

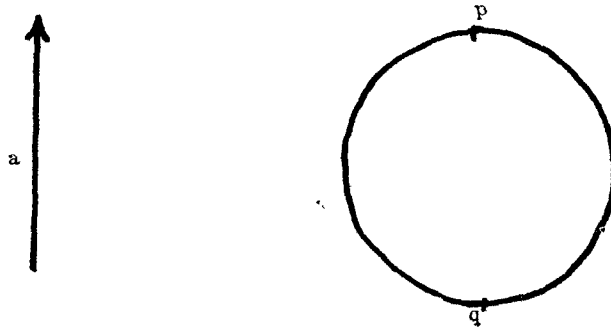
SOME TOPOLOGICAL PROPERTIES OF THE LIPSCHITZ-KILLING CURVATURE

BY JEAN-MARIE MORVAN

1. Introduction

In order to find relations between local properties of a submanifold and its topology, an interesting method is an application of Morse theory. We shall show, in this paper, that the sign of the Lipschitz-Killing curvature of the submanifold in a fixed direction gives important restrictions on its homology.

Let us consider the following situation: The circle S^1 in the plane \mathbf{E}^2 .



It is clear that the Lipschitz-Killing curvature of S^1 at p and q with respect to the direction a , is positive at p and negative at q . By a suitable deformation of S^1 , it is possible to find some imbedding of S^1 in \mathbf{E}^2 satisfying:

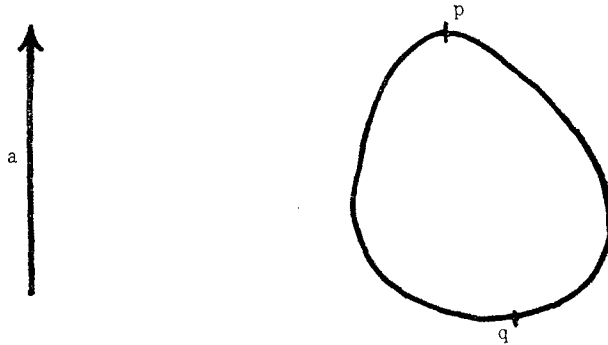
The curve is still the boundary of a compact set and there is at most one point q such that the Lipschitz-Killing curvature at q is strictly negative.

We shall prove the following theorem which is a generalization of this situation:

THEOREM. *Let $f : M^n \rightarrow \mathbf{E}^{n+p}$ be an isometric immersion of a compact Riemannian manifold M^n of odd dimension n , into the Euclidean space \mathbf{E}^{n+p} , $p \geq 1$.*

1) If there exists a fixed vector a in \mathbf{E}^{n+p} , such that the Lipschitz-Killing curvature of M^n is not null at every point where a is normal to M^n , and positive at every point, except one, where a is normal to M^n , then M^n is an homology sphere.

2) If $n \neq 3k$, $\forall k \in \mathbf{N}$, if $2p < n$, and if there exists a fixed vector a in \mathbf{E}^{n+p} , such that the Lipschitz-Killing curvature of M^n is not null at every point where a is normal to M^n , and positive at every point, except at most two, where a is normal to M^n , then M^n is the boundary of a compact manifold.



2. Notations and definitions

1) *The second fundamental form of an isometric immersion.*

Let $f : M^n \rightarrow \mathbf{E}^{n+p}$ be an isometric immersion of a Riemannian manifold M^n into the Euclidean space. We denote by $\langle \cdot \rangle$ the scalar product on \mathbf{E}^{n+p} and M^n , ∇ the Levi-Civita connexion on M^n and $\tilde{\nabla}$ the trivial connexion on \mathbf{E}^{n+p} . TM^n and $T^\perp M^n$ are the tangent bundle and the normal bundle over M^n . It is well known that the second fundamental form of the immersion is the symmetric tensor $\sigma : TM^n \times TM^n \rightarrow T^\perp M^n$ defined by the equation

$$\tilde{\nabla}_X Y = \nabla_X Y + \sigma(X, Y), \quad \forall X, Y \in TM^n.$$

We shall need the following:

DEFINITION. Let $m \in M^n$, and $\xi \in T_m^\perp M^n$. The Lipschitz-Killing curvature of M^n . at m , in the direction ξ , is the determinant of the symmetric bilinear form $\langle \sigma(\cdot, \cdot), \xi \rangle$.

2) *The height function on a submanifold.*

We suppose now that M^n is compact. Let \vec{x} be the position vector of M^n

in \mathbf{E}^{n+p} . If a is a fixed vector of \mathbf{E}^{n+p} , we can consider the height function $h_a = \langle x, a \rangle$. It is well known that:

- (i) A critical point m of h_a is a point m such that $\langle X_m, a \rangle = 0, \forall X_m \in T_m M^n$.
- (ii) At a critical point, the hessian of h_a is given by

$$d^2 h_a(X, Y) = \langle \sigma(X, Y), a \rangle.$$
- (iii) For almost every a , h_a has non-degenerate critical points. Then h_a is a Morse function, in the case where M^n is compact.

Using the Morse inequalities (cf. [1]), we have, in this case: $\beta_k \leq \tau_k$, where β_k is the k -th Betti number of M^n (i.e., $\beta_k = \dim H_k(M^n, F)$, where $H_k(M^n, F)$ is the k -th homology group of M^n over any field F) and τ_k is the number of critical points of index k .

3) *The Stiefel Whitney numbers of a manifold* (cf. [2]).

Let $H^k(M^n, \mathbf{Z}/2\mathbf{Z})$ will denote the k -th cohomology group of M^n , with coefficient in $\mathbf{Z}/2\mathbf{Z}$.

Let ω_k will denote the k -th Stiefel-Whitney class of M^n . And $\omega = 1 + \omega_1 + \dots + \omega_n$ is the total Stiefel-Whitney class of M^n . We denote by $\bar{\omega} = 1 + \bar{\omega}_1 + \dots + \bar{\omega}_n$ the inverse of ω .

A Stiefel-Whitney number N is defined by the following:

$$N = \omega_1^{r_1} \omega_2^{r_2} \dots \omega_n^{r_n}, \text{ with } 1r_1 + \dots + nr_n = n,$$

We recall now the well known theorem of Thom (cf. [2]).

THEOREM (Thom.) *Let M^n be a compact manifold. If all the Stiefel-Whitney numbers of M^n are null, then M^n is the boundary of a compact manifold.*

We shall use this theorem in the proof of our result.

3. Proof of the theorem

Since M^n is compact, there exists at least one point q on M^n such that the height function h_a has a maximum value at q . At q , we have:

$$\begin{cases} dh_a|_q = 0 \\ d^2 h_a|_q(X, X) \leq 0, \forall X \in T_q M^n. \end{cases}$$

On the other hand, the Lipschitz-Killing curvature at q is not null, by assumption. Then.

$$\det \langle \sigma(\cdot, \cdot), a \rangle_q = \det d^2 h_a|_q < 0.$$

We shall now examine the two different cases:

- 1) Suppose that every point $m \neq q$ where a is normal to $T_m M$ satisfies

$\det\langle\sigma(\cdot, \cdot), a\rangle_m > 0$. Then, we have $\det d^2h_{a_m} > 0$ and h_a is a Morse function.

We shall conclude that M^n is a homology sphere: The index of $d^2h_{a_q}$ is n .

Since $\det d^2h_{a_m} > 0$, the index of $d^2h_{a_m}$ is even. Then, with the notation of (2.2), $\tau_k = 0$ and consequently, $\beta_k = 0$ as soon as k is odd, $k \neq n$.

If we replace a by $-a$, we can conclude, in the same way, that $\beta_k = 0$ if k is even, $k \neq 0$.

Consequently, all the Betti numbers of M^n are null, except β_0 and β_n . Thus M^n is a homology sphere.

2) Suppose that there exists two points q and q' such that

$$\det\langle\sigma(\cdot, \cdot), a\rangle_q = \det d^2h_{a_q} < 0, \text{ and } \det\langle\sigma(\cdot, \cdot), a\rangle_{q'} = \det d^2h_{a_{q'}} < 0.$$

If m is a critical point of h_a , such that $m \neq q$, $m \neq q'$, we have, by assumption: $\det d^2h_{a_m} = \det\langle\sigma(\cdot, \cdot), a\rangle_m > 0$.

Then h_a is a Morse function. Let s be the index of $d^2h_{a_{q'}}$. s is odd.

We need now the following lemmas.

LEMMA 1. *Under the assumptions of 2), $\beta_k = 0$ if $k \neq 0, s, n-s, n$.*

Proof. If k is odd, $k \neq n, s$, then $\tau_k = 0$. Consequently, $\beta_k = 0$ if $k \neq n, s$.

Replacing a by $-a$, we conclude that $\beta_k = 0$ if k is even, $k \neq 0, n-s$. Then, only $\beta_0, \beta_s, \beta_{n-s}, \beta_n$ are eventually not null.

LEMMA 2. *Under the assumptions of 2), the Stiefel-Whitney numbers of M^n are null.*

Proof. We have $H_k(M^n, \mathbf{Z}/2\mathbf{Z}) = 0$ if $k \neq 0, s, n-s, n$. Then,

$H^{n-k}(M^n, \mathbf{Z}/2\mathbf{Z}) = 0$ if $k \neq 0, s, n-s, n$. That is,

$H^k(M^n, \mathbf{Z}/2\mathbf{Z}) = 0$ if $k \neq 0, s, n-s, n$.

Consequently, the k -th-Stiefel-Whitney classes of M^n is null if $k \neq 0, s, n-s, n$.

On the other hand, if $\bar{\omega}_k$ denotes the k -th-inversed Stiefel-Whitney class of M^n , we have $\bar{\omega}_k = 0$ as soon as $k > p$ (cf. [2]).

Suppose that $s < n-s$. We have

$$\begin{aligned} \bar{\omega}_n &= \omega_{n-1}\bar{\omega}_1 + \omega_{n-2}\bar{\omega}_2 + \cdots + \bar{\omega}_{n-s}\bar{\omega}_s + \cdots + \omega_s\bar{\omega}_{n-s} + \cdots + \omega_n \\ &= \omega_{n-s}\bar{\omega}_s + \omega_s\bar{\omega}_{n-s} + \omega_n \end{aligned}$$

Since $n > 2p$, $\bar{\omega}_n = 0$, and $n-s > p$. Then, $\bar{\omega}_{n-s} = 0$, and

$$(1) \quad \omega_{n-s}\bar{\omega}_s + \omega_n = 0.$$

We shall prove now that $\omega_{n-s} = 0$, and $\omega_n = 0$. We have:

$$\bar{\omega}_{n-s} = 0 = \omega_{n-s-1}\bar{\omega}_1 + \omega_{n-s-2}\bar{\omega}_2 + \cdots + \omega_s\bar{\omega}_{n-2s} + \cdots + \omega_{n-s}$$

with $\omega_{n-s-1} = \cdots = \omega_{s+1} = 0$, and $\bar{\omega}_{n-2s} = 0$ (for $n-2s \neq s$). Consequently $\omega_{n-s} = 0$.

Using (1), we conclude that $\omega_n = 0$. Thus, only ω_s is eventually not null.

Consider now a Stiefel-Whitney number N ,

$$N = \omega_1^{r_1} \omega_2^{r_2} \cdots \omega_n^{r_n}, \text{ with } 1r_1 + \cdots + nr_n = n.$$

The only non null Stiefel-Whitney number is eventually ω_s^l . In this case, n is a multiple of s , say $n = ls$, where l is odd, $l \neq 1$, $l \neq 3$. Since

$\omega_s^2 \in H^{2s}(M^n, \mathbf{Z}/2\mathbf{Z}) = 0$, $\omega_s^l = 0$ and $N = 0$. Thus, all the Stiefel-Whitney numbers of M^n are null.

The case where $n - s < s$ can be treated with the same method. We can now end the proof of the theorem applying the theorem of Thom (*cf.* par 2, 3).

References

1. J. Milnor, *Morse theory* (1963), Annals of Mathematics Studies, Princeton University Press.
2. J. Milnor and J.D. Stasheff, *Characteristic classes* (1974), Annals of Mathematics Studies, Princeton University Press.

Faculté des Sciences de Limoges