

# Necessary and Sufficient Conditions on Pointwise Conformal Deformation of Scalar Curvatures of Some metrics

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## I. Introduction

In this paper, we consider the problem of describing the set of scalar curvature functions associated with Riemannian metrics on a given connected, but not necessarily orientable, compact manifold of dimension  $\geq 3$ .

We shall call metrics  $g$  and  $g_1$  pointwise conformal if  $g_1 = p(x)g$  for some positive function  $p \in C^\infty(M)$ . Now if a given metric  $g$  on  $M$ , where  $\dim M = n \geq 3$ , has scalar curvature  $k$  and we seek  $K$  as the scalar curvature of the metric  $g_1 = u^{4/(n-2)}g$  pointwise conformal to  $g$ , then  $u > 0$  must satisfy (1.1)

$$(1.1) \quad \frac{4(n-1)}{n-2} \Delta u - ku + ku^{(n+2)/(n-2)} = 0,$$

where  $\Delta$  is the Laplacian in the  $g$  metric.

In carrying out our analysis of (1.1), the sign of the lowest eigenvalue  $\lambda_1(g)$  of the linear part of (1.1), in other words,

$$(1.2) \quad L\phi = -\frac{4(n-1)}{n-2} \Delta \phi + k\phi = \lambda_1(g)\phi,$$

plays a prominent part because the sign of  $\lambda_1(g)$  is conformal invariant.

In this paper our results are proved in the case of  $\lambda_1(g) < 0$ . And for basic existence theorems, we use the method of upper and lower solutions [1, pp370-371].

## II. Main results

Let  $M$  be a compact connected  $n$ -dimensional manifold, which is not necessarily orientable and possesses a given Riemannian structure  $g$ . We denote the volume element of this metric by  $dV$ , the gradient by  $\nabla$ , and function  $f$  on  $M$  is written  $\bar{f}$ , that is,

$$\bar{f} = \frac{1}{\text{vol}(M)} \int_M f dV.$$

We let  $H_{s,p}(M)$  denote the Sobolev space of functions on  $M$  whose derivatives through order  $s$  are in  $L_p$ . The norm on  $H_{s,p}(M)$  will be denoted by  $\|\cdot\|_{s,p}$ . The usual  $L_2(M)$  inner product will be written  $\langle \cdot, \cdot \rangle$ .

**Lemma 1.** *Assume  $K < 0$ . Then  $K$  is the scalar curvature of some metric pointwise conformal to the given metric  $g$  if and only if  $\lambda_1(g) < 0$ .*

**Proof.** See Theorem 4.1 in [4].

The above Lemma 1 shows that if  $\lambda_1(g) < 0$ , then one can always pointwise conformally deform  $g$  to a metric of constant negative scalar curvature. Hence we can without loss of generality restrict our attention to the case where the given metric already has a constant negative scalar curvature  $k = -c$ , where  $c > 0$  is a constant. Thus (1.1) reads

$$(2.1) \quad \frac{4(n-1)}{n-2} \Delta u + cu = -Ku^{(n+2)/(n-1)}, \quad u > 0.$$

In order to understand (2.1), one must first free it from geometric considerations and consider the equation

$$(2.2) \quad -Lu = \Delta u + ru = -Hu^a, \quad u > 0,$$

where  $a = \text{constant} > 1$ ,  $r = \text{constant} > 0$  and  $H \in C^\infty(M)$ . Throughout this paper, we will assume that all data ( $M$ , metric  $g$ , and curvature  $K$ ) are smooth merely for convenience.

J. L. KAZDAN and F. W. WARNER showed that if  $\lambda_1(g) < 0$  and  $\bar{H} < 0$ , then there is a constant  $0 < r_0(H) \leq \infty$  such that one can solve (2.2) for  $0 < r < r_0(H)$ , but not for  $r > r_0(H)$ . And they also showed that if  $r_0(H) = \infty$ , then  $H(x) \leq 0$  for all  $x \in M$ . In fact, they proved that if  $H(x_0) > 0$  for some  $x_0 \in M$ , then  $r_0(H) < \infty$  [4]. Now we will prove that if  $H(x) \leq 0$  for all  $x \in M$  ( $H \neq 0$ ), then  $r_0(H) = \infty$ .

**Lemma 2** (Existence of lower solutions). *Let  $h, H \in L^p$  with  $p > \dim M$ . If  $\lambda_1 < 0$ , then given any positive continuous function  $u$  on  $M$ , there is a function  $u_- \in H_{2,p}$  with  $0 < u_- \leq u$  satisfying  $Lu_- \leq Hu_-^a$ , that is,  $\Delta u_- - hu_- + Hu_-^a \geq 0$ .*

**Proof.** See Lemma 2.8 in [4].

**Theorem** (Existence of upper solutions). *If  $H \leq 0$  ( $H \neq 0$ ), then (2.2) has an upper solution for any positive constant  $r$ , so  $r_0(H) = \infty$ .*

**Proof.** If we show that  $Lu_+ \geq Hu_+^a$  for some positive function  $u_+ > 0$  and any positive constant  $r$ , that is,  $\Delta u_+ + ru_+ + Hu_+^a \leq 0$ , then Lemma 2 implies the existence of solution of  $\Delta u + ru + Hu^a = 0$ , so  $r_0(H) = \infty$ . Let  $r$  be a positive constant. If we put  $u_+ = e^\psi$ , then  $\Delta u_+ = e^\psi(\Delta \psi + |\nabla \psi|^2)$ . Hence

$$\Delta u_+ + ru_+ + Hu_+^a \leq 0$$

if and only if  $\Delta \psi + |\nabla \psi|^2 + r + He^{c\psi} \leq 0$

for some function  $\psi$  and  $c > 0$ .

If  $Lv = -\Delta v - \alpha Hv$ , then

$$\lambda_1 = \min_{v \neq 0} \frac{\langle Lv, v \rangle}{\|v\|_2^2} = \min_{v \neq 0} \frac{\|\nabla v\|_2^2 + \langle v, -\alpha Hv \rangle}{\|v\|_2^2} \quad [4, \text{ p. 117}].$$

If  $H \leq 0$  ( $\neq 0$ ), then  $-H \geq 0$  ( $\neq 0$ ).

Hence there is a constant  $\alpha > 0$  such that  $\lambda_1 = r$ .

Therefore  $\Delta \Psi + \alpha H \Psi = -r \Psi$  ( $\Psi > 0$ ) [4, p. 117].

Put  $\Psi = e^u$ . Then

$$\Delta u + |\nabla u|^2 + r + \alpha H = 0.$$

Define  $\psi = u + \lambda$ . Then

$$\begin{aligned} & \Delta \psi + |\nabla \psi|^2 + r + He^{c\psi} \\ &= \Delta u + |\nabla u|^2 + r + He^{cu+c\lambda} \\ &= -\alpha H + He^{cu+c\lambda} \\ &= H(e^{cu+c\lambda} - \alpha) \leq 0 \end{aligned}$$

for sufficiently large  $\lambda$ , since  $H \leq 0$ .

This proves our Theorem.

**Remark.** In [2] or [3], *the similar cases for Gaussian curvatures on compact 2-dimensional manifolds are treated.*

### References

- [1] R. Courant, D. Hilbert, *Methods of mathematical physics*, Vol. II, Interscience-Wiley, New York, 1962.
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- [3] \_\_\_\_\_, Curvature function for compact 2-manifolds, *Ann. of Math.*, 99(1974), 14-74.
- [4] \_\_\_\_\_, Scalar curvature and conformal deformation of Riemannian structure, *J. Diff. Geo.*, 10(1975), 113-134.