

A NOTE ON SMOOTH AFFINE VARIETIES

KWANG-HO, SO

ABSTRACT

本論文에서는 smooth Affine variety가 微分可能 多様體임을 보임으로써 代數 幾何學이 多様體理論과 關聯됨을 論하였다.

§ 1 에서는 affine variety의 次元과 affine variety의 接空間에 對한 定義와 그에 關聯된 性質들을 論하였고

§ 2 에서는 simple point와 局所 媒介變數, 그리고 θ_x 에서의 有理函數의 Taylor 級數 등을 利用하여 主定理(定理9)를 證明하였다.

Introduction

In this note, we shall prove that a smooth affine variety is a differentiable manifold (Theorem 9).

This shows that algebraic geometry is connected with theory of manifolds.

In § 1, we shall define the following:

- (i) dimensions of the affine variety
- (ii) tangent space of the affine variety at a point in the variety.

Also, we shall prove some properties about tangent space (Proposition 3 and 4).

In § 2, we shall define the following:

- (i) simple points
- (ii) local parameters
- (iii) Taylor series of a rational function in θ_x .

The above things are described to prove Theorem 9.

In order to make this note we refer the books [1] and [2].

§ 1. Tangent spaces

Let k be an algebraically closed field, and let us put $T=(T_1, \dots, T_n)$. For an algebraic variety X is given by a system of equations

$$F_1(T)=0, \dots, F_m(T)=0,$$

we put such that

A^n = the n -dimensional affine space over k .

$k[X]$ = the coordinate ring of X .

$\mathcal{O}_x = (F_1, \dots, F_m) \subset k[T]$.

Then, $X \subset A^n$. As is well known, for an irreducible subvariety Y of X , $k[Y]$ is a prime ideal of $k[x]$.

Similarly, for each $x \in X$ there exists a prime ideal $P = \mathcal{M}_x = \{f \in k[X] \mid f(x) = 0\}$, $k[X]_P = \theta_x$ is the local ring of the point x . For a point $x \in X$ we assume that the system of coordinates in A^n is chosen so that $x = (0, \dots, 0) = O$. A line $L \subset A^n$ passing through O is $L = \{ta \mid t \in k\}$, where $a = (a_1, \dots, a_n)$ is a fixed point other than O .

DEFINITION 1 The intersection multiplicity at a point O of a line L and an algebraic variety X is the multiplicity of the root $t=0$ in the polynomial $f(t) = g.c.d. (F_1(ta), \dots, F_m(ta))$, where $g.c.d.$ = greatest common divisor.

If the polynomials $F_i(ta)$ vanish identically, then the intersection multiplicity is taken to be $+\infty$.

DEFINITION 2 A line L touches the variety X at O if their intersection multiplicity at this point is greater than 1. The locus of points on lines touching X at $x (\in X)$ is called the tangent space at the point x , which is denoted by Θ_x (or Θ_x, x).

PROPOSITION 3 In the same notations as above, the equations of the tangent space Θ_x are

$$L_1(a) = \dots = L_m(a) = 0,$$

where $L_i (i=1, \dots, m)$ is the linear part of F_i .

PROOF For $i=1, \dots, m$, since $F_i(0) = 0$ the free terms of all the polynomials $F_i(T)$ are zero, we put for $i=1, \dots, m$ such that $F_i = L_i + G_i$, where L_i is the linear part of F_i and G_i consists of terms of degree at least 2 in F_i . In this case it follows that $F_i(at) = tL_i(a) + G_i(at)$.

Since the intersection multiplicity of $L = \{ta \mid t \in k\}$ and X is at least 2, $F_i(at)$ must be divided by t^2 . This equivalent to the equations $L_1(a) = \dots = L_m(a) = 0$.

For $F(T) \in k[X]$, we can define a regular function $F: X \rightarrow k$ such that for each $x \in X$ $F(x)$. For each $x \in X$, let F_L be the linear part of F and we denote this by $d_x F$. Then, for $F(T)$ and $G(T)$ in $k[X]$ it follows that.

$$(i) \quad d_x(F+G) = d_x F + d_x G$$

$$(ii) \quad d_x(F \cdot G) = d_x F \cdot G(x) + F(x) \cdot d_x G$$

Let Θ_x^* be the dual space of Θ_x .

PROPOSITION 4 $M_x/(M_x)^2$ is isomorphic to Θ_x^* under the homomorphism d_x .

PROOF Recall that $M_x = \{f \in k[X] \mid f(x) = 0\}$. Thus $\text{Im}(d_x: M_x \rightarrow \Theta_x^*) = \Theta_x^*$ is clear. We assume that $d_x g = 0$, where $g \in M_x$. Since $\mathfrak{m}_x = (F_1, \dots, F_n)$ and $d_x g = 0$ on Θ_x^* by our assumption, $d_x g$ is a linear combination of $d_x F_1, \dots$, and $d_x F_n$, that is,

$$d_x g = \lambda_1 d_x F_1 + \dots + \lambda_n d_x F_n \quad (\lambda_i \in k).$$

We put $G_i = g - (\lambda_1 F_1 + \dots + \lambda_n F_n)$. Then G_i does not contain any terms of degree 0 or 1 in T_1, \dots, T_n .

In this case $G_i \mid X = g$ and $g \in (t_1, \dots, t_n)^2$ where $t_i = T_i \mid X$ for $i = 1, \dots, n$ (Note that $(t_1, \dots, t_n) = \mathfrak{m}_x$ in this case).

As we well know, the dimension of tangent space at $x \in X$ is invariant under some isomorphisms.

§ 2. Simple points

With the notations in § 1, $k(X)$ is the quotient field of the integral domain $k[X]$. Then, the dimension of X ($\dim X$) is the transcendence degree of $k(X)$ over k .

DEFINITION 5 Let X be an affine variety. A point $x \in X$ is said to be simple if $\dim \Theta_x = \dim X$, where $\dim \Theta_x$ is the dimension of the vector space Θ_x over k . A variety X is called a smooth variety if all its points are simple.

A point $x \in X$ is said to be singular if it is not simple.

DEFINITION 6 Functions $u_1, \dots, u_n \in \Theta_x$ are called local parameters at $x \in X$ if $u_i \in M_x$ and u_1, \dots, u_n form a base of the space $M_x/(M_x)^2$.

By the isomorphism $d_x: M_x \rightarrow M_x/(M_x)^2$, u_1, \dots, u_n form a system of local parameters if and only if the linear forms $d_x u_1, \dots, d_x u_n$ are linear independent on Θ_x . Since $\dim \Theta_x = n$, the conditions of local parameters is equivalent to the fact that the equations

$$d_x u_1 = \dots = d_x u_n = 0$$

have only the trivial solution in Θ_x .

PROPOSITION 7 The system of local parameters generate the maximal ideal M_x of the local ring θ_x .

PROOF We have seen that $M_x = (t_1, \dots, t_n)$ where $X \subset \mathbb{A}^n$ and $x = (0, \dots, 0) \in \mathbb{A}^n$. If we assume that u_1, \dots, u_n are local parameters at x , then we have to claim that $t_i \in (u_1, \dots, u_n)$ ($i = 1, \dots, n$). At first, by induction on $N - i$ we shall prove that $t_i \in (u_1, \dots, u_n, t_1, \dots, t_{i-1})$.

Suppose that $M_x = (u_1, \dots, u_n, t_1, \dots, t_N) = (u_1, \dots, u_n, t_1, \dots, t_i)$.

Then, since u_1, \dots, u_n are base of $M_x/(m_x)^2$

$$t_i = \sum_{j=1}^n a_j u_j (m_x^2), \quad a_j \in k.$$

But, every element of M_x^2 is of the form

$$\mu_1 u_1 + \dots + \mu_n u_n + \mu'_1 t_1 + \dots + \mu'_i t_i \quad (\mu_j, \mu'_j \in m_x),$$

and thus

$$t_i = \sum_{j=1}^n a_j u_j + \sum_{j=1}^n \mu_j u_j + \sum_{j=1}^i \mu'_j t_j.$$

Therefore we have

$$(1 - \mu'_i) t_i = \sum_{j=1}^n a_j u_j + \sum_{j=1}^{i-1} \mu'_j t_j \in (u_1, \dots, u_n, t_1, \dots, t_{i-1}).$$

Since $\mu'_i \in m_x$, $1 - \mu'_i \notin m_x$, and thus $(1 - \mu'_i)^{-1} \in \theta_x$.

Therefore, in θ_x $t_i \in (u_1, \dots, u_n, t_1, \dots, t_{i-1})$. It is easily prove that $t_N \in (u_1, \dots, u_n, t_1, \dots, t_{N-1})$.

It is clear that x is a simple point if and only if $\dim X = \dim (m_x/m_x^2)$. In general, the dimension of a variety X at x can be defined as the smallest integer γ for which there is γ functions $u_1, \dots, u_\gamma \in m_x$ such that the set determined by the equations $u_1 = \dots = u_\gamma = 0$ consists in a neighborhood of x . In this case $(u_1, \dots, u_\gamma) \supset M_x^l$ for some $l > 0$ by Hilbert's Nullstellensatz.

Let $k[[T]]$ be the ring of formal power series in variables $T = (T_1, \dots, T_n)$.

Then each $\phi \in k[[T]]$ can be represented by

$$\phi = F_0 + F_1 + F_2 + \dots,$$

where $F_i \in k[[T]]$ is a form of degree i ,

DEFINITION 8 A formal power series ϕ is called a Taylor series of the function $f \in \theta_x$ if for all $l \geq 0$

$$f - S_l \phi(u_1, \dots, u_n) \in M_x^{l+1}, \quad S_l \phi = \sum_{i=0}^l F_i.$$

Then, if x is a simple point there exists only one Taylor series of the function $f \in \theta_x$. Therefore, there is a monomorphism

$$\tau: \theta_x \rightarrow k[[T]],$$

that is the mapping τ is an isomorphic embedding of the local ring θ_x in the ring of formal power series $k[[T_i]]$.

Furthermore, by using this statements we can prove that there is only one component of X passing through a simple point x , where a component is an irreducible subvariety of X containing x .

THEOREM 9 Let k be the field of real or complex numbers and let X be a smooth affine variety. Then the formal Taylor series of functions $f \in \theta_x$ converge for small values T_1, \dots, T_n .

Therefore X is a differentiable manifold.

PROOF Let $\theta_x = (F_1, \dots, F_m)$, $X \subset A^N$ and $\dim X = n$. If $x \in X$ is a simple point of X then $\dim \theta_x = n$ and θ_x is determined by the equations

$$d_x F_1 = \sum_{i=1}^N \left(\frac{\partial F_1}{\partial T_i} \right) (x) (T_i - x_i) = 0, \dots, d_x F_m = \sum_{i=1}^N \left(\frac{\partial F_m}{\partial T_i} \right) (x) (T_i - x_i) = 0$$

Therefore the rank of matrix

$$\left(\frac{\partial F_i}{\partial T_j} (x) \right) \quad (i=1, \dots, m, j=1, \dots, N)$$

is $N-n$. Thus we may assume that

$$\left| \frac{\partial F_i}{\partial T_j} (x) \right| \neq 0 \quad (i=1, \dots, N-n, j=n+1, \dots, n+m) \dots\dots(1)$$

Let x be the origin of coordinates. Then t_1, \dots, t_n form a system of local parameters of x . Let X' be the set of components passing through x of the variety which is determined by the equations

$$F_1 = 0, \dots, F_{N-n} = 0 \quad (N-n \leq m) \dots\dots\dots(2)$$

Then, we can prove that $X' = X$. So, we may take X' as neighborhood of x by the equations of (2) with (1) holding.

By the implicit function theorem there exists a system of power series $\phi_1, \dots, \phi_{N-n}$ in the n -variables T_1, \dots, T_n and $\epsilon > 0$ such that $\phi_j(T_1, \dots, T_n)$ converges for all T_i with $|T_i| < \epsilon$ and

$$F_i(T_1, \dots, T_n, \phi_1(T), \dots, \phi_{N-n}(T)) = 0 \dots\dots\dots(3)$$

where the coefficients of $\phi_1, \dots, \phi_{N-n}$ are uniquely determined and $i=1, \dots, N-n$.

By (2) the formal power series $\tau(t_{n+1}), \dots, \tau(t_N)$ (t_1, \dots, t_n are a system of local

parameters) satisfy (3). Therefore $j=1, \dots, N-n$ $\phi_j = \tau(t_{n+j})$

and $\tau(t_{n+i})$ converges for $|T_i| < \varepsilon$ where $i=1, \dots, n$.

For each function $f \in \theta_x$

$$f = p(t_1, \dots, t_N) / Q(t_1, \dots, t_N) \quad Q(x) \neq 0$$

and $\tau(f) = p(\tau(t_1), \dots, \tau(t_N)) / Q(\tau(t_1), \dots, \tau(t_N))$.

Therefore the series $\tau(f)$ converge for $|T_j| < \varepsilon$ $j=1, \dots, n$. In general, the series $\tau(f)$ has a positive radius of convergence for any choice of local parameters. Thus, the implicit function theorem says the following.

(i) there are convergent power series $\phi_1, \dots, \phi_{N-n}$

(ii) for some η and for any point $(t_1, \dots, t_n) \in X$ with $|t_i| < \eta$, $t_{n+i} = \phi_i(t_1, \dots, t_n)$ ($i=1, \dots, N-n$) (see (3))

It follows that the mapping

$$(t_1, \dots, t_N) \longmapsto (t_1, \dots, t_n)$$

carries the set $(t_1, \dots, t_N) \in X$, $|t_i| < \eta$ ($i=1, \dots, n$), one-to-one and bicontinuously onto a domain of the n -dimensional space.

(Note: $(t_1, \dots, t_N) = (t_1, \dots, t_n, \phi_1(t_1, \dots, t_n), \dots, \phi_{N-n}(t_1, \dots, t_n))$ and

$$F(t_1, \dots, t_N) = 0).$$

Therefore, each point $x \in X$ has an open neighborhood which is homeomorphic to an open subset in k^n .

That is, X is a differentiable manifold.

REFERENCES

1. I. G. Macdonald: *Algebraic Geometry*, W. A. Benjamin, INC. (1968)
2. I. B. Shafarevich: *Basic Algebraic Geometry*, Springer-Verlag New York (1974).
3. A. Weil. *Foundations of Algebraic Geometry*, New York (1946)

Jeonbug National University.