

## A note on Continuous Seminorms on Locally Convex Spaces.

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### 1. Introduction

In this note, we shall study some properties of a basis of continuous seminorms on the locally convex space  $E$ . Finally, we shall prove the following facts: If  $\mathcal{P}$  is a basis of continuous seminorms on  $E$ , then the family of seminorms on  $E/M$   $\dot{\mathcal{P}}$  induced by  $\mathcal{P}$  is a basis of continuous seminorms of  $E/M$ .

### 2. Preliminaries

In this section, we collect some definitions and known results which we will need in this note.

**Definition 2.1** A subset  $K$  of a vector space  $E$  is *convex* if, whenever  $K$  contains two points  $x$  and  $y$ ,  $K$  also contains the segment of straight line joining them: If  $x, y \in K$  and if  $\alpha, \beta$  are two numbers  $\geq 0$  such that  $\alpha + \beta = 1$ , then  $\alpha x + \beta y \in K$ .

**Definition 2.2** A subset  $K$  of a vector space  $E$  is said to be *absorbing* if to every  $x \in E$ , there is a number  $C_x > 0$  such that for all  $\lambda \in \mathbb{C}$   $|\lambda| \leq C_x$ , we have  $\lambda x \in K$ .

**Definition 2.3** A subset  $K$  of a vector space  $E$  is said to be *balanced* if for every  $x \in K$  and every  $\lambda \in \mathbb{C}$ ,  $|\lambda| \leq 1$ , we have  $\lambda x \in K$ .

**Definition 2.4** A subset  $T$  of a topological vector space (TVS)  $E$  is called a *barrel* if  $T$  has the following four properties:

- (1)  $T$  is absorbing;
- (2)  $T$  is balanced;
- (3)  $T$  is closed;
- (4)  $T$  is convex.

**Definition 2.5** A TVS  $E$  is said to be a *locally convex space* if there is a basis of neighborhoods in  $E$  consisting of convex sets.

**Lemma 2.1** In a locally convex space  $E$ , there is a basis neighborhoods of  $0$  consisting of barrels.

**Definition 2.6** A nonnegative function  $p: x \mapsto p(x)$  on a vector space  $E$  is called a *seminorm* if  $p$  satisfies the following conditions;

- (1)  $p$  is subadditive, i. e., for all  $x, y \in E$ ,  $p(x+y) \leq p(x) + p(y)$

(2)  $p$  is positively homogeneous of degree 1, i.e., for all  $x \in E$  and all  $\lambda \in \mathbb{C}$ ,  $p(\lambda x) = |\lambda|p(x)$ .

**Definition 2.7** Let  $E$  be a vector space and  $p$  a seminorm on  $E$ . Then the sets

$$U_p = \{x \in E \mid p(x) \leq 1\}, \quad \overset{\circ}{U}_p = \{x \in E \mid p(x) < 1\}$$

will be called, respectively, the *closed and the open unit semiball* of  $p$ .

**Lemma 2.2** Let  $E$  be a TVS and  $p$  a seminorm on  $E$ . Then the following statements are equivalent;

- (1) The open unit semiball of  $p$  is an open set;
- (2)  $p$  is continuous at the origin;
- (3)  $p$  is continuous at every point.

**Lemma 2.3** If  $p$  is a continuous seminorm on TVS  $E$ , then its closed unit semiball is a barrel.

**Lemma 2.4** Let  $E$  be a TVS and  $T$  a barrel in  $E$ . Then there exists a unique seminorm  $p$  on  $E$  such that  $T$  is the closed unit semiball of  $p$ . The seminorm  $p$  is continuous if and only if  $T$  is a neighborhood of 0.

**Corollary.** Let  $E$  be a locally convex space. The closed unit semiballs of the continuous seminorms on  $E$  form a basis of neighborhoods of 0.

**Definition 2.8** A family of continuous seminorms  $\mathcal{P}$  on a locally convex space  $E$  is said to be a *basis of continuous seminorms on  $E$*  if to any continuous seminorm  $p$  on  $E$  there is a seminorm  $q$  belonging to  $\mathcal{P}$  and constant  $c > 0$  such that, for all  $x \in E$ ,

$$(A) \quad p(x) \leq cq(x)$$

(Remark) Let us denote by  $U_p$  (resp.  $U_q$ ) the closed unit semiball of  $p$  (resp.  $q$ ). Then (A) means  $c^{-1}U_q \subset U_p$ .

### 3. Some properties

**Proposition 3.1** Let  $\mathcal{P}$  be a basis of continuous seminorms on the locally convex space  $E$ . Then the sets  $\lambda U_p$ , where  $U_p$  is the closed unit semiball of  $p$  and  $p$  varies over  $\mathcal{P}$  and  $\lambda$  on the set of numbers  $> 0$ , form a basis of neighborhoods of 0. Conversely, given any family of neighborhoods of 0,  $\mathcal{B}$ , consisting of barrels and such that the set  $\lambda U$ , when  $U \in \mathcal{B}$  and  $\lambda > 0$ , form a basis of neighborhoods of 0 in  $E$ , then the seminorms whose closed unit semiballs are the barrels belonging to  $\mathcal{B}$  form a basis of continuous seminorms in  $E$ .

**Proof** ( $\Rightarrow$ ) By Lemma 2.1., let  $v$  be a barrel which is a neighborhood of 0. Then, by Lemma 2.4., there exists a unique continuous seminorm  $p$  on  $E$  such that  $v = U_p$ . From Definition 2.9., there is  $q \in \mathcal{P}$  and a constant  $c > 0$  such that for all  $x \in E$ ,  $p(x) \leq cq(x)$

From (Remark),

$$\frac{1}{c}U_q \subset U_p = v, \text{ i.e., } \lambda U_q \subset v, \text{ where } \lambda = \frac{1}{c}$$

Thus  $\{\lambda U_q \mid q \in \mathcal{P}, \lambda > 0\}$  is a basis of neighborhoods of 0.

( $\Leftarrow$ ) Let  $\mathcal{P} = \{q \mid q \text{ is a continuous seminorm on } E \text{ whose closed unit semiball } U_q \in \mathcal{B}\}$  and let  $p$  be any continuous seminorm on  $E$ . Then  $U_p$  is a neighborhood of 0. By

assumption, there is  $U \in \mathcal{B}$  and  $\lambda > 0$  such that  $\lambda U \subset U_p$ , or  $U \subset \frac{1}{\lambda} U_p$ , and we have  $q \in \mathcal{P}$  such that  $U_q = U$ . Thus  $\lambda U_q \subset U_p$ , i. e.,  $p(x) \leq \frac{1}{\lambda} q(x)$ , for all  $x \in E$ .

Hence  $\mathcal{P}$  is a basis of continuous seminorms on  $E$ .

**Proposition 3.2.** *Let  $E, F$  be two locally convex spaces. A linear map  $f: E \rightarrow F$  is continuous if and only if to every continuous seminorm  $q$  on  $F$ , there is a continuous seminorm  $p$  on  $E$  such that, for all  $X \in E$ ,  $q(f(x)) \leq p(x)$ .*

**Proof** ( $\Rightarrow$ ) Suppose that  $f$  is continuous linear map. Then, since  $q$  is a continuous seminorm on  $F$ ,  $q \circ f$  is a continuous seminorm on  $E$ . Let  $p = q \circ f$ . Then, for every  $x \in E$ ,  $q(f(x)) = p(x)$  or  $q(f(x)) \leq p(x)$ .

( $\Leftarrow$ ) Suppose that for any continuous seminorm  $q$  on  $F$ , there is a continuous seminorm  $p$  on  $E$  such that, for each  $X \in E$ ,  $q(f(x)) \leq p(x)$ . Now let  $U$  be a basic neighborhood barrel. Then there is a continuous seminorm  $q$  such that  $U = U_q$ .

On the other hand, since there is a continuous seminorm  $p$  on  $E$  such that, for all  $x \in E$ ,  $q(f(x)) \leq p(x)$  and  $q \circ f$  is a continuous seminorm on  $E$ ,

$$U_p \subset U_{q \circ f} = f^{-1}(q^{-1}([0, 1])) = f^{-1}(U_q) \text{ i. e., } U_p \subset f^{-1}(U_q).$$

Thus  $f^{-1}(U_q)$  is a neighborhood of 0 in  $E$ .

**Proposition 3.3** *Let  $E$  be a locally convex space. Let  $\mathcal{P}$  be a basis of continuous seminorms on  $E$ . A filter  $\mathcal{F}$  on  $E$  converges to a point  $x$  if and only if to every  $\varepsilon > 0$  and to every seminorm  $p \in \mathcal{P}$  there is a subset  $M$  of  $E$  belonging to  $\mathcal{F}$  such that, for all  $y \in M$ ,  $p(x-y) < \varepsilon$*

**Proof** ( $\Rightarrow$ ) Let  $p \in \mathcal{P}$ . Then for every  $\varepsilon > 0$ ,  $\frac{1}{\varepsilon} p$  is a continuous seminorm on  $E$ . Let  $V = x + \mathring{U}_{\frac{1}{\varepsilon} p}$ . Since  $F \rightarrow x$ ,  $\exists M \in \mathcal{F}$  such that  $M \subset V = x + \mathring{U}_{\frac{1}{\varepsilon} p}$ . Hence for every  $y \in M$ , we have  $y \in x + \mathring{U}_{\frac{1}{\varepsilon} p}$ . Then  $X - Y \in \mathring{U}_{\frac{1}{\varepsilon} p}$ , i. e.,  $\frac{1}{\varepsilon} p(x-y) < 1$ . Thus  $p(x-y) < \varepsilon$ .

( $\Leftarrow$ ) Let  $x + U$  be a neighborhood of  $x$ . (where  $U$  is a barrel.) Then there is a continuous seminorm  $p$  on  $E$  such that  $U_p = U$ .

Since  $\mathcal{P}$  is a basis of continuous seminorms on  $E$ , there is  $q \in \mathcal{P}$  and a constant  $c > 0$  such that  $\frac{1}{c} p(x) \leq q(x)$ , i. e.,  $\frac{1}{c} U_q \subset U_p = U$ . Let  $\varepsilon = \frac{1}{c}$ .

Then there is  $M \in \mathcal{F}$  such that, for all  $y \in M$ ,  $q(y-x) < \varepsilon$ .

Thus  $y - x \in \frac{1}{c} U_q \subset U$ , i. e.,  $y \in x + U$  or  $M \subset x + U$ .

Hence  $x + U \in \mathcal{F}$  or  $\mathcal{F} \rightarrow x$ .

**Proposition 3.4** *Let  $E$  be a locally convex space, and  $M$  a linear subspace of  $E$ . Let  $\phi$  be the canonical mapping of  $E$  onto  $E/M$ . If  $\mathcal{P}$  is a basis of continuous seminorms on  $E$ , let us denote by  $\dot{\mathcal{P}}$  the family of seminorms on  $E/M$  consisting of the seminorms*

$$E/M \ni \dot{x} \rightarrow \dot{p}(x) = \inf p(x). \quad \phi(x) = \dot{x}.$$

*Then  $\dot{\mathcal{P}}$  is a basis of continuous seminorms of  $E/M$ .*

**Proof** (i) For all  $\dot{x}, \dot{y} \in E/M$ ,

$$\dot{p}(\dot{x} + \dot{y}) = \dot{p}(x + y + M)$$

$$\begin{aligned}
&= \inf p(x+m+y+m') \quad (m, m' \in M) \\
&\leq \inf_{m, m' \in M} (p(x+m) + p(y+m')) \\
&\leq \dot{p}(x) + \dot{p}(y).
\end{aligned}$$

Thus  $\dot{p}$  is subadditive.

Now, for all  $\dot{x} \in E/M$  and all  $\lambda \in C$ ,

$$\begin{aligned}
\dot{p}(\lambda \dot{x}) &= \dot{p}(\lambda(x+M)) \\
&= \inf_{m \in M} p(\lambda(x+m)) \\
&= \inf_{m \in M} |\lambda| p(x+m) = |\lambda| \inf_{m \in M} p(x+m) = |\lambda| \dot{p}(\dot{x}).
\end{aligned}$$

Thus  $\dot{p}$  is positively homogeneous of degree 1.

Hence  $\dot{p}$  is a seminorm on  $E/M$ .

(ii) Let  $r$  be a continuous seminorm on  $E/M$ . To show that  $\dot{\mathcal{P}}$  is a basis of continuous seminorms on  $E/M$ , we need to show that there exist  $\dot{q} \in \dot{\mathcal{P}}$  and a constant  $c > 0$  such that for every  $x+M \in E/M$ ,

$$r(x+M) \leq c\dot{q}(x+M)$$

Now let  $x+M$  be a fixed element in  $E/M$ . We define a function  $p : E \rightarrow M$  by

$$p(x) = r(x+M).$$

Then we have, for  $y, z \in E$ ,

$$\begin{aligned}
\dot{p}(y+z) &= r(y+z+M) \\
&\leq r(y+M) + r(z+M) \\
&= p(y) + p(z) \\
\dot{p}(\alpha y) &= r(\alpha y+M) \\
&= |\alpha| r(y+M) \\
&= |\alpha| p(y)
\end{aligned}$$

Thus  $\dot{p}$  is a seminorm on  $E$ . Further more, since  $p = r \circ \phi$  is continuous on  $E$ , it follows that  $\dot{p}$  is a continuous seminorm on  $E$ . Since  $\mathcal{P}$  is a basis of continuous seminorms on  $E$ , there exist a  $q \in \mathcal{P}$  and a constant  $c > 0$  such that for each  $x \in E$ , we have

$$\dot{p}(x) \leq cq(x).$$

Now we observe that for every  $y \in x+M$ ,

$$\dot{p}(x) = \dot{p}(y) \leq cq(y).$$

Hence  $\dot{p}(x) = \inf_{y \in x+M} \dot{p}(y) \leq \inf_{y \in x+M} cq(y) = cq(x)$ .

This completes the proof.

## Reference

1. Francois Trèves: *Topological vector spaces, Distributions and kernels*, Academic press. New York 1967.
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