

STABLE RANKS AND REAL RANKS OF C^* -ALGEBRAS

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For a unital C^* -algebra A , we denote the real rank of A to be the smallest integer, $RR(A)$, such that for each n -tuple (x_1, x_2, \dots, x_n) of self-adjoint elements in A with $n \leq RR(A) + 1$, and every $\epsilon > 0$, there is an n -tuple (y_1, y_2, \dots, y_n) of elements in A_{sa} such that $\sum y_k^2$ is invertible and $\|\sum (x_k - y_k)^2\| \leq \epsilon$. Identifying each n -tuple (x_1, x_2, \dots, x_n) with the matrix x in $M_n(A)$ that has x_1, x_2, \dots, x_n as its first column and zero's elsewhere, and similarly for y , the estimate simply means that $\|x - y\| \leq \epsilon$ in $M_n(A)$. Moreover, the invertibility of $\sum y_k^2$ is equivalently expressed by the equation $1 = \sum z_k y_k$ for a suitable n -tuple (z_1, z_2, \dots, z_n) . For any C^* -algebra A with identity we denote by $Lg_n(A)$ the set of all n -tuples of A which generates A as a left ideal. By the stable rank, denoted $sr(A)$, we mean the least integer n such that $Lg_n(A)$ is dense in A^n for the product topology. If no such integer exists, we set $sr(A) = \infty$. If A has no identity element, then $sr(A)$ or $RR(A)$ are defined to be those of C^* -algebra \tilde{A} obtained from A by adjoining an identity element. Note that the topological stable rank in [9] is the same as the stable rank for C^* -algebras ([6]).

Note that for a C^* -algebra A , $sr(A) = 1$ is equivalent to the fact that the set of invertible elements of A is dense in A and $RR(A) = 0$ is equivalent to the fact that the set of self-adjoint invertible elements of A is dense in A_{sa} (see [10],[11]).

In this note, we examine some properties about the stable ranks and real ranks of C^* -algebras, especially the cases $sr(A) = 1$ and $RR(A) = 0$, which seem to be the most tractable cases.

LEMMA 1([3]). Suppose that A is a unital C^* -algebra, p is a projection in A and $x \in A$ such that the element $b = (1 - p)x(1 - p)$ is

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invertible in $(1-p)A(1-p)$. Then x is invertible if and only if $a - cb^{-1}d$ is invertible in pAp , where $a = pxp$, $c = px(1-p)$ and $d = (1-p)xp$.

The following proposition was proved for real rank in [3].

PROPOSITION 2. *If A is a unital C^* -algebra with $sr(A) = 1$, then $sr(pAp) = 1$ for every projection p in A . And if $sr(pAp) = sr((1-p)A(1-p)) = 1$ for some projection p in A , then $sr(A) = 1$.*

Proof. Let $x \in pAp$ and $\epsilon > 0$ be given. Since $sr(A) = 1$, there exists an invertible element $y \in A$ such that $\|x + 1 - p - y\| \leq \epsilon$. Letting $b = (1-p)y(1-p)$, we have $\|1 - p - b\| = \|(1-p)(x + 1 - p - y)(1-p)\| \leq \epsilon$. Assuming $\epsilon < 1$, it follows that b is invertible in $(1-p)A(1-p)$. By lemma 1, it follows that $z = pyp - py(1-p)b^{-1}(1-p)yp$ is invertible in pAp . Since $\|b^{-1}\| = \|\sum_{n=0}^{\infty} [(1-p) - b]^n\| \leq \frac{1}{1-\epsilon}$, we have

$$\|py(1-p)b^{-1}(1-p)yp\| \leq \|py(1-p)\| \|b^{-1}\| \|(1-p)yp\| \leq \frac{\epsilon^2}{1-\epsilon}.$$

Thus $\|x - y\| = \|x - pyp + py(1-p)b^{-1}(1-p)yp\| \leq \epsilon + \frac{\epsilon^2}{1-\epsilon}$. This

shows that the stable rank of pAp is equal to 1. Next, take $x \in A$ and

write it as the obvious matrix notation $x = \begin{pmatrix} a & d \\ c & b \end{pmatrix}$. Given $\epsilon > 0$, we

can take invertible $b_0 \in (1-p)A(1-p)$ such that $\|b - b_0\| \leq \epsilon$. Con-

sidering $a - bd_0^{-1}c \in pAp$, there exists an element $z \in pAp$ such that $\|z - (a - db_0^{-1}c)\| \leq \epsilon$. Let $y = z + db_0^{-1}c$. Then $z = y - db_0^{-1}c$ is

invertible. Hence by lemma 1, $x_0 = \begin{pmatrix} y & d \\ c & b_0 \end{pmatrix}$ is invertible. Note that

$$\|y - a\| = \|y - db_0^{-1}c - (a - db_0^{-1}c)\| = \|z - (a - db_0^{-1}c)\| \leq \epsilon. \text{ Therefore}$$

$$\|x - x_0\| = \left\| \begin{pmatrix} y - a & 0 \\ 0 & b - b_0 \end{pmatrix} \right\| \leq \epsilon. \text{ This completes the proof.}$$

COROLLARY 3. *If A and B are unital C^* -algebras with $sr(A \otimes B) = 1$ and B has a minimal projection, then $sr(A) = 1$.*

PROPOSITION 4. *If a C^* -algebra A is the inductive limit of a net $(A_\lambda)_{\lambda \in \Lambda}$ of C^* -algebras with stable ranks 1, then $sr(A) = 1$.*

Proof. Let $x \in A$ and $\epsilon > 0$ be given. There exists an element $x_\lambda \in A_\lambda$ such that $\|x - x_\lambda\| \leq \frac{\epsilon}{2}$ for some $\lambda \in \Lambda$. Since $sr(A_\lambda) = 1$, we can find

an invertible $y_\lambda \in A_\lambda$ such that $\|x_\lambda - y_\lambda\| \leq \frac{\epsilon}{2}$. Thus $\|x - y_\lambda\| \leq \epsilon$, completing the proof.

COROLLARY 5. *If A is a unital C^* -algebra with $sr(A) = 1$ and B is an AF -algebra, then $sr(A \otimes B) = 1$.*

There is no stably finite simple C^* -algebra known to have stable rank greater than one. Hence there arises a natural question: Does every stably finite simple C^* -algebra have the stable rank one? About this question, there is a partial result in [10]; If A is a unital simple C^* -algebra, and B is a UHF -algebra, then A is stably finite if and only if $sr(A \otimes B) = 1$.

PROPOSITION 6. *Let A be a unital simple C^* -algebra. Then A is stably finite if and only if pAp and $(1 - p)A(1 - p)$ are stably finite for some projection $p \in A$.*

Proof. Let B be a UHF -algebra. Since A is stably finite if and only if $sr(A \otimes B) = 1$, $sr[(p \otimes 1)(A \otimes B)(p \otimes 1)] = sr[(1 - p) \otimes 1](A \otimes B)[(1 - p) \otimes 1] = 1$ if and only if pAp and $(1 - p)A(1 - p)$ are stably finite.

COROLLARY 7. *Let A be a unital simple C^* -algebra. Then A is stably finite if and only if $M_2(A)$ is stably finite.*

A hereditary C^* -subalgebra H of a C^* -algebra A is said *full* if the norm closure of AHA is equal to A . Note that any hereditary C^* -subalgebra of a simple C^* -algebra is full. For positive $x \in A$, denote by A_x the hereditary C^* -subalgebra of A generated by x . An element x of a unital C^* -algebra A is said to be *well-supported* if there is a projection $p \in A$ with $x = xp$ and x^*x is invertible in pAp . Recall that x is well-supported if and only if A_x is unital ([1]).

REMARK 8. Blackadar ([1]) has made the following conjecture: "Let A be a C^* -algebra and if B is an arbitrary full hereditary C^* -subalgebra of A , then $sr(B) \geq sr(A)$."

The following proposition shows that this is not the case.

PROPOSITION 9. *In the Cuntz algebra O_n , there is a hereditary C^* -subalgebra A_x such that $sr(A_x) < sr(O_n)$.*

Proof. Let A be O_n . If we modify the proof of theorem 6 in [7], not every positive element of A is well-supported. Let x be a nonzero

positive element which is not well-supported. Since O_n is purely infinite, A_y is either unital or stable for every nonzero positive $y \in A$, so that we have A_x is a stable C^* -subalgebra. Since $sr(A_x) = 1$ or 2 by [9] and $sr(A) = \infty$, we have the conclusion.

Recall that S. Zhang ([11]) showed that for a simple C^* -algebra A , $RR(A) = 0$ and every nonzero projection is infinite if and only if for every positive element x in A , there exists an infinite projection in A_x .

Let A be a C^* -algebra and $a, b \in A^+$. We write $a \approx b$ if there is a set of elements $\{x_i\}$ in A such that $a = \sum x_i^*x_i$ and $b = \sum x_ix_i^*$. An element a in A^+ is said to be ‘ \approx -finite’ if $b \leq a$ and $a \approx b$ implies $a = b$. In [8], they used this equivalence relation to compare the positive elements of C^* -algebras as F. J. Murray and J. von Neumann introduced the well-known notion of equivalence between projections of von Neumann algebras. The following proposition generalizes the fact that every type III factor is purely infinite.

PROPOSITION 10. *Let A be a unital monotone closed infinite simple C^* -algebra such that ‘finite’ implies ‘ \approx -finite’ when restricted to the set of projections in A . Then $RR(A) = 0$ if and only if A is purely infinite.*

Proof. Assume that there is a finite projection p in A . By Zhang ([12]), there exist mutually orthogonal projections r_1, \dots, r_n in A such that $1 - p = \sum r_i$ and $r_1 \preceq r_2 \preceq \dots \preceq r_n \preceq p$. So there exist projections q_1, \dots, q_n in A such that $r_i \sim q_i \leq p$. We claim each q_i is a finite projection. Suppose that q_i is infinite. Then there exists x such that $xx^* = q_i' < q_i = x^*x \leq p$. Then letting $\alpha = p - q_i$, $(q_i'xq_i + \alpha)^*(q_i'xq_i + \alpha) = q_i x^* q_i' x q_i + \alpha = q_i x^* x x^* x q_i + \alpha = q_i + \alpha = p$ and $(q_i'xq_i + \alpha)(q_i'xq_i + \alpha)^* = q_i' x q_i x^* q_i' + \alpha = q_i' x x^* x x^* q_i' + \alpha = q_i' + \alpha = p - (q_i - q_i') < p$. This is a contradiction to the fact that p is finite. Suppose that $r_i \sim r_0 \leq r_i$ for some r_0 . Then there exist v, w in A such that $v^*v = q_i, vv^* = r_i, w^*w = r_i$ and $ww^* = r_0$. Hence $(v^*wv)^*(v^*wv) = q_i$ and $(v^*wv)(v^*wv)^* = v^*r_0v \leq q_i$. Since q_i is finite, $v^*r_0v = q_i$. Hence $vv^*r_0vv^* = r_i v_0 r_i = r_0 = vq_i v^* = vv^* = r_i$. This shows that r_i is also finite. Since A is monotone closed, the sum of finitely many finite projections is finite by [8]. This is a contradiction and there are no finite projections in A . Hence A is purely infinite, i.e. A_x has an infinite projection for every $x \in A^+$. The converse is clear ([3]).

Given $\epsilon > 0$, let

$$f_\epsilon(t) = \begin{cases} 0 & \text{on } 0 \leq t \leq \frac{\epsilon}{2}, \\ \frac{2}{\epsilon}t - 1 & \text{on } \frac{\epsilon}{2} < t < \epsilon, \\ 1 & \text{on } t \geq \epsilon. \end{cases}$$

PROPOSITION 11. *Let A be a unital simple C^* -algebra. Then $RR(A) = 0$ if and only if $(A \otimes O_n)_{f_\delta(x)}$ has a nonzero projection for any x with $f_\delta(x) \neq 0$, ($x > 0$, $\delta > 0$), where O_n is the Cuntz algebra.*

Proof. Let $\phi_n : O_n \rightarrow O_n$ be an endomorphism such that $\phi_n(x) = \sum_1^n s_i x s_i^*$. By [5], ϕ_n is homotopic to the identity map; i.e. there is a continuous path $\eta : [0, 1] \rightarrow \text{End}(O_n)$ such that $\eta_0 = \text{id}$ and $\eta_1 = \phi_n$. Consider $1 \otimes \phi_n : A \otimes O_n \rightarrow A \otimes O_n$. Then any nonzero projection p is equivalent to $\sum(1 \otimes s_i)p(1 \otimes s_i)^*$. Note that p is equivalent to $(1 \otimes s_i)p(1 \otimes s_i)^*$. This shows that p is an infinite projection. Therefore the 'if' part is proved by [2] and the converse is clear.

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