T(E)$ . According to our assumption  $F_1$  is closed in  $F$  so that  $F_1$  is a  $(C)$ -space. Let us denote by  $g$  the linear mapping from  $F_1$  onto  $E$  which is inverse to  $T$ .

Clearly  $g$  is continuous and nearly open. It follows that  $g$  is open so that  $T$  is both open and continuous. Hence  $E$  is both algebraically and topologically isomorphic to the  $(C)$ -space  $F_1$ .

Thus  $E$  is a  $(C)$ -space.

### References

1. T. Husain, *The open mapping and closed graph theorems in topological vector spaces*, Oxford Math. Monographs, 1965.
2. G. Köthe, *Topological vector spaces II*, Springer-Verlag, New York, 1979.
3. A. MacIntosh, *On the closed graph theorem*, Proc. Amer. Math. Soc., 20(1969) 397~404.
4. V. Ptak, *Completeness and the open mapping theorem*, Bull. Soc. Math. France, 86(1958) 41~74.
5. A.P. Robertson and W. Robertson, *On the closed graph theorem*, Proc. Glasg. Math. Ass., 3(1956) 9~12.
6. A.P. Robertson and W. Robertson, *Topological vector spaces*, Cambridge Univ. Press, 1980.
7. H.H. Schaefer, *Topological vector spaces*, Springer-Verlag, New York, 1980.
8. A. Wilansky, *Modern methods in topological vector spaces*, McGraw-Hill, 1978.