# Preparation of Au fine particle dispersed TiO<sub>2</sub> film by sol-gel and photoreduction process

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#### **Abstract**

Au fine particle dispersed TiO<sub>2</sub> film was prepared on silica glass substrate by sol-gel dip and firing process. The films were fabricated from the system of titanium tetraisoproxide-EtOH-HCl-H<sub>2</sub>O-hydrogen tetrachloroaurate(III) tetrahydrate. The conditions for the formation of the clear solution and dissolving high concentration of Au compound were examined. And a photoreduction process was adopted to control the size of gold metal particles. Phase evolution of matrix TiO<sub>2</sub> and variation of Au particle with UV irradiation were investigated by XRDA, SEM, TEM and UV-visible spectrophotometer. And the effect of CPCl(Cetylpyridinium chloride monohydrate) as a dispersion agent was evaluated.

#### 1. Introduction

In the beginning Au metal particle embeded oxide films have been studied for the use of optical color filter<sup>1)</sup>. Recently many researchers have attempted to make the TiO<sub>2</sub> film containing nanometer size Au metal particle because of its nonlinear optical properties<sup>2)</sup>. There are some important conditions in preparing the film having high nonlinearity. First gold concentration should be as high as posible. Second the size of gold particle should be around 20 nanometer without aggregation to cause high nonlinear optical susceptability.

In this study proper solution composition including dispersion agent and reduction process were examined to fabricate TiO<sub>2</sub> thin film containing nanometer size Au metal

particles by sol-gel dip & firing process from the titanium tetraisoproxide-EtOH-HCl-H<sub>2</sub>O-hydrogen tetrachloroaurate(III) tetrahydrate system

## II. Experimental Procedures

Titanium tetraisopropoxide(Ti(i-OC<sub>3</sub>H<sub>7</sub>)<sub>4</sub>), Ethanol, H<sub>2</sub>O, HCl, Hydrogen
Tetrachloroaurate(III) Tetrahydrate(HAuCl<sub>4</sub> · 4H<sub>2</sub>O) were used as starting materials.

Experimental procedure is shown in Fig. 1. First Ti(i-OC<sub>3</sub>H<sub>7</sub>)<sub>4</sub> and ½ ethanol were
mixed and stirred. Solution dissolving HAuCl<sub>4</sub> · 4H<sub>2</sub>O, H<sub>2</sub>O and HCl in ½ ethanol was
added drop-wise to the mixture. All mixing process was conducted at a constant
temperature of 30 °C because the stability and clearness of the final mixture depended
on its temperature. Compositions of coating solutions are shown in table 1. The basic
TiO<sub>2</sub> film composition (S0) of Ti(i-OC<sub>3</sub>H<sub>7</sub>)<sub>4</sub> : H<sub>2</sub>O : HCl : C<sub>2</sub>H<sub>5</sub>OH = 1 : 1 : 0.28 :
8 was adopted from the previous paper<sup>3</sup>). The solubility of Au compound increased
with ethanol content and stable mixture was obtained when it was over 90 mols. The
maximum TiO<sub>2</sub>/Au ratio in the mixture (SS5) was 7/3. Fig. 2. shows maximum Au
solubility according to ethanol content in a stable mixture. Au content was expressed
as Au wt% in the final solid film and ethanol content as molar ratio to Ti(i-OC<sub>3</sub>H<sub>7</sub>)<sub>4</sub>.
Films were prepared on the silica glass substrate by dipping coating with withdrawl

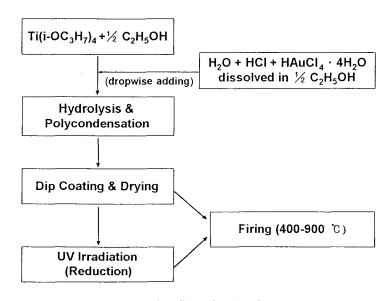


Fig.1 TiO<sub>2</sub> thin film fabrication Process

rable 1. Composition of the coating solutions (moral ratio)						
Sol.No.	Ti(i-OC <sub>3</sub> H <sub>7</sub> ) <sub>4</sub>	$H_2O$	C <sub>2</sub> H <sub>5</sub> OH	HCl	TiO <sub>2</sub> /Au	Appearance
S0	1	1	8	0.28	-	clear
S0-1	1	1	8	0.28	70:30	precipitate
S0-2	1	$\Gamma$	8	0.28	82:18	precipitate
S0-3	1	1	8	0.28	90:10	unstable
SS0	1	1	15	0.28	82:18	unstable
SS1	1	1	20	0.28	82:18	unstable
SS2	1	ī	40	0.28	82:18	unstable
SS3	1	1	50	0.28	82:18	unstable
SS4	1	1	90	0.28	82:18	clear
SS5	1	1	90	0.28	70:30	clear
SS6	· 1	1	90	0.28	-	clear

Table 1 Composition of the coating solutions (molar ratio)

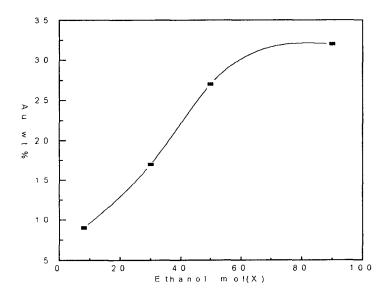


Fig. 2 Maximum solubility of Au compound vs. ethanol content in the stable solutions

speed of 10 cm/min, drying at 100°C for 10 min and firing dried film at 400 ~ 900°C after 5 times dipping and drying. Some dried film was UV-irradiated by 300W high pressure mercury lamp for 10 min ~ 2hrs. CPCl(cetylpyridinium chloride monohydrate) or CTAC(cetyltrimethyl ammonium chloride) or PVP(polyvinylpyrrolidone) was added to SS5 composition as dispersion agents.

### III. Results and discussion

TiO₂ film containing a certain kind of particles by drying at 100°C could be made from SS5 composition as shown in Fig. 3(a). These particles were too larger and later analysis revealed that particles were not metal but a compound composed of Au and

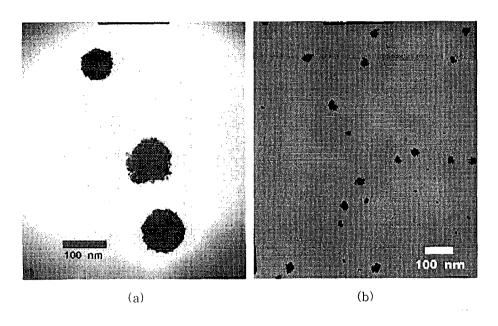


Fig. 3 TEM photograph of AuCl<sup>2</sup>-(a) No CPCl (b) CPCl 0.105 mmol/dm<sup>3</sup>

Cl, AuCl<sub>4</sub>-. Some dispersion agents were added to SS5 to reduce the particle size and CPCl was most effective among those. Fig. 3(b) shows the particle size when 0.105 mmol/dm<sup>3</sup> CPCl was added. Firing process at about 500°C was needed to reduce gold compound into metallic gold. When the film containing AuCl<sub>4</sub>- was heated, reduction and particle growth of metallic gold occurred at a time. Resultantly firing process changed fine AuCl<sub>4</sub>- particles into coarse metallic gold particles. It has been reported that Cl of AuCl<sub>4</sub> could be removed by UV irradiation at low temperature. When AuCl<sub>4</sub> is exposed to UV light photo-decomposition of AuCl<sub>4</sub> is initiated by the excitation of LMCT(ligand to metal charge transfer)band. AuCl<sub>4</sub> is reduced to Au° by the following reactions<sup>4)</sup>.

$$AuCl_4^- \xrightarrow{hV} AuCl_3^- + Cl^-$$
 (1)

$$2AuCl_3^{-} \rightarrow AuCl_4^{-} + AuCl_2^{-}$$
 .... (2)

$$AuCl_2^{-hv}$$
  $Au^{\circ} + Cl + Cl^{-}$  .....(3

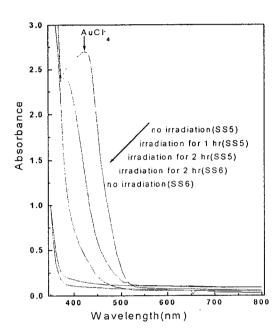
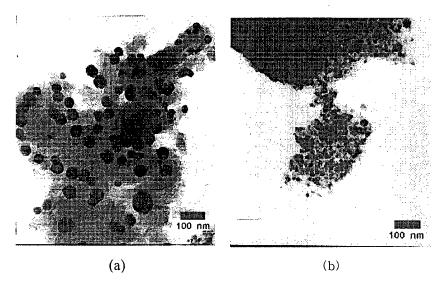


Fig.4 Change of UV-Visible Spectra with Irradiation time

Fig. 4 shows the change of UV-visible spectra for coating solution SS5, SS6 with UV irradiation time. The absorption peak at  $\lambda = 400 \sim 470$ nm of SS5 was assigned to AuCl<sub>4</sub>-<sup>4</sup>). As UV irradiation time was longer, the absorption peak of AuCl<sub>4</sub> weakened. After 2 hour irradiation, this band disappeared. Before UV irradiation, the solutions SS5, SS6 were yellowish. Color of solutions became lighter by UV irradiation and finally colorless with 2 hour irradiation. We expected from this spectra change that reduction process finished with 2 hour irradiation. Raman spectra for the solutions to examine further accurate reduction process is shown in Fig. 5 Generally AuCl<sub>4</sub>- peak appears at  $\lambda = 347$ , 324, 171 cm<sup>-1-5</sup>), and AuCl<sub>2</sub> peak appears at  $\lambda = 350$ , 329, 116 cm<sup>-1-6</sup>. The peaks at about  $\lambda = 170$ cm<sup>-1</sup> and 350 cm<sup>-1</sup> appeared for SS5 without UV irradiation. One hour irradiation made the peak at  $\lambda = 170$ cm<sup>-1</sup> disappeared. And the



TEM photographs of nanometer Au metal particles (a) 500°C.30min. (b) 300W/2hr --> 500°C/30min.

second peak at about 350 cm<sup>-1</sup> disappeared with 2 hour irradiation. This result was consistent with the result of UV-visible spectra.

Fig. 6 shows phase evolution of TiO<sub>2</sub> thin film fired at various temperature on silica substrate. First anatase phase appeared at 400°C and rutile phase started to appear at 900°C. □

Fig. 7(a) shows TEM photograph of Au particle embeded in TiO2 film fired at 50 0°C for 30 min without UV irradiation. These particles was proved metallic gold by EDAX and electron diffraction pattern. Fig. 7(b) shows Au metallic particle formed with firing at 500°C for 30 min after 2 hour UV irradiation. Gold particle size was about 10~20 nm in the latter case. This means that UV irradiation before firing is effective to control the size. Consequently, chemicals for good dispersion and UV irradiation for reduction of AuCl'4- to Au metal before firing were essential to make nanometer size metallic gold particles in TiO<sub>2</sub> thin film using sol-gel solution.

Fig. 8 shows TiO<sub>2</sub> thin film containing metallic Au particles fabricated on silica glass substract which was coated with composition SS5, UV-irradiated for 2 hours and fired at 500°C for 30 minutes. TiO₂ particles of about 20~30 nm uniformly arranged. Although Au metal particle was not distinguishable from TiO2 particles because of similarity in size and shape, existence of Au particle was confirmed by XRD patterns shown in Fig. 9.

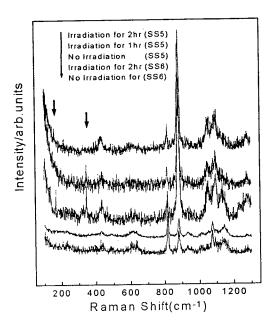


Fig. 5 Raman Spectra with Irradiation time

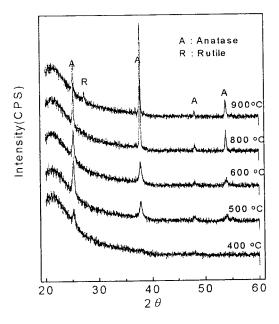


Fig.6 Phase evolution of  $TiO_2$ film on silica substrate with firing temperature

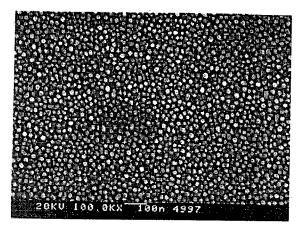


Fig. 8 SEM Photograph of TiO<sub>2</sub> Film dispersed Au metal on silica glass substract(SS5, 2h-->500°C/30min.)

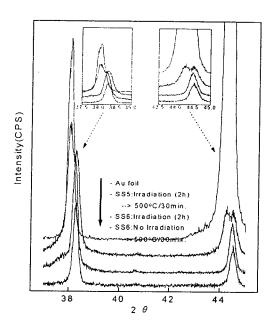


Fig. 9 X-Ray Diffraction Patterns as UV Irradiation time and Heat treatment

## IV. Conclusions

 $TiO_2$  thin film containing  $10\sim20$ nm size Au metal on silica glass substrate was successfully fabricated from titanium tetraisoproxide-EtOH-HCl-H<sub>2</sub>O-hydrogen tetrachloroaurate(III) tetrahydrate system. SS5 composition having 30 wt% Au on the basis of final solid film remained no precipitation and clear for 3 weeks. Addition of

0.105 mmol/dm<sup>3</sup> CPCl(Cetylpyridinium chloride monohydrate) as a dispersion agent was effective to control the size of Au compound before firing. And UV irradiation was needed to reduce AuCl<sup>2</sup><sub>4</sub>- to Au metal, by which Au metal growth was suppressed in the subsequent firing process.

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