

ON CHARACTERIZATION OF THE CLASS OF CLOSE-TO-CONVEX
 ANALYTIC FUNCTIONS

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§ 1. INTRODUCTION

W. Kaplan [7] has called an analytic function $f(z)$, *close-to-convex* in $|z| < 1$ if there exists an analytic function $\phi(z)$, convex and schlicht in $|z| < 1$, such that

$$(1.1) \quad \operatorname{Re} \left[\frac{f'(z)}{\phi'(z)} \right] > 0 \quad \text{for } |z| < 1.$$

Here a function is called convex if it maps the unit disk $|z| < 1$ conformally onto a convex region. The necessary and sufficient condition for $\phi(z)$ to be a convex function is that

$$(1.2) \quad \operatorname{Re} \left[1 + \frac{z\phi''(z)}{\phi'(z)} \right] > 0 \quad \text{for } |z| < 1.$$

Since $F(z) = z\phi'(z)$ is starlike with respect to the origin and schlicht in $|z| < 1$, (1.1) may be written in the alternative form

$$(1.3) \quad \operatorname{Re} \frac{zf'(z)}{F(z)} > 0 \quad \text{for } |z| < 1.$$

In this case $f(z)$ is said to be *close-to-convex in $|z| < 1$ relative to the convex function*

$$(1.4) \quad \phi(z) = \int_0^z \frac{F(t)}{t} dt$$

where $F(z)$ is analytic and normalized so that $F(0) = 0$, $F'(0) = 1$ satisfying $\operatorname{Re} [zF'(z)/F(z)] > 0$, $|z| < 1$. Kaplan has shown [7] that every close-to-convex function $f(z)$ is schlicht in $|z| < 1$.

In this paper, we will characterize the close-to-convex functions intrinsically, without reference to a convex function $\phi(z)$. One of such characterization is obtained as follows: $f(z)$ is close-to-convex in $|z| < 1$ if and only if

$\int_{\theta_1}^{\theta_2} \operatorname{Re} [1 + z \frac{f''(z)}{f'(z)}] d\theta > -\pi$ when $\theta_1 < \theta_2$, $z = re^{i\theta}$ and $r < 1$. In connection

with this characterization, we extend certain results due to Pommerenke [14], Robertson [22], Miller, Mocanu, and Reade [11] in section III obtaining subclasses of close-to-convex functions.

We shall say that $f(z) = \sum_{n=1}^{\infty} a_n z^n$, analytic for $|z| < 1$, is of class K_α , $0 < \alpha \leq 1$, if and only if $f(z)$ satisfies (i) $f'(z) \neq 0$, (ii) for all $\theta_1 < \theta_2$ and for all r , $0 \leq r < 1$, $\int_{\theta_1}^{\theta_2} \operatorname{Re} \left[1 + z \frac{f''(z)}{f'(z)} \right] d\theta > -\pi\alpha$, ($z = re^{i\theta}$) holds. We note that K_0 consists of convex functions, K_1 consists of close-to-convex functions. For functions of class K_α , we obtained the following result: If $f(z) = \sum_{n=1}^{\infty} a_n z^n \in K_\alpha$, then $f(z)$ is univalent and

$$|a_n| \leq [1 + \alpha(n-1)] |a_1|, \quad n=2, 3, \dots$$

Moreover, we introduce a class of functions K_α^* which bear much the same relation to star maps that the close-to-convex functions bear to convex maps.

We shall say that $F(z) = \sum_{n=1}^{\infty} b_n z^n$, analytic for $|z| < 1$, is in class K_α^* , $0 \leq \alpha \leq 1$, if and only if $F(z)$ satisfies the following conditions; (i) $F'(0) \neq 0$, (ii) $F(z) = 0$ if and only if $z = 0$, and (iii) the inequality

$$(1.5) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} [zF'(z)/F(z)] d\theta > -\pi\alpha, \quad z = re^{i\theta},$$

holds for all $\theta_1 < \theta_2$ and for all r , $0 < r < 1$. We see that K_0^* consists of all schlicht maps which are star with respect to $F(0) = 0$, and K_1^* consists of maps so called "close-to-star." For functions of class K_α^* we have the following; A necessary and sufficient condition that $F(z) \in K_\alpha^*$, $0 < \alpha \leq 1$, is that there exist a univalent map $\sigma(z) = \sum_{n=1}^{\infty} c_n z^n$, star with respect to $\sigma(0) = 0$, such that $|\arg F(z)/\sigma(z)| < \frac{\pi\alpha}{2}$. Also we proved that if $F(z) = \sum_{n=1}^{\infty} b_n z^n \in K_\alpha^*$, then $|b_n| \leq n[1 + \alpha(n-1)] |b_1|$, $n=2, 3, \dots$

Due to Pommerenke's lemma [15], we obtain another coefficient inequality for the class K_α . *i. e.*, let $f(z) = \sum_{n=1}^{\infty} a_n z^n$ belong to K_α with $0 \leq \alpha < 1$ or let $f(z)$ be convex in one direction. If $M(r) = \max_{|z|=r} |f(z)| \leq \frac{B}{(1-r)^\lambda}$, ($0 \leq r < 1$) with $\lambda \geq 0$, then $|a_n| \leq JBn^{\lambda-1}$, ($n=1, 2, \dots$), where J depends only on α in the first case and $J \leq 16/\pi$ in the second case.

In addition, Miller, Mocanu and Reade [11] introduced the class of α -convex

functions. He called a function $f(z)$ an α -convex function if $f(z)f'(z)/z \neq 0$ for $|z| < 1$ and if for some nonnegative real number α ,

$$\operatorname{Re} \left[(1-\alpha) \frac{zf'(z)}{f(z)} + \alpha \left(1 + \frac{zf''(z)}{f'(z)} \right) \right] > 0, \quad |z| < 1.$$

Modifying this idea to close-to-convex functions, we shall introduce new subclass of close-to-convex functions. Let $f(z) = z + a_2 z^2 + \dots$, be analytic for $|z| < 1$ satisfying the conditions (i) $f(z)f'(z)/z \neq 0$ for $|z| < 1$, and (ii) for some nonnegative real number α and for some starlike function $\phi(z) = z + \dots$,

$$(1.6) \quad \operatorname{Re} \left[(1-\alpha) \frac{zf'(z)}{\phi(z)} + \alpha \frac{[zf'(z)]'}{\phi'(z)} \right] > 0, \quad |z| < 1.$$

For a fixed α , we denote this class of functions by M_α , and we show that if $f(z) \in M_\alpha$, then $f(z)$ is close-to-convex function in $|z| < 1$ by using the subordinate principles [20] and Jack's lemma [2] and also we derive an integral representation formula for constructing this class of functions.

In section IV, we extend the concept of close-to-convex functions to meromorphic functions

$$(1.7) \quad f(z) = \frac{1}{z} + a_0 + a_1 z + \dots + a_n z^n + \dots$$

regular in $0 < |z| < 1$ and with a simple pole at the origin. We say that $f(z)$, when given by (1.7), is close-to-convex in the punctured circle $0 < |z| < 1$ relative to $F(z)$ if there exists a meromorphic, starlike, schlicht function $F(z)$ in $|z| < 1$ with a simple pole at the origin, given by

$$(1.8) \quad F(z) = \frac{b_{-1}}{z} + b_0 + b_1 z + \dots + b_n z^n + \dots \quad (b_{-1} \neq 0)$$

such that

$$(1.9) \quad \operatorname{Re} [zf'(z)/F(z)] > 0 \quad \text{for } |z| < 1.$$

By a modification of Kaplan's argument [7], we show that in the meromorphic case (1.9) is equivalent to

$$(1.10) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left[1 + re^{i\theta} \frac{f''(re^{i\theta})}{f'(re^{i\theta})} \right] d\theta < \pi$$

for $\theta_1 < \theta_2$, $0 < r < 1$, if $f(z)$ is analytic with a nonvanishing derivative in $0 < |z| < 1$ and with a simple pole at $z = 0$.

Finally, in section V, we discussed an open question raised by Robertson [21] concerning the coefficients of close-to-convex functions. He pointed out in 1968 that he was not sure whether the inequality

$$(1.11) \quad |n| |a_n| - m |a_m| \leq |n^2 - m^2|$$

is true for all $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ that are close-to-convex functions if $n-m$ is not even. Here we prove that the inequality (1.11) holds for all positive integers n and m by using the inequalities due to MacGregor [5], Lebedev and Milin [5].

II. CHARACTERIZATION OF CLOSE-TO-CONVEX FUNCTIONS

DEFINITION. Let $f(z)$ be analytic for $|z| < 1$. Then $f(z)$ is close-to-convex for $|z| < 1$ if there exists a function $\phi(z)$, convex and schlicht for $|z| < 1$, such that $f'(z)/\phi'(z)$ has positive real part for $|z| < 1$.

The class of close-to-convex functions clearly includes the convex functions themselves, as well as the functions $f(z)$ whose derivative has positive real part in the unit disk. The normalized schlicht functions $f(z)$ which map $|z| < 1$ onto a domain starshaped with respect to the origin are characterized by the inequality

$$(2.1) \quad \operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} \right\} > 0, \quad |z| < 1.$$

Since $\phi(z) = \int_0^z f(z)/z dz$ is known to be convex, it follows that the star mappings are included in the close-to-convex functions.

If $h(z) = \log \phi'(z)$ is chosen to be analytic, then the condition that $\phi(z)$ be convex is expressed by the inequality

$$(2.2) \quad \operatorname{Re} [1 + zh'(z)] > 0.$$

Accordingly, if $f(z)$ is analytic for $|z| < 1$, then $f(z)$ is close-to-convex for $|z| < 1$ if and only if there exists a function $h(z)$, analytic for $|z| < 1$, such that

$$(2.3) \quad \operatorname{Re} [f'(z) e^{-h(z)}] > 0, \quad \operatorname{Re} [1 + zh'(z)] > 0.$$

From the familiar integral representation of a function with positive real part, [12] we obtain the expressions

$$(2.4) \quad f'(z) = e^{h(z)} \left[\int_0^{2\pi} \frac{e^{i\theta}}{e^{i\theta} - z} du(\theta) + i\alpha \right],$$

$$(2.5) \quad h'(z) = \frac{1}{z} \left[\int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} dv(\theta) + i\beta - 1 \right],$$

from which an integral representation for $f(z)$ in terms of two monotone non-decreasing functions $u(\theta)$ and $v(\theta)$ can be obtained.

LEMMA 1. Every close-to-convex function is schlicht [7].

Proof) It is known [12] that if $g(z)$ is analytic in a convex domain D and

$$(2.6) \quad \operatorname{Re}[g'(z)] > 0 \quad \text{in } D,$$

then $g(z)$ is schlicht in D . If $\phi(z)$ is a schlicht map of $|z| < 1$ onto D , then $f(z) = g[\phi(z)]$ is also schlicht. Since $f'(z) = g'(\phi) \phi'(z)$, $f(z)$ satisfies the condition

$$(2.7) \quad \operatorname{Re}\left\{\frac{f'(z)}{\phi'(z)}\right\} > 0 \quad \text{for } |z| < 1.$$

Conversely, if $f(z)$ satisfies (2.7), then $g(z) = f[\phi^{-1}(z)]$ satisfies (2.6) and $f(z) = g[\phi(z)]$ is schlicht for $|z| < 1$.

LEMMA 2. Let $t(\theta)$ be a real function of θ for $-\infty < \theta < \infty$ such that

$$(2.8) \quad t(\theta + 2\pi) - t(\theta) = 2\pi,$$

$$(2.9) \quad t(\theta_1) - t(\theta_2) < \pi \quad \text{for } \theta_1 < \theta_2.$$

Then there exists a real function $s(\theta)$ which is monotonic non-decreasing and satisfies the conditions

$$(2.10) \quad s(\theta + 2\pi) - s(\theta) = 2\pi,$$

$$(2.11) \quad |s(\theta) - t(\theta)| \leq \frac{1}{2}\pi.$$

Proof) Let

$$s(\theta) = l. u. b. t(\theta') - \frac{1}{2}\pi.$$

Then $s(\theta)$ is non-decreasing. By (2.9), $t(\theta')$ is bounded above, for $\theta' < \theta$, by $t(\theta) + \pi$. Hence the least upper bound is finite and

$$(\theta) \leq t(\theta) + \frac{1}{2}\pi.$$

Furthermore, since $t(\theta) \leq l. u. b. t(\theta')$ for $\theta' \leq \theta$,

$$s(\theta) \geq t(\theta) - \frac{1}{2}\pi.$$

Hence (2.11) is proved; (2.10) follows from (2.8). Thus the lemma 2 is established.

THEOREM 2.1. A necessary and sufficient condition that a function $f(z)$, analytic and with $f'(z) \neq 0$ for $|z| < 1$, be close-to-convex is that

$$(2.12) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re}\left[1 + re^{i\theta} \frac{f''(re^{i\theta})}{f'(re^{i\theta})}\right] d\theta > -\pi$$

holds for $\theta_1 < \theta_2$ and $r < 1$.

Proof) (Necessity). Let $\phi(z)$ be a convex schlicht function for $|z| < 1$ and let $p(z) = \arg f'(z)$, $q(z) = \arg \phi'(z)$ be chosen to be continuous for $|z| < 1$. Since $f'(z)$ and $\phi'(z)$ have no roots for $|z| < 1$, such a choice is possible. Since $\operatorname{Re}[f'(z)/\phi'(z)] > 0$ for $|z| < 1$, at each z

$$|p(z) - q(z) + 2k\pi| < \frac{1}{2}\pi$$

for some $k = 0, \pm 1, \dots$. Because of the continuity of $p(z)$ and $q(z)$, k must be independent of z . If $p(z)$ is properly chosen, $k \equiv 0$, and it will be assumed that such a choice has been made, so that

$$(2.13) \quad |p(z) - q(z)| < \frac{1}{2}\pi \quad \text{for } |z| < 1.$$

We now introduce the functions

$$(2.14) \quad P(r, \theta) = p(re^{i\theta}) + \theta, \quad Q(r, \theta) = q(re^{i\theta}) + \theta,$$

which are defined for $0 \leq r < 1$ and all real θ . Condition (2.13) becomes

$$(2.15) \quad |P(r, \theta) - Q(r, \theta)| < \frac{1}{2}\pi$$

The condition that $\phi(z)$ be a convex mapping is described by (2.2) or equivalently by the condition

$$(2.16) \quad \frac{\partial Q}{\partial \theta} > 0 :$$

Thus $Q(r, \theta)$ is monotone increasing in θ for each fixed r . Now, if $\theta_1 < \theta_2$,

$$\begin{aligned} & P(r, \theta_1) - P(r, \theta_2) \\ &= [P(r, \theta_1) - Q(r, \theta_1)] - [P(r, \theta_2) - Q(r, \theta_2)] \\ & \quad + [Q(r, \theta_1) - Q(r, \theta_2)] < [P(r, \theta_1) - Q(r, \theta_1)] \\ & \quad - [P(r, \theta_2) - Q(r, \theta_2)]. \end{aligned}$$

Accordingly, by (2.15),

$$(2.17) \quad P(r, \theta_1) - P(r, \theta_2) < \pi \quad \text{for } \theta_1 < \theta_2$$

Condition (2.17) is thus a necessary condition that $f(z)$ be close-to-convex; it can be expressed in other equivalent forms:

$$(2.18) \quad \arg f'(re^{i\theta_1}) - \arg f'(re^{i\theta_2}) < \pi + (\theta_2 - \theta_1)$$

for $\theta_1 < \theta_2$, provided $\arg f'(z) = p(z)$ is chosen as above to be continuous for $|z| < 1$. That is,

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \left\{ 1 + re^{i\theta} \frac{f''(re^{i\theta})}{f'(re^{i\theta})} \right\} d\theta > -\pi$$

for $\theta_1 < \theta_2$.

(Sufficiency). Given $f(z)$ analytic for $|z| < 1$, we choose $p(z) = \arg f'(z)$

to be continuous and then define $P(r, \theta)$ by (2.14). The condition (2.12) is then replaced by (2.17). In addition,

$$(2.19) \quad P(r, \theta + 2\pi) - P(r, \theta) = 2\pi,$$

since $p(z)$ has period 2π with respect to θ .

We now set $P(\rho, \theta) = t(\theta)$, for a fixed $\rho < 1$, and apply the lemma 2, denoting the corresponding function $s(\theta)$ by $s(\rho, \theta)$. For $r < \rho$ we define

$$(2.20) \quad q_\rho(r, \theta) = \frac{1}{2\pi} \int_0^{2\pi} \frac{(\rho^2 - r^2) [s(\rho, \alpha) - \alpha]}{\rho^2 + r^2 - 2\rho r \cos(\alpha - \theta)} d\alpha,$$

so that $q_\rho(r, \theta)$ is harmonic for $r < \rho$. Moreover, the function

$$(2.21) \quad Q_\rho(r, \theta) = q_\rho(r, \theta) + \theta$$

is monotone increasing in θ for each fixed $r < \rho$. For, if $\theta_1 < \theta_2$,

$$Q_\rho(r, \theta_2) - Q_\rho(r, \theta_1) = \frac{1}{2\pi} \int_0^{2\pi} \frac{(\rho^2 - r^2) [s(\rho, \alpha + \theta_2) - s(\rho, \alpha + \theta_1)]}{\rho^2 + r^2 - 2\rho r \cos \alpha} d\alpha$$

and, since $s(\rho, \alpha)$ is non-decreasing in α , the right hand side is positive or 0. Hence $Q_\rho(r, \theta)$ is non-decreasing in θ , so that $\frac{\partial Q_\rho}{\partial \theta} \geq 0$.

Since this derivative is harmonic, the equality is ruled out, so that $Q_\rho(r, \theta)$ is strictly increasing.

We now choose an analytic function $h_\rho(z)$ whose imaginary part is $q_\rho(r, \theta)$ and such that $\operatorname{Re}[h_\rho(0)] = 0$. Then set

$$(2.22) \quad \phi_\rho(z) = \int_0^z e^{h_\rho(z)} dz,$$

so that

$$(2.23) \quad \phi_\rho(0) = 0, \quad |\phi'_\rho(0)| = 1.$$

The function $\phi_\rho(z)$ is then analytic for $|z| < \rho$. Moreover,

$$(2.24) \quad \operatorname{Re} \left[1 + z \frac{\phi''_\rho(z)}{\phi'_\rho(z)} \right] = \frac{\partial Q_\rho}{\partial \theta} > 0, \quad |z| < \rho.$$

Hence $\phi_\rho(z)$ is a convex function for $|z| < \rho$. Furthermore, since

$$|P(\rho, \theta) - s(\rho, \theta)| \leq \frac{1}{2}\pi,$$

we conclude from (2.20) and the Poisson integral for $p(r, \theta)$ in terms of $P(\rho, \theta)$ that

$$|P(r, \theta) - Q_\rho(r, \theta)| < \frac{1}{2}\pi \quad \text{for } r < \rho.$$

Accordingly,

$$(2.25) \quad \operatorname{Re} \left[\frac{f'(z)}{\phi'_\rho(z)} \right] > 0 \quad \text{for } |z| < \rho,$$

so that $f(z)$ is close-to-convex for $|z| < \rho$. It remains to show that we can

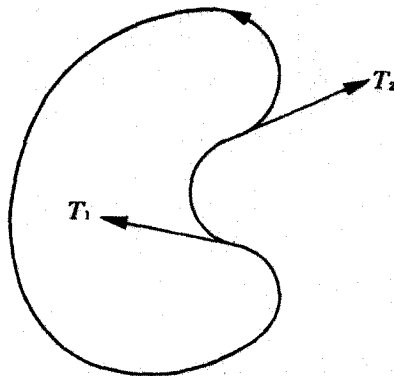
pass to the limit, $\rho \rightarrow 1$, and get a unique function $\phi(z)$ for $|z| < 1$.

If we choose the sequence $\rho_n = 1 - 1/n$, then the corresponding functions $\phi_{\rho_n}(z)$ are defined for an increasing sequence of domains. For each fixed n , the functions $\phi_{\rho_m}(z)$ for $m \geq n$ form a normal family for $|z| < \rho_n$; this follows from the normality of the family of normalized schlicht functions and condition (2.23). Hence a subsequence converges uniformly in this domain. By applying the diagonal process in the familiar fashion, we obtain a subsequence of $\phi_{\rho_n}(z)$ which converges uniformly in each circle $|z| < \rho < 1$ and hence has as limit a unique function $\phi(z)$, analytic for $|z| < 1$. Since the $\phi_{\rho}(z)$ are schlicht and convex, $\phi(z)$ must also be so. Since (2.25) holds for $\rho = \rho_n$, we conclude that

$$(2.26) \quad \operatorname{Re} \left\{ \frac{f'(z)}{\phi'(z)} \right\} > 0 \quad \text{for } |z| < 1 :$$

i. e., $f(z)$ is close-to-convex.

REMARK. (*Geometric interpretation for close-to-convex functions*). The condition (2.12) or its equivalent, condition (2.17), has the following geometric meaning: $w = f(z)$ maps each circle $z = re^{i\theta}$ (r fixed and $r < 1$) onto a simple closed curve whose unit tangent vector $T = i \exp[ip(r, \theta)]$ either rotates in a counterclockwise direction, as θ increases, or else rotates clockwise in such a manner that $\arg T = \rho + \frac{1}{2}\pi$ never drops to a value π radians below a previous value; i. e., $\Delta \arg T$ exceeds $-\pi$, as θ increases. This is illustrated below. Here $\arg T_2 - \arg T_1$ is only slightly greater than $-\pi$.



Thus the geometric interpretation of (2.12) is that $w=f(z)$ maps each circle $|z|=r < 1$ onto a simple closed curve whose tangent rotates, as θ increases, in such a way that it never turns back on itself sufficiently in the clockwise direction to reverse its direction completely.

III. SUBCLASSES OF CLOSE-TO-CONVEX FUNCTIONS

In this section we extend certain results due to Pommerenke [14], Robertson [22], Miller, Mocanu, and Reade [11].

DEFINITION. We shall say that $f(z) = \sum_{n=1}^{\infty} a_n z^n$, analytic for $|z| < 1$, is of class K_α , $0 \leq \alpha \leq 1$, if and only if $f(z)$ satisfies the following conditions:

(i) $f'(z) \neq 0$, for $|z| < 1$

and (ii) the inequality

$$(3.1) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left[1 + z \frac{f''(z)}{f'(z)} \right] d\theta > -\pi\alpha, \quad z = re^{i\theta},$$

holds for all $\theta_1 < \theta_2$ and for all r , $0 \leq r < 1$. We note that K_0 consists of convex functions, K_1 consists of close-to-convex functions.

For functions of class K_α , we have the following result:

THEOREM 3.1. If $f(z) = \sum_{n=1}^{\infty} a_n z^n \in K_\alpha$, then $f(z)$ is univalent and

$$(3.2) \quad |a_n| \leq [1 + \alpha(n-1)] |a_1|, \quad n = 2, 3, \dots$$

Proof) Since (3.2) reduces to a well-known result for $\alpha=0$, i.e., for convex functions, we shall assume that $0 < \alpha \leq 1$.

Kaplan [7] has shown that (3.1) implies the univalence of $f(z)$. Since (3.1) measures the change in the direction of a certain tangent vector, it follows that the function $f_1(z) = f(z)/a_1$ is again in K_α . An examination of the proof of Theorem 2.1 shows that there exists a convex univalent function $\phi(z) = \sum_{n=1}^{\infty} c_n z^n$ such that

$$(3.3) \quad \left| \arg \frac{f_1'(z)}{\phi'(z)} \right| < \frac{\pi\alpha}{2}$$

From (3.3) it follows that we may assume $c_1 = e^{-i\beta}$, β real. Hence if we write

$$(3.4) \quad g(z) = \frac{f_1'(z)}{\phi'(z)} = \sum_{n=0}^{\infty} b_n z^n, \quad b = e^{+i\beta},$$

then we find

$$(3.5) \quad (n+1)a_{n+1} = a_1 \sum_{k=0}^n (k+1)c_{k+1}b_{n-k}, \quad n=1, 2, 3, \dots$$

For the function (3.4), subject to the condition (3.3), Littlewood [9] has shown that

$$(3.6) \quad |b_n| \leq 2\alpha, \quad n=1, 2, 3, \dots$$

and for the convex function $\phi(z)$, with $c_1 = e^{-i\theta}$, that

$$(3.7) \quad |c_n| \leq 1, \quad n=1, 2, 3, \dots$$

hold. Hence, from (3.5), (3.6) and (3.7) we obtain

$$|a_n| \leq [1 + \alpha(n-1)] |a_1|, \quad n=2, 3, \dots$$

The question arises as to when equality holds in (3.2). It is clear that if equality holds for $n_0 > 1$, then equality must hold in (3.2) for all $1 \leq n \leq n_0$. We can only exhibit a function for which equality holds for the case $n=2$. We merely choose

$$(3.8) \quad g(z) = \left[\frac{1+z}{1-z} \right]^\alpha = 1 + 2\alpha z + \dots,$$

and $\phi(z) = z/(1-z)$ in (3.4); for the resulting $f(z)$ we find $a_1 = 1$, $a_2 = 1 + \alpha$.

REMARK. For $\alpha = 1$, (3.2) yields a verification of the Bieberbach conjecture for the close-to-convex functions [16].

DEFINITION. We introduce a class of functions K_α^* which bear much the same relation to star maps that the close-to-convex functions bear to convex maps. We shall say that $F(z) = \sum_{n=1}^{\infty} b_n z^n$, analytic for $|z| < 1$, is in class K_α^* , $0 \leq \alpha \leq 1$, if and only if $F(z)$ satisfies the following conditions:

- (i) $b_1 = F'(0) \neq 0$,
- (ii) $F(z) = 0$ if and only if $z = 0$,

and (iii) the inequality

$$(3.9) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left[\frac{zF'(z)}{F(z)} \right] d\theta > -\pi\alpha, \quad z = re^{i\theta},$$

holds for all $\theta_1 < \theta_2$ and for all r , $0 < r < 1$. We see that K_0^* consists of all schlicht maps which are star with respect to $F(0) = 0$, K_1^* consists of maps so called "close to-star".

For functions of class K_α^* we have the following results: We first note that, from the definitions of both the classes K_α and K_α^* , if $F(z) \in K_\alpha^*$ then

$f(z) = \int_0^z F(z)/z dz \in K_\alpha$, and if $f(z) \in K_\alpha$, then $F(z) = zf'(z) \in K_\alpha^*$.

THEOREM 3. 2. If $F(z)$ is analytic for $|z| < 1$, if $F'(0) \neq 0$, and if $F(z) = 0$ only when $z = 0$, then a necessary and sufficient condition that $F(z) \in K_\alpha^*$, $0 < \alpha \leq 1$, is that there exist a univalent map $\sigma(z) = \sum_1^\infty c_n z^n$, star with respect to $\sigma(0) = 0$, such that

$$(3.10) \quad \left| \arg \frac{F(z)}{\sigma(z)} \right| < \frac{\pi\alpha}{2}.$$

Proof) (Necessity) If $F(z) \in K_\alpha^*$, $0 < \alpha \leq 1$ then it follows that $f(z) = \int_0^z F(z)/z dz \in K_\alpha$, and hence satisfies (3. 1). As in the proof of Theorem 3. 1, there exists a convex univalent function $\phi(z)$, $\phi(0) = 0$ such that (3. 3) holds; that is

$$(3.11) \quad \left| \arg \frac{f'(z)}{\phi'(z)} \right| = \left| \arg \frac{zf'(z)}{z\phi'(z)} \right| < \frac{\pi\alpha}{2}.$$

Now $\sigma(z) = z\phi'(z)$ is a star map of the form needed, so that (3. 10) follows from (3. 11).

(Sufficiency). If there is a star map satisfying (3. 10), then

$$(3.12) \quad \left| \arg \frac{F(re^{i\theta_2})}{\sigma(re^{i\theta_2})} - \arg \frac{F(re^{i\theta_1})}{\sigma(re^{i\theta_1})} \right| < \pi\alpha$$

for each pair of values $0 \leq \theta_1 \leq \theta_2 \leq 2\pi$. Since $\sigma(z)$ is a star map with respect to $\sigma(0) = 0$, we know that $\arg \sigma(re^{i\theta_2}) - \arg \sigma(re^{i\theta_1}) > 0$ for $0 \leq \theta_1 \leq \theta_2 \leq 2$; hence (3. 12) implies

$$(3.13) \quad [\arg F(re^{i\theta_2}) - \arg F(re^{i\theta_1})] > -\pi\alpha$$

for all $0 \leq \theta_1 \leq \theta_2 \leq 2$ and for all r , $0 \leq r < 1$. But (3. 13) is precisely (3. 9), so that $F(z) \in K_\alpha^*$, and the proof is now complete.

THEOREM 3. 3. If $F(z) = \sum_1^\infty b_n z^n \in K_\alpha^*$, then

$$(3.14) \quad |b_n| \leq n[1 + \alpha(n-1)] |b_1|, \quad n = 2, 3, \dots$$

Proof) For $\alpha = 0$, (3. 14) is a known result for star maps. For $0 < \alpha \leq 1$, (3. 14) follows from Theorem 3. 1 and the relation $F(z) = zf'(z) \in K^*$ where $f(z) \in K_\alpha$. As in Theorem 3. 1, we can exhibit a function for which equality is achieved in (3. 14) only for the case $n = 2$.

DEFINITION. A function is called convex in one direction [19] if each line of a certain fixed direction intersects F in at most one interval.

We shall study another coefficient problem for the class K_α .

LEMMA 3. Let $0 \leq \alpha < 1$, $f(z) = a_1 z + a_2 z^2 + \dots \in K_\alpha$. If $0 \leq r < 1$ then

$$(3.15) \quad r \int_0^{2\pi} |f'(re^{i\theta})| d\theta \leq J(\alpha) \max_{|z|=r} |f(z)|$$

where $J(\alpha)$ depends only on α .

The left side of (3.15) is equal to the length $L(r)$ of $\{f(z) : |z| = r\}$. Since trivially $L(r) \geq 2M(r)$, where $M(r) = \max_{|z|=r} |f(z)|$, inequality (3.15) shows that $L(r)$ and $M(r)$ have essentially the same growth.

Proof) Let $v(r, \theta) = \arg [e^{i\theta} f'(re^{i\theta})]$. Then we have by (3.3) that

$$(3.16) \quad |\arg [e^{i\theta} f'(re^{i\theta})] - v(r, \theta)| \leq \frac{\alpha\pi}{2}$$

Let $m = [8 / (1 - \alpha)] + 1$. For fixed $r < 1$ we define θ_k by

$$v(r, \theta_k) = 2\pi k / m \quad (k=0, 1, \dots, m-1)$$

and $\theta_m = \theta_0 + 2\pi$. Since $v(r, \theta)$ increases with θ we see that

$$\theta_0 < \theta_1 < \dots < \theta_m = \theta_0 + 2\pi$$

and that

$$0 < v(r, \theta) - v(r, \theta_k) \leq \frac{2\pi}{m} < (1 - \alpha) \frac{\pi}{4}$$

for $\theta_k \leq \theta \leq \theta_{k+1}$. Together with (3.16) this gives us that

$$|\arg [e^{i\theta} f'(re^{i\theta})] - v(r, \theta_k)| < (1 + \alpha) \frac{\pi}{4} < \frac{\pi}{2}$$

for $\theta_k \leq \theta \leq \theta_{k+1}$. Hence

$$\begin{aligned} & \cos\left((1 + \alpha) \frac{\pi}{4}\right) \cdot r \int_{\theta_k}^{\theta_{k+1}} |f'(re^{i\theta})| d\theta \\ & \leq r \int_{\theta_k}^{\theta_{k+1}} |f'(re^{i\theta})| \cos[\arg(e^{i\theta} f'(re^{i\theta})) - v(r, \theta_k)] d\theta \\ & = \operatorname{Re} \left[e^{-iv(r, \theta_k)} \int_{\theta_k}^{\theta_{k+1}} re^{i\theta} f'(re^{i\theta}) d\theta \right] \\ & = \operatorname{Re} [e^{-iv(r, \theta_k) - i\pi/2} (f(re^{i\theta_{k+1}}) - f(re^{i\theta_k}))] \leq 2M(r) \end{aligned}$$

for $k=0, 1, \dots, m-1$. By summation we obtain

$$r \int_{\theta_0}^{\theta_0 + 2\pi} |f'(re^{i\theta})| d\theta \leq \frac{2mM(r)}{\cos[(1 + \alpha)\pi/4]} \leq \frac{18M(r)}{(1 - \alpha)\sin[(1 - \alpha)\pi/4]}$$

THEOREM 3.4. Let $f(z) = \sum_{n=0}^{\infty} a_n z^n$ belong to K_α with $0 \leq \alpha < 1$ or let $f(z)$ be convex in one direction. If

$$M(r) = \max_{|z|=r} |f(z)| \leq \frac{B}{(1-r)^\lambda} \quad (0 \leq r < 1)$$

with $\lambda \geq 0$, then

$$|a_n| \leq J B n^{\lambda-1} \quad (n=1, 2, \dots)$$

where J depends only on α in the first case and $J \leq 16/\pi$ in the second case.

Proof (First case). Let $f(z) \in K_\alpha$ ($0 \leq \alpha < 1$). By Lemma 3, we have

$$(3.17) \quad \begin{aligned} n |a_n| r^n &= \left| \frac{r}{2\pi} \int_0^{2\pi} f'(re^{i\theta}) e^{-i(n-1)\theta} d\theta \right| \\ &\leq \frac{r}{2\pi} \int_0^{2\pi} |f'(re^{i\theta})| d\theta \leq \frac{1}{2\pi} J(\alpha) M(r). \end{aligned}$$

Taking $r = n/(n+1)$ we obtain $n |a_n| \leq e J(\alpha) M(n/(n+1)) \leq J_1(\alpha) B n^\lambda$.

(Second case). Let $f(z) = a_1 z + \dots$ be convex in the direction of the imaginary axis and

$$A(r) = \max_{|z|=r} |\operatorname{Re} f(z)| \leq B(1-r)^{-\lambda}$$

with $\lambda \geq 0$. Then we will show that

$$|a_n| \leq \frac{16}{\pi} B n^{\lambda-1}$$

If $f(z)$ is convex in the direction of the imaginary axis then for each fixed $r < 1$ there are numbers θ_1 and θ_2 such that $u(r, \theta) = \operatorname{Re} f(re^{i\theta})$ increases for $\theta_1 < \theta < \theta_2$ and decreases for $\theta_2 < \theta < \theta_1 + 2\pi$. Hence

$$(3.18) \quad \int_0^{2\pi} \left| \frac{\partial}{\partial \theta} u(r, \theta) \right| d\theta \leq 2 \left(\max_{\theta} u(r, \theta) - \min_{\theta} u(r, \theta) \right) \leq 4A(r).$$

From the well-known representation [12] we obtain for $n=1, 2, \dots$

$$a_n = \frac{1}{\pi r^n} \int_0^{2\pi} u(r, \theta) e^{-in\theta} d\theta = \frac{1}{\pi i n r^n} \int_0^{2\pi} \left(\frac{\partial}{\partial \theta} u(r, \theta) \right) e^{-in\theta} d\theta.$$

Therefore (3.18) shows that

$$(3.19) \quad |a_n| \leq \frac{4}{\pi n r^n} A(r),$$

Let $A(r) \leq B(1-r)^{-\lambda}$. With $r = (n-1)/n$ ($n=2, 3, \dots$) we obtain from (3.19) that

$$|a_n| \leq \frac{4}{\pi} B \left(\frac{n}{n-1} \right)^n n^{\lambda-1} \leq \frac{16}{\pi} B n^{\lambda-1}.$$

If $\lambda=0$ we make $r \rightarrow 1-0$ in (3.19) and find $|a_n| \leq (4/\pi)B$. This inequality is best possible as is shown by the function

$$\frac{2B}{\pi i} \log \frac{1+z}{1-z} = \frac{4B}{\pi i} \sum_{k=0}^{\infty} \frac{z^{2k+1}}{2k+1}$$

that is convex in the direction of the imaginary axis and satisfies $A(r) \leq B$.

DEFINITION. Let $f(z) = z + a_2 z^2 + \dots$, be analytic for $|z| < 1$ satisfying the conditions

(i) $f(z)f'(z)/z \neq 0$ for $|z| < 1$
and (ii) for some nonnegative real number α and for some starlike function $\phi(z) = z + \dots$,

$$(3.20) \quad \operatorname{Re} \left[(1-\alpha) \frac{zf'(z)}{\phi(z)} + \alpha \frac{(zf'(z))'}{\phi'(z)} \right] > 0, \quad |z| < 1.$$

For a fixed α , we denote this class of functions by M_α .

THEOREM 3. 5. Let $\alpha \geq 0$, and $\phi(z)$ be a starlike function in the open unit disk $|z| < 1$ with $\phi(0) = 0$, $\phi'(0) = 1$. If $zf'(z)$ is analytic for $|z| < 1$ with

$$zf'(z) \Big|_{z=0} = 0, \quad (zf'(z))' \Big|_{z=0} = 1$$

and if

$$\operatorname{Re} \left[(1-\alpha) \frac{zf'(z)}{\phi(z)} + \alpha \frac{(zf'(z))'}{\phi'(z)} \right] > 0, \quad |z| < 1$$

then

$$\operatorname{Re} \left[\frac{zf'(z)}{\phi(z)} \right] > 0, \quad |z| < 1.$$

i. e., $f(z)$ is close-to-convex for $|z| < 1$.

Proof) Let an analytic function $w(z) = z + b_2 z^2 + \dots$ be defined for $|z| < 1$ by

$$(3.21) \quad \frac{zf'(z)}{\phi(z)} = \frac{1-w(z)}{1+w(z)}$$

where $w(z) \neq -1$ for $|z| < 1$. It suffices to show that $|w(z)| < 1$ for $|z| < 1$ by subordinate principles [20]. Suppose $|w(z)| \geq 1$ for $|z| < 1$, then by Jack's lemma [2] we could find η , $|\eta| < 1$ such that $|w(\eta)| = 1$ and

$$(3.22) \quad \eta w'(\eta) = k w(\eta) \quad \text{where } k \geq 1.$$

We write

$$(3.23) \quad \psi(z) = (1-\alpha) \frac{zf'(z)}{\phi(z)} + \alpha \frac{(zf'(z))'}{\phi'(z)}$$

From (3.21), (3.22) and (3.23), we have

$$(3.24) \quad \psi(\eta) = \frac{1-w(\eta)}{1+w(\eta)} - \frac{2\alpha k w(\eta)}{(1+w(\eta))^2} \cdot \frac{\phi(\eta)}{\eta \phi'(\eta)}$$

with $|w(\eta)| = 1$. Since $\operatorname{Re} \frac{1-w(\eta)}{1+w(\eta)} = 0$, $\operatorname{Re} \frac{\phi(\eta)}{\eta \phi'(\eta)} > 0$ and $\frac{w(\eta)}{(1+w(\eta))^2}$ is

real and positive, therefore $Re \psi(\eta) \leq 0$. This contradicts the given hypotheses that $Re \{\psi(z)\} > 0$ for $|z| < 1$. Then (3.21) gives us that

$$Re \frac{zf'(z)}{\phi(z)} > 0 \quad \text{for } |z| < 1.$$

Thus $f(z)$ is close-to-convex in $|z| < 1$.

THEOREM 3. 6. A function $f(z)$ is in the class M_α if and only if there exists a starlike function $\phi(z)$ and a function $p(z)$ which is regular and has positive real part for $|z| < 1$ such that

$$(3.25) \quad f'(z) = \frac{1+c}{z[\phi(z)]^c} \int_0^z [\phi(\eta)]^c \phi'(\eta) p(\eta) d\eta$$

where $c = \frac{1}{\alpha} - 1$, $\alpha \neq 0$. If $\alpha = 0$, then $f'(z) = \left(\frac{\phi(z)}{z}\right)' p(z)$.

Proof) Let $f(z) \in M_\alpha$ for $\alpha > 0$. If we set

$$(3.26) \quad p(z) = (1-\alpha) \frac{zf'(z)}{\phi(z)} + \alpha \frac{(zf'(z))'}{\phi'(z)}$$

with $Re p(z) > 0$ for $|z| < 1$. Multiplying both sides of (3.26) by $\alpha^{-1} [\phi(z)]^c \phi'(z)$ we obtain

$$(3.27) \quad czf'(z) [\phi(z)]^{c-1} \phi'(z) + (\phi(z))^c (zf'(z))' = (1+c) [\phi(z)]^c \phi'(z) P(z).$$

The left-hand side of (3.27) is the exact differential of $zf'(z) [\phi(z)]^c$, therefore, on integrating both sides of (3.27) with respect to z , we obtain (3.25).

Conversely, if $f(z)$ satisfies (3.25), then it is easy to see that $f(z) \in M_\alpha$. On choosing $\phi(z) = \frac{z}{(1-z)^2}$ and $p(z) = \frac{1+z}{1-z}$ in (3.25), we obtain the following function of M_α ,

$$(3.28) \quad f(z) = \int_0^z (1+c)t^{-c-1} (1-t)^{2c} \left[\int_0^t \frac{\eta^c (1+\eta)^2}{(1-\eta)^{2c+4}} d\eta \right] dt,$$

where $c = \frac{1}{\alpha} - 1$.

IV. AN EXTENSION THE CONCEPTS OF CLOSE-TO-CONVEX FUNCTIONS TO MEROMORPHIC FUNCTIONS

In this section we extend the concepts of close-to-convex functions to meromorphic functions

$$(4.1) \quad f(z) = \frac{1}{z} + a_0 + a_1 z + \dots + a_n z^n + \dots$$

regular in $0 < |z| < 1$ and with a simple pole at the origin.

DEFINITION. We say that $f(z)$, when given by (4.1), is close-to-convex in the punctured circle $0 < |z| < 1$ relative to $F(z)$ if there exists a meromorphic, starlike, schlicht function $F(z)$ in $|z| < 1$ with a simple pole at the origin, given by

$$(4.2) \quad F(z) = \frac{b_{-1}}{z} + b_0 + b_1 z + \cdots + b_n z^n + \cdots (b_{-1} \neq 0),$$

such that

$$(4.3) \quad \operatorname{Re} \frac{zf'(z)}{F(z)} > 0 \quad \text{for } |z| < 1.$$

It is to be noticed that in the meromorphic case (4.3) does not imply that $f(z)$ is schlicht, as $\operatorname{Re} zf'(z)/F(z) > 0$, ($|z| < 1$) implies when $f(z)$ and $F(z)$ are regular in $|z| < 1$. For example if $F(z) = -z^{-1}$ and

$$-z^2(1-z^2)f'(z) = 1+z^2, \quad f(z) = z^{-1} - 2z - \frac{2}{3}z^3 + \cdots (0 < |z| < 1),$$

then $f(z)$ is not schlicht in $0 < |z| < 1$, since $|a| > 1$. Nevertheless, when $f(z)$ is meromorphic and satisfies (4.3), then $w = f(z)$ maps each circle $|z| = r$ ($0 < r < 1$) onto a smooth curve which, although it may intersect itself, may also have "hairpin" turns, provided a complete reversal of the direction of the tangent does not occur. By a modification of Kaplan's argument [7], we obtain the following lemma:

LEMMA 4. Let $t(\theta)$ be a real function of θ for $-\infty < \theta < \infty$ such that

$$(4.4) \quad t(\theta + 2\pi) - t(\theta) = -2\pi,$$

$$(4.5) \quad t(\theta_1) - t(\theta_2) > -\pi \quad \text{for } \theta_1 < \theta_2;$$

then there exists a real function $s(\theta)$ which is non-increasing and satisfies the conditions

$$(4.6) \quad s(\theta + 2\pi) - s(\theta) = -2\pi$$

$$(4.7) \quad |s(\theta) - t(\theta)| \leq \pi/2.$$

Proof The proof of the lemma 4 is the same as the proof of lemma 2, except that now $s(\theta)$ is defined as

$$(4.8) \quad s(\theta) = g. l. b. t(\theta') + \pi/2, \\ \theta' \leq \theta$$

THEOREM 4.1. A necessary and sufficient condition that a meromorphic function $f(z) = \frac{1}{z} + a_0 + a_1 z + \cdots$, regular in $0 < |z| < 1$ and with a simple pole at the origin, be close-to-convex in the punctured circle $0 < |z| < 1$ relative to $F(z) = \frac{b_{-1}}{z} + b_0 + b_1 z + \cdots$, meromorphic and starlike in $|z| < 1$, is that

$$(4.9) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left(1 + re^{i\theta} \frac{f''(re^{i\theta})}{f'(re^{i\theta})} \right) d\theta < \pi$$

for $\theta_1 < \theta_2$, $0 < r < 1$.

Proof) (Necessity). Let $f(z)$ and $F(z)$ be defined as in (4.1) and (4.2), and let (4.3) hold. Using the notation of Theorem 2.1, we let $i \exp iP(r, \theta)$ be the unit tangent vector to the image curve of $|z| = r$ ($0 < r < 1$) through the mapping $w = f(z)$, and we let

$$Q(r, \theta) = \arg F(re^{i\theta}).$$

Because of (4.3) (and with proper choice of the argument) we have

$$(4.10) \quad |P(r, \theta) - Q(r, \theta)| < \pi/2.$$

Since $F(z)$ is meromorphic and starlike, we also have $\frac{\partial Q}{\partial \theta} < 0$. Then, by an

argument similar to that used in the proof of Theorem 2.1, we obtain

$$(4.11) \quad P(r, \theta_1) - P(r, \theta_2) > -\pi \text{ for } \theta_1 < \theta_2,$$

and (4.11) is readily seen to be equivalent to

$$(4.12) \quad \int_{\theta_1}^{\theta_2} \operatorname{Re} \left(1 + re^{i\theta} \frac{f''(re^{i\theta})}{f'(re^{i\theta})} \right) d\theta < \pi.$$

Thus (4.3) now implies (4.12) instead of (2.12).

(Sufficiency). If $f(z)$ is given by (4.1) and $f'(z) \neq 0$ in $0 < |z| < 1$, we choose $p(z) = \arg f'(z)$ to be continuous and then define $P(r, \theta) = p(re^{i\theta}) + \theta$. The condition (4.12) is then replaced by (4.11). Since $f(z)$ is no longer analytic at $z=0$, we have

$$(4.13) \quad P(r, \theta + 2\pi) - P(r, \theta) = -2\pi.$$

Take $t(\theta) = P(\rho, \theta)$ ($0 < \rho < 1$), and denote the corresponding $s(\theta)$ of the lemma 4 by $s(\rho, \theta)$. For $r > \rho$, define

$$(4.14) \quad q_\rho(r, \theta) = \frac{\rho^2 - r^2}{2\pi} \int_0^{2\pi} \frac{[s(\rho, \alpha) + \alpha] d\alpha}{\rho^2 + r^2 - 2\rho r \cos(\theta - \alpha)},$$

so that $q_\rho(r, \theta)$ is harmonic for $r < \rho$. This definition of $q_\rho(r, \theta)$ differs from the one used by Kaplan [7] in the regular case, since $s(\rho, \alpha) - \alpha$ in his definition is replaced in (4.14) by $s(\rho, \alpha) + \alpha$. Define the function

$$(4.15) \quad Q_\rho(r, \theta) = q_\rho(r, \theta) - \theta$$

(replacing $q_\rho(r, \theta) + \theta$ used by Kaplan [7]). Then, since

$$s(\rho, \alpha + \theta_2) - s(\rho, \alpha + \theta_1)$$

has a period 2π , it follows by straightforward computation that for $\theta_1 < \theta_2$

$$(4.16) \quad Q_\rho(r, \theta_2) - Q_\rho(r, \theta_1) = \frac{\rho^2 - r^2}{2\pi} \int_0^{2\pi} \frac{s(\rho, \alpha + \theta_2) - s(\rho, \alpha + \theta_1)}{\rho^2 + r^2 - 2\rho r \cos \alpha} d\alpha < 0.$$

We next define $h_\rho(z)$ to be the analytic completion of $q_\rho(r, \theta)$, so that the imaginary part of $h_\rho(z)$ is identical with the harmonic function $q_\rho(r, \theta)$, and such that $\operatorname{Re} h_\rho(0) = 0$. We define $F_\rho(z)$ by the equation

$$(4.17) \quad F_\rho(z) = \frac{1}{z} \exp [h_\rho(z)] \neq 0,$$

and we write

$$(4.18) \quad F_\rho(z) = \frac{c_{-1}}{z} + c_0 + c_1 z + \dots \quad (0 < |z| < \rho).$$

Then

$$(4.19) \quad c_{-1} = e^{h_\rho(0)}, \quad |c_{-1}| = |e^{i\theta} e^{h_\rho(0)}| = 1$$

$F_\rho(z)$ is analytic for $0 < |z| < \rho$ and has a simple pole at the origin. We also have

$$(4.20) \quad \operatorname{Re} \frac{zF'_\rho(z)}{F_\rho(z)} = \operatorname{Re} [zh'_\rho(z) - 1] = \frac{\partial q_\rho(r, \theta)}{\partial \theta} - 1 = \frac{\partial}{\partial \theta} Q_\rho(r, \theta) < 0.$$

Hence $F_\rho(z)$ is a starlike, schlicht function for $0 < |z| < \rho$. Just like the argument of the proof of Theorem 2. 1, we choose a sequence $\rho_n \rightarrow 1$ so that $F_{\rho_n}(z) \rightarrow F(z)$ uniformly in every closed domain within the unit circle. $F(z)$ is then schlicht and starlike in $0 < |z| < 1$, and

$$(4.21) \quad \operatorname{Re} \left[\frac{zf'(z)}{F(z)} \right] > 0 \text{ for } 0 < |z| < 1.$$

Thus the proof is completed.

V. COEFFICIENT PROBLEM FOR CLOSE-TO-CONVEX FUNCTIONS

Let S denote the collection of regular and univalent functions

$$(5.1) \quad f(z) = z + \sum_{n=1}^{\infty} A_n z^n$$

in the unit disk $|z| < 1$. Also, let S^* denote the subclass of S consisting of functions which map the unit disk onto domains which are starlike with respect to the origin. Let K denote the class of close-to-convex functions in S , i. e., those functions $f(z) \in S$ such that there exists a $g(z) \in S^*$ and a real α such that $e^{i\alpha} z f'(z) / g(z) = p(z)$, where $p(z)$ is a regular function of positive real part in $|z| < 1$.

M. S. Robertson [21] considered a generalized Bieberbach inequality

ty

$$(5.2) \quad |n|A_n| - m|A_m| < |n^2 - m^2|A_1|,$$

for m and n nonnegative integers. Robertson showed that (5.2) holds for all functions convex in one direction and that (5.2) holds for all functions in K if $n-m$ is an even integer. He made no conjecture concerning its general validity for S . Indeed, it is not in general valid and in particular fails for $m=3$, and $n=2$. In this case the inequality (5.2) would be $|3|A_3| - 2|A_2|| < 5$. But, J. A. Jenkins [6] showed that the sharp upper bound for $3|A_3| - 2|A_2|$ is $(5.02\dots)|A_1|$ when $f(z) \in S$. It was an open question whether (5.2) is true for all close-to-convex functions if $n-m$ is not an even integer. In 1968, Robertson stated that (5.2) would be true for all functions in K if every starlike function

$$g(z) = z + \sum_{n=2}^{\infty} b_n z^n, \quad |z| < 1,$$

has coefficients with satisfy

$$(5.3) \quad |2b_n - b_2 b_{n-1}| \leq 2, \quad n=1, 2, 3, \dots \quad (b=0).$$

In 1975, R. W. Barnard found an example of a function in S^* where (5.3) does not hold. He considered the function

$$F_\alpha(z) = \left(\frac{1-z}{1+z}\right)^\alpha \frac{z}{(1-z)^2} = z + \sum_{n=2}^{\infty} b_n(\alpha) z^n, \quad 0 \leq \alpha \leq 2.$$

where $b_2(\alpha) = 2(1-\alpha)$, $b_3(\alpha) = 3 - 4\alpha + 2\alpha^2$, $b_4(\alpha) = 4(3 - 5\alpha + 3\alpha^2 - \alpha^3)/3$, and $b_5(\alpha) = (15 - 28\alpha + 22\alpha^2 - 8\alpha^3 + 2\alpha^4)/3$.

In this section, we prove a conjecture raised by M. S. Robertson [21], namely,

$$|n|a_n| - m|a_m| < |n^2 - m^2|$$

for all $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ that are close-to-convex using the inequalities due to T. H. MacGregor [5], Lebedev and Milin [5]. We skip the proofs of the following three lemmas and the proofs can be found in references.

LEMMA 5. Let P denote the class of functions

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n$$

analytic and of positive real part in $|z| < 1$. Let $p \in P$, and let $\lambda_n \geq 0$. If

$q(z) = \sum_{n=1}^{\infty} \lambda_n p_n z^n$ is analytic in $|z| < 1$ and $Re q(z) \leq M$ for some positive M ,

then

$$(5.4) \quad \sum_{n=1}^{\infty} \lambda_n |p_n|^2 \leq 2M.$$

COROLLARY TO THE LEMMA 5. For each $p \in P$ and for each finite sequence $\{\lambda_k\}_{k=1}^n$ with $\lambda_k \geq 0$, there exists a η with $|\eta| = 1$ such that

$$(5.5) \quad \sum_{k=1}^n \lambda_k |p_k - \eta^k|^2 \leq \sum_{k=1}^n \lambda_k.$$

Proof of the Corollary. Apply the lemma 5 to $q(z) = \sum_{k=1}^n \lambda_k p_k z^k$. This gives

$$(5.6) \quad \begin{aligned} \sum_{k=1}^n \lambda_k |p_k - \eta^k|^2 &= \sum_{k=1}^n \lambda_k |p_k|^2 - 2 \operatorname{Re} q(\bar{\eta}) + \sum_{k=1}^n \lambda_k \\ &\leq 2M - 2 \operatorname{Re} q(\bar{\eta}) + \sum_{k=1}^n \lambda_k. \end{aligned}$$

Choosing η with $|\eta| = 1$ so that $\operatorname{Re} q(\bar{\eta}) = M = \max_{|z|=1} \operatorname{Re} \{q(z)\}$, we obtain

the result.

LEMMA 6. (Lebedev and Milin) [5]. If $\sum_{k=0}^{\infty} D_k z^k = \exp \left(\sum_{k=1}^{\infty} A_k z^k \right)$, and both functions are analytic in $|z| < 1$, then

$$(5.7) \quad \sum_{k=0}^{n-1} |D_k|^2 \leq n \exp \left\{ \sum_{k=1}^n \left(k |A_k|^2 - \frac{k^2 |A_k|^2}{n} - \frac{1}{k} \right) + 1 \right\},$$

with equality if and only if $A_k = c^k/k$, $|c| = 1$, $k = 1, 2, \dots, n-1$.

LEMMA 7. (D. Aharonov) [2]. With the notations in Lemma 6, we have

$$(5.8) \quad \begin{aligned} &\sum_{k=0}^{n-1} |D_k|^2 + \frac{|D_n|^2}{2} \\ &\leq \frac{2n+1}{2} \exp \left\{ \sum_{k=1}^n \left(\frac{k^2}{n(2n+1)} + k - \frac{k^2}{n} \right) |A_k|^2 - \sum_{k=1}^n \frac{1}{k} - \frac{1}{2n+1} + 1 \right\} \end{aligned}$$

with equality if and only if $A_k = c^k/k$, $|c| = 1$, $k = 1, 2, \dots, n$.

LEMMA 8. If $g(z) = z + \sum_{n=2}^{\infty} b_n z^n$ is in S^* , for each positive integer n , there exists a η with $|\eta| = 1$ such that

$$(5.9) \quad 1 + \sum_{k=1}^{n-1} |b_{k+1} - \eta b_k|^2 + \frac{|b_{n+1} - \eta b_n|^2}{2} \leq n + \frac{1}{2}.$$

Proof) If $g(z) \in S^*$, then it is well known that

$$(5.10) \quad \frac{zg'(z)}{g(z)} = p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n,$$

with $p(z)$ in P . Hence

$$(5.11) \quad \log \frac{g(z)}{z} = \sum_{n=1}^{\infty} \frac{p_n}{n} z^n$$

Take a η on the unit circle, then

$$(5.12) \quad (1 - \eta z) \frac{g(z)}{z} = 1 + \sum_{k=1}^{\infty} (b_{k+1} - \eta b_k) z^k \\ = \sum_{k=0}^{\infty} D_k z^k, \text{ say.}$$

On the other hand,

$$(5.13) \quad \log (1 - \eta z) \frac{g(z)}{z} = \sum_{k=1}^{\infty} \frac{(p_k - \eta^k)}{k} z^k \\ = \sum_{k=1}^{\infty} A_k z^k, \text{ say.}$$

Then,

$$(5.14) \quad \sum_{k=0}^{\infty} D_k z^k = \exp \left(\sum_{k=0}^{\infty} A_k z^k \right)$$

$$\text{By Lemma 7, } \sum_{k=0}^{n-1} |D_k|^2 + \frac{|D_n|^2}{2} \\ \leq \frac{2n+1}{2} \exp \left\{ \sum_{k=1}^n \left(\frac{k^2}{n(2n+1)} + k - \frac{k^2}{n} \right) |A_k|^2 - \sum_{k=1}^n \frac{1}{k} - \frac{1}{2n+1} + 1 \right\}$$

(5.15)

$$= \frac{2n+1}{2} \exp \left\{ \sum_{k=1}^n \left(\frac{k^2}{n(2n+1)} + k - \frac{k^2}{n} \right) \frac{|p_k - \eta^k|^2}{k^2} - \sum_{k=1}^n \frac{1}{k} - \frac{1}{2n+1} + 1 \right\}$$

By the corollary to Lemma 5, we can pick a η with $|\eta| = 1$ such that

$$(5.16) \quad \sum_{k=1}^n \left(\frac{k^2}{n(2n+1)} + k - \frac{k^2}{n} \right) \frac{|p_k - \eta^k|^2}{k^2} \\ \leq \sum_{k=1}^n \left(\frac{k^2}{n(2n+1)} + k - \frac{k^2}{n} \right) \frac{1}{k^2} \\ = \sum_{k=1}^n \left(\frac{1}{n(2n+1)} + \frac{1}{k} - \frac{1}{n} \right) \\ = \frac{1}{2n+1} + \sum_{k=1}^n \frac{1}{k} - 1.$$

Hence, there exists a η with $|\eta| = 1$ to make the exponent in (5.15) nonpositive, and we have

$$(5.17) \quad \sum_{k=0}^{n-1} |D_k|^2 + \frac{|D_n|^2}{2} \leq \frac{2n+1}{2} = n + \frac{1}{2}$$

This is the statement of Lemma 8.

THEOREM 5.1. If $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ is close-to-convex for $|z| < 1$, then

$$(5.18) \quad |n|a_n| - m|a_m| \leq |n^2 - m^2|$$

for all positive n and m . Strict inequality holds for all n and m , with $n \neq m$, unless $f(z)$ is a rotation of the Koebe function $z/(1-z)^2$.

Proof) If $f(z) \in K$ for $|z| < 1$, then $e^{i\alpha} z f'(z) = r(z)g(z)$, where $\operatorname{Re} r(z) > 0$ and

$$(5.19) \quad r(z) = e^{i\alpha} + \sum_{k=1}^{\infty} r_k z^k.$$

For $|\eta| = 1$, we have

$$(5.20) \quad e^{i\alpha} (1 - \eta z) f'(z) = (1 - \eta z) \frac{g(z)}{z} r(z),$$

or

$$(5.21) \quad e^{i\alpha} \left\{ 1 + \sum_{n=1}^{\infty} [(n+1)a_{n+1} - \eta_n a_n] z^n \right\} \\ = \left[1 + \sum_{k=1}^{\infty} (b_{k+1} - \eta b_k) z^k \right] \left[e^{i\alpha} + \sum_{k=1}^{\infty} r_k z^k \right]$$

Comparing the coefficients of z^n on both sides, we get

$$(5.22) \quad e^{i\alpha} [(n+1)a_{n+1} - \eta_n a_n] = r_n + r_{n-1}(b_2 - \eta b_1) + \cdots + e^{i\alpha}(b_{n+1} - \eta b_n) \\ = \sum_{k=0}^n r_{n-k} D_k,$$

where $r_0 = e^{i\alpha}$ and $D_k = b_{k+1} - \eta b_k$. To the first n terms of the right-hand side of (5.22), we apply the inequality $|ab| \leq |a/2|^2 + |b|^2$, and to the last term the inequality $|b| \leq \frac{1}{2} + |b|^2/2$. We obtain,

$$(5.23) \quad |(n+1)a_{n+1} - \eta_n a_n| \\ \leq \left| \frac{r_n}{2} \right|^2 + 1 + \left| \frac{r_{n-1}}{2} \right|^2 + |b_2 - \eta b_1|^2 + \cdots + \frac{1}{2} + \frac{1}{2} |b_{n+1} - \eta b_n|^2 \\ \leq n + \frac{1}{2} + 1 + \sum_{k=1}^{n-1} |b_{k+1} - \eta b_k|^2 + \frac{1}{2} |b_{n+1} - \eta b_n|^2,$$

where we applied the well-known estimate $|r_k| \leq 2$, for $k = 1, 2, \dots$. By Lemma 8,

$$(5.24) \quad |(n+1)a_{n+1} - \eta_n a_n| \leq n + \frac{1}{2} + n + \frac{1}{2} = 2n + 1,$$

for some η with $|\eta| = 1$. But by the triangle inequality,

$$(5.25) \quad |(n+1)|a_{n+1}| - n|a_n| \leq |(n+1)a_{n+1} - \eta_n a_n|.$$

Hence,

$$(5.26) \quad |(n+1)|a_{n+1}| - n|a_n| \leq 2n + 1.$$

The general case of inequality of (5.18) follows from this by induction.

In the case of equality, a close inspection of the proof shows that $r(z)$ has the form $(1+tz)/(1-tz)$, with $|t|=1$. Hence, we have

$$zf'(z) = [(1+tz)/(1-tz)]g(z).$$

Multiplying both sides by $(1-tz)$, we get

$$(5.27) \quad (1-tz) \sum_{n=1}^{\infty} na_n z^n = (1+tz) \sum_{n=1}^{\infty} b_n z^n.$$

Comparing the coefficient of z^{n+1} on both sides, we obtain

$$(5.28) \quad (n+1)a_{n+1} - tna_n = b_{n+1} + tb^n$$

Hence,

$$(5.29) \quad 2n+1 = |(n+1)|a_{n+1}| - n|a_n| \leq |b_{n+1} + tb^n|.$$

So our starlike function $g(z)$ must be a rotation of the Koebe function and has the form $z/(1-tz)^2$; hence $f(z)$ is $z/(1-tz)^2$ also.

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