

## ON EXTREME POINTS OF THE FAMILY OF SPIRALLIKE FUNCTIONS

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### 1. Introduction

Let  $A$  be the set of analytic functions on the open unit disk  $\Delta$ . With the usual topology of uniform convergence on compact subsets of  $\Delta$ ,  $A$  is a locally convex linear topological space.

For  $F \subset A$ , the closed convex hull of  $F$  is defined as the intersection of all closed convex sets containing  $F$ . We use the notation  $\overline{\text{co}} F$  for the closed convex hull of  $F$ . It is known [p.44, 7] that the convex hull of  $F$  consists of all elements of the form  $\sum_{k=1}^m t_k f_k$  where  $f_k \in F$ ,  $t_k \geq 0$  and  $\sum_{k=1}^m t_k = 1$ .

Suppose that  $F$  is a compact subset of  $A$ . A function  $f$  is called an extreme point of  $F$  if  $f \in F$  and if

$$f = t f_1 + (1 - t) f_2 \text{ implies } f = f_1 = f_2$$

whenever  $0 < t < 1$  and  $f_1, f_2 \in F$ . That is, an extremal subset of  $F \subset A$  which consists of just one point is called an extreme point of  $F$ . We shall use the notation  $EF$  to denote the set of extreme points of  $F$ .

An  $\alpha$ -spiral is a curve in the complex plane of the form

$$w = w_0 \exp(-e^{-i\alpha} t), \quad -\infty < t < \infty, \quad w_0 \neq 0, \quad -\pi/2 < \alpha < \pi/2.$$

A domain  $D$  containing the origin is said to be  $\alpha$ -spirallike if for each point  $w_0 \neq 0$  in  $D$  the arc of the  $\alpha$ -spiral from  $w_0$  to the origin lies entirely in  $D$ . If  $f(z)$  is analytic and univalent in  $\Delta$ , with  $f(0) = 0$ , it is said to be  $\alpha$ -spirallike if its range is  $\alpha$ -spirallike.

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Let  $Sp(\alpha)$  denote the class of  $\alpha$ -spirallike functions  $f$  with parameter  $\alpha$ ,  $-\pi/2 < \alpha < \pi/2$ . This class  $Sp(\alpha)$  is defined by the conditions

$$(1.1) \quad f(0) = 0, \quad f'(0) = 1 \text{ and } \operatorname{Re}[e^{i\alpha} z f'(z)/f(z)] > 0 \text{ for } |z| < 1.$$

We note that  $Sp(0) = S^*$ , the class of starlike functions with respect to the origin and  $Sp(\alpha) \subset S$ , the class of normalized univalent regular functions in  $\Delta$ .

In this note we observe the extremal and topological structure of the class  $Sp(\alpha)$  and we show that the class  $Sp(\alpha)$  is a compact subset of locally convex linear topological space  $A$  and give a detailed proof of the integral representation formula for the class  $Sp(\alpha)$ . Also we obtain a result showing that a function in the class  $Sp(\alpha)$  can be approximated uniformly by functions of the form of finite Blaschke products on compact subsets of closed convex hull of  $Sp(\alpha)$ . Finally we deal with an extremal problem for the class  $Sp(\alpha)$ . This was commented by MacGregor [10] without proof and we give the proof in detail.

### 2. Extremal structure of the class $Sp(\alpha)$

If  $f \in Sp(\alpha)$ , the condition

$$(2.1) \quad \operatorname{Re} \left[ e^{i\alpha} \frac{z f'(z)}{f(z)} \right] > 0, \quad |\alpha| < \pi/2,$$

has a nice geometric interpretation; With proper choice of arguments, the condition (2.1) is equivalent to

$$0 < \arg [ie^{i\alpha} \{re^{i\theta} f'(re^{i\theta})/f(re^{i\theta})\}] < \pi.$$

That is, the image under  $f$  of the circle  $|z| = r$ ,  $0 < r < 1$ , is the curve  $C_r$  given by  $w = f(re^{i\theta})$  and its radial angle is  $\arg[izf'(z)/f(z)]$ . So the condition (2.1) simply requires that this radial angle lies between  $\alpha$  and  $\alpha + \pi$ . On the other hand,  $\alpha$ -spirals are the curves with constant radial angle  $\alpha$ , if oriented with increasing modulus.

An example is the function

$$\begin{aligned} f(z) &= \frac{z}{(1-z)^{2\tau}}, \quad \tau = \cos \alpha e^{-i\alpha}, \quad |\alpha| < \pi/2 \\ &= \frac{z^{1-\tau}}{4^\tau} \left[ \left( \frac{1+z}{1-z} \right)^2 - 1 \right]^\tau \end{aligned}$$

which maps  $\Delta$  onto the complement of an arc of an  $\alpha$ -spiral. [6, p.55].

**THEOREM 2.1.** *The class of spirallike functions  $Sp(\alpha)$  is a compact subset of locally convex topological space  $A$ .*

*Proof.* Since  $Sp(\alpha)$  is a subset of the compact subset  $S$  of  $A$ , it is enough to show that  $Sp(\alpha)$  is closed. Suppose that  $f_n \in Sp(\alpha)$  and that  $f_n \rightarrow f$  uniformly on compact subsets of  $\Delta$ . Then we have  $f \in S$  and

$$(2.2) \quad \operatorname{Re}[e^{i\alpha} z f'(z)/f(z)] \geq 0, \quad z \in \Delta.$$

Now it remains only to show that the strict inequality holds in (2.2) for all  $z \in \Delta$ . If the function  $e^{i\alpha} z f'(z)/f(z)$  is not constant, then, by the open mapping theorem, it is clear. If it is constant, then, since  $f \in S$ , we must have  $f(z) = z$ , and hence, from the condition that  $|\alpha| < \pi/2$ , we have

$$\operatorname{Re}[e^{i\alpha} z f'(z)/f(z)] = \cos \alpha > 0,$$

as desired.

**LEMMA 1.** *Let  $\mathcal{P}$  be the class of functions  $p$  with positive real part and  $p(0) = 1$  in  $\Delta$ .  $p \in \mathcal{P}$  if and only if there is a probability measure  $\mu$  on  $\partial\Delta$  such that*

$$(2.3) \quad p(z) = \int_{|x|=1} \frac{1+xz}{1-xz} d\mu(x) \quad (|z| < 1).$$

*Proof.* [7, p.30].

The following result for the class  $Sp(\alpha)$  is originally shown in [10] but we give another proof in detail.

**THEOREM 2.2.**  *$f \in Sp(\alpha)$  if and only if there is a probability measure  $\mu$  on  $\partial\Delta$  such that*

$$(2.4) \quad f(z) = z \exp\left[\int_{|x|=1} -2\tau \log(1-xz) d\mu(x)\right], \quad \tau = \cos \alpha e^{-i\alpha}.$$

*The correspondence from the set of probability measures on  $\partial\Delta$  to  $Sp(\alpha)$  given by (2.4) is one-to-one.*

*Proof.* Let  $f \in Sp(\alpha)$ . The mapping from  $Sp(\alpha)$  to  $\mathcal{P}$  defined by

$$(2.5) \quad p(z) = \sec \alpha [e^{i\alpha} z f'(z)/f(z) - i \sin \alpha], \quad |a| < \pi/2,$$

is a one-to-one correspondence from  $\text{Sp}(\alpha)$  onto  $\mathcal{P}$ . Since  $f$  is analytic in  $\Delta$ ,  $f(0) = 0$ ,  $f'(0) = 1$  and  $p \in \mathcal{P}$  where  $p$  is given by (2.5) it follows that  $f(z) \neq 0$  when  $z \neq 0$ . Thus  $g(z) = \log[f(z)/z]$  is well defined and analytic in  $\Delta$  with the choice of the branch so that  $g(0) = 0$ . Since

$$\frac{d}{dz}[g(z)] = \cos \alpha e^{-i\alpha} \frac{p(z) - 1}{z},$$

we conclude that

$$g(z) = \cos \alpha e^{-i\alpha} \int_0^z \frac{p(w) - 1}{w} dw$$

and

$$f(z) = z \exp[\cos \alpha e^{-i\alpha} \int_0^z \frac{p(w) - 1}{w} dw].$$

By using Lemma 1, we have

$$\begin{aligned} f(z) &= z \exp \left[ \cos \alpha e^{-i\alpha} \int_0^z \int_{|x|=1} \left\{ \frac{(1+xw)/(1-xw) - 1}{w} \right\} d\mu(x) dw \right] \\ &= z \exp \left[ \cos \alpha e^{-i\alpha} \int_{|x|=1} \left\{ \int_0^z \frac{2x}{1-xw} dw \right\} d\mu(x) \right] \\ &= z \exp \left[ \int_{|x|=1} -2\tau \log(1-xz) d\mu(x) \right] \text{ where } \tau = \cos \alpha e^{-i\alpha}. \end{aligned}$$

The one-to-one correspondence between  $\text{Sp}(\alpha)$  to  $\{\mu\}$  on  $\partial\Delta$  given by (2.4) may be viewed as equivalent to a uniqueness statement about moments. Suppose that  $p$  and  $q$  belong to  $\mathcal{P}$  defined by (2.5) and correspond to the measures  $\mu$  and  $\nu$  given by (2.4), respectively. If we let

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n \quad \text{and} \quad q(z) = 1 + \sum_{n=1}^{\infty} q_n z^n$$

we find that  $p \in \mathcal{P}$  if and only if  $p(z) = \int_{|x|=1} \frac{1+xz}{1-zz} d\mu(x)$  implies that

$$p_n = 2 \int_{|x|=1} x^n d\mu(x) \text{ and } q_n = 2 \int_{|x|=1} x^n d\nu(x) \text{ for } n = 1, 2, \dots$$

So  $p = q$  implies that if  $\mu$  and  $\nu$  are two probability measures on  $\partial\Delta$  such that

$$\int_{|x|=1} x^n d\mu(x) = \int_{|x|=1} x^n d\nu(x) \quad \text{for } n = 1, 2, \dots,$$

then  $\mu = \nu$  and conversely. Thus, the correspondence from the set of probability measures on  $\partial\Delta$  to  $\text{Sp}(\alpha)$  given by (2.4) is one-to-one.

LEMMA 2 [7, P.47]. Let  $\Lambda$  denote the set of probability measures on  $\partial\Delta$ . The set of extreme points of  $\Lambda$  consists of the point masses.

LEMMA 3 [7, P.31].  $p \in \mathcal{P}$  if and only if there exists a sequence of functions  $\{p_n\}$  so that each  $p_n$  has the form

$$(2.6) \quad q(z) = \sum_{k=1}^m t_k \frac{1 + x_k z}{1 - x_k z}$$

where  $|x_k| = 1$ ,  $t_k \geq 0$ ,  $\sum_{k=1}^m t_k = 1$  and  $p_n \rightarrow p$  uniformly on compact subsets of  $\Delta$ .

Every function  $f \in \text{Sp}(\alpha)$  can be approximated uniformly on  $|z| \leq r$  by functions of the form (2.7) as follows;

THEOREM 2.3.  $f \in \text{Sp}(\alpha)$  if and only if there is a sequence of functions  $\{f_n\}$  having the form

$$(2.7) \quad g(z) = \frac{z}{\prod_{k=1}^m (1 - x_k z)^{2\tau t_k}}$$

where  $|x_k| = 1$ ,  $t_k \geq 0$ ,  $\sum_{k=1}^m 2\tau t_k = 2\tau$ ,  $\tau = \cos \alpha e^{-i\alpha}$ ,  $|\alpha| < \pi/2$ , and  $f_n \rightarrow f$  uniformly on compact subsets of  $\Delta$ .

*Proof.* According to Theorem 2.2,  $f \in \text{Sp}(\alpha)$  if and only if

$$\begin{aligned} f(z) &= z \exp \left[ \tau \int_0^z \frac{p(w) - 1}{w} dw \right], \quad \tau = \cos \alpha e^{-i\alpha} \\ &= z \exp \left[ \int_{|x|=1} -2\tau \log(1 - xz) d\mu(x) \right]. \end{aligned}$$

Since  $\mu$  is a probability measure on  $\partial\Delta$  there is a sequence of probability measures  $\nu_n$  which are convex combinations of point masses so that

$$\int_{|x|=1} g(x) d\nu_n(x) \rightarrow \int_{|x|=1} g(x) d\mu(x)$$

for every continuous function  $g$  on  $\partial\Delta$ . (The proof of this fact depends on the Krein-Milman theorem, Lemma 2 and the fact that the set of probability measures on  $\partial\Delta$  is compact in the weak star topology). We may write

$$\nu_n = \sum_{k=1}^m t_k \delta_{x_k} \quad \text{where } t_k \geq 0, \sum_{k=1}^m t_k = 1$$

and  $\delta_{x_k}$  denotes point mass at  $x_k$  (hence  $|x_k| = 1$  for  $k = 1, 2, \dots, m$ ). If  $f_n$  denotes the functions in  $\text{Sp}(\alpha)$  corresponding to the measures  $\nu_n$  it follows that  $f_n \rightarrow f$  uniformly on compact subsets of  $\Delta$ . The uniform convergence follows from Montel's theorem and the fact [p.733, 14] that

$$|f_n(z)| \leq \max_{|x|=1} \frac{|z|}{|1-xz|^{2\tau}}, \quad |f(z)| \leq \max_{|x|=1} \frac{|z|}{|1-xz|^{2\tau}}, \quad \tau = \cos \alpha e^{-i\alpha}.$$

Also, if  $f_n \in \text{Sp}(\alpha)$ , we may write

$$f_n(z) = z \exp \left[ \tau \int_0^z \frac{p_n(w) - 1}{w} dw \right], \quad p_n(w) \in \mathcal{P}, \quad \tau = \cos \alpha e^{-i\alpha}.$$

By applying Lemma 3 for  $p_n \in \mathcal{P}$ , we have

$$\begin{aligned} f_n(z) &= z \exp \left[ \tau \int_0^z \left( \sum_{k=1}^m t_k \frac{1+x_k w}{1-x_k w} - 1 \right) / w dw \right] \\ &= z \exp \left[ \tau \int_0^z \left\{ \sum_{k=1}^m t_k \left( \frac{1+x_k w}{1-x_k w} - 1 \right) \right\} / w dw \right] \\ &= z \exp \left[ 2\tau \int_0^z \sum_{k=1}^m \frac{t_k x_k}{1-x_k w} dw \right] \end{aligned}$$

$$\begin{aligned}
 &= z \exp[-2\tau \sum_{k=1}^m \log(1 - x_k z)] \\
 &= z \exp [\log \prod_{k=1}^m (1 - x_k z)^{-2\tau t_k}] \\
 &= \frac{z}{\prod_{k=1}^m (1 - x_k z)^{2\tau t_k}}
 \end{aligned}$$

where  $|x_k| = 1$ ,  $t_k \geq 0$ ,  $\sum_{k=1}^m 2\tau t_k = 2\tau$ ,  $\tau = \cos \alpha e^{-i\alpha}$ .

The converse follows from Theorem 2.1 and the fact that each  $g$  given by (2.7) is in  $\text{Sp}(\alpha)$ .

### 3. Some remarks on Extreme points of $\overline{\text{co}} \text{Sp}(\alpha)$

Let  $E \overline{\text{co}} \text{Sp}(\alpha)$  denote the set of extreme points of  $\overline{\text{co}} \text{Sp}(\alpha)$ . T. H. MacGregor [10] suggested a conjecture for the extreme points of the closed convex hulls of the class  $\text{Sp}(\alpha)$ ,  $-\pi/2 < \alpha < \pi/2$ , namely,

$$(3.1) \quad E \overline{\text{co}} \text{Sp}(\alpha) = \left\{ \frac{z}{(1 - xz)^{2\tau}} : |x| = 1, \tau = \cos \alpha e^{-i\alpha} \right\}.$$

In fact, if  $f \in \text{Sp}(\alpha)$ , we have seen in Theorem 2.2 that

$$(3.2) \quad f(z) = z \exp \left[ \int_{|x|=1} -2\tau \log(1 - xz) d\mu(x) \right], \quad \tau = \cos \alpha e^{-i\alpha}.$$

The integral  $\int_{|x|=1} -2\tau \log(1 - xz) d\mu(x)$  can be approximated locally uniformly in  $\overline{\Delta}$  by sums  $\sum_{k=1}^m -2\tau t_k \log(1 - x_k z)$  where  $t_k \geq 0$ ,  $\sum_{k=1}^m t_k = 1$  and  $|x_k| = 1$ . Consequently

$$\exp \left[ \int_{|x|=1} -2\tau \log(1 - xz) d\mu(x) \right]$$

is approximated by the products

$$(3.3) \quad \prod_{k=1}^m (1 - x_k z)^{-2\tau t_k}, \quad \tau = \cos \alpha e^{-i\alpha}.$$

For complex number  $p$  with  $\operatorname{Re} p > 0$ , let  $\mathcal{F}_p$  be the subclass of  $A$  of functions  $f$  given by

$$f(z) = \int_{|x|=1} \frac{1}{(1-xz)^p} d\mu(x)$$

for some probability measure  $\mu$  on  $\partial\Delta$ . It is easily seen that each  $\mathcal{F}_p$  class is closed and convex. For two complex numbers  $p, q$  with  $\operatorname{Re} p > 0, \operatorname{Re} q > 0$  let the product  $\mathcal{F}_p \cdot \mathcal{F}_q$  be given by

$$\mathcal{F}_p \cdot \mathcal{F}_q = \{fg : f \in \mathcal{F}_p, g \in \mathcal{F}_q\}.$$

The product of a function in  $\mathcal{F}_p$  and a function in  $\mathcal{F}_q$  can be written as an integral with respect to a probability measure on  $\partial\Delta \times \partial\Delta$ , the integrand being of the form  $(1-xz)^{-p}(1-yz)^{-q}$ , when  $|x| = |y| = 1$ . Suppose that for those two complex numbers  $p, q$  with  $\operatorname{Re} p > 0, \operatorname{Re} q > 0$ ,

$$(3.4) \quad \mathcal{F}_p \cdot \mathcal{F}_q \subset \mathcal{F}_{p+q}$$

is true, then we obtain from (3.2) and (3.3) that

$$(3.5) \quad f(z) = \int_{|x|=1} \frac{z}{(1-xz)^{2\tau}} d\mu(x).$$

From (3.5) it is easy to verify that

$$\overline{\operatorname{co}} \operatorname{Sp}(\alpha) = \left\{ \int_{|x|=1} \frac{z}{(1-xz)^{2\tau}} d\mu(x) : |x| = 1, \tau = \cos \alpha e^{-i\alpha} \right\}$$

and

$$E \overline{\operatorname{co}} \operatorname{Sp}(\alpha) = \left\{ \frac{z}{(1-xz)^{2\tau}} : |x| = 1, \tau = \cos \alpha e^{-i\alpha} \right\}.$$

However, K. Pearce [12] showed that (3.4) is not the case in general. In his paper, Pearce showed that if

$$\mathcal{F}_p \cdot \mathcal{F}_q \subset \mathcal{F}_{p+q}$$

holds, then  $p > 0$ ,  $q > 0$  or else  $p = q = 1 + it$  for some  $t$  real. Moreover, D. S. Moak [11] verified that if for  $t \in \mathbb{R}$ ,

$$\mathcal{F}_{1+it} \cdot \mathcal{F}_{1+it} \subset \mathcal{F}_{2+2it}$$

then  $t = 0$ . This means that (3.4) is true only when  $p > 0$ ,  $q > 0$ .

Therefore, for complex number  $p$  with  $\operatorname{Re} p > 0$  the following statement does not hold:

$$\exp \left[ \int_{|x|=1} -p \log(1 - xz) d\mu(x) \right] = \int_{|x|=1} (1 - xz)^{-p} d\nu(x).$$

But K. Pearce [12] verified that each function

$$f(z) = \frac{z}{(1 - xz)^{2\tau}}, \quad |x| = 1, \quad \tau = \cos \alpha e^{-i\alpha},$$

uniquely maximizes the functional  $\operatorname{Re} J_x$  over  $\operatorname{Sp}(\alpha)$ , where

$$J_x g = 2\bar{\tau} \bar{x} g''(0), \quad |x| = 1.$$

Hence, each function  $f(z) = \frac{z}{(1 - xz)^{2\tau}}$ ,  $|x| = 1$ ,  $\tau = \cos \alpha e^{-i\alpha}$ , is necessarily an extreme point of  $\overline{\operatorname{co}} \operatorname{Sp}(\alpha)$ ,  $-\pi/2 < \alpha < \pi/2$ , that is,

$$\left\{ \frac{z}{(1 - xz)^{2\tau}} : |x| = 1, \tau = \cos \alpha e^{-i\alpha} \right\} \subsetneq E \overline{\operatorname{co}} \operatorname{Sp}(\alpha), \quad 0 < |\alpha| < \pi/2.$$

#### 4. Application to Extremal Problem

An application to the solution of extremal problem in the class of starlike functions  $S^*$  was given by G. M. Goluzin [17].

**THEOREM (GOLUZIN).** *For a given entire function  $\Phi(w)$  and a given point  $z$  in  $|z| < 1$  the maximum for the functional  $\operatorname{Re}[\Phi\{\log \frac{f(z)}{z}\}]$  in the class  $S^*$  is attained only for a function of the form*

$$f(z) = \frac{z}{(1 - e^{i\alpha} z)^2}, \quad \alpha \text{ real.}$$

*We obtain a similar result for the class of spirallike functions. We begin by showing a subordination result for the class  $\operatorname{Sp}(\alpha)$  due to T. H. MacGregor [10], but here we give alternate proof for it. We need the following lemma due to R. M. Robinson [16].*

LEMMA 4 (ROBINSON). *If  $f(z)$  is subordinate to  $g(z) = (1+z)/(1-z)$  in  $\Delta$ , and if  $L$  is any continuous linear operator of order zero, then  $L(f)$  is hull subordinate to  $L(g)$  in  $\Delta$ .*

THEOREM 4.1. *If  $f \in Sp(\alpha)$ , then  $f(z)/z$  is subordinate to  $F(z) = 1/(1-z)^{2\tau}$  where  $\tau = \cos \alpha e^{-i\alpha}$ .*

*Proof.* If  $f \in Sp(\alpha)$ , we may write

$$e^{i\alpha} \frac{zf'(z)}{f(z)} = \cos \alpha p(z) + i \sin \alpha, \text{ where } p(z) \in \mathcal{P}.$$

Let  $q(z) = zf'(z)/f(z) = e^{-i\alpha}[\cos \alpha p(z) + i \sin \alpha]$ . Since  $f(z) \neq 0$  when  $z \in \Delta$ , we have

$$\frac{d}{dz} [\log f(z)/z] = \frac{q(z) - 1}{z}$$

and

(4.1)

$$\log(f(z)/z) = \int_0^z \frac{q(w) - 1}{w} dw \text{ where } q(w) = e^{-i\alpha}[\cos \alpha p(w) + i \sin \alpha].$$

The functions  $\{q\}$  consists of those functions subordinate to

$$q_0(w) = e^{-i\alpha}[\cos \alpha \frac{1+w}{1-w} + i \sin \alpha]$$

which is the function mapping  $\Delta$  onto a half plane. Also,

$$\begin{aligned} \int_0^z \frac{q_0(w) - 1}{w} dw &= \int_0^z \frac{1}{w} [e^{-i\alpha}(\cos \alpha \frac{1+w}{1-w} + i \sin \alpha) - 1] dw \\ &= \int_0^z \frac{2 \cos \alpha e^{-i\alpha}}{1-w} dw \\ &= -2\tau \log(1-z), \text{ where } \tau = \cos \alpha e^{-i\alpha}. \end{aligned}$$

The integral in (4.1) defines a continuous linear operator of order zero on the family  $\{q\}$ , and so we may apply Lemma 4. We can drop the hull in the subordination since

$$\int_0^z \frac{q_0(w) - 1}{w} dw = -2\tau \log(1-z)$$

is univalent and convex in  $\Delta$ . Therefore, we find that  $f(z)/z$  is subordinate to  $F(z) = 1/(1-z)^{2\tau}$ ,  $\tau = \cos \alpha e^{-i\alpha}$ .

**THEOREM 4.2.** *For a given non-constant entire function  $\Phi(w)$  and a given point  $z$  in  $\Delta$ , the maximum for the functional*

$$\operatorname{Re}\{\Phi[\log f(z)/z]\}$$

*over the class  $\operatorname{Sp}(\alpha)$  is attained only for a function of the form*

$$f(z) = \frac{z}{(1 - xz)^{2\tau}}$$

*where  $|x| = 1$ ,  $\tau = \cos \alpha e^{-i\alpha}$ .*

*Proof.* Since the class  $\operatorname{Sp}(\alpha)$  is compact and the functional  $\operatorname{Re}\{\Phi[\log f(z)/z]\}$  is continuous, this extremal problem has a solution in  $\operatorname{Sp}(\alpha)$ . Suppose that  $f \in \operatorname{Sp}(\alpha)$ . Theorem 4.1 implies that  $f(z)/z$  is subordinate to  $F(z) = 1/(1 - z)^{2\tau}$ ,  $\tau = \cos \alpha e^{-i\alpha}$ , which is the same as  $\log[f(z)/z]$  is subordinate to  $\log F(z)$ . Thus  $g(z) = \Phi[\log f(z)/z]$  is subordinate to  $G(z) = \Phi[-2\tau \log(1 - z)]$ ,  $\tau = \cos \alpha e^{-i\alpha}$ . Also  $G$  is non-constant, since  $\Phi$  is non-constant. If  $g$  is subordinate to the non-constant function  $G$  in  $\Delta$ , then

$$g[\{z : |z| \leq r\}] \subset D = G[\{z : |z| \leq r\}]$$

for each  $r$ ,  $0 < r < 1$ . The function  $G(xz)$ ,  $|x| \leq 1$ , is subordinate to  $G(z)$  and so  $\{g(z) : g \text{ is subordinate to } G \text{ in } \Delta\} = D$  where  $r = |z|$  for any point in  $\Delta$ . This uses the fact that  $G(xz)$  is also of the form  $f(z)/z$  where  $f \in \operatorname{Sp}(\alpha)$ . By considering a support line to the compact set  $D$  we conclude that

$$(4.2) \quad \max_{f \in \operatorname{Sp}(\alpha)} \operatorname{Re}\left\{\Phi\left[\log \frac{f(z)}{z}\right]\right\} = \operatorname{Re} w_1$$

where  $w_1 \in \partial D$ . Since  $G$  is an open mapping there is a number  $z_1$  so that  $|z_1| = r$  and  $G(z_1) = w_1$ . Of all the numbers  $w_1$  satisfying (4.2) there is one for which  $w_1 = \Phi[\log f(z)/z]$  where  $f$  is a given solution to the extremal problem. This is the precise situation described by Lemma 5 and so

$$(4.3) \quad \Phi[\log f(z)/z] = \Phi[-2\tau \log(1 - xz)], \quad \tau = \cos \alpha e^{-i\alpha}.$$

That is, if  $f$  is a solution of the extremal problem, then (4.3) holds for some  $x, |x| = 1$ . Since  $\Phi$  is non-constant we may write

$$\Phi(w) = c_0 + c_n w^n + c_{n+1} w^{n+1} + \dots \quad \text{where } c_n \neq 0.$$

If we let  $\log[f(z)/z] = \alpha_1 z + \alpha_2 z^2 + \dots$  and  $-2\tau \log(1 - xz) = \beta_1 z + \beta_2 z^2 + \dots$  then (4.3) implies that  $c_n \alpha_1^n = c_n \beta_1^n$ . Since  $c_n \neq 0$  this shows that  $\alpha_1^n = \beta_1^n$  and so, in particular,  $|\alpha_1| = |\beta_1|$ . Since  $\log[f(z)/z]$  is subordinate to  $-2\tau \log(1 - xz)$ , the equality  $|\alpha_1| = |\beta_1|$  is only possible if

$$\log[f(z)/z] = -2\tau \log(1 - xyz)$$

for some  $y, |y| = 1$ . Therefore, we conclude that

$$f(z) = z/(1 - uz)^{2\tau}, \quad |u| = 1, \quad \tau = \cos \alpha e^{-i\alpha}$$

if  $f$  is a solution to the extremal problem. This completes the proof.

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